

**SMPG Corporate Actions**

**Events Templates - SR2022**

***With ISO 20022 samples***

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Author: SMPG CA WG

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# Revision Record

|  |  |  |  |
| --- | --- | --- | --- |
| **Version** | **Publication Date** | **Change Description** | **Sections Impacted** |
| SR2022 v1.0 | 11 Mar. 2022 | None | None |
| SR2011 V1.0 | 25 March 2011 | Convert the samples so as to be SR2011 compliant (mainly rates moved to cash move sequence).  - In US Dutch Auction MT 564 template, move FOLQ from sequence D to E.  - Corrections after validation through ISO15022/20022 translation tool  - Update scope section  - Add events CERT, PINK, CONV, EXWA, CAPI, DECR, DFLT, INCR, EXTM, BONU (CHOS), DVOP (CHOS) without interim, EXRI (CHOS), MRGR (CHOS), RHTS (CHOS).  - Whenever possible, illustrate the events with the notification of entitlement message | All sections |
| SR2010 V1.0 | 29 June 2010 | The SR2008 Event Template document has been completely revamped and all events templates fully aligned with the SWIFT ISO 15022 SR 2010 standards as well as with the latest EIG+ and DvE guidelines (SMPG\_CA\_Global\_Market\_Practice\_Part\_2\_SR2010\_v1\_01) available on [www.smpg.info](http://www.smpg.info)). | All sections |

# Scope of the document

This document provides events templates for corporate action events in an ISO 15022 format. It aims at helping users to implement ISO 15022 MT 564, 565, 566, 567 and 568 messages.

The templates reflect only one scenario per event. There may be other more complex scenarios for the same event where additional information could be needed. This document will be updated gradually with additional event types.

The purpose of the document is to demonstrate with concrete examples how to apply various SMPG recommendations that are available.  If used as described in the document, the templates will increase the level of standardisation across markets and markets players.

For more details on market practices recommendations, please refer to the CA Global Market Practice document Part 1, CA Global Market Practice document Part 2 containing amongst others, the Event Interpretation Grid (EIG+) matrix published on [www.smpg.info](http://www.smpg.info).

**Important note regarding the samples contents:**

In this document, the templates are described either in “a full lifecycle” mode or in a “simplified” mode.

In the “full lifecycle” mode, the event scenario is described with several templates, one for each main step of the processing lifecycle (i.e. announcement, instruction, status, entitlement, confirmation, whichever steps are applicable).

Whilst the “simplified” mode illustrates the notice of entitlement when applicable as it contains more data elements than any other message or a simple event notification template otherwise.

However, using the notice of entitlement template in a scenario should not be construed as a recommendation to calculate and include entitlement before entitlement date. In this case, the linkages sequence is present for consistency purposes.

The reason for having these two different “modes” is that the main interest of the document lies in the data elements and formats of the event. Therefore there is no need to illustrate a full lifecycle for every event.

Complex events are usually illustrated with a full lifecycle, whilst the more simple events are illustrated in a “simplified” mode.

The elements present in the messages templates are always based on the CA SMPG Global Grid (refer to the EIG+ in the GMP Part 2) and not on specific local market practices. It is therefore possible to have acceptable discrepancies with these templates when local market practices must be followed..

It is also possible to have discrepancies with the formatting option of the template if the format is more granular than the one documented in the template. E.g. :92F::GRSS//EUR22, can also be presented in the following way: :92K::GRSS/TXBL/EUR20, and :92K::GRSS/TXFR/EUR2,

**Notation**

Throughout the document, the following conventions are used for indicating in the template the level of support of each element in the message:

|  |  |
| --- | --- |
| **Label** | **Description** |
| **M** | The presence of this message element is mandatory as required per the ISO standards or as per the SMPG market practices. |
| **R** | The presence of this message element is recommended as per the SMPG market practices.  Note that “Recommended” elements in the SMPG events templates are tagged as “Optional” in the CA SMPG EIG+ Global Grid matrix (see Global Market Practices Part 2 document). |
| **O** | The presence of this message element is optional (or sender dependant).  Note that “Optional” elements in the SMPG events templates are tagged as “Optional” in the CA SMPG EIG+ Global Grid matrix. |

**Other Principles Applied on the Samples**

#### P1. Linkages: When applicable, PREV or RELA linkages are always provided in the samples for illustration and consistency purpose (except for MT564 NEWM).

#### P2. Entitlement Amounts: In the simplified or full life cycle mode, when the message illustrated is a entitlement message (REPE), entitlement amounts (:19B::ENTL) will always be indicated as “Recommended” and for all other messages that are not an entitlement message, the entitlement amounts (:19B::ENTL) will be indicated as “Optional”.

All other amounts will be indicated as “Optional” except id indicated as “mandatory” in the standards (eg. :19B::PSTA in the MT 566).

# DVOP: Dividend option (CHOS) – No Interim Securities

### Definition:

Distribution of a dividend to shareholders with a choice of benefit to receive. Shareholders may choose to receive shares, cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.

### Issue name:

Glaxo Holdings

ISIN GB0007123466

### Terms:

Final dividend of GBP 0,07 per share, OR one ordinary share for every 40 shares held. Elections cannot be made on holdings of less than 40 shares.

Elections which give rise to fractional entitlements will be rounded down to the nearest whole multiple of 40 shares; the full cash dividend of GBP 0,07 per share will be paid on the remainder of the holding.

### Relative dates:

Ex date - 01 September 20YY

Record date - 07 September 20YY

Dividend payment date - 02 October 20YY

Response deadline date/time – 23 September 20YY at 6:00 pm

Market deadline date/time – 24 September 20YY at 6:00 pm

### Transaction description:

A/C 98-0112441-05 has an underlying balance of 80,000 shares.

### Instruction description:

The Client will elect the securities option for the entire eligible balance.

### Messages:

MT 564 for Notice of entitlement

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | | | | | | | | | | | | |
|  |  | | | | | | | | | | | | | | | | | | | |  | | | | | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  | **:16R:** | | | | | | | | | | **GENL** | | |  | | | | | | | | | |
| **M** | **:20C::** | | | | | | | | | | **CORP//DIVGLAX123** | | | Corporate Action Reference | | | | | | | | | |
| **M** | **:20C::** | | | | | | | | | | **SEME//1997189-012** | | | Senders reference | | | | | | | | | |
| **O** | **:20C::** | | | | | | | | | | **COAF//BI01593212345** | | | Official Corporate Action Event Reference | | | | | | | | | |
| **M** | **:23G:** | | | | | | | | | | **REPE** | | | Replacement with entitlement | | | | | | | | | |
| **M** | **:22F::** | | | | | | | | | | **CAEV//DVOP** | | | Dividend option | | | | | | | | | |
| **M** | **:22F::** | | | | | | | | | | **CAMV//CHOS** | | | Mandatory with options indicator | | | | | | | | | |
| **M** | **:25D::** | | | | | | | | | | **PROC//COMP** | | | Details are complete | | | | | | | | | |
| **O** |  | | | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | | | | |  | | | | | |
|  | **:16R:** | | | | | | | | | | **LINK** | | | Start of Block | | | | | | | | | |
| **R** | **:13A::** | | | | | | | | | | **LINK//564** | | | Previously sent message type | | | | | | | | | |
| **M** | **:20C::** | | | | | | | | | | **PREV//1997189-012** | | | Previously sent message reference | | | | | | | | | |
|  | **:16S:** | | | | | | | | | | **LINK** | | | End of Block | | | | | | | | | |
| **O** |  | | | | *End of Subsequence A1 Linkages* | | | | | | | | | | | | | | |  | | | | | |
|  | **:16S:** | | | | | | | | | | **GENL** | | | | | | | | | | | |
| **M** | *End of Sequence A General Information* | | | | | | | | | | | | | | | | | | |  | | | | |
|  |  | | |  | | | |  |  | | |  |  | | | | | | |  | | | | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | | | | | | | | |  | | | | |
|  | **:16R:** | | | | | | | | | | **USECU** | | | | | |  | | | | | |
| **M** | **:35B:** | | | | | | | | | | **ISIN GB0007123466**  **GLAXO HOLDINGS** | | | | | | Underlying securities | | | | | |
|  |  | | |  | | | |  |  | | |  |  | | | | | | |  | | | | | |
| **M** |  | | | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | | | | | **ACCTINFO** | | | | | |  | | | | | |
| **M** | **:97A::** | | | | | | | | | | **SAFE//98-0112441-05** | | | | | | Safekeeping Account | | | | | |
| **M** | **:93B::** | | | | | | | | | | **ELIG//UNIT/80000,** | | | | | | Eligible unit balance | | | | | |
|  | **:16S:** | | | | | | | | | | **ACCTINFO** | | | | | |  | | | | | |
| **M** |  | | | *End of Subsequence B2 Account Information* | | | | | | | | | | | | | | | |  | | | | | |
|  |  | | |  | | | |  |  | | |  |  | | | | | | |  | | | | | |
|  | **:16S:** | | | | | | | | | | **USECU** | | | | | | | | | | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | | | | | | | | |  | | |
|  |  | | |  | | | |  |  | | |  |  | | | | | | |  | | |
| **R** | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | | | | | | | | | | |  | | |
|  | **:16R:** | | | | | | | | | | **CADETL** | | | | | |  | | | | | |
| **M** | **:98A::** | | | | | | | | | | **XDTE//20YY0901** | | | | | | Ex date | | | | | |
| **M** | **:98A::** | | | | | | | | | | **RDTE//20YY0907** | | | | | | Record date | | | | | |
|  | **:16S:** | | | | | | | | | | **CADETL** | | | | | |  | | | | | |
| **R** | *End of Sequence D Corporate Actions Details* | | | | | | | | | | | | | | | | | | |  | | |
|  |  | | |  | | | |  |  | | |  |  | | | | | | |  | | |
| **O** |  | | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | | | | | **CAOPTN** | | | | | |  | | | | | |
| **M** | **:13A::** | | | | | | | | | | **CAON//001** | | | | | | CA Option Number | | | | | |
| **M** | **:22F::** | | | | | | | | | | **CAOP//CASH** | | | | | | Cash option | | | | | |
| **O** | **:11A::** | | | | | | | | | | **OPTN//GBP** | | | | | | Currency option | | | | | |
| **M** | **:17B::** | | | | | | | | | | **DFLT//Y** | | | | | | Default if no election is made | | | | | |
| **O** | **:98C::** | | | | | | | | | | **RDDT//20YY0923180000** | | | | | | Response deadline date | | | | | |
| **O** | **:98C::** | | | | | | | | | | **MKDT//20YY0924180000** | | | | | | Market Deadline Date | | | | | |
| **O** |  | |  | | | | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | | | | |  | | | | |
|  | **:16R:** | | | | | | | | **CASHMOVE** | | | | | | | |  | | | | | |
| **M** | **:22H::** | | | | | | | | **CRDB//CRED** | | | | | | | | Credit indicator | | | | | |
| **M** | **:98A::** | | | | | | | | **PAYD//20YY1002** | | | | | | | | Payment date | | | | | |
| **M** | **:92F::** | | | | | | | | **GRSS//GBP0,07** | | | | | | | | Gross rate per share | | | | | |
| **O** | **:92F::** | | | | | | | | **NETT//GBP0,0595** | | | | | | | | Net rate per share | | | | | |
| **O** | **:92A::** | | | | | | | | **TAXR//15,** | | | | | | | | Withholding of Local tax | | | | | |
|  | **:16S:** | | | | | | | | **CASHMOVE** | | | | | | | |  | | | | | |
| **O** |  |  | | | | *End of Subsequence E2 Cash Movement* | | | | | | | | | | | |  | | | | |
|  | **:16S:** | | | | | | | | | **CAOPTN** | | | | | | | | | | | | |
|  |  | | |  | | | |  |  | | |  |  | | | | | | |  | | |
| **O** |  | | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | | | | **CAOPTN** | | | | | |  | | | | | | |
| **M** | **:13A::** | | | | | | | | | **CAON//002** | | | | | | CA Option Number | | | | | | |
| **M** | **:22F::** | | | | | | | | | **CAOP//SECU** | | | | | | Securities option | | | | | | |
| **R** | **:22F::** | | | | | | | | | **DISF//RDDN** | | | | | | Fractions will be rounded down | | | | | | |
| **O** | **:11A::** | | | | | | | | **OPTN//GBP** | | | | | | Currency option | | | | | | |
| **M** | **:17B::** | | | | | | | | | **DFLT//N** | | | | | | This is not the default | | | | | | |
| **O** | **:98C::** | | | | | | | | | **RDDT//20YY0923180000** | | | | | | Response deadline date | | | | | | |
| **O** | **:98C::** | | | | | | | | | **MKDT//20YY0924180000** | | | | | | Market deadline date | | | | | | |
|  |  | | |  | | | |  |  | | |  |  | | | | | | |  | | | | | |
| **O** |  | | |  | | | | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | | | |
| **M** | **:16R:** | | | | | | | | | **SECMOVE** | | | | | |  | | | | | | |
| **M** | **:22H::** | | | | | | | | | **CRDB//CRED** | | | | | | Credit indicator | | | | | | |
| **M** | **:35B:** | | | | | | | | | **ISIN GB0007633421**  **GLAXO WELLCOME** | | | | | | ID of new shares | | | | | | |
| **O** | **:36B::** | | | | | | | | | **ENTL//UNIT/2000,** | | | | | | Entitled Quantity | | | | | | |
| **O** | **:90B::** | | | | | | | | **PRPP//ACTU/GBP2,8** | | | | | | | Reinvestment price paid per credit security | | | | | | |
| **M** | **:92D::** | | | | | | | | | **ADEX//1,/40,** | | | | | | Ratio for additional for existing securities | | | | | | |
| **M** | **:98A::** | | | | | | | | | **PAYD//20YY1002** | | | | | | Payment date | | | | | | |
| **M** | **:16S:** | | | | | | | | | **SECMOVE** | | | | | |  | | | | | | |
| **O** |  | | |  | | | | *End of Subsequence E1 Securities Movement* | | | | | | | | | | | |  | | | | | |
|  | **:16S:** | | | | | | | | | **CAOPTN** | | | | | | | | | | | | |
| **O** |  | | | *End of Sequence E Corporate Action Options* | | | | | | | | | | | | | | | | |  | | | |

# 

|  |
| --- |
| CANO - Corporate Action Notification - seev.031 |

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# INTR: Interest Payment (CHOS) - With Currency Options

### Definition:

Interest payment distributed to holders of an interest bearing asset.

### Issue name:

Eurobond 5.4% due 02/12/YY

ISIN XS123456781

### Terms:

Bond pays at a coupon rate of 2.7% for the applicable period

### Relative dates:

Record Date - December 2, 20YY

Response deadline date – 25 October 20YY at 5:00 PM

Market deadline date / time 26 October at 6:00 PM

Pay date of 4 December 20YY

### Transaction description:

The coupon interest will be collected on behalf of A/C 98-0112441-05. The coupon will be paid in MXN or USD at the option of the holder. The account has an underlying settled balance of 1,000,000 face amount. The account holder has instructed equally on each option.

### Certification description:

The holder of the security has to provide basic details on nominal and associated tax rate to be used by the account servicer. Certification must be provided in physical format.

### Messages:

MT 564 for the notice of entitlement

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | | | | | | | | | |
|  | |  | | |  |  |  |  | | | |  | | | | |  | |
| **M** | | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | | | |  | |
|  | | **:16R:** | | | | | | | | | **GENL** | | | | Start of Block | | | | |
| **M** | | **:20C::** | | | | | | | | | **CORP//INTRCHOS123** | | | | Corporate Action Reference | | | | |
| **M** | | **:20C::** | | | | | | | | | **SEME//741852** | | | | Sender's Message Reference | | | | |
| **O** | | **:20C::** | | | | | | | | | **COAF//123456789** | | | | Official Corporate Action Event Reference | | | | |
| **M** | | **:23G:** | | | | | | | | | **REPE** | | | | Replacement with entitlement | | | | |
| **M** | | **:22F::** | | | | | | | | | **CAEV//INTR** | | | | Corporate Action Event Indicator | | | | |
| **M** | | **:22F::** | | | | | | | | | **CAMV//CHOS** | | | | Mandatory/Voluntary Indicator | | | | |
| **M** | | **:25D::** | | | | | | | | | **PROC//COMP** | | | | Processing Status | | | | |
| **O** | |  | | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | |  | | | | | |
|  | | **:16R:** | | | | | | | | | **LINK** | | | | Start of Block | | | | |
| **R** | | **:13A::** | | | | | | | | | **LINK//564** | | | | Previously sent message type | | | | |
| **M** | | **:20C::** | | | | | | | | | **PREV//741851** | | | | Previously sent message reference | | | | | |
|  | | **:16S:** | | | | | | | | | **LINK** | | | | End of Block | | | | | |
| **O** | |  | | | *End of Subsequence A1 Linkages* | | | | | | | | | | | |  | | | | | | |
| **O** | |  | | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | |  | | | | | | |
|  | | **:16R:** | | | | | | | | | **LINK** | | | | Start of Block | | | | | |
| **R** | | **:13A::** | | | | | | | | | **LINK//565** | | | | Previously received message type | | | | | |
| **M** | | **:20C::** | | | | | | | | | **RELA//12345ABCDE** | | | | Previously received message reference | | | | | |
|  | | **:16S:** | | | | | | | **LINK** | | | | | | End of Block | | | | | |
| **O** | | *End of Subsequence A1 Linkages* | | | | | | | | | | | | | | |  | | | | | | |
|  | | **:16S:** | | | | | | | **GENL** | | | | | | End Of Block | | | | | |
| **M** | | *End of Sequence A General Information* | | | | | | | | | | | | | | |  | | | | | | |
| **M** | | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | | | | |  | | | | | | |
|  | | **:16R:** | | | | | | | **USECU** | | | | | | Start of Block | | | | | |
| **M** | | **:35B:** | | | | | | | **ISIN XS123456781** | | | | | | Identification of the Financial Instrument | | | | | |
|  |  | | *Optional Subsequence B1 Financial Instrument Attributes* | | | | | | | | | | | | | | | | | | | | | |
| **M** | **:16R:** | | | | | | | | | **FIA** | | | | | Start of Block | | | | | | |
| **R** | **:22F::** | | | | | | | | | **MICO//A001** | | | | | Method of interest computation | | | | | | |
| **O** | **:98A::** | | | | | | | | | **MATU//20YY1202** | | | | | New Maturity date | | | | | | |
| **O** | **:98A::** | | | | | | | | | **COUP//20YY0604** | | | | | Next coupon payment date | | | | | | |
|  | **:16S:** | | | | | | | | | **FIA** | | | | | End Of Block | | | | | | |
|  |  | | *End of Subsequence B1 Financial Instrument Attributes* | | | | | | | | | | | | | | | | | | | | | |
| **M** | | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | | | | | | | | |
|  | | **:16R:** | | | | | | | **ACCTINFO** | | | | | | Start of Block | | | | | |
| **M** | | **:97A::** | | | | | | | **SAFE//98-0112441-05** | | | | | | Safekeeping Account | | | | | |
| **M** | | **:93B::** | | | | | | | **ELIG//FAMT/1000000,** | | | | | | Total Eligible For Corporate Action Balance | | | | | |
|  | | **:16S:** | | | | | | | **ACCTINFO** | | | | | | End Of Block | | | | | |
| **M** | | *End of Subsequence B2 Account Information* | | | | | | | | | | | | | | |  | | | | | | |
|  | | **:16S:** | | | | | | | **USECU** | | | | | | End Of Block | | | | | |
| **M** | | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | | | | |  | | | | | | |
| **M** | | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | | | | | | |  | | | | | | |
|  | | **:16R:** | | | | | | | **CADETL** | | | | | | Start of Block | | | | | |
| **M** | | **:98A::** | | | | | | | **RDTE//20YY1002** | | | | | | Record Date/Time | | | | | |
| **O** | **:69A::** | | | | | | | | | **INPE//20YY0602/20YY1202** | | | | | Interest period | | | | | |
| **O** | **:99A ::** | | | | | | | | | **DAAC//183** | | | | | Number of Days Accrued | | | | | |
| **O** | **:92A::** | | | | | | | | | **INTR//5,4** | | | | | Annual interest rate | | | | | |
| **O** | **:92A::** | | | | | | | | | **PRFC//1,** | | | | | Previous factor | | | | | |
| **O** | **:17B::** | | | | | | | | | **CERT//Y** | | | | | Certification Flag | | | | | |
| **O** | **:22F::** | | | | | | | | | **CEFI//PHYS** | | | | | Certification Format Indicator. | | | | | |
|  | |  | | | | | | |  | | | | | |  | | | | | |
|  | | **:16S:** | | | | | | | **CADETL** | | | | | | End Of Block | | | | | |
| **M** | | *End of Sequence D Corporate Actions Details* | | | | | | | | | | | | | | |  | | | | | | |
| **O** | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | | | |
|  | | **:16R:** | | | | | | | **CAOPTN** | | | | | Start of Block | | | | | |
| **M** | | **:13A::** | | | | | | | **CAON//001** | | | | | CA Option Number | | | | | |
| **M** | | **:22F::** | | | | | | | **CAOP//CASH** | | | | | Corporate Action Option Code Indicator | | | | | |
| **O** | **:22F::** | | | | | | | | | **CETI//TRBD** | | | Certification Type Indicator. | | | | | | | | | | | |
| **R** | | **:11A::** | | | | | | | **OPTN//MXN** | | | | | Currency offered | | | | | |
| **M** | | **:17B::** | | | | | | | **DFLT//N** | | | | | Default Processing Flag | | | | | |
| **O** | | **:98C::** | | | | | | | **RDDT//20YY1025170000** | | | | | Response deadline date/time | | | | | |
| **O** | | **:98C::** | | | | | | | **MKDT//20YY1026180000** | | | | | Market Deadline Date/time | | | | | |
| **O** | |  | | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | | |  | | | | | |
|  | | **:16R:** | | | | | | | **CASHMOVE** | | | | | Start of Block | | | | | |
| **M** | | **:22H::** | | | | | | | **CRDB//CRED** | | | | | Credit/Debit Indicator | | | | | |
| **R** | | **:19B::** | | | | | | | **RESU//MXN285739,** | | | | | Resulting amount | | | | | |
| **R** | | **:19B::** | | | | | | | **ENTL//MXN285739,** | | | | | Entitled amount | | | | | |
| **O** | | **:19B::** | | | | | | | **GRSS//USD27000,** | | | | | Gross amount | | | | | |
| **O** | | **:19B::** | | | | | | | **TAXR//USD4050,** | | | | | Tax amount | | | | | |
| **O** | | **:19B::** | | | | | | | **NETT//USD22950,** | | | | | Net amount | | | | | |
| **M** | | **:98A::** | | | | | | | **PAYD//20YY1204** | | | | | Payment Date/Time | | | | | |
| **O** | | **:98A::** | | | | | | | **VALU//20YY1204** | | | | | Value Date/Time | | | | | |
| **O** | | **:98A::** | | | | | | | **EARL//20YY1205** | | | | | Earliest Payment Date | | | | | |
| **M** | | **:92A::** | | | | | | | **INTP//2,7** | | | | | Interest rate used for payment. | | | | | |
| **O** | | **:92A::** | | | | | | | **TAXR//15,** | | | | | Tax rate | | | | | |
| **R** | | **:92B::** | | | | | | | **EXCH//USD/MXN/12,4505** | | | | | Exchange rate | | | | | |
|  | | **:16S:** | | | | | | | **CASHMOVE** | | | | | End Of Block | | | | | |
| **O** | | *End of Subsequence E2 Cash Movement* | | | | | | | | | | | |  | | | | | |
|  | | **:16S:** | | | | | | | **CAOPTN** | | | | | End Of Block | | | | | |
| **O** | | *End of Sequence E Corporate Action Options* | | | | | | | | | | | |  | | | | | |
|  | |  | | | | | | | | | | | | | | | | | |
| **O** | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | | | |
|  | | **:16R:** | | | | | | | **CAOPTN** | | | | Start of Block | | | | | | |
| **M** | | **:13A::** | | | | | | | **CAON//002** | | | | CA Option Number | | | | | | |
| **M** | | **:22F::** | | | | | | | **CAOP//CASH** | | | | Corporate Action Option Code Indicator | | | | | | |
| **O** | **:22F::** | | | | | | | | | **CETI//TRBD** | | | Certification Type Indicator. | | | | | | |
| **R** | | **:11A::** | | | | | | | **OPTN//USD** | | | | Currency offered | | | | | | |
| **M** | | **:17B::** | | | | | | | **DFLT//Y** | | | | Default Processing Flag | | | | | | |
| **O** | | **:98C::** | | | | | | | **RDDT//20YY1025170000** | | | | Response deadline date/time | | | | | | |
| **O** | | **:98C::** | | | | | | | **MKDT//20YY1026180000** | | | | Market Deadline Date/time | | | | | | |
| **O** | |  | | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | | | | |  | | | |
|  | | **:16R:** | | | | | | | **CASHMOVE** | | | | Start of Block | | | | | | |
| **M** | | **:22H::** | | | | | | | **CRDB//CRED** | | | | Credit/Debit Indicator | | | | | | |
| **R** | | **:19B::** | | | | | | | **ENTL//USD22950,** | | | | Entitled amount | | | | | | |
| **O** | | **:19B::** | | | | | | | **GRSS//USD27000,** | | | | Gross amount | | | | | | |
| **O** | | **:19B::** | | | | | | | **TAXR//USD4050,** | | | | Tax amount | | | | | | |
| **O** | | **:19B::** | | | | | | | **NETT//USD22950,** | | | | Net amount | | | | | | |
| **M** | | **:98A::** | | | | | | | **PAYD//20YY1204** | | | | Payment Date/Time | | | | | | |
| **O** | | **:98A::** | | | | | | | **VALU//20YY1204** | | | | Value Date/Time | | | | | | |
| **O** | | **:98A::** | | | | | | | **EARL//20YY1205** | | | | Earliest Payment Date | | | | | | |
| **M** | | **:92A::** | | | | | | | **INTP//2,7** | | | | Interest rate used for payment (in denomination currency). | | | | | | |
| **O** | | **:92A::** | | | | | | | **TAXR//15,** | | | | Tax rate | | | | | | |
|  | | **:16S:** | | | | | | | **CASHMOVE** | | | | End Of Block | | | | | | |
| **O** | |  | | *End of Subsequence E2 Cash Movement* | | | | | | | | | | | |  | |
|  | | **:16S:** | | | | | | | **CAOPTN** | | | | End Of Block | | | | | | |
| **O** | | *End of Sequence E Corporate Action Options* | | | | | | | | | | | | | |  | |

# REDM: Final Maturity (CHOS)

### Definition:

### The redemption of an entire issue outstanding of securities , for example bonds preferred equity, funds, by the issuer or its agent, for example, asset manager at final maturity.

### Issue name:

ABB Finance Inc 6.8% due October 25, 20YY

ISIN XS0037583977

### Terms:

Bond will redeem at par.

### Relative dates:

Record date – September 10, 20YY

Response deadline – September 20, 20YY

Redemption date - October 25, 20YY

Payment date - October 25, 20YY

### Transaction description:

A bond issued by ABB Finance will mature and proceeds can be taken in EUR or GBP. The participant account (a/c 56344) holds a face amount of EUR 10 million in this bond issue.

### Messages:

MT 564 for the notice of entitlement

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | | | | | | | | |
| **M** | | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | | | |  | | | | | | | |
| **M** | | **:16R:** | | | | | | **GENL** | | Start of Block | | | | | | | | | | | | |
| **M** | | **:20C::** | | | | | | **CORP//CORP123** | | Corporate Action Reference | | | | | | | | | | | | |
| **M** | | **:20C::** | | | | | | **SEME//SEME123** | | Sender's Message Reference | | | | | | | | | | | | |
| **O** | | **:20C::** | | | | | | **COAF//COAF123** | | Official Corporate Action Event Reference | | | | | | | | | | | | |
| **M** | | **:23G:** | | | | | | **REPE** | | Replacement with entitlement | | | | | | | | | | | | |
| **M** | | **:22F::** | | | | | | **CAEV//REDM** | | Corporate Action Event Indicator | | | | | | | | | | | | |
| **M** | | **:22F::** | | | | | | **CAMV//CHOS** | | Mandatory/Voluntary Indicator | | | | | | | | | | | | |
| **M** | | **:25D::** | | | | | | **PROC//COMP** | | Processing Status | | | | | | | | | | | | |
| **O** |  | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | |  | | |
| **M** | **:16R:** | | | | | | **LINK** | | | Start of Block | | | | | | | | | | | | |
| **R** | **:13A::** | | | | | | **LINK//564** | | | Previously sent message type | | | | | | | | | | | | |
| **M** | **:20C::** | | | | | | **PREV//11142766** | | | Previously sent message reference | | | | | | | | | | | | |
| **M** | **:16S:** | | | | | | **LINK** | | | End of Block | | | | | | | | | | | | |
| **O** |  | | *End of Subsequence A1 Linkages* | | | | | | | | |  | | |
| **M** | | **:16S:** | | | | | | **GENL** | | End Of Block | | | | | | | | | | | | |
| **M** | | *End of Sequence A General Information* | | | | | | | | |  | |
| **M** | | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | |  | |
| **M** | | **:16R:** | | | | | | **USECU** | | Start of Block | | | | | | | | | | | | |
| **M** | | **:35B:** | | | | | | **ISIN XS0037583977** | | Identification of the Financial Instrument | | | | | | | | | | | | |
| **M** | |  | | | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | | |  | |
| **M** | | **:16R:** | | | | | | **ACCTINFO** | Start of Block | | | | | | | | | | | | | |
| **M** | | **:97A::** | | | | | | **SAFE//56344** | Safekeeping Account | | | | | | | | | | | | | |
| **M** | | **:93B::** | | | | | | **ELIG//UNIT/10000000,** | Total Eligible For Corporate Action Balance | | | | | | | | | | | | | |
| **M** | | **:16S:** | | | | | | **ACCTINFO** | End Of Block | | | | | | | | | | | | | |
| **M** | |  | | | *End of Subsequence B2 Account Information* | | | | | |  | |
| **M** | | **:16S:** | | | | | | **USECU** | End Of Block | | | | | | | | | | | | | |
| **M** | | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | |  | |
| **O** | | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | |  | |
| **M** | | **:16R:** | | | | | | **CADETL** | Start of Block | | | | | | | | | | | | | |
| **M** | | **:98A::** | | | | | | **RDTE//20YY0910** | Record Date/Time | | | | | | | | | | | | | |
| **M** | | **:16S:** | | | | | | **CADETL** | End Of Block | | | | | | | | | | | | | |
| **O** | | *End of Sequence D Corporate Actions Details* | | | | | | | | |  | |
| **O** | |  | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | | | | | |  | |
| **M** | | **:16R:** | | | | | | **CAOPTN** | Start of Block | | | | | | | | | | | | | |
| **M** | | **:13A::** | | | | | | **CAON//001** | CA Option Number | | | | | | | | | | | | | |
| **M** | | **:22F::** | | | | | | **CAOP//CASH** | Corporate Action Option Code Indicator | | | | | | | | | | | | | |
| **R** | | **:11A::** | | | | | | **OPTN//EUR** | Currency offered | | | | | | | | | | | | | |
| **M** | | **:17B::** | | | | | | **DFLT//Y** | Default Processing Flag | | | | | | | | | | | | | |
| **O** | | **:98C::** | | | | | | **RDDT//20YY0920180000** | Response deadline date/time | | | | | | | | | | | | | |
| **O** | | **:98C::** | | | | | | **MKDT//20YY0921180000** | Market deadline date/time | | | | | | | | | | | | | |
|  | |  | |  | | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | |  | |
| **M** | | **:16R:** | | | | | | **SECMOVE** | Start of Block | | | | | | | | | | | | | |
| **M** | | **:22H::** | | | | | | **CRDB//DEBT** | Credit/Debit Indicator | | | | | | | | | | | | | |
| **M** | | **:35B:** | | | | | | **ISIN XS0037583977** | Identification of the Financial Instrument | | | | | | | | | | | | | |
| **R** | | **:36B::** | | | | | | **ENTL//FAMT/10000000,** | Quantity to be debited | | | | | | | | | | | | | |
| **M** | | **:98A::** | | | | | | **PAYD//20YY1025** | Payment Date/Time | | | | | | | | | | | | | |
| **M** | | **:16S:** | | | | | | **SECMOVE** | End Of Block | | | | | | | | | | | | | |
| **O** | |  | |  | | *End of Subsequence E1 Securities Movement* | | | | |  | |
| **O** | |  | |  | | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | |  | | | |
| **M** | | **:16R:** | | | | | | **CASHMOVE** | Start of Block | | | | | | | | | | | | | |
| **M** | | **:22H::** | | | | | | **CRDB//CRED** | Credit/Debit Indicator | | | | | | | | | | | | | |
| **R** | | **:19B::** | | | | | | **ENTL//EUR10000000,** | Entitled amount | | | | | | | | | | | | | |
| **O** | | **:19B::** | | | | | | **GRSS//EUR10000000,** | Gross amount | | | | | | | | | | | | | |
| **M** | | **:98A::** | | | | | | **PAYD//20YY1025** | Payment Date/Time | | | | | | | | | | | | | |
| **O** | | **:98A::** | | | | | | **VALU//20YY1025** | Value Date/Time | | | | | | | | | | | | | |
| **O** | | **:98A::** | | | | | | **EARL//20YY1026** | Earliest Payment Date (think bank holiday) | | | | | | | | | | | | | |
| **M** | | **:90A::** | | | | | | **OFFR//PRCT/100,** | Redemption price (par) | | | | | | | | | | | | | |
| **M** | | **:16S:** | | | | | | **CASHMOVE** | End Of Block | | | | | | | | | | | | | |
| **M** | | **:16S:** | | | | | | **CAOPTN** | End of Block | | | | | | | | | | | | | |
| **O** | |  | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | | | | | |  | |
| **M** | | **:16R:** | | | | | | **CAOPTN** | Start of Block | | | | | | | | | | | | | |
| **M** | | **:13A::** | | | | | | **CAON//002** | CA Option Number | | | | | | | | | | | | | |
| **M** | | **:22F::** | | | | | | **CAOP//CASH** | Corporate Action Option Code Indicator | | | | | | | | | | | | | |
| **R** | | **:11A::** | | | | | | **OPTN//GBP** | Currency offered | | | | | | | | | | | | | |
| **M** | | **:17B::** | | | | | | **DFLT//N** | Default Processing Flag | | | | | | | | | | | | | |
| **O** | | **:98C::** | | | | | | **RDDT//20YY0920180000** | Response deadline date/time ( | | | | | | | | | | | | | |
| **O** | | **:98C::** | | | | | | **MKDT//20YY0921180000** | Market deadline date/time | | | | | | | | | | | | | |
| **O** | |  | |  | | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | |  | |
| **M** | | **:16R:** | | | | | | **SECMOVE** | Start of Block | | | | | | | | | | | | | |
| **M** | | **:22H::** | | | | | | **CRDB//DEBT** | Credit/Debit Indicator | | | | | | | | | | | | | |
| **M** | | **:35B:** | | | | | | **ISIN XS0037583977** | Identification of the Financial Instrument | | | | | | | | | | | | | |
| **M** | | **:36B::** | | | | | | **ENTL//FAMT/10000000,** | Quantity to be debited | | | | | | | | | | | | | |
| **M** | | **:98A::** | | | | | | **PAYD//20YY1025** | Payment Date/Time | | | | | | | | | | | | | |
| **M** | | **:16S:** | | | | | | **SECMOVE** | End Of Block | | | | | | | | | | | | | |
| **O** | |  | |  | | *End of Subsequence E1 Securities Movement* | | | | |  | |
| **O** | |  | |  | | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | |  | | | |
| **M** | | **:16R:** | | | | | | **CASHMOVE** | Start of Block | | | | | | | | | | | | | |
| **M** | | **:22H::** | | | | | | **CRDB//CRED** | Credit/Debit Indicator | | | | | | | | | | | | | |
| **M** | | **:19B::** | | | | | | **RESU//GBP8359800,61** | Entitled amount | | | | | | | | | | | | | |
| **M** | | **:19B::** | | | | | | **ENTL//GBP835980,61** | Entitled amount | | | | | | | | | | | | | |
| **O** | | **:19B::** | | | | | | **GRSS//EUR10000000,** | Gross amount | | | | | | | | | | | | | |
| **M** | | **:98A::** | | | | | | **PAYD//20YY1025** | Payment Date/Time | | | | | | | | | | | | | |
| **O** | | **:98A::** | | | | | | **VALU//20YY1025** | Value Date/Time | | | | | | | | | | | | | |
| **O** | | **:98A::** | | | | | | **EARL//20YY1026** | Earliest Payment Date (think bank holiday) | | | | | | | | | | | | | |
| **R** | | **:92B::** | | | | | | **EXCH//GBP/EUR/1,1962** | Exchange rate | | | | | | | | | | | | | |
| **M** | | **:90A::** | | | | | | **OFFR//PRCT/100,** | Redemption price (par in denomination currency) | | | | | | | | | | | | | |
| **M** | | **:16S:** | | | | | | **CASHMOVE** | End Of Block | | | | | | | | | | | | | |
| **O** | |  | |  | | *End of Subsequence E2 Cash Movement* | | | | |  | |
| **M** | | **:16S:** | | | | | | **CAOPTN** | End Of Block | | | | | | | | | | | | | |
| **O** | |  | | *End of Sequence E Corporate Action Options* | | | | | | | | | | | | |  | | | | | | | |

**END OF DOCUMENT**