



SECURITIES MARKET PRACTICE GROUP

SMPG



How to get involved with SMPG

To find your market's NMPG contacts, visit www.smpg.info

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NMPG convenors

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SMPG Steering Committee + Sub-groups Co-Chairs



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SMPG Steering Committee:

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Who can join NMPGs?



- Securities industry members
 - Investment managers, brokers, custodian banks, central securities depositories, regulators, etc.
- Securities industry non-practitioners
 - e.g. software providers, at NMPG's discretion. (no voting rights).
- Industry recognised organisations
 - As affiliated members



SMPG interaction with other industry groups and organisations

International Organization for Standardization



- ISO is comprised of 162 members
 - one national standardisation body per country
- For any new standard, members can choose to be
 - Participating: P-members
 - Observing: O-members
 - Non-members
- Other stakeholders such as industry associations, governments, regulators, can also participate
 - if active, are denoted as 'A-liaison organisations'

ISO committee structure



Depending on size, one or all of these levels may be used:

- Registration Authority (RA) or Maintenance Agency (MA)
- Technical Committee (TC)
- Sub committee (SC)
- Working Group (WG)



SMPG interacts with Technical Committee 68

Technical Committee 68 – international standards for the financial industry



- ISO 6166: ISINs
- ISO 9632: Bank Identifier Codes
- ISO 15022: messaging (replaced ISO 7775 and ISO 11521)
- ISO 20022: XML messaging
- ISO 10383: Exchange and Market Identification codes
- ISO 10962: Classification of Financial Instrument Type (CFI code)

SWIFT and ISO

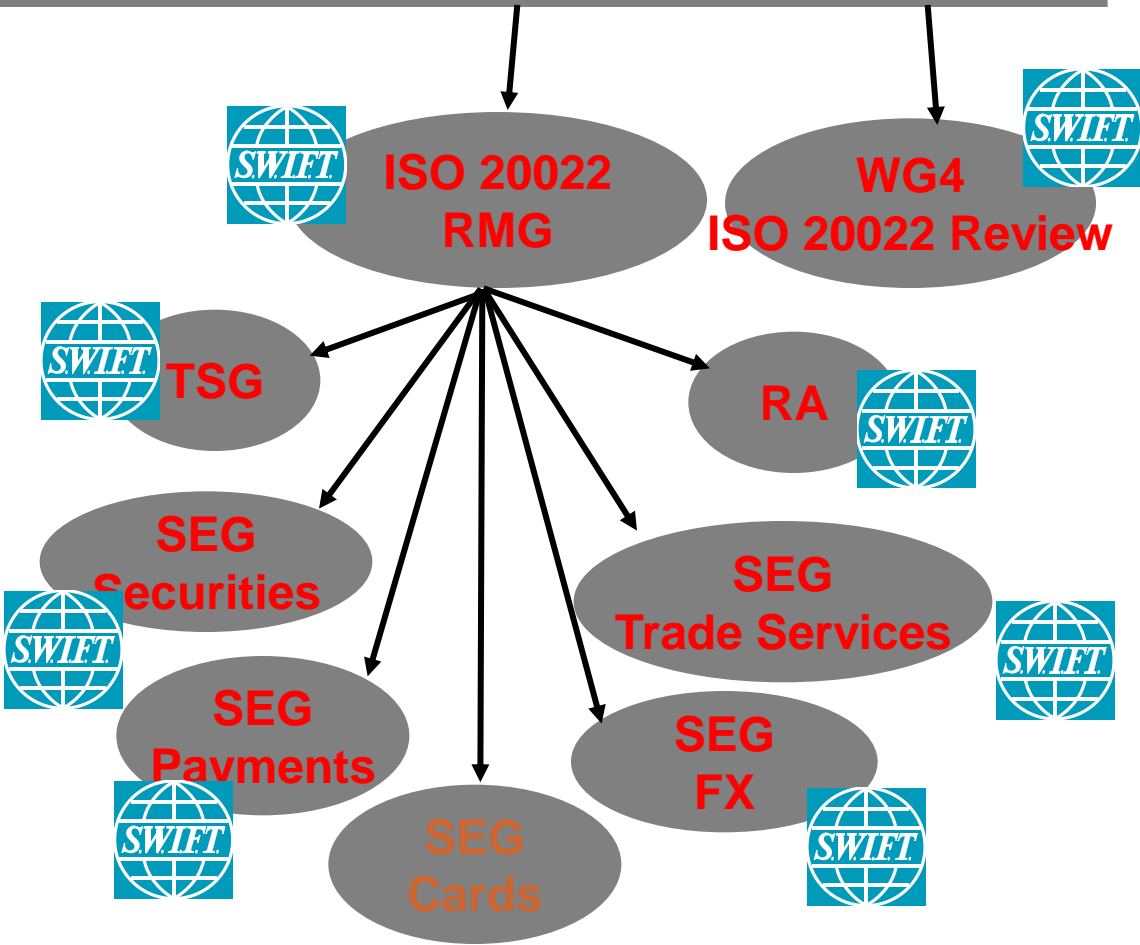


ISO Technical Committee TC68 Financial Services



RMG members nominated by P-member countries and A-liaison organisations

TSG & SEG members nominated by all member countries and liaison organisations



SMPG Associations



- ISO TC 68
- ECSDA & ACSDA
- ESF
- EFAMA
- ISITC
- ISSA
- Giovannini Barrier 1 IAG

Multi-level and overlapping involvement is normal



- Many NMPG/SMPG participants also participate in other groups, such as ISO working groups, local industry associations, and global industry groups.
- ISITC is a global industry group, and also serves as the NMPG in USA
- ISDA and FIX are A-liaison organisation participants to TC68

Asia Pacific Standards Council (APSC)



- Identify standards issues pertinent to the Asia Pacific region and propose courses of action to resolve them.
- Alignment of Standards with Country Standards bodies, ISO and other industry forums.
- Standards vision and strategy - current and future.
- Ensure strong representations of pertinent global standards committees/working groups on relevant matters
- www.swiftcommunity.net/APSC



International Securities Association for Institutional Trade Communication (ISITC)

- ISITC is an industry association that collaborates to develop and promote market practice and shared standards in the global securities industry in order to reduce inefficiencies, lower risk, and build shareholder value.
- www.isitc.org

Asia Securities Industry and Financial Markets Association (ASIFMA)



- SIFMA's mission is to champion policies and practices that benefit investors and issuers, expand and perfect global capital markets, and foster the development of new products and services. In Asia, ASIFMA, the Asia Securities Industry & Financial Markets Association, is a broadly based professional advocacy organization that seeks to contribute to the growth and development of Asia's debt capital markets and their orderly integration into the global financial system.
- www.sifma.org

Asia Fund Automation Consortium (AFAC)



- The purpose of “AFAC” is to drive the STP initiative in Asia Pacific by defining a common STP strategy for each country, taking advantage of different technology platforms already available. As a group, AFAC promotes automation and recommends the use of SWIFTNet Funds ISO 20022 compliant messages.