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**Global SMPG Meeting**

**April 25 – 29, 2022**

**SMPG Corporate Action WG**

**Virtual Global Meeting Detailed Agenda**

* **Overall Schedule -**

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| --- | --- | --- | --- |
| **Session** | **Date** | **Time** | **Link** |
| **CA WG Session 1** | **Monday 25 Apr.** | **From 12:00 to 4:00 PM CEST**  **(Coffee Break - 1:45 to 2:15 PM)** | **See Teams Meeting invitation sent to WG Members** |
| **CA WG Session 2** | **Tuesday 26 Apr.** | **From 12:00 to 4:00 PM CEST**  **(Coffee Break - 1:45 to 2:15 PM)** | **See Teams Meeting invitation sent to WG Members** |
| **CA WG Session 3** | **Wednesday 27 Apr.** | **From 12:00 to 4:00 PM CEST**  **(Coffee Break - 1:45 to 2:15 PM)** | **See Teams Meeting invitation sent to WG Members** |
| **CA WG Session 4** | **Thursday 28 Apr.** | **From 12:00 to 4:00 PM CEST**  **(Coffee Break - 1:45 to 2:15 PM)** | **See Teams Meeting invitation sent to WG Members** |
| **CA WG Session 5**  **BACK UP / If need be** | **Friday 29 Apr.** | **From 12:00 to 2:00 PM CEST** | **See Teams Meeting invitation sent to WG Members** |

**CA WG Meeting Agenda - 25 to 29 Apr. 2022**

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|  | **#Item** | **Item name** | **CR?** | **Input File** | **Actions** |
|  | **Monday 25** |  |  |  |  |
|  |  | Opening of WG Meeting (CA WG co-Chairs) |  |  |  |
|  |  | Schedule Conference calls for Q3 / Q4 2022 (CA WG co-Chairs) |  |  |  |
|  |  | Comments / Approval of March 22 webex / conf. call Minutes |  |  |  |
|  |  | CA WG co-Chair Election (Jacques) |  |  |  |
|  |  | **CA Open Items** |  |  |  |
| 1 | CA503 | CA - Add new URL and Narrative for Specific Additional Information for Next Party in Chain (SR2022 CR 001785) |  | Y | NMPGs |
| 2 | CA507 | CA - Add New Protect Balance to Instruction Status (SR2022 CR 001792) |  |  | Mari & Christine |
| 3 | CA511 | CA - Consistency between 22F::SELL & 69A::TRDP |  | Y | All NMPGs |
| 4 | CA512 | CA - Review DRIP CHOS with Interim template |  | Y | All NMPG |
| 5 | CA513 | CA - Reversal / repayment process in ISO20022 |  | Y | NMPGs / Jacques |
| 6 | CA515 | CA - Withdrawal / Change / Revocability MP |  |  | NMPGs |
| 7 | CA519 | CA - Handling of Instructions after DTCH event |  |  | Mari |
| 8 | CA520 | CA - CAPS Message Flow Description |  | Y | Steve/Paul / NMPGs |
| 9 | CA526 | CA - Review GMP1 section 3.14 and 6.11 (movement sequences) |  | Y |  |
| 10 | CA528 | CA - Reversal processing in the context of tax adjustment (contractual payment) |  |  |  |
|  | **Tuesday 26/ Wednesday 27** | **CA Open Items** |  |  |  |
| 11 | CA470 | CA Tax - DVOP - how to best reflect tax and CINL on DVOP |  | Y | Mari |
| 12 | CA493 | CA - Repetitive Place of Safekeeping (SAFE) in MT564, MT 535 | CR | Y | Mari |
| 13 | CA508 | CA - DateTime Format Options Consistency and Names Harmonisation (SR2022 CR 001780) | CR | Y | All NMPGs / Jacques |
| 14 | CA510 | CA - Review REPL/REPE MP in MT/MX (GMP1 section 3.2.5) | CR | Y | All NMPGs |
| 15 | CA525 | CA - Pagination MP for seev.031, 035, 036 | CR | Y | All NMPGs |
| 16 | CA527 | CA/GM/SID - Rejection of non-accepted CA events (Disclosure, CA and GM) via semt.001 ? | CR/BJ | Y |  |
|  | CA534 (new) | CA - discuss the way ECMS will manage versions of CA messages and see if SMPG shouldn’t officially write something to the ECB to highlight that having different versions of CA messages between ECMS and the rest of the world could create big issues. (Jean-Paul) |  |  |  |
|  |  | **GM / SID Open Items** |  |  |  |
| 17 | CA473 | GM - GoR TF GM template review |  | Y | Mari, Christine & Jacques |
| 18 | CA494 | GM - Request of a seev.007 outside the original seev.004 |  |  | SRDII subgroup |
| 19 | CA509 | GM - CMH-TF ASEG New Requirements and BJ | CR | Y | All NMPGs / Jacques |
| 20 | CA514 | GM - seev.004 Linkages | CR | Y | NMPGs |
| 21 | CA517 | GM - CR on Instructed Quantity in Instructions | CR | Y | NMPGs |
| 22 | CA521 | GM - BIC used for the Vote though Network? |  |  |  |
| 23 | CA523 | GM - seev.004 & seev.001 pagination MP | CR |  |  |
| 24 | CA524 | GM - seev.007 Market Practice on VoteInstructions ? | CR | Y |  |
| 25 | CA531 | SID - Report jurisdiction and the applicable law in Disclosure requests (New) | CR | Y |  |
| 26 | CA532 | GM - Add the resolution item description in Execution Confirmation and results distribution messages (New) | CR | Y |  |
|  | **Thursday 28** | **CA Open Items** |  |  |  |
| 27 | CA469 | CA - Managing CA instructions in scope of CSDR when partial settlement are implemented |  | Y | Mari |
| 28 | CA501 | CA - Amend scope of Tax on Non Distributed Proceeds Indicator (SR2022 CR 001794) |  |  | Steve |
| 29 | CA502 | CA - Add new First and Last Bid Increment Price to CA Details and Option Level for TEND and DTCH events (SR2022 CR 001789) |  |  | Steve |
| 30 | CA504 | CA - Add new OFFE Indicator code when Dissenters Rights are Not Applicable (SR2022 CR 001793) |  |  | Steve |
| 31 | CA506 | CA - Add new Status Reason Code for Rejections by Agent and Invalid Paperwork (SR2022 CR 001795) | CR |  | Steve/ISITC |
| 32 | CA522 | CA - SR2022 EIG+/Events Templates & MP Updates |  |  | NMPGs / Christine / Jacques |
| 33 | CA529 | CA - Deletion of Agent CA Messages seev.009-seev.030 | CR/BJ | Y |  |
| 34 | CA530 | CA - Interest coupon paying in kind and cash (New) |  |  |  |
|  |  | **Tax Subgroup** |  |  |  |
| 35 | CA500 | CA Tax - Add new Event Type for Tax Classification (SR2022 CR 001796) | CR |  | SMPG CA WG - Tax Subgroup |
|  |  | **Market Claim** |  |  |  |
| 36 | CA466 | MC - Handling MCs in the Cash Penalties and ECMS Context (SR2021 CR 001649) |  |  | MC Subgroup & Mari/Christine |
|  |  | **Questions from MDPUG**  Q1. Buyback event on Qube, AU000000QUB5, <https://secure.ice/?https://cdn-api.markitdigital.com/apiman-gateway/ASX/asx-research/1.0/file/2924-02501035-2A1363979?access_token=83ff96335c2d45a094df02a206a39ff4>.  The company wishes to buy back AUD 400 million of its shares.  In order to show this in the ISO 15022 message, we would use “:36B::MQSO//”, “Maximum Quantity of Securities”.  The Quantity Type Codes available for use are:  FAMT in the case of a Cash amount  UNIT in the case of Shares/stock amount  Neither is appropriate in this case as the company wants to buy back a cash amount of shares.  “FAMT” refers to Face amount for bonds, therefore this quantity type code isn’t applicable.  How can this share amount be represented?  Q2. Board lot changes.  Is it correct to use event type SMAL, Smallest Negotiable Unit to announce the change to the board lot?  Example attached. |  |  |  |
|  | **Friday 29** | **If needed to complete remaining CA Open Items** |  |  |  |