

SMPG Corporate Actions

Events Templates - SR2013

***Disclaimer***

*The Securities Market Practice Group is a group of experts who devote their time on a voluntary basis to define global and local market practices for the benefit of the securities industry. The market practice documentation and recommendations produced by this organization are intended to solve common problems across the securities industry, from which financial institutions can derive clear benefits, to harmonize business processes and to facilitate the usage of message protocols ISO 15022 and ISO 20022. While the Securities Market Practice Group encourages the implementation of the market practices it develops, it is up to the financial institutions within each market to implement the market practices according to their needs and agreements with their business counterparts to support their businesses as efficient as possible.*

Status: FINAL

Publication date: 28 February 2013

Version: SR2013 v1.0

Author: CA SMPG

**Table of Contents**

0. Revision Record 7

1. Scope of the document 9

2. BIDS: Repurchase Offer/Issuer Bid/Reverse Rights (VOLU) 11

MT 564 Corporate Action Notification 11

MT 565 Corporate Action Instruction 13

MT 567 Corporate Action Status and Processing Advice 13

MT 566 Corporate Action Confirmation 14

3. BONU: Bonus Issue (MAND) 16

MT 564 Corporate Action Notice of Entitlement 16

4. BONU: Bonus Issue (CHOS) 18

MT 564 Corporate Action Notice of Entitlement 18

5. BPUT: Early Bond Redemption (VOLU) 22

MT 564 Corporate Action Notification 22

MT 565 Corporate Action Instruction 24

MT 567 Corporate Action Status and Processing Advice 25

MT 564 Corporate Action Notice of Entitlement 25

MT 566 Corporate Action Confirmation 27

6. BRUP: Bankruptcy (MAND) 29

MT 564 Corporate Action Notification 29

7. CAPD: Capital Distribution (MAND) 31

MT 564 Corporate Action Notice of Entitlement 31

8. CAPG: Capital Gains Distribution (MAND) 33

MT 564 Corporate Action Notice of Entitlement 33

9. CAPI: Capitalisation (MAND) 35

MT 564 Corporate Action Notification 35

10. CERT: Non-US TEFRA D Certification (CHOS) 37

MT 564 Corporate Action Notification 37

11. CHAN: Change of name (MAND) - Without Change of Underlying Security 39

MT 564 Corporate Action Notification 39

12. CLSA: Class Action/Proposed Settlement (VOLU) 41

MT 564 Corporate Action Notification 41

13. CONS: Consent (VOLU) 43

MT 564 Corporate Action Notification 43

14. CONV: Conversion (VOLU) 46

MT 564 Corporate Action Notice of Entitlement 46

15. CREV: Credit Event (MAND) 49

MT 564 Corporate Action Notification 49

16. DECR: Decrease in Value (MAND) 51

MT 564 Corporate Action Notice of Entitlement 51

17. DECR: Decrease in Value (MAND) – No Cash 53

MT 564 Corporate Action Notice of Entitlement 53

18. DFLT: Bond Default (MAND) 55

MT 564 Corporate Action Notification 55

19. DRAW: Drawing (MAND) 57

MT 564 Corporate Action Notification 57

MT 564 Corporate Action Notice of Entitlement 59

20. DRCA: Cash Distribution from Non-Eligible Securities Sales (MAND) 61

MT 564 Corporate Action Notification 61

21. DRIP: Dividend Reinvestment (CHOS) - Without Interim Line (Classic) 63

MT 564 Corporate Action Notification 63

MT 565 Corporate Action Instruction 65

MT 567 Corporate Action Status and Processing Advice 66

MT 564 Corporate Action Notice of Entitlement 66

MT 566 Corporate Action Confirmation 68

22. DRIP: Dividend Reinvestment (CHOS) - With Interim Line 70

MT 564 Corporate Action Notification (Event 1) 71

MT 564 Corporate Action Notice of Entitlement (Event 1) 72

MT 566 Corporate Action Confirmation (Event 1) 73

MT 564 Corporate Action Notification (Event 2) 74

MT 565 Corporate Action Instruction (Event 2) 76

MT 567 Corporate Action Status and Processing Advice (Event 2) 77

MT 564 Corporate Action Notice of Entitlement (Event 2) 77

MT 566 Corporate Action Confirmation (Event 2) 78

23. DRIP: Dividend Reinvestment (VOLU) - Following Cash Distribution (DVCA) 80

MT 564 Corporate Action Notification 80

MT 565 Corporate Action Instruction 82

MT 567 Corporate Action Status and Processing Advice 83

MT 564 Corporate Action Notice of Entitlement 83

MT 566 Corporate Action Confirmation 85

24. DSCL: Disclosure Event (VOLU) 87

MT 564 Corporate Action Notification 87

25. DTCH: Dutch Auction (VOLU) 89

MT 564 Corporate Action Notification 89

MT 565 Corporate Action Instruction 92

MT 567 Corporate Action Status and Processing Advice 93

MT 565 Corporate Action Instruction 93

MT 567 Corporate Action Status and Processing Advice 94

MT 566 Corporate Action Confirmation 95

26. DTCH: US Dutch Auction (VOLU) 97

MT 564 Corporate Action Notification 98

27. DVCA: Cash Dividend (MAND) 102

MT 564 Corporate Action Notice of Entitlement 102

28. DVCA: Cash Dividend (CHOS) - With Currency Options 104

MT 564 Corporate Action Notice of Entitlement 104

29. DVOP: Dividend option (CHOS) – With Interim Securities 107

MT 564 Corporate Action Notice of Entitlement 107

30. DVOP: Dividend option (CHOS) – No Interim Securities 110

MT 564 Corporate Action Notice of Entitlement 110

31. DVSE: Stock Dividend (MAND) 113

MT 564 Corporate Action Notice of Entitlement 113

32. EXOF – Exchange (CHOS) – Following RHDI 115

MT 564 Corporate Action Notice of Entitlement 115

33. EXOF: Exchange (CHOS) 117

MT 564 Corporate Action Notification 117

MT 565 Corporate Action Instruction 119

MT 567 Corporate Action Status and Processing Advice 120

MT 564 Corporate Action Notice of Entitlement 120

MT 566 Corporate Action Confirmation 122

34. EXOF: Exchange (MAND) 124

MT 564 Corporate Action Notice of Entitlement 125

35. EXOF: Exchange (VOLU) 129

MT 564 Corporate Action Notice of Entitlement 129

36. EXRI: Call on Intermediate Securities (CHOS) 132

MT 564 Corporate Action Notice of Entitlement 132

37. EXTM: Maturity Extension (MAND) 136

MT 564 Corporate Action Notification 136

38. EXTM: Maturity Extension (VOLU) 138

MT 564 Corporate Action Notification 138

39. EXWA: Warrant Exercise (VOLU) 140

Scenario A: MT 564 Corporate Action Notice of Entitl. – CALL warrant Paying Security 141

Scenario B: MT 564 Corporate Action Notice of Entitl. – CALL warrant Paying Cash 143

Scenario C: MT 564 Corporate Action Notice of Entitl. – PUT warrant Paying Cash 145

40. EXWA: Warrant Exercise (CHOS) 148

Scenario 1: MT 564 Corporate Action Notice of Entitl. – CALL warrant Paying Cash message sent before observation date if the warrant is exercisable 148

Scenario 2: MT 564 Corporate Action Notice of Entitl. – CALL warrant Paying Cash message sent after observation date if the warrant is exercisable where the option selected by the issuer is marked as the default one and where the other option is marked as inactive (22F::OSTA//INTV) 151

41. INCR: Increase in Value (MAND) 154

MT 564 Corporate Action Notification 154

42. INTR: Interest Payment (MAND) 156

MT 564 Corporate Action Notice of Entitlement 156

43. INTR: Interest Payment (CHOS) - With Currency Options 159

MT 564 Corporate Action Notice of Entitlement 159

44. LIQU: Liquidation Dividend/Liquidation Payment (MAND) 162

MT 564 Corporate Action Notice of Entitlement 162

45. LIQU: Liquidation Dividend/Liquidation Payment (CHOS) 164

MT 564 Corporate Action Notification 164

46. MCAL: Full Call/ Early Redemption (MAND) 167

MT 564 Corporate Action Notice of Entitlement 167

47. MRGR: Merger (MAND) 169

MT 564 Corporate Action Notice of Entitlement 169

MRGR: Merger (CHOS) 172

MT 564 Corporate Action Notice of Entitlement 172

48. ODLT: Odd Lot Sale/Purchase (VOLU) 175

MT 564 Corporate Action Notice of Entitlement 175

49. PARI: Pari passu (MAND) 178

MT 564 Corporate Action Notice of Entitlement 178

50. PCAL: Partial Call (MAND) - With Reduction of Nominal Value 180

MT 564 Corporate Action Notice of Entitlement 180

51. PINK: Pay in Kind (MAND) 183

MT 564 Corporate Action Notice of Entitlement 183

52. PPMT: Instalment Call (CHOS) 185

MT 564 Corporate Action Notice of Entitlement 185

53. PRED: Partial Redemption (MAND) - Without Reduction of Nominal Value 188

MT 564 Corporate Action Notice of Entitlement 188

54. PRIO: Priority Offer (VOLU) - Without Rights 190

MT 564 Corporate Action Notification 190

MT 565 Corporate Action Instruction 192

MT 567 Corporate Action Status and Processing Advice 193

MT 564 Corporate Action Notice of Entitlement 194

MT 566 Corporate Action Confirmation 195

55. REDM: Final Maturity (MAND) 197

MT 564 Corporate Action Notice of Entitlement 197

56. REDM: Final Maturity (CHOS) 199

MT 564 Corporate Action Notice of Entitlement 199

57. REDM with OPTF//CAOS - Equity Linked Notes (ELN) final redemption (MAND) 202

Scenario 1: Any MT564 message sent before fixing date 202

Scenario 2: MT 564 message sent as from the fixing date where the option selected by the issuer is marked as the default one and where the other option is marked as inactive (22F::OSTA//INTV) 204

58. RHDI: Intermediate Securities Distribution (MAND) – For EXRI 207

MT 564 Corporate Action Notice of Entitlement 207

59. RHDI: Intermediate Securities Distribution (MAND) – For EXOF 209

MT 564 Corporate Action Notice of Entitlement 209

60. RHTS: Rights Issue/Subscription Rights/Rights Offer (CHOS) 211

MT 564 Corporate Action Notice of Entitlement 211

61. SHPR: Share Premium Dividend (MAND) 215

MT 564 Corporate Action Notice of Entitlement 216

62. SOFF: Spin-Off (MAND) 219

MT 564 Corporate Action Notice of Entitlement 219

63. SPLF: Stock Split (MAND) 221

MT 564 Corporate Action Notice of Entitlement 221

64. SPLR: Reverse Stock Split/Change in NominalValue (MAND) 223

MT 564 Corporate Action Notice of Entitlement 223

65. TEND: Tender Offer (MAND) 225

MT 564 Corporate Action Notice of Entitlement 225

66. TEND: Tender Offer (VOLU) 227

MT 564 Corporate Action Notice of Entitlement 227

67. WRTH: Worthless (MAND) 230

MT 564 Corporate Action Notice of Entitlement 230

# Revision Record

|  |  |  |  |
| --- | --- | --- | --- |
| **Version** | **Publication Date** | **Change Description** | **Sections Impacted** |
| SR2013 V1.0 | 28 February 2013 | Updates in REDM CHOS, PCAL MAND, BRUP MAND, CONV VOLU, DECR MAND, DECR MAND without cash, RHDI MAND (for EXOF), RHDI MAND (for EXRI), PRIO VOLU, MRGR MAND, BIDS VOLU, CAPD MAND, EXOF CHOS/MAND/VOLU, ODLT VOLU, SHPR MAND, CLSA | See related sections |
| SR2012 V1.2 | xx September 2012 | See track changes for all changes into the samples at the message element level. |  |
| SR2012 V1.1 | 23 July 2012 | See track changes for all changes into the samples at the message element level. | EXRI - PWAL in E |
| SR2012 V1.0 | February 2012 |  | New Sections:  BIDS VOLU  BRUP MAND  CAPD MAND  CLAS VOLU  CONS VOLU  CREV MAND  DECR MAND no cash  DRCA MAND  DSCL VOLU  DTCH VOLU  EXOF CHOS(post RHDI)  INTR CHOS  LIQU MAND  ODLT VOLU  REDM CHOS  REDM CHOS CAOS  RHDI for EXOF CHOS  SPLR MAND  TEND MAND  Deleted sections:  COOP MAND |
| SR2011 V1.1 | 25 may 2011 | Align support level of the message elements in the samples with the latest EIG+ updates of May 25 publication. See track changes for all changes into the samples at the message element level. | BONU CHOS, CAPI, CERT, DECR, DRIP CHOS (both), DTCH (both), DVCA CHOS, DVOP CHOS (both), EXRI, EXWA,EXTM INTR, MRGR MAND, PRED, RHDI, RHTS, SHPR |
| SR2011 V1.0 | 25 March 2011 | Convert the samples so as to be SR2011 compliant (mainly rates moved to cash move sequence).  - In US Dutch Auction MT 564 template, move FOLQ from sequence D to E.  - Corrections after validation through ISO15022/20022 translation tool  - Update scope section  - Add events CERT, PINK, CONV, EXWA, CAPI, DECR, DFLT, INCR, EXTM, BONU (CHOS), DVOP (CHOS) without interim, EXRI (CHOS), MRGR (CHOS), RHTS (CHOS).  - Whenever possible, illustrate the events with the notification of entitlement message | All sections |
| SR2010 V1.0 | 29 June 2010 | The SR2008 Event Template document has been completely revamped and all events templates fully aligned with the SWIFT ISO 15022 SR 2010 standards as well as with the latest EIG+ and DvE guidelines (SMPG\_CA\_Global\_Market\_Practice\_Part\_2\_SR2010\_v1\_01) available on [www.smpg.info](http://www.smpg.info)). | All sections |

# Scope of the document

This document provides events templates for corporate action events in an ISO 15022 format. It aims at helping users to implement ISO 15022 MT 564, 565, 566, 567 and 568 messages.

The templates reflect only one scenario per event. There may be other more complex scenarios for the same event where additional information could be needed. This document will be updated gradually with additional event types.

The purpose of the document is to demonstrate with concrete examples how to apply various SMPG recommendations that are available.  If used as described in the document, the templates will increase the level of standardisation across markets and markets players.

For more details on market practices recommendations, please refer to the CA Global Market Practice document Part 1, CA Global Market Practice document Part 2 containing amongst others, the Event Interpretation Grid (EIG+) matrix published on [www.smpg.info](http://www.smpg.info).

**Important note regarding the samples contents:**

In this document, the templates are described either in “a full lifecycle” mode or in a “simplified” mode.

In the “full lifecycle” mode, the event scenario is described with several templates, one for each main step of the processing lifecycle (i.e. announcement, instruction, status, entitlement, confirmation, whichever steps are applicable).

Whilst the “simplified” mode illustrates the notice of entitlement when applicable as it contains more data elements than any other message or a simple event notification template otherwise.

However, using the notice of entitlement template in a scenario should not be construed as a recommendation to calculate and include entitlement before entitlement date. In this case, the linkages sequence is present for consistency purposes.

The reason for having these two different “modes” is that the main interest of the document lies in the data elements and formats of the event. Therefore there is no need to illustrate a full lifecycle for every event.

Complex events are usually illustrated with a full lifecycle, whilst the more simple events are illustrated in a “simplified” mode.

The elements present in the messages templates are always based on the CA SMPG Global Grid (refer to the EIG+ in the GMP Part 2) and not on specific local market practices. It is therefore possible to have acceptable discrepancies with these templates when local market practices must be followed..

It is also possible to have discrepancies with the formatting option of the template if the format is more granular than the one documented in the template. E.g. :92F::GRSS//EUR22, can also be presented in the following way: :92K::GRSS/TXBL/EUR20, and :92K::GRSS/TXFR/EUR2,

**Notation**

Throughout the document, the following conventions are used for indicating in the template the level of support of each element in the message:

|  |  |
| --- | --- |
| **Label** | **Description** |
| **M** | The presence of this message element is mandatory as required per the ISO standards or as per the SMPG market practices. |
| **R** | The presence of this message element is recommended as per the SMPG market practices.  Note that “Recommended” elements in the SMPG events templates are tagged as “Optional” in the CA SMPG EIG+ Global Grid matrix (see Global Market Practices Part 2 document). |
| **O** | The presence of this message element is optional (or sender dependant).  Note that “Optional” elements in the SMPG events templates are tagged as “Optional” in the CA SMPG EIG+ Global Grid matrix. |

**Other Principles Applied on the Samples**

#### P1. Linkages: When applicable, PREV or RELA linkages are always provided in the samples for illustration and consistency purpose (except for MT564 NEWM).

#### P2. Entitlement Amounts: In the simplified or full life cycle mode, when the message illustrated is a entitlement message (REPE), entitlement amounts (:19B::ENTL) will always be indicated as “Recommended” and for all other messages that are not an entitlement message, the entitlement amounts (:19B::ENTL) will be indicated as “Optional”.

All other amounts will be indicated as “Optional” except id indicated as “mandatory” in the standards (eg. :19B::PSTA in the MT 566).

# BIDS: Repurchase Offer/Issuer Bid/Reverse Rights (VOLU)

### Definition:

Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.

### Issue Name:

ISIN IE0007214426

### Terms:

Shares may be tendered for cash at EUR3 per share. Holders may tender shares for Buyback at the Tender price.

### Relative Dates:

Record date: 12th May 20YY

Market Deadline:13th May 20YY

Response Deadline: 12th May 20YY

Pay date: 21st May 20YY

### Transaction description:

The client account (88888) has an eligible holding of 100,000 shares.

### Messages:

MT 564 for the notification

MT 565 for the instruction

MT 567 for the processing status of the instruction

MT 566 for the confirmation

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notification | | | | | | | | | | | |
|  |  | | | | | | | | | | | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | |
|  | **:16R:** | | | | | | | **GENL** | | | Start of Block | | | | |
| **M** | **:20C::** | | | | | | | **CORP//BIDS100056798** | | | Corporate Action Reference | | | | |
| **M** | **:20C::** | | | | | | | **SEME//154802472** | | | Senders reference | | | | |
| **O** | **:20C::** | | | | | | | **COAF//BI01593212345** | | | Official Corporate Action Event Reference | | | | |
| **M** | **:23G:** | | | | | | | **NEWM** | | | Function of the message | | | | |
| **M** | **:22F::** | | | | | | | **CAEV//BIDS** | | | Corporate Action Event Indicator | | | | |
| **M** | **:22F::** | | | | | | | **CAMV//VOLU** | | | Mandatory/VoluntaryIndicator | | | | |
| **M** | **:25D:** | | | | | | | **PROC//COMP** | | | Processing Status | | | | |
|  | **:16S:** | | | | | | | **GENL** | | | End of Block | | | |
| **M** | *End of Sequence A General Information* | | | | | | | | | | |  | | | | | | |
|  |  | |  |  | |  | | |  |  | |  | | | | | | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | |  | | | | | | |
|  | **:16R:** | | | | | | | **USECU** | | | Start of Block | | | |
| **M** | **:35B:** | | | | | | | **ISIN IE0007214426** | | | Underlying securities | | | |
|  |  | |  |  | |  | | |  |  | |  | | | | | | | |
| **M** |  | | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | | **ACCTINFO** | | | Start of Block | | | |
| **M** | **:97A::** | | | | | | | **SAFE//88888** | | | Safekeeping Account | | | |
| **O** | **:93B::** | | | | | | | **ELIG//UNIT/100000,** | | | Eligible balance | | | |
|  | **:16S:** | | | | | | | **ACCTINFO** | | | End of Block | | | |
| **M** |  | | *End of Subsequence B2 Account Information* | | | | | | | | |  | | | | | | | |
|  |  | |  |  | |  | | |  |  | |  | | | | | | | |
|  | **:16S:** | | | | | | | **USECU** | | | End of Block | | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | |  | | | | | | | |
|  |  | |  |  | |  | | |  |  | |  | | | | | | | |
| **R** | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | | |  | | | | | | | |
|  | **:16R:** | | | | | | | **CADETL** | | | Start of Block | | | |
| **M** | **:98A::** | | | | | | | **RDTE//20YY0907** | | | Record date | | | |
|  | **:16S:** | | | | | | | **CADETL** | | | End of Block | | | |
| **R** | *End of Sequence D Corporate Actions Details* | | | | | | | | | | |  | | | | | | |
|  |  | |  |  | |  | | |  |  | |  | | | | | | |
| **O** |  | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | | **CAOPTN** | | | Start of Block | | | |
| **M** | **:13A::** | | | | | | | **CAON//001** | | | CA Option Number | | | |
| **M** | **:22F::** | | | | | | | **CAOP//NOAC** | | | Corporate Action Option Code Indicator | | | |
| **M** | **:17B::** | | | | | | | **DFLT//Y** | | | Default if no election is made | | | |
| **O** | **:98A::** | | | | | | | **RDDT//20YY0512** | | | Response deadline date | | | |
| **O** | **:98A::** | | | | | | | **MKDT//20YY0513** | | | Market Deadline Date | | | |
|  | **:16S:** | | | | | | | **CAOPTN** | | | End of Block | | | |
|  |  | |  |  | |  | | |  |  | |  | | | | | | | |
| **O** |  | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | **CAOPTN** | | | | Start of Block | | | |
| **M** | **:13A::** | | | | | | **CAON//002** | | | | CA Option Number | | | |
| **M** | **:22F::** | | | | | | **CAOP//CASH** | | | | Corporate Action Option Code Indicator | | | |
| **O** | **:11A::** | | | | | | **OPTN//EUR** | | | | Currency option | | | |
| **M** | **:17B::** | | | | | | **DFLT//N** | | | | This is not the default | | | |
| **O** | **:98A::** | | | | | | **RDDT//20YY0512** | | | | Response deadline date | | | |
| **O** | **:98A::** | | | | | | **MKDT//20YY0513** | | | | Market deadline date | | | |
| **O** | **:98A::** | | | | | | **PWAL//20YY0501/20YY0513** | | | | Period of Action | | | |
| **O** |  | |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | |
| **M** | **:16R:** | | | | | | **SECMOVE** | | | | Start of Block | | | |
| **M** | **:22H::** | | | | | | **CRDB//DEBT** | | | | Credit/Debit Indicator | | | |
| **M** | **:35B:** | | | | | | **ISIN IE0007214426** | | | | ID of shares to be debited | | | |
| **M** | **:98A::** | | | | | | **PAYD//20YY0521** | | | | Payment Date/Time | | | |
| **M** | **:16S:** | | | | | | **SECMOVE** | | | | End of Block | | | |
| **O** |  | |  | *End of Subsequence E1 Securities Movement* | | | | | | | |  | | | | | | | |
| **O** |  | |  | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | |  | | | | | | | |
| **M** | **:16R:** | | | | | **CASHMOVE** | | | | | Start of Block | | | |
| **M** | **:22H::** | | | | | **CRDB//CRED** | | | | | Credit/Debit Indicator | | | |
| **M** | **:98A::** | | | | | **PAYD//20YY0521** | | | | | Payment Date/Time | | | |
| **M** | **:90B::** | | | | | **OFFR//ACTU/EUR3,** | | | | | Tender price | | | |
| **M** | **:16S:** | | | | | **CASHMOVE** | | | | | End of Block | | | |
|  |  |  | | | *End of Subsequence E2 Cash Movement* | | | | | | | | | | | |
| **M** | **:16S:** | | | | | **CAOPTN** | | | | | End Of Block | | |
|  |  | *End of Sequence E Corporate Action Options* | | | | | | | | | | | | | | | |

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 565 Corporate Action Instruction | | | | | | | | | |
|  |  |  |  |  |  | |  | | |  |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | |  |
|  | **:16R:** | | | | | **GENL** | | Start of Block | | | | |
| **M** | **:20C::** | | | | | **CORP//BIDS100056798** | | Corporate Action Reference | | | | |
| **M** | **:20C::** | | | | | **SEME//253147815** | | Sender's Message Reference | | | | |
| **O** | **:20C::** | | | | | **COAF//BI01593212345** | | Official Corporate Action Event Reference | | | | |
| **M** | **:23G:** | | | | | **NEWM** | | Function of the message | | | | |
| **M** | **:22F::** | | | | | **CAEV//BIDS** | | Corporate Action Event Indicator | | | | |
| **O** |  | *Optional Repetitive Subsequence A1 Linkages* | | | | | | |
|  | **:16R:** | | | | | **LINK** | | Start of Block | | | | |
| **R** | **:13A::** | | | | | **LINK//564** | | Previously received message type | | | | |
| **M** | **:20C::** | | | | | **RELA//154802472** | | Previously received message reference | | | | |
|  | **:16S:** | | | | | **LINK** | | End of Block | | | | |
| **O** |  | *End of Subsequence A1 Linkages* | | | | | | |
|  | **:16S:** | | | | | **GENL** | | End Of Block | | | | |
| **M** | *End of Sequence A General Information* | | | | | | | |
|  |  | | | | | | | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | |
|  | **:16R:** | | | | | **USECU** | | Start of Block | | | | |
| **M** | **:35B:** | | | | | **ISIN IE0007214426** | | Identification of the Financial Instrument | | | | |
| **M** | *Mandatory Repetitive* ***Subsequence* B2** *Account Information* | | | | | | | | | |
| **M** | **:16R :** | | | | | **ACCTINFO** | | Start Of Block | | | | |
| **M** | **:97A::** | | | | | **SAFE//88888** | | Safekeeping Account | | | | |
| **O** | **:93B::** | | | | | **ELIG//UNIT/100000,** | | Eligible Balance | | | | |
| **M** | **:16S :** | | | | | **ACCTINFO** | | End Of Block | | | | |
| **M** | *End of* ***Subsequence* B2** *Account Information* | | | | | | | | | |
| **M** | **:16S:** | | | | | **USECU** | | End Of Block | | | | |
| ***M*** | *End of Mandatory* ***Sequence B*** *Account Information* | | | | | | | |
| ***M*** | *Mandatory* ***Sequence D*** *Corporate Action Instruction* | | | | | | | | | | |
|  | **:16R:** | | | | | **CAINST** | | Start of Block | | | | |
| **M** | **:13A::** | | | | | **CAON//002** | | CA Option Number | | | | |
| **M** | **:22F::** | | | | | **CAOP//CASH** | | Corporate Action Option Code Indicator | | | | |
| **M** | **:36B::** | | | | | **QINS//UNIT/80000,** | | Quantity Instructed | | | | |
|  | **:16S:** | | | | | **CAINST** | | End Of Block | | | | |
| **O** |  | *End of SequenceD Corporate Action Instruction* | | | | | | | |  |

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 567 Corporate Action Status and Processing Advice | | | | | | | | | | |
|  |  |  |  |  |  | |  | | |  |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | |  |
|  | **:16R:** | | | | | **GENL** | | Start of Block | | | |
| **M** | **:20C::** | | | | | **CORP//BIDS100056798** | | Corporate Action Reference | | | |
| **M** | **:20C::** | | | | | **SEME//253147825** | | Sender's Message Reference | | | |
| **O** | **:20C::** | | | | | **COAF//BI01593212345** | | Official Corporate Action Event Reference | | | |
| **M** | **:23G:** | | | | | **INST** | |  | | | |
| **M** | **:22F::** | | | | | **CAEV//BIDS** | | Corporate Action Event Indicator | | | |
| **O** |  | *Optional Repetitive Subsequence A1 Linkages* | | | | | | |
|  | **:16R:** | | | | | **LINK** | | Start of Block | | | |
| **R** | **:13A::** | | | | | **LINK//565** | | Previously Received message type | | | |
| **M** | **:20C::** | | | | | **RELA//253147815** | | Previously Received message reference | | | |
|  | **:16S:** | | | | | **LINK** | | End of Block | | | |
| **O** |  | *End of Subsequence A1 Linkages* | | | | | | |
| **M** |  | *Mandatory Repetitive Subsequence A2 Status* | | | | | | |
|  | **:16R:** | | | | | **STAT** | | Start of Block | | | |
| **M** | **:25D::** | | | | | **IPRC//PACK** | | Instruction accepted for further processing | | | |
|  | **:16S:** | | | | | **STAT** | | End Of Block | | | |
| **M** | *End of Mandatory Subsequence A2 Status* | | | | | | | |
|  | **:16S:** | | | | | **GENL** | | End Of Block | | | |
| **M** | *End of Sequence A General Information* | | | | | | | |
| **O** | *Optional Sequence B Corporate Actions Details* | | | | | | | |
|  | **:16R:** | | | | | **CADETL** | | Start of Block | | | |
| **O** | **:13A::** | | | | | **CAON//002** | | CA Option Number | | | |
| **O** | **:22F::** | | | | | **CAOP//CASH** | | Corporate Action Option Code Indicator | | | |
| **O** | **:97A::** | | | | | **SAFE//88888** | | Safekeeping Account | | | |
| **O** | **:35B:** | | | | | **ISIN IE0007214426** | | Identification of the Financial Instrument | | | |
| **O** | **:36B::** | | | | | **STAQ//UNIT/80000,** | | Quantity Instructed | | | |
|  | **:16S:** | | | | | **CADETL** | | End of Block | | | |
| **O** |  | *End of Sequence B Corporate Actions Details* | | | | | | | |  |
|  |  |  |  |  |  | |  | | |  |

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 566 Corporate Action Confirmation | | | | | | | | | | |
|  |  |  |  |  |  | |  | | | |  |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | |  |
|  | **:16R:** | | | | | **GENL** | | Start of Block | | | | |
| **M** | **:20C::** | | | | | **CORP//BIDS100056798** | | Corporate Action Reference | | | | |
| **M** | **:20C::** | | | | | **SEME//253147815** | | Sender's Message Reference | | | | |
| **O** | **:20C::** | | | | | **COAF//BI01593212345** | | Official Corporate Action Event Reference | | | | |
| **M** | **:23G:** | | | | | **NEWM** | |  | | | | |
| **M** | **:22F::** | | | | | **CAEV//BIDS** | | Corporate Action Event Indicator | | | | |
| **O** |  | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | |
|  | **:16R:** | | | | | **LINK** | | Start of Block | | | | |
| **R** | **:13A::** | | | | | **LINK//564** | | Previously sent message type | | | | |
| **M** | **:20C::** | | | | | **PREV//154802472** | | Previously sent message reference | | | | |
|  | **:16S:** | | | | | **LINK** | | End of Block | | | | |
| **O** |  | *End of Subsequence A1 Linkages* | | | | | | | |
|  |  |  |  |  |  | |  | | |
|  | **:16S:** | | | | | **GENL** | | End Of Block | | | | |
| **M** | *End of Sequence A General Information* | | | | | | | | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | |
|  | **:16R:** | | | | | **USECU** | | Start of Block | | | | |
| **M** | **:97A::** | | | | | **SAFE//88888** | | Safekeeping Account | | | | |
| **M** | **:35B:** | | | | | **ISIN IE0007214426** | | Identification of the Financial Instrument | | | | |
| **O** | **:93B::** | | | | | **ELIG//UNIT/100000,** | | Eligible Balance | | | | |
| **M** | **:93B::** | | | | | **CONB//UNIT/80000,** | | Confirmed Balance | | | | |
|  | **:16S:** | | | | | **USECU** | | End Of Block | | | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | |
| **R** | *Optional* **Sequence C** *Corporate Actions Details* | | | | | | | | |
|  | **:16R:** | | | | | **CADETL** | | Start of Block | | | | |
| **O** | **:98A::** | | | | | **RDTE//20YY0907** | | Record Date/Time | | | | |
|  | **:16S:** | | | | | **CADETL** | | End Of Block | | | | |
| **R** | *End of Sequence C Corporate Actions Details* | | | | | | | | |
| **O** |  | *Optional Repetitive* **Sequence D** *Corporate Action Confirmation* | | | | | | | | | | |
|  | **:16R:** | | | | | **CACONF** | | Start of Block | | | | |
| **M** | **:13A::** | | | | | **CAON//002** | | CA Option Number | | | | |
| **M** | **:22F::** | | | | | **CAOP//CASH** | | Corporate Action Option Code Indicator | | | | |
| **O** |  |  | *Optional Repetitive Subsequence D1 Securities Movement* | | | | | | | | | | |
| **M** | **:16R:** | | | | | **SECMOVE** | | Start of Block | | | | |
| **M** | **:22H::** | | | | | **CRDB//DEBT** | | Credit/Debit Indicator | | | | |
| **M** | **:35B:** | | | | | **ISIN IE0007214426** | | Identification of the Financial Instrument | | | | |
| **M** | **:36B::** | | | | | **PSTA//UNIT/80000,** | | Quantity of securities debited | | | | |
| **M** | **:98A::** | | | | | **POST//20YY0521** | | Posting Date/Time | | | | |
| **O** | **:98A::** | | | | | **PAYD//20YY0521** | | Payment Date/Time | | | | |
| **M** | **:16S:** | | | | | **SECMOVE** | | End Of Block | | | | |
| **O** |  | | | | | *End of Subsequence D1 Securities Movement* | | | | | | |
| **O** |  | | | | | *Optional Repetitive Subsequence D2 Cash Movement* | | | | | | |
| **M** | **:16R:** | | | | | **CASHMOVE** | | Start of Block | | | | |
| **M** | **:22H::** | | | | | **CRDB//CRED** | | Credit/Debit Indicator | | | | |
| **O** | **:97A ::** | | | | | **CASH//8888801** | | Account | | | | |
| **M** | **:36B::** | | | | | **PSTA//EUR240000,** | | Posting Amount | | | | |
| **M** | **:98A::** | | | | | **POST//20YY0521** | | Posting Date/Time | | | | |
| **O** | **:98A::** | | | | | **VALU//20YY0521** | | Value Date/Time | | | | |
| **O** | **:98A::** | | | | | **PAYD//20YY0521** | | Payment Date/Time | | | | |
| **O** | **:90B::** | | | | | **OFFR//ACTU/EUR3,** | | Tender price | | | | |
| **M** | **:16S:** | | | | | **CASHMOVE** | | End Of Block | | | | |
| **O** |  |  | *End of Subsequence D1 Securities Movement* | | | | | | |
|  | **:16S:** | | | | | **CACONF** | | | End Of Block | | | |
| **O** |  | *End of SequenceD Corporate Action Confirmation* | | | | | | | | |  |

# BONU: Bonus Issue (MAND)

### Definition:

Bonus, scrip or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.

### Issue name:

United Bus Media EUR 0.25

ISIN AB0030224215

### Terms:

Shareholder will receive 1 new share for every 7 shares held.

### Relative dates:

Ex date - April 01, 2010

Record Date - April 03, 2010

Shares due - April 10, 2010

### Transaction description:

The client account (98-0112441-05) has an eligible holding of 140,000 shares.

### Messages:

MT 564 for the notice of entitlement

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | | | |
|  |  |  |  |  |  | | |  | | |  |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | |  |
|  | **:16R:** | | | | | **GENL** | | | Start of Block | | | | |
| **M** | **:20C::** | | | | | **CORP//BI015932** | | | Corporate Action Reference | | | | |
| **M** | **:20C::** | | | | | **SEME//1997885-020** | | | Sender's Message Reference | | | | |
| **O** | **:20C::** | | | | | **COAF//BI01593212345** | | | Official Corporate Action Event Reference | | | | |
| **M** | **:23G:** | | | | | **REPE** | | | Replacement with entitlement | | | | |
| **M** | **:22F::** | | | | | **CAEV//BONU** | | | Corporate Action Event Indicator | | | | |
| **M** | **:22F::** | | | | | **CAMV//MAND** | | | Mandatory/Voluntary Indicator | | | | |
| **M** | **:25D::** | | | | | **PROC//COMP** | | | Processing Status | | | | |
| **O** |  | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | |  | | |
|  | **:16R:** | | | | | **LINK** | | | Start of Block | | | | |
| **R** | **:13A::** | | | | | **LINK//564** | | | Previously sent message type | | | | |
| **M** | **:20C::** | | | | | **PREV//1997885-019** | | | Previously sent message reference | | | | |
|  | **:16S:** | | | | | **LINK** | | | End of Block | | | | |
| **O** |  | *End of Subsequence A1 Linkages* | | | | | | | | |  | | |
|  |  |  |  |  |  | | |  | | |  | | |
|  | **:16S:** | | | | | **GENL** | | | End Of Block | | | | |
| **M** | *End of Sequence A General Information* | | | | | | | | | |  | | |
|  |  |  |  |  |  | | |  | | |  | | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | |  | | |
|  |  | **:16R:** | | | | | **USECU** | | | Start of Block | | | |
| **M** |  | **:35B:** | | | | | **ISIN AB0030224215** | | | Identification of the Financial Instrument | | | |
|  |  |  |  |  |  | | |  | | |  | | |
| **M** |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | |
|  |  | **:16R:** | | | | | **ACCTINFO** | | | Start of Block | | | |
| **M** |  | **:97A::** | | | | | **SAFE//98-0112441-05** | | | Safekeeping Account | | | |
| **M** |  | **:93B::** | | | | | **ELIG//UNIT/140000,** | | | Total Eligible For Corporate Action Balance | | | |
|  |  | **:16S:** | | | | | **ACCTINFO** | | | End Of Block | | | |
| **M** |  | *End of Subsequence B2 Account Information* | | | | | | | | | | |  |
|  |  |  |  |  |  | | |  | | | | |  |
|  |  | **:16S:** | | | | | **USECU** | | | End Of Block | | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | |  |
|  |  |  |  |  |  | | |  | | | | |  |
| **R** | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | | | |  |
|  |  | **:16R:** | | | | | **CADETL** | | | Start of Block | | | |
| **M** |  | **:98A::** | | | | | **XDTE//20100401** | | | Ex-date | | | |
| **M** |  | **:98A::** | | | | | **RDTE//20100403** | | | Record Date/Time | | | |
| **O** |  | **:22F::** | | | | | **ADDB//CAPA** | | | The message is a preliminary advice of payment | | | |
|  |  | **:16S:** | | | | | **CADETL** | | | End Of Block | | | |
| **R** | *End of Sequence D Corporate Actions Details* | | | | | | | | | | | |  |
|  |  |  |  |  |  | | |  | | | | |  |
| **O** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | |
|  |  | **:16R:** | | | | | **CAOPTN** | | | Start of Block | | | |
| **M** |  | **:13A::** | | | | | **CAON//001** | | | CA Option Number | | | |
| **M** |  | **:22F::** | | | | | **CAOP//SECU** | | | Corporate Action Option Code Indicator | | | |
| **M** |  | **:17B::** | | | | | **DFLT//Y** | | | Default Processing Flag | | | |
|  |  |  |  |  |  | | |  | | | | |  |
| **O** |  |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | |
| **M** |  | **:16R:** | | | | | **SECMOVE** | | | Start of Block | | | |
| **M** |  | **:22H::** | | | | | **CRDB//CRED** | | | Credit/Debit Indicator | | | |
| **M** |  | **:35B:** | | | | | **ISIN AB0030224215** | | | Identification of the Financial Instrument | | | |
| **M** |  | **:36B::** | | | | | **ENTL//UNIT/2000,** | | | Quantity of new shares to be posted | | | |
| **M** |  | **:92D::** | | | | | **ADEX//1,/7,** | | | Additional for Existing Securities | | | |
| **M** |  | **:98A::** | | | | | **PAYD//20100410** | | | Payment Date/Time | | | |
| **M** |  | **:16S:** | | | | | **SECMOVE** | | | End Of Block | | | |
| **O** |  |  | *End of Subsequence E1 Securities Movement* | | | | | | | | | |  |
|  |  |  |  |  |  | | |  | | | | |  |
|  |  | **:16S:** | | | | | **CAOPTN** | | | End Of Block | | | |
| **O** |  | *End of Sequence E Corporate Action Options* | | | | | | | | | | |  | |
|  |  |  |  |  |  | | |  | | | | |  | |

# BONU: Bonus Issue (CHOS)

### Definition:

Bonus, scrip or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.

### Issue name:

ISIN BE0000120628 BELGO ORD

### Terms:

Bonus issue, mandatory with choice, with options to either lapse the rights or receive one new share per rights.

### Relative dates:

Ex date - January 26, 2010

Record date – January 26, 2010

Response deadline – 7 February 2010

Market deadline – 8 February 2010

Payment date – 26 February, 2010

### Transaction description:

Distribution with options with intermediate securities in 2 events (RHDI , BONUS).

### Messages:

MT 564 for the notice of entitlement

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | | | | | | | | | | | |
|  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | | | | | | | |  | | | | | | | | | | |
|  | **:16R:** | | | | | | | **GENL** | | | | | |  | | | | | | | | | |
| **M** | **:20C::** | | | | | | | **CORP//BONU21757** | | | | | | Corporate Action Reference | | | | | | | | | |
| **M** | **:20C::** | | | | | | | **SEME//154802475** | | | | | | Senders Reference | | | | | | | | | |
| **O** | **:20C::** | | | | | | | **COAF//BI01593212355** | | | | | | Official Corporate Action Event Reference | | | | | | | | | |
| **M** | **:23G:** | | | | | | | **REPE** | | | | | | New message | | | | | | | | | |
| **M** | **:22F::** | | | | | | | **CAEV//BONU** | | | | | | Bonus Issue | | | | | | | | | |
| **M** | **:22F::** | | | | | | | **CAMV//CHOS** | | | | | | Choice indicator | | | | | | | | | |
| **M** | **:25D::** | | | | | | | **PROC//COMP** | | | | | | Details are complete | | | | | | | | | |
| **O** | |  | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | | |  | | | | | | | | | | |
|  | | **:16R:** | | | | | | | **LINK** | | | | | | Start of Block | | | | | | | | | |
| **R** | | **:13A::** | | | | | | | **LINK//565** | | | | | | Previously received message type | | | | | | | | | |
| **M** | | **:20C::** | | | | | | | **RELA//251247845** | | | | | | Previously received message reference | | | | | | | | | |
|  | | **:16S:** | | | | | | | **LINK** | | | | | | End of Block | | | | | | | | | |
| **O** | |  | | *End of Subsequence A1 Linkages* | | | | | | | | | | | | |  | | | | | | | | | | |
|  | **:16S:** | | | | | | | **GENL** | | | | | |  | | | | | | | | | |
| **M** | *End of Sequence A General Information* | | | | | | | | | | | | | | | | |  | | | | | | | | | | |
|  |  | |  | |  |  | | | |  | |  | | | | | |  | | | | | | | | | | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | | | | | | |  | | | | | | | | | | |
|  | **:16R:** | | | | | | | **USECU** | | | | | |  | | | | | | | | | |
| **M** | **:35B:** | | | | | | | **ISIN BE0000120648 BELGO** | | | | | | Underlying securities | | | | | | | | | |
|  |  | |  | | | | | | | |  | | | | |  | | | | | | | |
| **M** |  | | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | | **ACCTINFO** | | | | | |  | | | | | | | | | |
| **M** | **:97A::** | | | | | | | **SAFE//98-0112441-05** | | | | | | Safekeeping account | | | | | | | | | |
| **O** | **:93B::** | | | | | | | **ELIG//UNIT/50000,** | | | | | | Eligible unit balance | | | | | | | | | |
|  | **:16S:** | | | | | | | **ACCTINFO** | | | | | |  | | | | | | | | | |
| **M** |  | | *End of Subsequence B2 Account Information* | | | | | | | | | | | | | | |  | | | | | | | | | | |
|  |  | |  | | | | | | | |  | | | | |  | | | | | | | |
|  | **:16S:** | | | | | | | **USECU** | | | | | |  | | | | | | | | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | | | | | | |  | | | | | | | | | | |
|  |  | |  | |  |  | | | |  | |  | | | | | |  | | | | | | | | | | |
| **R** | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | | | | | | | | |  | | | | | | | | | | |
|  | **:16R:** | | | | | | **CADETL** | | | | | |  | | | | | | | | | |
| **R** | **:98A::** | | | | | | **XDTE//20100126** | | | | | | Ex date | | | | | | | | | |
| **R** | **:98A::** | | | | | | **RDTE//20100126** | | | | | | Record date | | | | | | | | | |
|  | **:16S:** | | | | | | **CADETL** | | | | | |  | | | | | | | | | |
| **R** | *End of Sequence D Corporate Actions Details* | | | | | | | | | | | | | | | | |  | | | | | | | | | | |
|  |  | |  | |  |  | | | |  | |  | | | | | |  | | | | | | | | | | |
| **O** | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | | **CAOPTN** | | | | | |  | | | | | | | | | |
| **M** | **:13A::** | | | | | | | **CAON//001** | | | | | | CA Option Number | | | | | | | | | |
| **M** | **:22F::** | | | | | | | **CAOP//LAPS** | | | | | | Lapse option | | | | | | | | | |
| **M** | **:17B::** | | | | | | | **DFLT//N** | | | | | | This is not default | | | | | | | | | |
| **O** | **:98A::** | | | | | | | **RDDT//20100207** | | | | | | Response deadline date | | | | | | | | | |
| **O** | **:98A::** | | | | | | | **MKDT//20100208** | | | | | | Market deadline | | | | | | | | | |
|  |  | |  | |  |  | | | |  | |  | | | | | |  | | | | | | | | | | |
| **O** | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | | | | | | | | | | |
| **M** | **:16R:** | | | | | | | **SECMOVE** | | | | | |  | | | | | | | | | |
| **M** | **:22H::** | | | | | | | **CRDB//DEBT** | | | | | | Debit indicator | | | | | | | | | |
| **M** | **:35B:** | | | | | | | **ISIN BE0000120648 BELGO** | | | | | | Identification of the bonus rights | | | | | | | | | |
| **M** | **:98A::** | | | | | | | **PAYD//20100226** | | | | | | Payment Date | | | | | | | | | |
| **M** | **:16S:** | | | | | | | **SECMOVE** | | | | | |  | | | | | | | | | |
| **O** |  | |  | | *End of Subsequence E1 Securities Movement* | | | | | | | | | | | | |  | | | | | | | | | | |
|  |  | |  | |  |  | | | |  | |  | | | | | |  | | | | | | | | | | |
|  | **:16S:** | | | | | | | **CAOPTN** | | | | | |  | | | | | | | | | |
| **O** |  | | *End of Sequence E Corporate Action Options* | | | | | | | | | | | | | | |  | | | | | | | | | | |
|  |  | |  | |  |  | | | |  | |  | | | | | |  | | | | | | | | | | |
| **O** |  | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | | **CAOPTN** | | | | | |  | | | | | | | | | |
| **M** | **:13A::** | | | | | | | **CAON//002** | | | | | | CA Option Number | | | | | | | | | |
| **M** | **:22F::** | | | | | | | **CAOP//SECU** | | | | | | Securities Option | | | | | | | | | |
| **M** | **:17B::** | | | | | | | **DFLT//Y** | | | | | | This is the default | | | | | | | | | |
| **O** | **:98A::** | | | | | | | **RDDT//20100207** | | | | | | Response deadline date | | | | | | | | | |
| **O** | **:98A::** | | | | | | | **MKDT//20100208** | | | | | | Market deadline | | | | | | | | | |
|  |  | |  | | | | | | | |  | | | | |  | | | | | | | |
| **O** |  | |  | | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | | | | | | | | | |
| **M** | **:16R:** | | | | | | | **SECMOVE** | | | | | |  | | | | | | | | | |
| **M** | **:22H::** | | | | | | | **CRDB//CRED** | | | | | | Credit indicator | | | | | | | | | |
| **M** | **:35B:** | | | | | | | **ISIN BE0000120628 BELGO ORD** | | | | | | Derived securities | | | | | | | | | |
| **M** | **:36B::** | | | | | | | **ENTL//UNIT/5000,** | | | | | | Quantity of new shares to be posted | | | | | | | | | |
| **M** | **:92D::** | | | | | | | **NEWO//1,/1,** | | | | | | New shares derived for rights | | | | | | | | | |
| **M** | **:98A::** | | | | | | | **PAYD//20100226** | | | | | | Payment date for new shares | | | | | | | | | |
| **M** | **:16S:** | | | | | | | **SECMOVE** | | | | | |  | | | | | | | | | |
| **O** |  | |  | | *End of Subsequence E1 Securities Movement* | | | | | | | | | | | | |  | | | | | | | | | | |
|  |  | |  | |  |  | | | |  | |  | | | | | |  | | | | | | | | | | |
| **O** |  | |  | | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | | | | | | | | | |
| **M** | **:16R:** | | | | | | | **SECMOVE** | | | | | |  | | | | | | | | | |
| **M** | **:22H::** | | | | | | | **CRDB//DEBT** | | | | | | Debit indicator | | | | | | | | | |
| **M** | **:35B:** | | | | | | | **ISIN BE0000120648 BELGO** | | | | | | Identification of the bonus rights | | | | | | | | | |
| **M** | **:98A::** | | | | | | | **PAYD//20100226** | | | | | | Date bonus rights will be debited | | | | | | | | | |
| **M** | **:16S:** | | | | | | | **SECMOVE** | | | | | |  | | | | | | | | | |
| **O** |  | |  | | *End of Subsequence E1 Securities Movement* | | | | | | | | | | | | |  | | | | | | | | | | |
|  | **:16S:** | | | | | | | **CAOPTN** | | | | | |  | | | | | | | | | |
| **O** |  | | *End of Sequence E Corporate Action Options* | | | | | | | | | | | | | | |  | | | | | | | | | | |
| **O** |  | | *Optional Repetitive* **Sequence E** *Corporate Action Options (option not always available, depending on sender)* | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | | **CAOPTN** | | | | | |  | | | | | | | | | |
| **M** | **:13A::** | | | | | | | **CAON//003** | | | | | | CA Option Number | | | | | | | | | |
| **M** | **:22F::** | | | | | | | **CAOP//SLLE** | | | | | | Sell option | | | | | | | | | |
| **M** | **:17B::** | | | | | | | **DFLT//N** | | | | | | This is not the default | | | | | | | | | |
| **O** | **:98A::** | | | | | | | **RDDT//20100207** | | | | | | Response deadline date | | | | | | | | | |
| **O** | **:98A::** | | | | | | | **MKDT//20100208** | | | | | | Market Deadline Date | | | | | | | | | |
|  |  | |  | | | | | | | |  | | | | |  | | | | | | | |
| **O** |  | |  | | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | | | | | | | | | |
| **M** | **:16R:** | | | | | | | **SECMOVE** | | | | | |  | | | | | | | | | |
| **M** | **:22H::** | | | | | | | **CRDB//DEBT** | | | | | | Debit indicator | | | | | | | | | |
| **M** | **:35B:** | | | | | | | **ISIN BE0000120648 BELGO** | | | | | | Identification of the bonus rights | | | | | | | | | |
| **O** | **:69A::** | | | | | | | **TRDP//20100126/20100208** | | | | | | Trading Period | | | | | | | | | |
| **M** | **:98B::** | | | | | | | **PAYD//ONGO** | | | | | | Date of debit | | | | | | | | | |
| **M** | **:16S:** | | | | | | | **SECMOVE** | | | | | |  | | | | | | | | | |
| **O** |  | |  | | *End of Subsequence E1 Securities Movement* | | | | | | | | | | | | |  | | | | | | | | | | |
| **O** |  | |  | | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | | | | | | | | | | | | | | | | |
| **M** | **:16R:** | | | | | | | **CASHMOVE** | | | | | |  | | | | | | | | | |
| **M** | **:22H::** | | | | | | | **CRDB//CRED** | | | | | | Credit indicator | | | | | | | | | |
| **M** | **:98B::** | | | | | | | **PAYD//ONGO** | | | | | | Date of credit | | | | | | | | | |
| **M** | **:16S:** | | | | | | | **CASHMOVE** | | | | | |  | | | | | | | | | |
| **O** |  | |  | | *End of Subsequence E2 Cash Movement* | | | | | | | | | | | | |  | | | | | | | | | | |
|  |  | |  | | | | | | | |  | | | | |  | | | | | | | |
|  | **:16S:** | | | | | | | **CAOPTN** | | | | | |  | | | | | | | | | |
| **O** |  | | *End of Sequence E Corporate Action Options* | | | | | | | | | | | | | | |  | | | | | | | | | | |
|  |  | | | | | |  | | | | | |  | | | | | | | | |
|  |  | | | | | |  | | | | | |  | | | | | | | | |
| **O** |  | | *Optional Repetitive* **Sequence E** *Corporate Action Options (option not always available, depending on sender)* | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | | **CAOPTN** | | | | | |  | | | | | | | | | |
| **M** | **:13A::** | | | | | | | **CAON//004** | | | | | | CA Option Number | | | | | | | | | |
| **M** | **:22F::** | | | | | | | **CAOP//BUYA** | | | | | | Buy up option | | | | | | | | | |
| **M** | **:17B::** | | | | | | | **DFLT//N** | | | | | | This is not the default | | | | | | | | | |
| **O** | **:98A::** | | | | | | | **RDDT//20100207** | | | | | | Response deadline date | | | | | | | | | |
| **O** | **:98A::** | | | | | | | **MKDT//20100208** | | | | | | Market deadline date | | | | | | | | | |
|  |  | |  | | | | | | | |  | | | | |  | | | | | | | |
| **O** |  | |  | | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | | | | | | | | | |
| **M** | **:16R:** | | | | | | | **SECMOVE** | | | | | |  | | | | | | | | | |
| **M** | **:22H::** | | | | | | | **CRDB//CRED** | | | | | | Credit indicator | | | | | | | | | |
| **M** | **:35B:** | | | | | | | **ISIN BE0000120648 BELGO** | | | | | | Identification of the bonus rights | | | | | | | | | |
| **O** | **:69A::** | | | | | | | **TRDP//20100126/20100208** | | | | | | Trading Period | | | | | | | | | |
| **M** | **:98B::** | | | | | | | **PAYD//ONGO** | | | | | | Date of credit | | | | | | | | | |
| **M** | **:16S:** | | | | | | | **SECMOVE** | | | | | |  | | | | | | | | | |
| **O** |  | |  | | *End of Subsequence E1 Securities Movement* | | | | | | | | | | | | |  | | | | | | | | | | |
|  |  | |  | |  |  | | | |  | |  | | | | | |  | | | | | | | | | | |
| **O** |  | |  | | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | | | | | | | | | | | | | | | | |
| **M** | **:16R:** | | | | | | | **CASHMOVE** | | | | | |  | | | | | | | | | |
| **M** | **:22H::** | | | | | | | **CRDB//DEBT** | | | | | | Debit indicator | | | | | | | | | |
| **M** | **:98B::** | | | | | | | **PAYD//ONGO** | | | | | | Date of debit | | | | | | | | | |
| **M** | **:16S:** | | | | | | | **CASHMOVE** | | | | | |  | | | | | | | | | |
| **O** |  | |  | | *End of Subsequence E2 Cash Movement* | | | | | | | | | | | | |  | | | | | | | | | | |
|  | **:16S:** | | | | | | | **CAOPTN** | | | | | |  | | | | | | | | | |
| **O** |  | | *End of Sequence E Corporate Action Options* | | | | | | | | | | | | | | | | | |  | | | | | | | | | |

# BPUT: Early Bond Redemption (VOLU)

### Definition:

Early redemption of a security, at the election of the holder, subject to the terms and conditions of the issue.

### Issue name:

Nat West Variable Rate Capital Notes due 6 December 20YY

ISIN GB0037583977

### Terms:

Bond will redeem 98% of par in EUR.

Accrued Interest since the last INTR will be paid together with the BPUT. A coupon rate of 2.7 % is applicable for that running period.

### Relative dates:

Redemption date - December 10, 20YY

Response deadline date - December 08, 20YY

Accrued Interest Period – From September 10, 20YY until December 10, 20YY

### Transaction description:

The client account (a/c 56344) holds a face amount of EUR 20,000,000 in this bond issue.

### Instruction description:

The client will elect to redeem early for cash.

### Messages:

MT 564 for the notification (may be sent as a reminder of the terms of the bond).

MT 565 for the instruction

MT 567 for the processing status of the instruction

MT 564 for the notice of entitlement

MT 566 for the confirmation

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notification | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | | | |  |  | |  | | |  | | | | | | |  | |  | | | | |  | | | | | |
| **M** | | | | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | | | | | | | | | | | | | |  | | |
|  | | | | **:16R:** | | | | | **GENL** | | | | | | | | | | | | | | Start of Block | | | | | | | | | | |
| **M** | | | | **:20C::** | | | | | **CORP//BPT3437592** | | | | | | | | | | | | | | Corporate Action Reference | | | | | | | | | | |
| **M** | | | | **:20C::** | | | | | **SEME//1997189-012** | | | | | | | | | | | | | | Sender's Message Reference | | | | | | | | | | |
| **O** | | | | **:20C::** | | | | | **COAF//BI01593212345** | | | | | | | | | | | | | | Official Corporate Action Event Reference | | | | | | | | | | |
| **M** | | | | **:23G:** | | | | | **NEWM** | | | | | | | | | | | | | | Function of the message (here, new message) | | | | | | | | | | |
| **M** | | | | **:22F::** | | | | | **CAEV//BPUT** | | | | | | | | | | | | | | Corporate Action Event Indicator | | | | | | | | | | |
| **M** | | | | **:22F::** | | | | | **CAMV//VOLU** | | | | | | | | | | | | | | Mandatory/Voluntary Indicator | | | | | | | | | | |
| **M** | | | | **:25D::** | | | | | **PROC//COMP** | | | | | | | | | | | | | | Processing Status | | | | | | | | | | |
|  | | | | **:16S:** | | | | | **GENL** | | | | | | | | | | | | | | End of Block | | | | | | | | | | |
| **M** | | | | *End of Sequence A General Information* | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  | | | |  |  | |  | | |  | | | | | | |  | |  | | | | |  | | | | | | | | | |
| **M** | | | | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  | | | | **:16R:** | | | | | | | **USECU** | | | | | | | | | | | Start of Block | | | | | | | | | | | |
| **M** | | | | **:35B:** | | | | | | | **ISIN GB0037583977** | | | | | | | | | | | Identification of the Financial Instrument | | | | | | | | | | | |
|  | | | |  |  | |  | | |  | | | | | | |  | |  | | | | |  | | | | | | | | | |
| **M** | | | |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | | | | **:16R:** | | | | | | | | | **ACCTINFO** | | | | | | | | | Start of Block | | | | | | | | | | | |
| **M** | | | | **:97A::** | | | | | | | | | **SAFE//56344** | | | | | | | | | Safekeeping Account | | | | | | | | | | | |
| **O** | | | | **:93B::** | | | | | | | | | **ELIG//FAMT/20000000,** | | | | | | | | | Total Eligible For Corporate Action Balance | | | | | | | | | | | |
|  | | | | **:16S:** | | | | | | | | | **ACCTINFO** | | | | | | | | | End of Block | | | | | | | | | | | |
|  | | | |  |  | |  | | |  | | | | | | |  | | | |  | | | |  | | | | | | |
| **M** | | | |  | *End of Subsequence B2 Account Information* | | | | | | | | | | | | | | | | | | | | | | | | |  | | |
|  | | | | **:16S:** | | | | | | | **USECU** | | | | | | | | | | | End Of Block | | | | | | | | | | | |
| **M** | | | | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  | | | |  |  | |  | | |  | | | | | | |  | |  | | | | |  | | | | | | | | | |
| **O** | | | |  | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **M** | | | | **:16R:** | | | | | | | **CADETL** | | | | | | | | | | | | Start of Block | | | | | | | | | | |
| **O** | | | | **:69A::** | | | | | | | **INPE//20YY0910/20YY1210** | | | | | | | | | | | | Interest period | | | | | | | | | | |
| **M** | | | | **:16S:** | | | | | | | **CADETL** | | | | | | | | | | | | End of Block | | | | | | | | | | |
| **O** | | | |  | *End of Sequence D Corporate Actions Details* | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | | | |  |  | |  | | |  | | | | | | |  | |  | | | | |  | | | | | | | | | |
| **O** | | | |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | | | | **:16R:** | | | | | | | | | **CAOPTN** | | | | | | | | | | Start of Block | | | | | | | | | | |
| **M** | | | | **:13A::** | | | | | | | | | **CAON//001** | | | | | | | | | | CA Option Number | | | | | | | | | | |
| **M** | | | | **:22F::** | | | | | | | | | **CAOP//CASH** | | | | | | | | | | Corporate Action Option Code Indicator | | | | | | | | | | |
| **R** | | | | **:11A::** | | | | | | | | | **OPTN//EUR** | | | | | | | | | | Currency offered | | | | | | | | | | |
| **M** | | | | **:17B::** | | | | | | | | | **DFLT//N** | | | | | | | | | | Default Processing Flag | | | | | | | | | | |
| **O** | | | | **:98A::** | | | | | | | | | **RDDT//20YY1208** | | | | | | | | | | Response deadline date/time | | | | | | | | | | |
| **O** | | | | **:98A::** | | | | | | | | | **MKDT//20YY1209** | | | | | | | | | | Market Deadline Date | | | | | | | | | | |
| **M** | | | | **:69A::** | | | | | | | | | **PWAL//20YY1201/20YY1209** | | | | | | | | | | Period of action | | | | | | | | | | |
| **O** | |  | |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **M** | |  | | **:16R:** | | | | | | | | | | | | **SECMOVE** | | | | | | | Start of Block | | | | | | | | | | |
| **M** | |  | | **:22H::** | | | | | | | | | | | | **CRDB//DEBT** | | | | | | | Credit/Debit Indicator | | | | | | | | | | |
| **M** | |  | | **:35B:** | | | | | | | | | | | | **ISIN GB0037583977** | | | | | | | Identification of the Financial Instrument | | | | | | | | | | |
| **O** | |  | | **:36B::** | | | | | | | | | | | | **ENTL//FAMT/20000000,** | | | | | | | Quantity to be debited | | | | | | | | | | |
| **M** | |  | | **:98A::** | | | | | | | | | | | | **PAYD//20YY1210** | | | | | | | Payment Date/Time | | | | | | | | | | |
| **O** | |  | | **:98A::** | | | | | | | | | | | | **EARL//20YY1211** | | | | | | | Earliest Payment Date | | | | | | | | | | |
| **M** | |  | | **:16S:** | | | | | | | | | | | | **SECMOVE** | | | | | | | End of Block | | | | | | | | | | |
| **O** | |  | |  | *End of Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
| **O** | |  | |  | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  | |  | | **:16R:** | | | | | | | | | | | **CASHMOVE** | | | | | | | | Start of Block | | | | | | | | | | |
| **M** | |  | | **:22H::** | | | | | | | | | | | **CRDB//CRED** | | | | | | | | Credit/Debit Indicator | | | | | | | | | | |
| **O** | |  | | **:19B::** | | | | | | | | | | | **ENTL//EUR19600000,** | | | | | | | | Entitled amount | | | | | | | | | | |
| **O** | |  | | **:19B::** | | | | | | | | | | | **GRSS//EUR19600000,** | | | | | | | | Gross amount | | | | | | | | | | |
| **M** | |  | | **:98A::** | | | | | | | | | | | **PAYD//20YY1210** | | | | | | | | Payment Date/Time | | | | | | | | | | |
| **O** | |  | | **:98A::** | | | | | | | | | | | **VALU//20YY1210** | | | | | | | | Value Date/Time | | | | | | | | | | |
| **O** | |  | | **:98A::** | | | | | | | | | | | | **EARL//20YY1211** | | | | | | | Earliest Payment Date | | | | | | | | | | |
| **O** | |  | | **:92A::** | | | | | | | | | | | | **INTP//2,7** | | | | | | | Interest rate used for payment. | | | | | | | | | | |
| **M** | |  | | **:90A::** | | | | | | | | | | | | **OFFR//PRCT/98,** | | | | | | | Generic Cash Price Received per Product | | | | | | | | | | |
|  | |  | | **:16S:** | | | | | | | | | | | | **CASHMOVE** | | | | | | | End of Block | | | | | | | | | | |
| **O** | |  | |  | | *End of Subsequence E2 Cash Movement* | | | | | | | | | | | | | | | | | | | |  | | | | | | | |
|  | |  | | **:16S:** | | | | | | | | | | | | **CAOPTN** | | | | | | | End Of Block | | | | | | | |
| **O** | |  | | *End of Sequence E Corporate Action Options* | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | |
|  | |  | |  | |  | |  | | | | | |  | | | |  | | | | |  | | | | | | | | | | |
| **O** | |  | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | |  | | **:16R:** | | | | | | | | | | | | **CAOPTN** | | | | | | | Start of Block | | | | | | | |
| **M** | |  | | **:13A::** | | | | | | | | | | | | **CAON//002** | | | | | | | CA Option Number | | | | | | | |
| **M** | |  | | **:22F::** | | | | | | | | | | | | **CAOP//NOAC** | | | | | | | Corporate Action Option Code Indicator | | | | | | | |
| **M** | |  | | **:17B::** | | | | | | | | | | | | **DFLT//Y** | | | | | | | Default Processing Flag | | | | | | | |
| **O** | |  | | **:98A::** | | | | | | | | | | | | **RDDT//20YY1208** | | | | | | | Response deadline date/time | | | | | | | |
| **O** | |  | | **:98A::** | | | | | | | | | | | | **MKDT//20YY1209** | | | | | | | Market Deadline Date | | | | | | | |
|  | |  | | **:16S:** | | | | | | | | | | | | **CAOPTN** | | | | | | | End of Block | | | | | | | |
| **O** |  | | *End of Sequence E Corporate Action Options* | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  | |  | |  | |  | |  | | | | | |  | | | |  | | | | |  | | | | | | | | | | | |
| MT 565 Corporate Action Instruction | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | |  | |  | |  | |  | | | | | |  | | | | | |  | | | | | | | | |  | | |
| **M** | | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | |
|  | | **:16R:** | | | | | | | | **GENL** | | | | | | | | | | | | | Start of Block | | | | | | | | | | | |
| **M** | | **:20C::** | | | | | | | | **CORP//BPT3437592** | | | | | | | | | | | | | Corporate Action Reference | | | | | | | | | | | |
| **M** | | **:20C::** | | | | | | | | **SEME//987-654-32** | | | | | | | | | | | | | Sender's Message Reference | | | | | | | | | | | |
| **O** | | **:20C::** | | | | | | | | **COAF//BI01593212345** | | | | | | | | | | | | | Official Corporate Action Event Reference | | | | | | | | | | | |
| **M** | | **:23G:** | | | | | | | | **NEWM** | | | | | | | | | | | | | Function of the message (here, new message) | | | | | | | | | | | |
| **M** | | **:22F::** | | | | | | | | **CAEV//BPUT** | | | | | | | | | | | | | Corporate Action Event Indicator | | | | | | | | | | | |
| **R** | |  | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | |
|  | | **:16R:** | | | | | | | | | | **LINK** | | | | | | | | | | | Start of Block | | | | | | | | | | | |
| **R** | | **:13A::** | | | | | | | | | | **LINK//564** | | | | | | | | | | | Previously received message type | | | | | | | | | | | |
| **M** | | **:20C::** | | | | | | | | | | **RELA//1997189-012** | | | | | | | | | | | Previously received message reference | | | | | | | | | | | |
|  | | **:16S:** | | | | | | | | | | **LINK** | | | | | | | | | | | End of Block | | | | | | | | | | | |
| **R** | |  | | *End of Subsequence A1 Linkages* | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | |
|  | | **:16S:** | | | | | | | | | | **GENL** | | | | | | | | | | | End Of Block | | | | | | | | | | | |
| **M** | | *End of Sequence A General Information* | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | |
|  | |  | |  | |  | |  | | | | | |  | | | | | |  | | | | | | | | |  | | | | |
| **M** | | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | |
|  | | **:16R:** | | | | | | | | | | **USECU** | | | | | | | | | | | Start of Block | | | | | | | | | | | |
| **R** | | **:35B:** | | | | | | | | | | **ISIN GB0037583977** | | | | | | | | | | | Identification of the Financial Instrument | | | | | | | | | | | |
| **M** | |  | | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | | **:16R:** | | | | | | | | **ACCTINFO** | | | | | | | | | | | | | Start of Block | | | | | | | | | | | |
| **M** | | **:97A::** | | | | | | | | **SAFE//56344** | | | | | | | | | | | | | Safekeeping Account | | | | | | | | | | | |
| **R** | | **:93B::** | | | | | | | | **ELIG//FAMT/20000000,** | | | | | | | | | | | | | Total Eligible For Corporate Action Balance | | | | | | | | | | | |
|  | | **:16S:** | | | | | | | | **ACCTINFO** | | | | | | | | | | | | | End Of Block | | | | | | | | | | | |
| **M** | |  | | *End of Subsequence B2 Account Information* | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | |
|  | | **:16S:** | | | | | | | | **USECU** | | | | | | | | | | | | | End Of Block | | | | | | | | | | | |
| **M** | | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | |
| **M** | | *Mandatory* **Sequence D** *Corporate Actions Instruction* | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | |
|  | | **:16R:** | | | | | | | | **CAINST** | | | | | | | | | | | | | Start of Block | | | | | | | | | | | |
| **M** | | **:13A::** | | | | | | | | **CAON//001** | | | | | | | | | | | | | CA Option Number | | | | | | | | | | | |
| **M** | | **:22F::** | | | | | | | | **CAOP//CASH** | | | | | | | | | | | | Corporate Action Option Code Indicator | | | | | | | | | | | | |
| **M** | | **:36B::** | | | | | | | | **QINS//FAMT/20000000,** | | | | | | | | | | | | Quantity of securities instructed | | | | | | | | | | | | |
|  | | **:16S:** | | | | | | | | **CAINST** | | | | | | | | | | | | End Of Block | | | | | | | | | | | | |
| **M** | | *End of Sequence D Corporate Actions Instruction* | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | |

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 567 Corporate Action Status and Processing Advice | | | | | | | | | | | |
|  |  |  |  |  | |  | |  | |  | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | |  | |
|  | **:16R:** | | | | | | **GENL** | | Start of Block | | |
| **M** | **:20C::** | | | | | | **CORP//BPT3437592** | | Corporate Action Reference | | |
| **M** | **:20C::** | | | | | | **SEME//987-654-32-567** | | Sender's Message Reference | | |
| **O** | **:20C::** | | | | | | **COAF//BI01593212345** | | Official Corporate Action Event Reference | | |
| **M** | **:23G:** | | | | | | **INST** | | Function of the message (here, reporting on an instruction status) | | |
| **M** | **:22F::** | | | | | | **CAEV//BPUT** | | Corporate Action Event Indicator | | |
| **M** |  | *Mandatory Repetitive Subsequence A1 Linkages* | | | | | | | |  | |
|  | **:16R:** | | | | | | **LINK** | | Start of Block | | |
| **R** | **:13A::** | | | | | | **LINK//565** | | Previously received message type | | |
| **M** | **:20C::** | | | | | | **RELA//987-654-32** | | Previously received message reference | | |
|  | **:16S:** | | | | | | **LINK** | | End of Block | | |
| **M** |  | *End of Mandatory Subsequence A1 Linkages* | | | | | | | |  | |
|  |  |  |  |  | |  | |  | |  | |
| **M** |  | *Mandatory Repetitive Subsequence A2 Status* | | | | | | | |  | |
|  | **:16R:** | | | | | | **STAT** | | Start of Block | | |
| **M** | **:25D::** | | | | | | **IPRC//PACK** | | Instruction accepted for further processing | | |
|  | **:16S:** | | | | | | **STAT** | | End of Block | | |
| **M** |  | *End of Mandatory Subsequence A2 Status* | | | | | | | |  | |
|  |  |  |  |  | | |  |  | |  | |
|  | **:16S:** | | | | | | **GENL** | | End of Block | | |
| **M** | *End of Sequence A General Information* | | | | | | | | |  | |
|  |  |  |  |  | |  | |  | |  | |
| **M** | *Optional* **Sequence B** *Corporate Action Details* | | | | | | | | |  | |
|  | **:16R:** | | | | | | **CADETL** | | Start of Block | | |
| **M** | **:13A::** | | | | | | **CAON//001** | | CA Option Number | | |
| **M** | **:22F::** | | | | | | **CAOP//CASH** | | CA Option Code Indicator | | |
|  | **:36B::** | | | | | | **STAQ//FAMT/20000000,** | | Quantity on which the status is given | | |
|  | **:16S:** | | | | | | **CADETL** | | End of Block | | |
| **M** | *End of Optional Sequence B Corporate Action Details* | | | | | | | | |  | |
|  |  |  |  |  | |  | |  | |  | |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | | | |
|  |  |  |  |  | |  | |  | | |  |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | |  |
|  | **:16R:** | | | | | | **GENL** | | Start of Block | | |
| **M** | **:20C::** | | | | | | **CORP//BPT3437592** | | Corporate Action Reference | | |
| **M** | **:20C::** | | | | | | **SEME//253147815** | | Sender's Message Reference | | |
| **O** | **:20C::** | | | | | | **COAF//BI01593212345** | | Official Corporate Action Event Reference | | |
| **M** | **:23G:** | | | | | | **REPE** | | Replacement with entitlement | | |
| **M** | **:22F::** | | | | | | **CAEV//BPUT** | | Corporate Action Event Indicator | | |
| **M** | **:22F::** | | | | | | **CAMV//VOLU** | | Mandatory/Voluntary Indicator | | |
| **M** | **:25D::** | | | | | | **PROC//COMP** | | Processing Status | | |
| **R** |  | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | |  |
|  | **:16R:** | | | | | | **LINK** | | Start of Block | | |
| **R** | **:13A::** | | | | | | **LINK//564** | | Previously sent message type | | |
| **M** | **:20C::** | | | | | | **PREV//1997189-012** | | Previously sent message reference | | |
|  | **:16S:** | | | | | | **LINK** | | End of Block | | |
| **R** |  | *End of Subsequence A1 Linkages* | | | | | | | | |  |
| **O** |  | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | |  |
|  | **:16R:** | | | | | | **LINK** | | Start of Block | | |
| **R** | **:13A::** | | | | | | **LINK//565** | | Previously received message type | | |
| **M** | **:20C::** | | | | | | **RELA//987-654-32** | | Previously received message reference | | |
|  | **:16S:** | | | | | | **LINK** | | End of Block | | |
| **O** |  | *End of Subsequence A1 Linkages* | | | | | | | | |  |
|  | **:16S:** | | | | | | **GENL** | | End Of Block | | |
| **M** | *End of Sequence A General Information* | | | | | | | | | |  |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | |  |
|  | **:16R:** | | | | | | **USECU** | | Start of Block | | |
| **M** | **:35B:** | | | | | | **ISIN GB0037583977** | | Identification of the Financial Instrument | | |
| **M** |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | |
|  | **:16R:** | | | | | | **ACCTINFO** | | Start of Block | | |
| **M** | **:97A::** | | | | | | **SAFE//56344** | | Safekeeping Account | | |
| **M** | **:93B::** | | | | | | **ELIG//FAMT/20000000,** | | Total Eligible For Corporate Action Balance | | |
|  | **:16S:** | | | | | | **ACCTINFO** | | End Of Block | | |
| **M** |  | *End of Subsequence B2 Account Information* | | | | | | | | |  |
|  | **:16S:** | | | | | | **USECU** | | End Of Block | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | |  |
| **R** | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | |  |
|  | **:16R:** | | | | | | **CADETL** | | Start of Block | | |
| **O** | **:69A::** | | | | | | **INPE//20YY0910/20YY1210** | | Interest period | | |
| **O** | **:22F::** | | | | | | **ADDB//CAPA** | | The message is a preliminary advice of payment | | |
|  | **:16S:** | | | | | | **CADETL** | | End Of Block | | |
| **R** | *End of Sequence D Corporate Actions Details* | | | | | | | | | |  |
| **O** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | |
|  | **:16R:** | | | | | | **CAOPTN** | | Start of Blockf | | |
| **M** | **:13A::** | | | | | | **CAON//001** | | CA Option Number | | |
| **M** | **:22F::** | | | | | | **CAOP//CASH** | | Corporate Action Option Code Indicator | | |
| **R** | **:11A::** | | | | | | **OPTN//EUR** | | Currency offered | | |
| **M** | **:17B::** | | | | | | **DFLT//Y** | | Default Processing Flag | | |
| **O** | **:98A::** | | | | | | **RDDT//20YY1208** | | Response deadline date/time | | |
| **O** | **:98A::** | | | | | | **MKDT//20YY1209** | | Market Deadline Date | | |
| **M** | **:69A::** | | | | | | **PWAL//20YY1201/20YY1209** | | Period of action | | |
| **O** |  |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | |
| **M** | **:16R:** | | | | | | **SECMOVE** | | Start of Block | | |
| **M** | **:22H::** | | | | | | **CRDB//DEBT** | | Credit/Debit Indicator | | |
| **M** | **:35B:** | | | | | | **ISIN GB0037583977** | | Identification of the Financial Instrument | | |
| **M** | **:36B::** | | | | | | **ENTL//FAMT/20000000,** | | Quantity to be debited | | |
| **M** | **:98A::** | | | | | | **PAYD//20YY1210** | | Payment Date/Time | | |
| **O** | **:98A::** | | | | | | **EARL//20YY1211** | | Earliest Payment Date | | |
| **M** | **:16S:** | | | | | | **SECMOVE** | | End Of Block | | |
| **O** |  |  | *End of Subsequence E1 Securities Movement* | | | | | | | |  |
| **O** |  |  | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | |  |
|  | **:16R:** | | | | **CASHMOVE** | | | | Start of Block | | |
| **M** | **:22H::** | | | | **CRDB//CRED** | | | | Credit/Debit Indicator | | |
| **R** | **:19B::** | | | | **ENTL//EUR19732435,** | | | | Entitled amount | | |
| **O** | **:19B::** | | | | **GRSS//EUR19732435,** | | | | Gross amount | | |
| **O** | **:19B::** | | | | **NETT//EUR19732435,** | | | | Net amount | | |
| **M** | **:98A::** | | | | **PAYD//20YY1210** | | | | Payment Date/Time | | |
| **O** | **:98A::** | | | | **VALU//20YY1210** | | | | Value Date/Time | | |
| **O** | **:98A::** | | | | **EARL//20YY1211** | | | | Earliest Payment Date | | |
| **O** | **:92A::** | | | | **INTP//2,7** | | | | Interest rate used for payment. | | |
| **M** | **:90A::** | | | | **OFFR//PRCT/98,** | | | | Generic Cash Price Received per Product | | |
|  | **:16S:** | | | | **CASHMOVE** | | | | End Of Block | | |
| **O** |  |  | *End of Subsequence E2 Cash Movement* | | | | | | | |  |
|  | **:16S:** | | | | **CAOPTN** | | | | End Of Block | | |
| **O** |  | *End of Sequence E Corporate Action Options* | | | | | | | | |  |

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 566 Corporate Action Confirmation | | | | | | | | | | | | |
|  |  |  | |  |  | | |  | |  | | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | |
|  | **:16R:** | | | | | | **GENL** | | | | Start of Block | | | | |
| **M** | **:20C::** | | | | | | **CORP//BPT3437592** | | | | Corporate Action Reference | | | | |
| **M** | **:20C::** | | | | | | **SEME//253147819** | | | | Sender's Message Reference | | | | |
| **O** | **:20C::** | | | | | | **COAF//BI01593212345** | | | | Official Corporate Action Event Reference | | | | |
| **M** | **:23G:** | | | | | | **NEWM** | | | | Function of the message (here, new message) | | | | |
| **M** | **:22F::** | | | | | | **CAEV//BPUT** | | | | Corporate Action Event Indicator | | | | |
| **O** |  | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | |
|  | **:16R:** | | | | | | **LINK** | | | | Start of Block | | | | |
| **R** | **:13A::** | | | | | | **LINK//564** | | | | Previously sent message type | | | | |
| **M** | **:20C::** | | | | | | **PREV//253147815** | | | | Previously sent message reference | | | | |
|  | **:16S:** | | | | | | **LINK** | | | | End of Block | | | | |
| **O** |  | *End of Subsequence A1 Linkages* | | | | | | | | | | | | |
| **O** |  | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | | |
|  | **:16R:** | | | | | | **LINK** | | | | Start of Block | | | | |
| **R** | **:13A::** | | | | | | **LINK//565** | | | | Previously received message type | | | | |
| **M** | **:20C::** | | | | | | **RELA//987-654-32** | | | | Previously received message reference | | | | |
|  | **:16S:** | | | | | **LINK** | | | | | End of Block | | | | |
| **O** |  | *End of Subsequence A1 Linkages* | | | | | | | | | | |  | | | |
|  | **:16S:** | | | | | **GENL** | | | | | | End Of Block | | | |
| **M** | *End of Sequence A General Information* | | | | | | | | | | | |  | | | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | |  | | | |
|  | **:16R:** | | | | | **USECU** | | | | | | Start of Block | | | |
| **M** | **:97A::** | | | | | **SAFE//56344** | | | | | | Safekeeping Account | | | |
| **M** | **:35B:** | | | | | **ISIN GB0037583977** | | | | | | Identification of the Financial Instrument | | | |
| **O** | **:93B::** | | | | | **ELIG//FAMT/20000000,** | | | | | | Eligible unit balance | | | |
| **M** | **:93B::** | | | | | **CONB//FAMT/20000000,** | | | | | | Confirmed unit balance | | | |
|  | **:16S:** | | | | | **USECU** | | | | | | End Of Block | | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | |  | | | |
| **M** |  | *Mandatory* **Sequence D** *Corporate Action Confirmation* | | | | | | | | | | |  | | | |
|  | **:16R:** | | | | | **CACONF** | | | | | | Start of Block | | | |
| **M** | **:13A::** | | | | | **CAON//001** | | | | | | CA Option Number | | | |
| **M** | **:22F::** | | | | | **CAOP//CASH** | | | | | | Corporate Action Option Code Indicator | | | |
| **R** | **:11A::** | | | | | **OPTN//EUR** | | | | | | Currency offered | | | |
| **O** | **:98A::** | | | | | **RDDT//20YY1208** | | | | | | Response deadline date/time | | | |
| **O** | **:98A::** | | | | | **MKDT//20YY1209** | | | | | | Market Deadline Date | | | |
| **O** | **:69A::** | | | | | **PWAL//20YY1201/20YY1209** | | | | | | Period of action | | | |
| **M** |  |  | | *Optional Repetitive Subsequence D1 Securities Movement* | | | | | | | | | | | | |
|  | **:16R:** | | | | | **SECMOVE** | | | | | | Start of Block | | | |
| **M** | **:22H::** | | | | | **CRDB//DEBT** | | | | | | Credit/Debit Indicator | | | |
| **M** | **:35B:** | | | | | **ISIN GB0037583977** | | | | | | Identification of the Financial Instrument | | | |
| **M** | **:36B::** | | | | | **PSTA//FAMT/20000000,** | | | | | | Posting Quantity | | | |
| **M** | **:98A::** | | | | | **POST//20YY1210** | | | | | | Posting Date/Time | | | |
| **O** | **:98A::** | | | | | **EARL//20YY1211** | | | | | | Earliest Payment Date | | | |
|  | **:16S:** | | | | | **SECMOVE** | | | | | | End Of Block | | | |
| **M** |  |  | | *End of Subsequence D1 Securities Movement* | | | | | | | | |  | | | |
| **M** |  |  | | *Optional Repetitive Subsequence D2 Cash Movement* | | | | | | | | |  | | | |
|  | **:16R:** | | | | | **CASHMOVE** | | | | | | Start of Block | | | |
| **M** | **:22H::** | | | | | **CRDB//CRED** | | | | | | Credit/Debit Indicator | | | |
| **M** | **:19B::** | | | | | **PSTA//EUR19732435,** | | | | | | Posting amount | | | |
| **O** | **:19B::** | | | | | **GRSS//EUR19732435,** | | | | | | Gross amount | | | |
| **M** | **:98A::** | | | | | **POST//20YY1210** | | | | | | Posting Date/Time | | | |
| **O** | **:98A::** | | | | | **VALU//20YY1210** | | | | | | Value Date/Time | | | |
| **O** | **:98A::** | | | | | **EARL//20YY1211** | | | | | | Earliest Payment Date | | | |
| **O** | **:92A::** | | | | | **INTP//2,7** | | | | | | Interest rate used for payment. | | | |
| **M** | **:90A::** | | | | | **OFFR//PRCT/98,** | | | | | | Generic Cash Price Received per Product | | | |
| **:16S:** | | | | | **CASHMOVE** | | | | | | End Of Block | | | |
| **M** |  |  | | *End of Subsequence D2 Cash Movement* | | | | | | | | |  | | | |
|  | **:16S:** |  | **CACONF** | | | | | |  | | | End Of Block | | | |
| **M** |  | *End of Mandatory Sequence D Corporate Action Confirmation* | | | | | | | | | | | | | | | |

# BRUP: Bankruptcy (MAND)

### Definition:

Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.

### Issue name:

ABC

ISIN XX0000123456

### Terms:

### Relative dates:

Announcement date - October 3, 20YY

Filing date – October 3, 20YY

Court approval date – October 4, 20YY

Effective date – October 4, 20YY

### Transaction description:

Company ABC has entered into bankruptcy proceedings/protection (as applicable). The client account (a/c 123) holds a position of 10,000 shares.

### Messages:

MT 564 for the notification

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notification | | | | | | | | | | | | |
|  |  |  |  |  |  |  |  | | | | | |
|  | *Mandatory* **Sequence A** *General Information* | | | | | |  | | | | | |
| **M** |  |  |  |  | **:16R:** | **GENL** | Start of Block | | | | | |
| **M** |  |  |  |  | **:20C::** | **CORP//123** | Corporate Action Reference | | | | | |
| **M** |  |  |  |  | **:20C::** | **SEME//123456** | Sender's Message Reference | | | | | |
| **O** |  |  |  |  | **:20C::** | **COAF//ABCBRUP12345** | Official Corporate Action Event Reference | | | | | |
| **M** |  |  |  |  | **:23G:** | **NEWM** | Function of the message (here, new message) | | | | | |
| **M** |  |  |  |  | **:22F::** | **CAEV//BRUP** | Corporate Action Event Indicator | | | | | |
| **M** |  |  |  |  | **:22F::** | **CAMV//MAND** | Mandatory/Voluntary Indicator | | | | | |
| **M** |  |  |  |  | **:25D::** | **PROC//COMP** | Processing Status | | | | | |
| **M** |  |  |  |  | **:16S:** | **GENL** | End Of Block | | | | | |
|  | *End of Sequence A General Information* | | | | | | | | | |  | |
|  | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | |  | |
| **M** |  |  |  |  | **:16R:** | **USECU** | Start of Block | | | | | |
| **M** |  |  |  |  | **:35B:** | **ISIN XX0000123456**  **ABC** | Identification of the Financial Instrument | | | | | |
|  |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | |  | | |
| **M** |  |  |  |  | **:16R:** | **ACCTINFO** | Start of Block | | | | | |
| **M** |  |  |  |  | **:97A::** | **SAFE//123** | Safekeeping Account | | | | | |
| **O** |  |  |  |  | **:93B::** | **ELIG//UNIT/10000,** | Total Eligible For Corporate Action Balance | | | | | |
| **M** |  |  |  |  | **:16S:** | **ACCTINFO** | End Of Block | | | | | |
|  |  | *End of Subsequence B2 Account Information* | | | | | | |  | | | |
| **M** |  |  |  |  | **:16S:** | **USECU** | End Of Block | | | | | |
|  | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | |  |
|  | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | | |  |
| **M** |  |  |  |  | **:16R:** | **CADETL** | Start of Block | | | | | |
| **O** |  |  |  |  | **:98A::** | **ANOU//20YY1003** | Announcement Date/Time | | | | | |
| **O** |  |  |  |  | **:98A::** | **EFFD//20YY1004** | Effective Date | | | | | |
| **O** |  |  |  |  | **:98A::** | **COAP//20YY1004** | Court Approval Date | | | | | |
| **O** |  |  |  |  | **:98A::** | **FILL//20YY1003** | Filing Date | | | | | |
| **M** |  |  |  |  | **:16S:** | **CADETL** | End Of Block | | | | | |
|  | *End of Sequence D Corporate Actions Details* | | | | | | |  | | | | |

# CAPD: Capital Distribution (MAND)

### Definition:

The Corporate event pays shareholders an amount in cash issued from the Capital account. There is no reduction to the face value of a single share (or the share has no par value).The number of circulating shares remains unchanged.

### Issuer name:

Netcare Limited Ordinary shares ISIN ZAE000011953

### Terms:

A cash distribution of ZAR0.65 per share is paid from the Share Capital Account.

### Relative details and dates:

Ex Date – 28 August 20YY

Record Date – 02 September 20YY

Pay date – 05 September 20YY

### Transaction description:

The cash distribution (capital) will be collected on behalf of a client with safe custody account (SCA) number 123456. The cash distribution (capital) will be paid in ZAR. The SCA has an eligibility of 54,000 shares.

### Messages:

MT 564 for the notice of entitlement

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | | | |
|  |  |  |  |  |  | | |  | | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | |
|  | **:16R:** | | | | | | **GENL** | | Start of Block | | |
| **M** | **:20C::** | | | | | | **CORP//201X0008756** | | Corporate Action Reference | | |
| **M** | **:20C::** | | | | | | **SEME//201X0654893** | | Sender's Message Reference | | |
| **O** | **:20C::** | | | | | | **COAF//201X0078998** | | Official Corporate Action Event Reference | | |
| **M** | **:23G:** | | | | | | **REPE** | | Replacement with entitlement | | |
| **M** | **:22F::** | | | | | | **CAEV//CAPD** | | Corporate Action Event Indicator | | |
| **M** | **:22F::** | | | | | | **CAMV//MAND** | | Mandatory/Voluntary Indicator | | |
| **M** | **:25D::** | | | | | | **PROC//COMP** | | Processing Status | | |
| **O** |  | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | |  | |
|  | **:16R:** | | | | | | **LINK** | | Start of Block | | |
| **R** | **:13A::** | | | | | | **LINK//564** | | Previously sent message type | | |
| **M** | **:20C::** | | | | | | **PREV//201X05241109** | | Previously sent message reference | | |
|  | **:16S:** | | | | | | **LINK** | | End of Block | | |
| **O** |  | *End of Subsequence A1 Linkages* | | | | | | | |  | |
|  | **:16S:** | | | | | | **GENL** | | End Of Block | | |
| **M** | *End of Sequence A General Information* | | | | | | | | |  | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | |  | |
|  | **:16R:** | | | | | | **USECU** | | Start of Block | | |
| **M** | **:35B:** | | | | | | **ISIN ZAE000011953** | | Identification of the Financial Instrument | | |
| **M** |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | |
|  | **:16R:** | | | | | **ACCTINFO** | | | Start of Block | | |
| **M** | **:97A::** | | | | | **SAFE//123456** | | | Safekeeping Account | | |
| **M** | **:93B::** | | | | | **ELIG//UNIT/54000,** | | | Total Eligible For Corporate Action Balance | | |
|  | **:16S:** | | | | | **ACCTINFO** | | | End Of Block | | |
| **M** |  | *End of Subsequence B2 Account Information* | | | | | | | |  | |
|  | **:16S:** | | | | | | **USECU** | | End Of Block | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | |  | |
| **R** | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | |  | |
|  | **:16R:** | | | | | | **CADETL** | | Start of Block | | |
| **O** | **98A** | | | | | | **ANOU//20YY0815** | | Announcement Date | | |
| **M** | **:98A::** | | | | | | **XDTE//20YY0828** | | Ex-Dividend or Distribution Date/Time | | |
| **M** | **:98A::** | | | | | | **RDTE//20YY0902** | | Record Date/Time | | |
|  |  | | | | | |  | |  | | |
| **O** | **:22F::** | | | | | | **ADDB//CAPA** | | The message is a preliminary advice of payment | | |
|  | **:16S:** | | | | | | **CADETL** | | End Of Block | | |
| **R** | *End of Sequence D Corporate Actions Details* | | | | | | | | |  | |
| **O** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | |
|  | **:16R:** | | | | | | **CAOPTN** | | Start of Block | | |
| **M** | **:13A::** | | | | | | **CAON//001** | | CA Option Number | | |
| **M** | **:22F::** | | | | | | **CAOP//CASH** | | Corporate Action Option Code Indicator | | |
| **R** | **:11A::** | | | | | | **OPTN//ZAR** | | Currency offered | | |
| **M** | **:17B::** | | | | | | **DFLT//Y** | | Default Processing Flag | | |
| **O** |  |  | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | |
|  | **:16R:** | | | | | | **CASHMOVE** | | Start of Block | | |
| **M** | **:22H::** | | | | | | **CRDB//CRED** | | Credit/Debit Indicator | | |
| **R** | **:19B::** | | | | | | **ENTL//ZAR31590,** | | Entitled amount | | |
| **O** | **:19B::** | | | | | | **GRSS//ZAR35100,** | | Gross amount | | |
| **O** | **:19B::** | | | | | | **WITL//ZAR3510,** | | Withholding of Local Tax | | |
| **O** | **:19B::** | | | | | | **NETT//ZAR31590,** | | Net amount | | |
| **M** | **:98A::** | | | | | | **PAYD//20YY0905** | | Payment Date/Time | | |
| **O** | **:98A::** | | | | | | **VALU//20YY0905** | | Value Date/Time | | |
| **O** | **:92A::** | | | | | | **TAXR//10,** | | Tax rate | | |
| **M** | **:92F::** | | | | | | **GRSS//ZAR0,65** | | Gross dividend rate | | |
|  |  | | | | | |  | |  | | |
| **O** | **:92F::** | | | | | | **NETT//ZAR0,585** | | Net Dividend Rate | | |
|  | **:16S:** | | | | | | **CASHMOVE** | | End Of Block | | |
| **O** |  |  | *End of Subsequence E2 Cash Movement* | | | | | | |  | |
|  | **:16S:** | | | | | | **CAOPTN** | | End Of Block | | |
| **O** |  | *End of Sequence E Corporate Action Options* | | | | | | | | |

# CAPG: Capital Gains Distribution (MAND)

### Definition:

Distribution of profits resulting from the sale of company assets, eg, Shareholders of Mutual Funds, Unit Trusts, or Sicavs are recipients of capital gains distributions which are often reinvested in additional shares of the fund.

### Issue name:

DD Company

ISIN DD1234567890

### Terms:

Cash payment of EUR0.20 per share

### Relative dates:

Ex-date - May 20, 20YY

Record date - May 23, 20YY

Payment date - May 27, 20YY

### Transaction description:

The client account (123) has an eligible holding of 100 shares.

### Messages:

MT 564 for the notice of entitlement

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | | | | | | | |
|  | |  | | | | | |  | | | | |  | | | |
|  | | *Mandatory* **Sequence A** *General Information* | | | | | | | | | |  | | | | | | | |
| **M** | | **:16R:** | | | | | **GENL** | | | Start of Block | | | | | | | |
| **M** | | **:20C::** | | | | | **CORP//CA02** | | | Corporate Action Reference | | | | | | | |
| **M** | | **:20C::** | | | | | **SEME//28A1** | | | Sender's Message Reference | | | | | | | |
| **O** | | **:20C::** | | | | | **COAF//BI01593212345** | | | Official Corporate Action Event Reference | | | | | | | |
| **M** | | **:23G:** | | | | | **REPE** | | | Replacement with entitlement | | | | | | | |
| **M** | | **:22F::** | | | | | **CAEV//CAPG** | | | Corporate Action Event Indicator | | | | | | | |
| **M** | | **:22F::** | | | | | **CAMV//MAND** | | | Mandatory/Voluntary Indicator | | | | | | | |
| **M** | | **:25D::** | | | | | **PROC//COMP** | | | Processing Status | | | | | | | |
| **O** |  | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | |  | | | | | | |
|  | **:16R:** | | | | | **LINK** | | | Start of Block | | | | | | |
| **R** | **:13A::** | | | | | **LINK//564** | | | Previously sent message type | | | | | | |
| **M** | **:20C::** | | | | | **PREV//1997885-019** | | | Previously sent message reference | | | | | | |
|  | **:16S:** | | | | | **LINK** | | | End of Block | | | | | | |
| **O** |  | | *End of Subsequence A1 Linkages* | | | | | | | | | | |  | | | | | | |
| **M** | | **:16S:** | | | | | | **GENL** | | | End Of Block | | | | | | | |
|  | | *End of Sequence A General Information* | | | | | | | | | |  | | | | | | | |
|  | | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | |  | | | | | | | |
| **M** | | **:16R:** | | | | | | **USECU** | | | Start of Block | | | | | | | |
| **M** | | **:35B:** | | | | | | **ISIN DD1234567890** | | | Identification of the Financial Instrument | | | | | | | |
|  | |  | | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | |  | | | | | | | |
| **M** | | **:16R:** | | | | | | **ACCTINFO** | | | Start of Block | | | | | | | |
| **M** | | **:97A::** | | | | | | **SAFE//123** | | | Safekeeping Account | | | | | | | |
| **O** | | **:93B::** | | | | | | **ELIG//UNIT/100,** | | | Total Eligible For Corporate Action Balance | | | | | | | |
| **M** | | **:16S:** | | | | | | **ACCTINFO** | | | End Of Block | | | | | | | |
|  | |  | | *End of Subsequence B2 Account Information* | | | | | | | |  | | | | | | | |
| **M** | | **:16S:** | | | | | | **USECU** | | | End Of Block | | | | | | | |
|  | | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | |  | | | | | | | |
|  | | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | |  | | | | | | | |
| **M** | | **:16R:** | | | | | | **CADETL** | | | Start of Block | | | | | | | |
| **O** | | **:98A::** | | | | | | **ANOU//20YY0420** | | | Announcement Date/Time | | | | | | | |
| **M** | | **:98A::** | | | | | | **XDTE//20YY0520** | | | Ex Date/Time | | | | | | | |
| **M** | | **:98A::** | | | | | | **RDTE//20YY0523** | | | Record Date/Time | | | | | | | |
| **O** | | **:22F ::** | | | | | | **ADDB//CAPA** | | | The message is a preliminary advice of payment | | | | | | | |
| **M** | | **:16S:** | | | | | | **CADETL** | | | End Of Block | | | | | | | |
|  | | *End of Sequence D Corporate Actions Details* | | | | | | | | | |  | | | | | | | |
|  | |  | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | |  | | | | | | | |
| **M** | | **:16R:** | | | | | | **CAOPTN** | | | Start of Block | | | | | | | |
| **M** | | **:13A::** | | | | | | **CAON//001** | | | CA Option Number | | | | | | | |
| **M** | | **:22F::** | | | | | | **CAOP//CASH** | | | Corporate Action Option Code Indicator | | | | | | | |
| **R** | | **:11A::** | | | | | | **OPTN//EUR** | | | Currency offered | | | | | | | |
| **M** | | **:17B::** | | | | | | **DFLT//Y** | | | Default Processing Flag | | | | | | | |
|  | |  | |  | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | |  | | | | | | | |
| **M** | | **:16R:** | | | | | | **CASHMOVE** | | | Start of Block | | | | | | | |
| **M** | | **:22H::** | | | | | | **CRDB//CRED** | | | Credit/Debit Indicator | | | | | | | |
| **R** | | **:19B::** | | | | | | **ENTL//EUR20,** | | | Entitled amount | | | | | | | |
| **O** | | **:19B::** | | | | | | **GRSS//EUR20,** | | | Gross amount | | | | | | | |
| **M** | | **:98A::** | | | | | | **PAYD//20YY0527** | | | Payment Date/Time | | | | | | | |
| **O** | | **:98A::** | | | | | | **EARL//20YY0528** | | | Earliest Payment Date (think bank holiday) | | | | | | | |
| **M** | | **:92F::** | | | | | | **GRSS//EUR0,2** | | | Gross Rate | | | | | | | |
| **M** | | **:16S:** | | | | | | **CASHMOVE** | | | End Of Block | | | | | | | |
|  | |  | |  | *End of Subsequence E2 Cash Movement* | | | | | | |  | | | | | | | |
| **M** | | **:16S:** | | | | | | **CAOPTN** | | | End Of Block | | | | | | | |
|  | |  | | *End of Sequence E Corporate Action Options* | | | | | | | |  | | | | | | | |

# CAPI: Capitalisation (MAND)

### Definition:

Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, eg, capitalisation, and negative amortisation.

### Basis Issue name:

USD FL.R INDYMAC INDX MORT.(06AR29/A5)06-36

ISIN US45662DAE58

### Relative dates:

Record Date – December 24, 20YY

Effective Date - December 26, 20YY

### Transaction description:

Account 12345 holds a position of 25.000.000, units of ISIN US45662DAE58 as a settled position. The pool factor value of the debt instrument is increased without increasing the nominal value of the instrument.

### Messages:

MT 564 for the notification

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notification | | | | | | | | | | | | | |
|  |  | | | | | | | | | | | |  | | |
|  | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | |  | | |
|  | **:16R:** | | | | | **GENL** | | | | | | Start of Block | | | |
| **M** | **:20C::** | | | | | **CORP//0000000049967052** | | | | | | Corporate Action Reference | | | |
| **M** | **:20C::** | | | | | **SEME//000000019832244S** | | | | | | Sender's Message Reference | | | |
| **O** | **:20C::** | | | | | **COAF// BI01593212345** | | | | | | Official Corporate Action Event Reference | | | |
| **M** | **:23G:** | | | | | **NEWM** | | | | | | Function of the message (here, new message) | | | |
| **M** | **:22F::** | | | | | **CAEV//CAPI** | | | | | | Corporate Action Event Indicator | | | |
| **M** | **:22F::** | | | | | **CAMV//MAND** | | | | | | Mandatory/Voluntary Indicator | | | |
| **O** | **:98C::** | | | | | **PREP//20YY1220173639** | | | | | | Preparation date and time | | | |
| **M** | **:25D::** | | | | | **PROC//COMP** | | | | | | Processing Status | | | |
|  | **:16S:** | | | | | **GENL** | | | | | | End Of Block | | | |
|  | *End of Sequence A General Information* | | | | | | | | | | | |  | | | |
|  |  |  |  | | | |  |  |  | | | |  | | | |
|  | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | |  | | | |
|  | **:16R:** | | | | | **USECU** | | | | | | Start of Block | | |
| **M** | **:35B:** | | | | | **ISIN US45662DAE58  USD FL.R INDYMAC INDX  MORT.(06AR29/A5)06-36** | | | | | | Identification of the Financial  Instrument | | |
|  |  | *Optional Subsequence B1 Financial Instrument Attributes* | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | **FIA** | | | | | | Start of Block | | |
|  | **:22F::** | | | | | **MICO//A001** | | | | | | Method of interest computation | | | |
|  | **:36B::** | | | | | **MINO//FAMT/1000,** | | | | | | Minimum Nominal Quantity (Minimum Nominal Value) | | | |
|  | **:16S:** | | | | | **FIA** | | | | | | End Of Block | | | |
|  |  | *End of Subsequence B1 Financial Instrument Attributes* | | | | | | | | | | | | | | |
|  |  |  |  | | | |  |  |  | | | |  | | | |
|  |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | **ACCTINFO** | | | | | | Start of Block | | |
| **M** | **:97A::** | | | | | **SAFE//12345** | | | | | | Safekeeping Account | | |
| **O** | **:93B::** | | | | | **ELIG//UNIT/25000000,** | | | | | | Total Eligible For Corporate Action Balance | | |
| **O** | **:93B::** | | | | | **SETT//UNIT/25000000,** | | | | | | Settlement Position Balance | | |
|  | **:16S:** | | | | | **ACCTINFO** | | | | | | End Of Block | | |
|  |  | *End of Subsequence B2 Account Information* | | | | | | | | | | |  | | | |
|  |  |  |  | | | |  |  |  | | | |  | | | |
|  | **:16S:** | | | | **USECU** | | | | | | End Of Block | | | |
|  | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | | | | | |
|  |  |  |  | | | |  |  |  | | | |  | | | |
|  | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | | | |  | | | |
|  | **:16R:** | | | | **CADETL** | | | | | | Start of Block | | | |
| **O** | **:98A::** | | | | **ANOU//20YY1220** | | | | | | Announcement date | | | |
| **M** | **:98A::** | | | | **RDTE//20YY1224** | | | | | | Record Date/Time | | | |
| **M** | **:98A::** | | | | **EFFD//20YY1226** | | | | | | Effective Date | | | |
| **M** | **:92A::** | | | | **PRFC//0,84965592062** | | | | | | Previous Factor | | | |
| **M** | **:92A::** | | | | **NWFC//0,85534662562** | | | | | | Next Factor | | | |
|  | **:16S:** | | | | **CADETL** | | | | | | End Of Block | | | |
|  | *End of Sequence D Corporate Actions Details* | | | | | | | | | | | |  | | | |
|  |  |  |  | | | |  |  |  | | | |  | | | |
|  |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | **CAON//001** | | | | | | Start of Block | | |
| **M** | **:13A::** | | | | | **CAOP//NOAC** | | | | | | CA Option Number | | |
| **M** | **:22F::** | | | | | **DFLT//Y** | | | | | | Corporate Action Option Code Indicator | | |
|  |  | *Optional Repetitive Subsequence E2 Cash Movement[[1]](#footnote-1)* | | | | | | | | | | | | |
| **M** | **:16R:** | | | **CASHMOVE** | | | | | | Start of Block | | | | |
| **M** | **:22H::** | | | **CRDB//CRED** | | | | | | Credit/Debit Indicator | | | | |
| **M** | **:98A::** | | | **PAYD//20YY1226** | | | | | | Payment Date/Time | | | | |
| **M** | **:92A::** | | | **RATE//0,5690705** | | | | | | Percentage of outstanding securities redeemed | | | | |
| **M** | **:16S:** | | | **CASHMOVE** | | | | | | End Of Block | | | | |
|  |  | *End of Subsequence E2 Cash Movement* | | | | | | | | | | | | |
|  | **:16S:** | | | **CAOPTN** | | | | | | End Of Block | | | | |
|  |  | *End of Sequence E Corporate Action Options* | | | | | | | | | | |  | | | |
|  |  |  |  | | | |  |  |  | | | |  | | | |

# CERT: Non-US TEFRA D Certification (CHOS)

### Definition:

Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.

### Issue name:

ABC & Co

ISIN XS0555575540

### Terms:

Electronic certification required from beneficial owners.

### Relative dates:

Response deadline date - August 16, 2010

### Transaction description:

The client account (a/c 12345) holds a face amount of EUR 1,000 in this bond issue.

### Instruction description:

The client will certify being a qualified investor for the entire eligible balance.

### Messages:

MT 564 for the notification

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notification | | | | | | | | | | | | | | | |
|  | |  | | | | | | | | | | | | | | | |
| **M** | | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | | | | |
|  | |  | **:16R:** | | | **GENL** | | | | | | | Start of Block | | | | | |
| **M** | |  | **:20C::** | | | **CORP//00000003592854** | | | | | | | Corporate Action Reference | | | | | |
| **M** | |  | **:20C::** | | | **SEME//1021409595380182** | | | | | | | Sender's Message Reference | | | | | |
| **O** | |  | **:20C::** | | | **COAF//1235698548544444** | | | | | | | Official Corporate Action Event Reference | | | | | |
| **M** | |  | **:23G:** | | | **NEWM** | | | | | | | Function of the message (here, new message) | | | | | |
| **O** | |  | **:22F::** | | | **CAEP//GENL** | | | | | | | Corporate Action Event Processing | | | | | |
| **M** | |  | **:22F::** | | | **CAEV//CERT** | | | | | | | Corporate Action Event Indicator | | | | | |
| **M** | |  | **:22F::** | | | **CAMV//CHOS** | | | | | | | Mandatory/Voluntary Indicator | | | | | |
| **M** | |  | **:25D::** | | | **PROC//COMP** | | | | | | | Processing Status | | | | | |
|  | |  | **:16S:** | | | **GENL** | | | | | | | End Of Block | | | | | |
| **M** | | *End of Sequence A General Information* | | | | | | | | | | | | |
|  | |  |  | |  | | |  | |  | |  | | |
| **M** | | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | | |
|  | | | **:16R:** | | | **USECU** | | | | | | | Start of Block | | | | | |
| **M** | | | **:35B:** | | | **ISIN XS0555575540** | | | | | | | Identification of the Financial Instrument | | | | | |
|  | |  | |  | | |  | |  | |  | | |
| **M** | |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | | | |
|  | | | **:16R:** | | | **ACCTINFO** | | | | | | | Start of Block | | | | | |
| **M** | | | **:97A::** | | | **SAFE//12345** | | | | | | | Safekeeping Account | | | | | |
| **O** | | | **:93B::** | | | **ELIG//UNIT/1000,** | | | | | | | Total Eligible For Corporate Action Balance | | | | | |
|  | | | **:16S:** | | | **ACCTINFO** | | | | | | | End Of Block | | | | | |
| **M** | |  | *End of Subsequence B2 Account Information* | | | | | | | | | | | |
|  | |  | | | |  | | | | | | |
|  | | | **:16S:** | | | **USECU** | | | | | | | End Of Block | | | | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | | | | | |
|  | |
| **R** | | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | | | | |  | | | |
|  | | | **:16R:** | | | **CADETL** | | | | | | | Start of Block | | | | | |
| **O** | | | **:98A::** | | | **ANOU//20100623** | | | | | | | Announcement date | | | | | |
| **O** | | | **:98C::** | | | **CERT//20100816100000** | | | | | | | Certification Deadline Date | | | | | |
| **O** | | | **:17B::** | | | **CERT//Y** | | | | | | | Certification / Breakdown Flag | | | | | |
| **O** | | | **:22F::** | | | **CEFI//ELEC** | | | | | | | Certification Format Indicator. | | | | | |
|  | | | **:16S:** | | | **CADETL** | | | | | | | End Of Block | | | | | |
| **R** | | *End of Sequence D Corporate Actions Details* | | | | | | | | | | | | |  | | | |
|  | |  |  | |  | | |  | |  | |  | | |  | | | |
| **O** | |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | |
|  | | | **:16R:** | | | **CAOPTN** | | | | | | | Start of Block | | | | | |
| **M** | | | **:13A::** | | | **CAON//001** | | | | | | | CA Option Number | | | | | |
| **M** | | | **:22F::** | | | **CAOP//QINV** | | | | | | | Corporate Action Option Code Indicator | | | | | |
| **O** | | | **:22F::** | | | **CETI//NDOM** | | | | | | | Certification / Breakdown Type Indicator. | | | | | |
| **O** | | | **;94C::** | | | **NDOM//US** | | | | | | | Country of NON-Domicile | | | | | |
| **M** | | | **:17B::** | | | **DFLT//N** | | | | | | | Default Processing Flag | | | | | |
| **O** | | | **:98C::** | | | **RDDT//20100816174500** | | | | | | | Response deadline date/time | | | | | |
|  | | | **:16S:** | | | **CAOPTN** | | | | | | | End Of Block | | | | | |
| **O** | |  | *End of Sequence E Corporate Action Options* | | | | | | | | | | | |  | | | |
| **O** | |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | |
|  | | | **:16R:** | | | **CAOPTN** | | | | | | | Start of Block | | | | | |
| **M** | | | **:13A::** | | | **CAON//002** | | | | | | | CA Option Number | | | | | |
| **M** | | | **:22F::** | | | **CAOP//NOQU** | | | | | | | Corporate Action Option Code Indicator | | | | | |
| **M** | | | **:17B::** | | | **DFLT//Y** | | | | | | | Default Processing Flag | | | | | |
| **O** | | | **:98C::** | | | **RDDT//20100816174500** | | | | | | | Response deadline date/time | | | | | |
|  | | | **:16S:** | | | **CAOPTN** | | | | | | | End Of Block | | | | | |
| **O** | |  | *End of Sequence E Corporate Action Options* | | | | | | | | | | | | | | |

# CHAN: Change of name (MAND) - Without Change of Underlying Security

### Definition:

Change of name (without change of underlying security):

The issuing company changes its name. The event shows the change from the old name to a new name and may involve surrendering physical equities in the old name to the registrar.

### Issue name:

SEVEN PILLARS

ISIN FR0000123456

### Terms:

Company has changed name to Smart Choice Automotive.

### Relative dates:

Announcement date - September 15, 20YY

Effective date - October 15, 20YY

### Transaction description:

At the AGM of SEVEN PILLARS, shareholders approved the decision to change the company’s name. New name is Smart Choice Automotive. The client account (a/c 123) holds a position of 10,000 shares.

### Messages:

MT 564 for the notification

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notification | | | | | | |
|  |  |  |  |  |  |  |  | | | | |
|  | *Mandatory* **Sequence A** *General Information* | | | | | |  | | | | |
| **M** |  |  |  |  | **:16R:** | **GENL** | Start of Block | | | | |
| **M** |  |  |  |  | **:20C::** | **CORP//123** | Corporate Action Reference | | | | |
| **M** |  |  |  |  | **:20C::** | **SEME//123456** | Sender's Message Reference | | | | |
| **O** |  |  |  |  | **:20C::** | **COAF//NEWNAME2** | Official Corporate Action Event Reference | | | | |
| **M** |  |  |  |  | **:23G:** | **NEWM** | Function of the message (here, new message) | | | | |
| **M** |  |  |  |  | **:22F::** | **CAEV//CHAN** | Corporate Action Event Indicator | | | | |
| **M** |  |  |  |  | **:22F::** | **CAMV//MAND** | Mandatory/Voluntary Indicator | | | | |
| **M** |  |  |  |  | **:25D::** | **PROC//COMP** | Processing Status | | | | |
| **M** |  |  |  |  | **:16S:** | **GENL** | End Of Block | | | | |
|  | *End of Sequence A General Information* | | | | | | | | |
|  | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | |
| **M** |  |  |  |  | **:16R:** | **USECU** | Start of Block | | | | |
| **M** |  |  |  |  | **:35B:** | **ISIN FR0000123456**  **SEVEN PILLARS** | Identification of the Financial Instrument | | | | |
|  |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | |  | | | |
| **M** |  |  |  |  | **:16R:** | **ACCTINFO** | Start of Block | | | | |
| **M** |  |  |  |  | **:97A::** | **SAFE//123** | Safekeeping Account | | | | |
| **O** |  |  |  |  | **:93B::** | **ELIG//UNIT/10000,** | Total Eligible For Corporate Action Balance | | | | |
| **M** |  |  |  |  | **:16S:** | **ACCTINFO** | End Of Block | | | | |
|  |  | *End of Subsequence B2 Account Information* | | | | | | |  | | | |
| **M** |  |  |  |  | **:16S:** | **USECU** | End Of Block | | | | |
|  | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | |  |
|  | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | |  |
| **M** |  |  |  |  | **:16R:** | **CADETL** | Start of Block | | | | |
| **R** |  |  |  |  | **:98A::** | **ANOU//20YY0915** | Announcement Date/Time | | | | |
| **M** |  |  |  |  | **:98A::** | **EFFD//20YY1015** | Effective Date | | | | |
| **M** |  |  |  |  | **:22F::** | **CHAN//NAME** | Name Change | | | | |
| **M** |  |  |  |  | **:70E::** | **NAME//SMART CHOICE AUTOMOTIVE** | New Name of Company | | | | |
| **M** |  |  |  |  | **:16S:** | **CADETL** | End Of Block | | | | |
|  | *End of Sequence D Corporate Actions Details* | | | | | |  | | | | |

# CLSA: Class Action/Proposed Settlement (VOLU)

### Definition:

Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.

### Issue name:

ISIN US14141A1088

### Terms:

### Relative dates:

Court Approval Date: 30 July 2011

Lead Plaintiff Deadline: 31 December 2011

Hearing Date: 24 January 2012

Filing Date: 30 July 2011

Claim Period: From 19 October 2010 to 3 December 2010

### Transaction description:

A class action provides account owners with options to file a claim, not to file the claim, or opt out of the class action to pursue their own legal representation. Service Providers can determine the default option based on the level of service they support. The 564 notification provides account holders with information to make this determination.

### Messages:

MT 564 for the notification

|  |  |  |  |
| --- | --- | --- | --- |
| MT 564 Corporate Action Notification | | | |
| **M** | *Mandatory* ***Sequence A*** *General Information* | | |
|  | **:16R:** | **GENL** | Start of Block |
| **M** | **:20C::** | **CORP//CORPACTREF254** | Corporate Action Reference |
| **M** | **:20C::** | **SEME//BHC30AAKL07ACB00** | Sender's Message Reference |
| **O** | **:20C::** | **COAF//USEVENTREF** | Official Corporate Action Event Reference |
| **M** | **:23G:** | **NEWM** | Function of the message |
| **M** | **:22F::** | **CAEV//CLSA** | Corporate Action Event Indicator |
| **M** | **:22F::** | **CAMV//VOLU** | Mandatory/Voluntary Indicator |
| **M** | **:25D::** | **PROC//COMP** | Processing Status |
| **O** | *Optional* ***Subsequence A1*** *Linkage* | |  |
|  | **:16R::** | **LINK** | Start of Block |
| **O** | **:13A::** | **LINK//564** | Previously sent message type |
| **M** | **:20C::** | **CACN//CA-5972-VCP** | Class Action Case Number |
|  | **:16S::** | **LINK** | End of Block |
| **O** | *End of* ***Subsequence A1*** *Linkage* | |  |
|  | **:16S:** | **GENL** | End Of Block |
| **M** | *End of* ***Sequence A*** *General Information* | | |
| **M** | *Mandatory* ***Sequence B*** *Underlying Securities* | | |
|  | **:16R:** | **USECU** | Start of Block |
| **M** | **:35B:** | **ISIN US14141A1088** | Identification of the Financial Instrument |
| **M** | *Mandatory Repetitive* ***Subsequence B2*** *Account Information* | | |
|  | **:16R:** | **ACCTINFO** | Start of Block |
| **M** | **:97A::** | **SAFE//123456** | Safekeeping Account |
| **O** | **:93B::** | **ELIG//UNIT/1000000** | Total Eligible For Corporate Action |
|  | **:16S:** | **ACCTINFO** | End Of Block |
| **M** | *End of* ***Subsequence B2*** *Account Information* | | |
|  | **:16S:** | **USECU** | End Of Block |
| **M** | *End of Mandatory* ***Sequence B*** *Underlying Securities* | | |
| **O** | *Optional* ***Sequence D*** *Corporate Actions Details* | | |
|  | **:16R:** | **CADETL** | Start of Block |
| **M** | **:98A::** | **COAP//20110730** | Court Approval Date |
| **O** | **:98A::** | **PLDT//20111231** | Lead Plaintiff Deadline |
| **O** | **:98A::** | **HEAR//20120124** | Hearing Date |
| **O** | **:98A::** | **FILL//20110730** | Filing Date |
| **M** | **:69A::** | **CLCP//20101019/20101203** | Claim Period |
|  | **:70G::** | **WEBB//www.leadplaintiff.com** | Used to provide lead plaintiff website |
|  | **:70E::** | **ADTX//blablabla** | Used to provide court address and contact details |
|  | **:16S:** | **CADETL** | End Of Block |
| **O** | *End of* ***Sequence D*** *Corporate Actions Details* | | |
| **O** | *Optional Repetitive* ***Sequence E*** *Corporate Action Options* | | |
|  | **:16R:** | **CAOPTN** | Start of Block |
| **M** | **:13A::** | **CAON//001** | CA Option Number |
| **M** | **:22F::** | **CAOP//CONN** | Consent Denied - Do not file claim |
| **M** | **:17B::** | **DFLT//Y** | Default Processing Flag |
|  | **:16S:** | **CAOPTN** | End of Block |
|  | *End of* ***Sequence E*** *Corporate Action Options* | | |
| **O** | *Optional Repetitive* ***Sequence E*** *Corporate Action Options* | | |
|  | **:16R:** | **CAOPTN** | Start of Block |
| **M** | **:13A::** | **CAON//002** | CA Option Number |
| **M** | **:22F::** | **CAOP//CONY** | Consent Granted - File a claim |
| **M** | **:17B::** | **DFLT//N** | Default Processing Flag |
|  | **:16S:** | **CAOPTN** | End of Block |
|  | *End of* ***Sequence E*** *Corporate Action Options* | | |
| **O** | *Optional Repetitive* ***Sequence E*** *Corporate Action Options* | | |
|  | **:16R:** | **CAOPTN** | Start of Block |
| **M** | **:13A::** | **CAON//003** | CA Option Number |
| **M** | **:22F::** | **CAOP//NOAC** | Take no action - opt out of the claim |
| **M** | **:17B::** | **DFLT//N** | Default Processing Flag |
|  | **:16S:** | **CAOPTN** | End of Block |
|  | *End of* ***Sequence E*** *Corporate Action Options* | | |

# CONS: Consent (VOLU)

### Definition:

Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party intended to progress an event to the next stage. This procedure is not required to be linked to the organisation of a formal meeting. For example, consent to approve a plan of reorganisation for a bankruptcy proceeding.

### Issue:

ISIN NO0010391642

### Terms:

, The company is proposing to pay a consent fee of NOK 0,01 per bond to bondholders as per the record-date, who consent to the proposal.

### Relative dates:

Meeting date – 1 November 20YY

Ex date - 16 November 20YY

Record date 15 November 20YY

Response deadline date – 24 November 20YY

Market deadline date – 25 November 20YY

Payment date – 28 November 20YY

### Transaction description:

A/C 05005xxxxxxx has an underlying balance of 50,000 in bonds.

### Instruction description:

The Client will elect the consent granted option for the entire eligible balance.

### Messages:

MT 564 for notification

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notification | | | | | | | | | | |
|  |  |  |  |  |  | | |  | | |  |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | |  |
|  | **:16R:** | | | | | | **GENL** | | Start of Block | | |
| **M** | **:20C::** | | | | | | **CORP//123** | | Corporate Action Reference | | |
| **M** | **:20C::** | | | | | | **SEME//123456** | | Sender's Message Reference | | |
| **O** | **:20C::** | | | | | | **COAF//BE12345678901234** | | Official Corporate Action Event Reference | | |
| **M** | **:23G:** | | | | | | **NEWM** | | Function of the message (here, new message) | | |
| **M** | **:22F::** | | | | | | **CAEV//CONS** | | Corporate Action Event Indicator | | |
| **M** | **:22F::** | | | | | | **CAMV//VOLU** | | Mandatory/Voluntary Indicator | | |
| **O** | **:98C::** | | | | | | **PREP//20YY1020150000** | | Preparation Date Time | | |
| **M** | **:25D::** | | | | | | **PROC//COMP** | | Processing Status | | |
|  | **:16S:** | | | | | | **GENL** | | End Of Block | | |
| **M** | *End of Sequence A General Information* | | | | | | | | |  | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | |  | |
|  | **:16R:** | | | | | | **USECU** | | Start of Block | | |
| **M** | **:35B:** | | | | | | **ISIN NO0010391642** | | Identification of the Financial Instrument | | |
|  |  |  |  |  |  | | |  | |  | |
| **M** |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | |
|  | **:16R:** | | | | | | **ACCTINFO** | | Start of Block | | |
| **M** | **:97A::** | | | | | | **SAFE//05005xxxxxxx** | | Safekeeping Account | | |
| **O** | **:93B::** | | | | | | **ELIG//UNIT/50000,** | | Total Eligible For Corporate Action Balance | | |
| **O** | **:93B::** | | | | | | **PEND//UNIT/10000,** | | Pending Delivery Balance | | |
| **O** | **:93B::** | | | | | | **PENR//UNIT/10000,** | | Pending Receipt Balance | | |
| **O** | **:93B::** | | | | | | **SETT//UNIT/50000,** | | Settlement Position Balance | | |
|  | **:16S:** | | | | | | **ACCTINFO** | | End Of Block | | |
| **M** |  | *End of Subsequence B2 Account Information* | | | | | | | |  | |
|  | **:16S:** | | | | | **USECU** | | | End Of Block | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | |  | |
| **M** | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | |  | |
|  | **:16R:** | | | | | | **CADETL** | | Start of Block | | |
| **O** | **:98A::** | | | | | | **MEET//20YY1116** | | Meeting Date/Time | | |
| **O** | **:98A::** | | | | | | **XDTE//20YY1116** | | Ex-Dividend or Distribution Date/Time | | |
| **M** | **:98A::** | | | | | | **RDTE//20YY1115** | | Record Date/Time | | |
|  | **:16S:** | | | | | | **CADETL** | | End Of Block | | |
| **M** | *End of Sequence D Corporate Actions Details* | | | | | | | | |  | |
| **O** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | |
|  | **:16R:** | | | | | | **CAOPTN** | | Start of Block | | |
| **M** | **:13A::** | | | | | | **CAON//001** | | CA Option Number | | |
| **M** | **:22F::** | | | | | | **CAOP//CONY** | | Corporate Action Option Code Indicator | | |
| **M** | **:17B::** | | | | | | **DFLT//N** | | Default Processing Flag | | |
| **O** | **:98A::** | | | | | | **RDDT//20YY1124** | | Response deadline date/time | | |
| **O** | **:98A::** | | | | | | **MKDT//20YY1125** | | Market Deadline Date | | |
| **O** | **:69A::** | | | | | | **PWAL//** **20YY1118/20YY11251600** | | Period of action | | |
| **O** |  |  |  | | | | | | | | |
| **O** |  |  | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | | |  |
|  | **:16R:** | | | | | | **CASHMOVE** | | Start of Block | | |
| **M** | **:22H::** | | | | | | **CRDB//CRED** | | Credit/Debit Indicator | | |
| **O** | **:19B::** | | | | | | **ENTL//NOK50000,** | | Entitled amount | | |
| **O** | **:19B::** | | | | | | **GRSS//NOK50000,** | | Gross amount | | |
| **M** | **:98A::** | | | | | | **PAYD//20YY1128** | | Payment Date/Time | | |
| **O** | **:98A::** | | | | | | **VALU//20YY1128** | | Value Date/Time | | |
| **O** | **:92A::** | | | | | | **INCE//0,01** | | Cash Incentive Rate | | |
|  | **:16S:** | | | | | | **CASHMOVE** | | End Of Block | | |
| **O** |  |  | *End of Subsequence E2 Cash Movement* | | | | | | |  | |
|  | **:16S:** | | | | | | **CAOPTN** | | End Of Block | | |
| **O** |  | *End of Sequence E Corporate Action Options* | | | | | | | |  | |
| **O** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | |
|  | **:16R:** | | | | | | **CAOPTN** | | Start of Block | | |
| **M** | **:13A::** | | | | | | **CAON//002** | | CA Option Number | | |
| **M** | **:22F::** | | | | | | **CAOP//CONN** | | Corporate Action Option Code Indicator | | |
| **M** | **:17B::** | | | | | | **DFLT//N** | | Default Processing Flag | | |
| **O** | **:98A::** | | | | | | **RDDT//20YY1124** | | Response deadline date/time | | |
| **O** | **:98A::** | | | | | | **MKDT//20YY1125** | | Market Deadline Date | | |
| **O** | **:69A::** | | | | | | **PWAL//** **20YY1118/20YY11251600** | | Period of action | | |
|  | **:16S:** | | | | | | **CAOPTN** | | End Of Block | | |
| **O** |  | *End of Sequence E Corporate Action Options* | | | | | | | |  | |
| **O** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | |
|  | **:16R:** | | | | | | **CAOPTN** | | Start of Block | | |
| **M** | **:13A::** | | | | | | **CAON//003** | | CA Option Number | | |
| **M** | **:22F::** | | | | | | **CAOP//NOAC** | | Corporate Action Option Code Indicator | | |
| **M** | **:17B::** | | | | | | **DFLT//Y** | | Default Processing Flag | | |
| **O** | **:98A::** | | | | | | **RDDT//20YY1124** | | Response deadline date/time | | |
| **O** | **:98A::** | | | | | | **MKDT//20YY1125** | | Market Deadline Date | | |
| **O** | **:69A::** | | | | | | **PWAL//20YY1118/20YY11251600** | | Period of action | | |
|  | **:16S:** | | | | | | **CAOPTN** | | End Of Block | | |
| **O** |  | *End of Sequence E Corporate Action Options* | | | | | | | | |  |

# CONV: Conversion (VOLU)

### Definition:

Conversion or optional exchange of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio.

### Issue:

ISIN XS0070553747

### Terms:

A voluntary conversion, 100% of the holding may be converted into a second share at a rate of 1.38888888889 new shares for each 1000 underlying shares (nominal) at JPY720, per new share. Any fractions will be cancelled.

### Relative details and dates:

Period conversion may be instructed – 2 March 20YYuntil 23 March 20YY.

Payment date of security and cash movements initially unknown, later established as 31 March 20YY.

The final maturity of the bond is on 31 March 20YY.

### Instruction description:

The holder will elect to convert all their eligible holding.

### Messages:

MT 564 for the notice of entitlement

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | | | | | | | | | | |
|  | | |  | | | | | | | | | | | | | |  | | | |
| **M** | | | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | | |  | | |
|  | | | **:16R:** | | | | | | | | **GENL** | | | | |  | | | |
| **M** | | | **:20C::** | | | | | | | | **CORP//CONV2000053291** | | | | | Corporate Action Reference | | | |
| **M** | | | **:20C::** | | | | | | | | **SEME//8723087AB003** | | | | | Senders Reference | | | |
| **O** | | | **:20C::** | | | | | | | | **COAF//BI01593212345** | | | | | Official Corporate Action Event Reference | | | |
| **M** | | | **:23G:** | | | | | | | | **REPE** | | | | | Replacement with entitlement | | | |
| **O** | | | **:22F::** | | | | | | | | **CAEV//CONV** | | | | | Conversion | | | |
| **M** | | | **:22F::** | | | | | | | | **CAMV//VOLU** | | | | | Voluntary event | | | |
| **M** | | | **:25D::** | | | | | | | | **PROC//COMP** | | | | | Complete information | | | |
| **O** | | |  | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | |  | | |
|  | | | **:16R:** | | | | | | | | **LINK** | | | | | Start of Block | | | |
| **R** | | | **:13A::** | | | | | | | | **LINK//564** | | | | | Previously sent message type | | | |
| **M** | | | **:20C::** | | | | | | | | **PREV//8723087AB001** | | | | | Previously sent message reference | | | |
|  | | | **:16S:** | | | | | | | | **LINK** | | | | | End of Block | | | |
| **O** | | |  | | *End of Subsequence A1 Linkages* | | | | | | | | | | | |  | | |
| **O** | | |  | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | |  | | |
|  | | | **:16R:** | | | | | | | | **LINK** | | | | | Start of Block | | | |
| **R** | | | **:13A::** | | | | | | | | **LINK//565** | | | | | Previously received message type(s) | | | |
| **M** | | | **:20C::** | | | | | | | | **RELA//097666661** | | | | | Previously received message reference(s) | | | |
|  | | | **:16S:** | | | | | | | | **LINK** | | | | | End of Block | | | |
| **O** | | |  | | *End of Subsequence A1 Linkages* | | | | | | | | | | | |  | | | | |
|  | | | **:16S:** | | | | | | | | **GENL** | | | | | End Of Block | | | |
| **M** | | | *End of Sequence A General Information* | | | | | | | | | | | | | |  | | | | |
|  | | |  | |  | |  |  | | | |  |  | | | |  | | | | |
| **M** | | | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | | | |  | | | | |
|  | | | **:16R:** | | | | | | | | **USECU** | | | | |  | | | |
| **M** | | | **:35B:** | | | | | | | | **ISIN XS0070553747** | | | | | Underlying security ISIN | | | |
|  | | |  | |  | |  |  | | | |  |  | | | |  | | | | |
| **M** | | |  | | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | | | | |
|  | | | **:16R:** | | | | | | | | **ACCTINFO** | | | | |  | | | |
| **M** | | | **:97A::** | | | | | | | | **SAFE//77777** | | | | | Safekeeping account | | | |
| **O** | | | **:93B::** | | | | | | | | **ELIG//FAMT/100000,** | | | | | Eligible face balance | | | |
|  | | | **:16S:** | | | | | | | | **ACCTINFO** | | | | | End Of Block | | | |
| **M** | | |  | | *End of Subsequence B2 Account Information* | | | | | | | | | | | |  | | | | |
|  | | |  | |  | |  |  | | | |  |  | | | |  | | | | |
|  | | | **:16S:** | | | | | | | | **USECU** | | | | | End Of Block | | | |
| **M** | | | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | | | | | | |
|  | | |  | |  | |  |  | | | |  |  | | | |  | | |
| **O** | | |  | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | |
|  | | | **:16R:** | | | | | | | **CAOPTN** | | | | |  | | | | |
| **M** | | | **:13A::** | | | | | | | **CAON//001** | | | | | Option number | | | | |
| **M** | | | **:22F::** | | | | | | | **CAOP//SECU** | | | | | Security option | | | | |
| **O** | | | **:22F::** | | | | | | | **DISF//RDDN** | | | | | Round the entitlement down to the last full unit, fractions are discarded | | | | |
| **M** | | | **:17B::** | | | | | | | | **DFLT//N** | | | | | This is NOT the default | | | |
| **O** | | | **:98A::** | | | | | | | | **RDDT//20YYMMDD** | | | | | Response deadline date/time | | | |
| **O** | | | **:98A::** | | | | | | | | **MKDT//20YYMMDD** | | | | | Market Deadline Date | | | |
| **O** | | | **:69A::** | | | | | | | | **PWAL//20YY0302/20YY0323** | | | | | Exercise Period | | | |
|  | | |  | |  | |  |  | | | |  |  | | | |  | | |
| **O** | | |  | |  | | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | |
| **M** | | | **:16R:** | | | | | | | **SECMOVE** | | | | | Start of Block | | | |
| **M** | | | **:22H::** | | | | | | | **CRDB//DEBT** | | | | | Credit/Debit Indicator | | | |
| **M** | | | **:35B:** | | | | | | | **ISIN XS0070553747** | | | | | Underlying securities | | | |
| **M** | | | **:36B::** | | | | | | | **ENTL//FAMT/100000,** | | | | | Quantity to be debited | | | |
| **M** | | | **:98A::** | | | | | | | **PAYD//20YY0331** | | | | | Payment Date/Time | | | |
| **O** | | | **:98A::** | | | | | | | **EARL//20YYMMDD** | | | | | Earliest Payment Date | | | |
| **M** | | | **:16S:** | | | | | | | **SECMOVE** | | | | | End Of Block | | | |
| **O** | | |  | |  | | *End of Subsequence E1 Securities Movement* | | | | | | | | | | | | |
|  | | |  | |  | |  |  | | | |  |  | | | |  | | |
| **O** | | |  | |  | | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | |
| **M** | | | **:16R:** | | | | | | | **SECMOVE** | | | | | Start of Block | | | |
| **M** | | | **:22H::** | | | | | | | **CRDB//CRED** | | | | | Credit/Debit Indicator | | | |
| **M** | | | **:35B:** | | | | | | | **ISIN JP3365600000** | | | | | Underlying securities | | | |
| **M** | | | **:36B::** | | | | | | | **ENTL//UNIT/138,** | | | | | Quantity to be credited | | | |
| **C1[[2]](#footnote-2)** | | | **:92D::** | | | | | | | **NEWO//1,38888888889/1000,** | | | | | Number of credit securities for underlying security | | | |
| **M** | | | **:98A::** | | | | | | | **PAYD//20YY0331** | | | | | Payment Date/Time | | | |
| **M** | | | **:16S:** | | | | | | | **SECMOVE** | | | | | End Of Block | | | |
| **O** | | |  | |  | | *End of Subsequence E1 Securities Movement* | | | | | | | | | | | | |
|  | | |  | |  | |  |  | | | |  |  | | | |  | | |
| **O** | | |  | |  | | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | | | | | |
|  | | | **:16R:** | | | | | | | **CASHMOVE** | | | | | Start of Block | | | |
| **M** | | | **:22H::** | | | | | | | **CRDB//DEBT** | | | | | Credit/Debit Indicator | | | |
| **M** | | | **:19B::** | | | | | | | **ENTL//JPY99360,** | | | | | Entitled amount | | | |
| **O** | | | **:19B::** | | | | | | | **GRSS//JPY99360,** | | | | | Gross amount | | | |
| **M** | | | **:98A::** | | | | | | | **PAYD//20YY0331** | | | | | Payment Date/Time | | | |
| **O** | | | **:98A::** | | | | | | | **VALU//20YY0331** | | | | | Value Date/Time | | | |
| **C1** | | | **:90B::** | | | | | | | **PRPP//ACTU/JPY720,** | | | | | Conversion price paid per credit security | | | |
|  | | | **:16S:** | | | | | | | **CASHMOVE** | | | | | End Of Block | | | |
| **O** | | |  | |  | | *End of Subsequence E2 Cash Movement* | | | | | | | | | |  | | |
|  | | |  | |  | |  |  | | | |  |  | | | |  | | |
|  | | | **:16S:** | | | | | | | **CAOPTN** | | | | | End Of Block | | | |
| **O** | | |  | | *End of Sequence E Corporate Action Options* | | | | | | | | | | | |  | | |
| **O** | |  | | | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | |
|  | | **:16R:** | | | | | | | **CAOPTN** | | | | | Start of Block | | | | |
| **M** | | **:13A::** | | | | | | | **CAON//002** | | | | | CA Option Number | | | | |
| **M** | | **:22F::** | | | | | | | **CAOP//NOAC** | | | | | Corporate Action Option Code Indicator | | | | |
| **M** | | **:17B::** | | | | | | | **DFLT//Y** | | | | | Default Processing Flag | | | | |
| **O** | | **:98A::** | | | | | | | **RDDT//20YYMMDD** | | | | | Response deadline date/time | | | | |
| **O** | | **:98A::** | | | | | | | **MKDT//20YYMMDD** | | | | | Market Deadline Date | | | | |
|  | | **:16S:** | | | | | | | **CAOPTN** | | | | | End Of Block | | | | |
| **O** |  | | | *End of Sequence E Corporate Action Options* | | | | | | | | | | | | | | | |
|  | | |  | |  | | | | | | | | | | | |  | | |

# CREV: Credit Event (MAND)

### Definition:

An occurrence of credit derivative for which the issuer of one or several underlying securities is unable to full fill his financial obligations (as defined in terms and conditions).

### Issue name:

STARLING FINANCE PL VAR

ISIN XS0238894553

### Relative dates:

Announcement Date/Time – 23 June 20YY

### Transaction description:

The client account (a/c 12345) holds a face amount of EUR 1,000 in this bond issue.

### Messages:

MT 564 CA Notification

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notification | | | | | | |
|  |  |  |  |  | |  |
| *M* | *Mandatory Sequence A General Information* | | | | | |
|  | **:16R:GENL** | | | | | Start of Block |
| **M** | **:20C::CORP//CA000003384283** | | | | | Corporate Action Reference |
| **M** | **:20C::SEME//DSBBUQG39MNJS326** | | | | | Sender's Message Reference |
| **O** | **:20C::COAF//1235698548544444** | | | | | Official Corporate Action Event Reference |
| **M** | **:23G:NEWM** | | | | | Function of the message (here, new message) |
| **M** | **:22F::CAEV//CERT** | | | | | Corporate Action Event Indicator |
| **O** | **:22F::CAEP//GENL** | | | | | Corporate Action Processing Indicator |
| **M** | **:22F::CAMV//MAND** | | | | | Mandatory/Voluntary Indicator |
| **O** | **:98C::PREP//20YY1130111822** | | | | | Message Preparation date/time |
| **M** | **:25D::PROC//COMP** | | | | | Processing Status |
|  | **:16S:GENL** | | | | | End of Block |
|  | *End of Sequence A General Information* | | | | | |
|  | | | | | | |
| *M* | *Mandatory Sequence B Underlying Securities* | | | | | |
|  | **:16R:USECU** | | | | | Start of Block |
| **M** | **:35B:ISIN XS0238894553** | | | | Identification of the Financial Instrument | |
| *M* | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | |
|  | **:16R:ACCTINFO** | | | | Start of Block | |
| **M** | **:97A::SAFE//12345** | | | | Safekeeping Account | |
| **O** | **:93B::SETT//UNIT/1000,** | | | | Total Eligible For Corporate Action Balance | |
|  | **:16S:ACCTINFO** | | | | End of Block | |
|  | *End of Subsequence B2 Account Information* | | | | | |
|  | **:16S:USECU** | | | | | End of Block |
|  | *End of Mandatory Sequence B Underlying Securities* | | | | | |
|  | | | | | | |
| *O* | *Optional Sequence D Corporate Actions Details* | | | | | |
|  | **:16R:CADETL** | | | | | Start of Block |
| **O** | **:98A::ANOU//20YY0623** | | | | Announcement Date/Time | |
|  | **:16S:CADETL** | | | | | End of Block |
|  | *End of Sequence D Corporate Actions Details* | | | | | |
|  | | | | | | |
| *O* | *Optional Sequence F Additional Information* | | | | | |
| **O** | **:16R:ADDINFO** | | | | | Start of Block |
|  | **:70E::ADTX//++ADDITIONAL INFORMATION ++An occurrence of credit derivative for which the issuer of the underlying security is unable to full fill his financial obligations (as defined in terms and conditions). ++ INFORMATION SOURCE: DEPOSITARY, XXXXX BANK, LONDON** | | | | Additional Text | |
|
|
|
|  | **:16S:ADDINFO** | | | | | End of Block |
|  | *End of Sequence F Additional Information* | | | | | |
|  | | | | | | |

# DECR: Decrease in Value (MAND)

### Definition:

Reduction of face value of a single share or the value of fund assets. The number of circulating shares / units remains unchanged. This event may include a cash payout to holders.

### Issue name:

ABC Ltd TRY 200

ISIN TR0000000111

### Terms:

The nominal value will change from TRY 200 to TRY 100. In exchange the shareholder will receive TRY 100 for each share held.

### Relative dates:

Record date - June 9, 20YY

Ex date – June 6, 20YY

Pay date – June 10, 20YY

### Transaction description:

ABC Limited has announced that they are reducing the face value of each of their shares.

On record date the client account (a/c ABC999-01) holds 94,000 in ABC Ltd shares (TR0000000111).

### Messages:

MT 564 for the notice of entitlement

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | | | | | | | | | | | | | | | | | |
|  | | |  | | | | | | | | | | | | | | | | | | | | |  | |
| **M** | | | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | | | | | | | | | |  | |
|  | | | **:16R:** | | | | | | | | | | | **GENL** | | Start of Block | | | | | | | | | | | |
| **M** | | | **:20C::** | | | | | | | | | | | **CORP//DIV00000001** | | Corporate Action Reference | | | | | | | | | | | |
| **M** | | | **:20C::** | | | | | | | | | | | **SEME//12345678-02** | | Sender's Message Reference | | | | | | | | | | | |
| **O** | | | **:20C::** | | | | | | | | | | | **COAF//BI01593212345** | | Official Corporate Action Event Reference | | | | | | | | | | | |
| **M** | | | **:23G:** | | | | | | | | | | | **REPE** | | Replacement with entitlement | | | | | | | | | | | |
| **M** | | | **:22F::** | | | | | | | | | | | **CAEV//DECR** | | Corporate Action Event Indicator | | | | | | | | | | | |
| **M** | | | **:22F::** | | | | | | | | | | | **CAMV//MAND** | | Mandatory/Voluntary Indicator | | | | | | | | | | | |
| **M** | | | **:25D::** | | | | | | | | | | | **PROC//COMP** | | Processing Status | | | | | | | | | | | |
| **O** | | |  | | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | | | | | | |  | | | | | |
|  | | | **:16R:** | | | | | | | | | | | **LINK** | | Start of Block | | | | | | | | | | | |
| **R** | | | **:13A::** | | | | | | | | | | | **LINK//564** | | Previously sent message type | | | | | | | | | | | |
| **M** | | | **:20C::** | | | | | | | | | | | **PREV//12345678-01** | | Previously sent message reference | | | | | | | | | | | |
|  | | | **:16S:** | | | | | | | | | | | **LINK** | | End of Block | | | | | | | | | | | |
| **O** | | |  | | | *End of Subsequence A1 Linkages* | | | | | | | | | | | | | | | | |  | | | | | |
|  | | |  | | |  | |  | |  | | |  | |  | | | | | | | |  | | | | | |
|  | | | **:16S:** | | | | | | **GENL** | | | | | | | End Of Block | | | | | | | | | | | |
| **M** | | | *End of Sequence A General Information* | | | | | | | | | | | | | | | | | | |  | | | | | | |
| **M** | | | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | | | | | | | | |  | | | | | | |
|  | | | **:16R:** | | | | | | **USECU** | | | | | | | | | Start of Block | | | | | | | | | |
| **M** | | | **:35B:** | | | | | | **ISIN TR0000000111 ABC LTD TRY 200** | | | | | | | | | Identification of the Financial Instrument | | | | | | | | | |
|  | | |  | | |  | |  | |  | | |  | |  | | | | | | | |  | | | | | |
| **M** | | |  | | | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | | | | | | | | | | |
|  | | | **:16R:** | | | | | | | | **ACCTINFO** | | | | | | Start of Block | | | | | | | | | |
| **M** | | | **:97A::** | | | | | | | | **SAFE//ABC999-01** | | | | | | Safekeeping Account | | | | | | | | | |
| **M** | | | **:93B::** | | | | | | | | **ELIG//UNIT/94000,** | | | | | | Total Eligible For Corporate Action Balance | | | | | | | | | |
|  | | | **:16S:** | | | | | | | | **ACCTINFO** | | | | | | End Of Block | | | | | | | | | |
| **M** | | |  | | | *End of Subsequence B2 Account Information* | | | | | | | | | | | | | | | | |  | | | | | |
|  | | |  | | |  | |  | |  | | |  | |  | | | | | | | |  | | | | | |
|  | | | **:16S:** | | | | | | | | **USECU** | | | | | | End Of Block | | | | | | | | | |
| **M** | | | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | | | | | | | | |  | | | | | | |
|  | | |  | | |  | |  | |  | | |  | |  | | | | | | | |  | | | | | |
| **R** | | | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | | | | | | | | | | |  | | | | | | |
|  | | | **:16R:** | | | | | | | | **CADETL** | | | | | | | | Start of Block | | | | | | | | |
| **M** | | | **:98A::** | | | | | | | | **XDTE//20YY0606** | | | | | | | | Ex-Dividend or Distribution Date/Time | | | | | | | | |
| **M** | | | **:98A::** | | | | | | | | **RDTE//20YY0609** | | | | | | | | Record Date/Time | | | | | | | | |
|  | | | **:16S:** | | | | | | | | **CADETL** | | | | | | | | End Of Block | | | | | | | | |
| **R** | | | *End of Sequence D Corporate Actions Details* | | | | | | | | | | | | | | | | | | |  | | | | | | |
|  | | |  | | |  | |  | |  | | |  | |  | | | | | | | |  | | | | | |
| **O** | | |  | | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | | | | | | | | |
|  | | | **:16R:** | | | | | | | | **CAOPTN** | | | | | | | | | Start of Block | | | | | | | |
| **M** | | | **:13A::** | | | | | | | | **CAON//001** | | | | | | | | | CA Option Number | | | | | | | |
| **M** | | | **:22F::** | | | | | | | | **CAOP//CASH** | | | | | | | | | Corporate Action Option Code Indicator | | | | | | | |
| **M** | | | **:17B::** | | | | | | | | **DFLT//Y** | | | | | | | | | Default Processing Flag | | | | | | | |
|  | | |  | | |  | |  | |  | | |  | |  | | | | | | | |  | | | | | |
| **O** | | |  | | |  | | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | | | | | | | | | | |
|  | | | **:16R:** | | | | | | | | **CASHMOVE** | | | | | | | | | Start of Block | | | | | | | |
| **M** | | | **:22H::** | | | | | | | | **CRDB//CRED** | | | | | | | | | Credit/Debit Indicator | | | | | | | |
| **M** | | | **:19B::** | | | | | | | | **ENTL//TRY9400000,** | | | | | | | | | Entitled amount | | | | | | | |
| **O** | | | **:19B::** | | | | | | | | **GRSS//TRY9400000,** | | | | | | | | | Gross amount | | | | | | | |
| **M** | | | **:98A::** | | | | | | | | **PAYD//20YY0610** | | | | | | | | | Payment Date/Time | | | | | | | |
| **O** | | | **:98A::** | | | | | | | | **VALU//20YY0610** | | | | | | | | | Value Date/Time | | | | | | | |
| **M** | | | **:92A::** | | | | | | | | **RATE//50,** | | | | | | | | | Percentage of outstanding securities redeemed | | | | | | | |
| **O** | | | **:90B::** | | | | | | | | **OFFR//ACTU/TRY100,** | | | | | | | | | Generic Cash Price Received per Product | | | | | | | |
|  | | | **:16S:** | | | | | | | | **CASHMOVE** | | | | | | | | | End of Block | | | | | | | |
| **O** | |  | | |  | | *End of Subsequence E2 Cash Movement* | | | | | | | | | | | | | | |  | | | | | | |
|  |  | | | **:16S:** | | | | | | | | **CAOPTN** | | | | | | | | | End Of Block | | | | | | |
| **O** |  | | | *End of Sequence E Corporate Action Options* | | | | | | | | | | | | | | | | | | | |  | | | | |

# DECR: Decrease in Value (MAND) – No Cash

### Definition:

Reduction of face value of a single share or the value of fund assets. The number of circulating shares / units remains unchanged. This event may include a cash payout to holders.

### Issue name:

ABC Ltd TRY 200

ISIN TR0000000111

### Terms:

The nominal value will change from TRY 200 to TRY 100. No cash compensation is paid to shareholders.

### Relative dates:

Effective date - June 9, 20YY

### Transaction description:

ABC Limited has announced that they are reducing the face value of each of their shares.

On record date the client account (a/c ABC999-01) holds 94,000 in ABC Ltd shares (TR0000000111).

### Messages:

MT 564 for the notice of entitlement

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | | | | | | | |
|  |  | | | | | | | | | | | | |  | | | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | |  | | | |
|  | **:16R:** | | | | | | **GENL** | | | Start of Block | | | | | | |
| **M** | **:20C::** | | | | | | **CORP//DIV00000001** | | | Corporate Action Reference | | | | | | |
| **M** | **:20C::** | | | | | | **SEME//12345678-02** | | | Sender's Message Reference | | | | | | |
| **O** | **:20C::** | | | | | | **COAF//BI01593212345** | | | Official Corporate Action Event Reference | | | | | | |
| **M** | **:23G:** | | | | | | **REPL** | | | Replacement | | | | | | |
| **M** | **:22F::** | | | | | | **CAEV//DECR** | | | Corporate Action Event Indicator | | | | | | |
| **M** | **:22F::** | | | | | | **CAMV//MAND** | | | Mandatory/Voluntary Indicator | | | | | | |
| **M** | **:25D::** | | | | | | **PROC//COMP** | | | Processing Status | | | | | | |
| **O** |  | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | |  | | | | |
|  | **:16R:** | | | | | | **LINK** | | | Start of Block | | | | | | |
| **R** | **:13A::** | | | | | | **LINK//564** | | | Previously sent message type | | | | | | |
| **M** | **:20C::** | | | | | | **PREV//12345678-01** | | | Previously sent message reference | | | | | | |
|  | **:16S:** | | | | | | **LINK** | | | End of Block | | | | | | |
| **O** |  | *End of Subsequence A1 Linkages* | | | | | | | | | | |  | | | | |
|  | **:16S:** | | | | | | | **GENL** | | End Of Block | | | | | | |
| **M** | *End of Sequence A General Information* | | | | | | | | | | |  | | | | | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | |  | | | | | |
|  | **:16R:** | | | | | | | **USECU** | | | Start of Block | | | | | | |
| **M** | **:35B:** | | | | | | | **ISIN TR0000000111 ABC LTD TRY 200** | | | Identification of the Financial Instrument | | | | | | |
| **M** |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | | | |
|  | **:16R:** | | | | **ACCTINFO** | | | | | | Start of Block | | | | | | | |
| **M** | **:97A::** | | | | **SAFE//ABC999-01** | | | | | | Safekeeping Account | | | | | | | |
| **O** | **:93B::** | | | | **ELIG//UNIT/94000,** | | | | | | Total Eligible For Corporate Action Balance | | | | | | | |
|  | **:16S:** | | | | **ACCTINFO** | | | | | | End Of Block | | | | | | | |
| **M** |  | *End of Subsequence B2 Account Information* | | | | | | | | | | |  | | | | |
|  | **:16S:** | | | | **USECU** | | | | | | End Of Block | | | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | |  | | | | | |
| **M** | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | | |  | | | | | |
|  | **:16R:** | | | | **CADETL** | | | | | | Start of Block | | | | | |
| **M** | **:98A::** | | | | **EFFD//20YY0609** | | | | | | Effective Date/Time | | | | | |
|  | **:16S:** | | | | **CADETL** | | | | | | End Of Block | | | | | |
| **M** | *End of Sequence D Corporate Actions Details* | | | | | | | | | | |  | | | | | |
|  |  |  |  |  | |  | | |  | | | |  | | | | |

# DFLT: Bond Default (MAND)

### Definition:

Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.

### Issue name:

ABC & Co

ISIN XS0555575540

### Terms:

No terms

### Relative dates:

Effective date - July 11, 20YY

### Transaction description:

The client account (a/c 12345) holds a face amount of EUR 1,000 in this bond issue.

### Instruction description:

Not applicable

### Messages:

MT 564 for the notification

|  |
| --- |
| MT 564 Corporate Action Notification |

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | |
|  |  | **:16R:** | | | | **GENL** | | Start of Block | | | | | | |
| **M** |  | **:20C::** | | | | **CORP//00000003592854** | | Corporate Action Reference | | | | | | |
| **M** |  | **:20C::** | | | | **SEME//1021409595380182** | | Sender's Message Reference | | | | | | |
| **O** |  | **:20C::** | | | | **COAF//1235698548544444** | | Official Corporate Action Event Reference | | | | | | |
| **M** |  | **:23G:** | | | | **NEWM** | | Function of the message (new message) | | | | | | |
| **M** |  | **:22F::** | | | | **CAEV//DFLT** | | Corporate Action Event Indicator | | | | | | |
| **M** |  | **:22F::** | | | | **CAMV//MAND** | | Mandatory/Voluntary Indicator | | | | | | |
| **O** |  | **:98C::** | | | | **PREP//20100802095301** | | Message preparation Date/Time | | | | | | |
| **M** |  | **:25D::** | | | | **PROC//COMP** | | Processing Status | | | | | | |
|  |  | **:16S:** | | | | **GENL** | | End Of Block | | | | | | |
| **M** | *End of Sequence A General Information* | | | | | | | |
|  |  |  |  |  |  | |  | |  |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | |  |  | |
|  |  | **:16R:** | | | | **USECU** | | Start of Block | | | | | | |
| **M** |  | **:35B:** | | | | **ISIN XS0555575540** | | Identification of the Financial Instrument | | | | | | |
|  |  |  |  |  |  | |  | |  | | | | |
| **M** |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | |
|  |  | **:16R:** | | | | **ACCTINFO** | | Start of Block | | | | | | |
| **M** |  | **:97A::** | | | | **SAFE//12345** | | Safekeeping Account | | | | | | |
| **O** |  | **:93B::** | | | | **ELIG//FAMT/1000,** | | Total Eligible For Corporate Action Balance | | | | | | |
|  |  | **:16S:** | | | | **ACCTINFO** | | End Of Block | | | | | | |
| **M** |  | *End of Subsequence B2 Account Information* | | | | | | |  | |
|  |  |  |  |  |  | |  | |  | |
|  |  | **:16S:** | | | | **USECU** | | End Of Block | | | | | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | |  | |
|  |  |  |  |  |  | |  | |  | |
| **R** | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | |  | |
|  |  | **:16R:** | | | | **CADETL** | | Start of Block | | | | | | |
| **M** |  | **:98A::** | | | | **EFFD//20YY0711** | | Effective Date | | | | | | |
|  |  | **:16S:** | | | | **CADETL** | | End Of Block | | | | | | |
| **R** | *End of Sequence D Corporate Actions Details* | | | | | | | |  | |
|  |  |  |  |  |  | |  | |  | |
| **O** | *Optional* **Sequence F** *Additional Information* | | | | | | | |  | |
|  |  | **:16R:** | | | | **ADDINFO** | | Start of Block | | | | | | |
|  |  | **:70E::** | | | | **ADTX//++ADDITIONAL INFORMATION ++ THE ISSUER INFORMS THE NOTEHOLDERS OF THE FOLLOWING : QUOTE WE REFER TO THE SCHEMES BETWEEN THE SCHEME COMPANIES AND THEIR RESPECTIVE SCHEME CREDITORS WHICH….** | | Additional Text | | | | | | |
|  |  | **:16S:** | | | | **ADDINFO** | | End Of Block | | | | | | |
| **O** | *End of Sequence F Additional Information* | | | | | | | | | | | |

# DRAW: Drawing (MAND)

### Definition:

Redemption in part before the scheduled final maturity date of a security. Drawing is distinct from partial call since drawn bonds are chosen by lottery and with no reduction in nominal value.

### Issue name:

SGS International 12% due December 15, 2013   
ISIN US784216AB97

### Terms:

Bonds to be redeemed are chosen by lottery and will redeem in USD.

### Relative dates:

Lottery Date - November 16, 20YY   
Record Date  - November 15, 20YY   
Payment Date - December 23, 20YY

### Transaction description:

### A portion of bonds issued by SGS International will be redeemed. Bonds to be redeemed are chosen by lottery and results are confirmed to the bondholder. The participant account (a/c 123456) holds a face amount of USD 200,000. 50,000 units will part of the drawn bonds for this account following the lottery on November 16, 20YY.

Entitlement Notificaion is sent to notify holders of their called position "affected balance." The updated announcement with the called details should be sent to all holders, whether a portion of their position was called or not. If a holders position was not called in the lottery, a zero balance will be reported as the "affected balance." Opening a movement for a client that was unaffected by the event does not add value to the process since there is no resulting entitlement.

Also, there are circumstances where accrued interest is paid along with the principal. In this case an additional cash movement would be created including the accrued interest rate (INTP). This is not included in the entitlement message illustrated below.

### Messages:

MT 564 for the notification

MT 564 for the notice of entitlement

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notification | | | | | | | |
|  | *Mandatory* **Sequence A** *General Information* | | | | | | |  |
| **M** | **:16R:** | | | **GENL** | | Start of Block | | |
| **M** | **:20C::** | | | **CORP//CORPACTREF1** | | Corporate Action Reference | | |
| **M** | **:20C::** | | | **SEME//BCC25AAF504ADC00** | | Sender's Message Reference | | |
| **O** | **:20C::** | | | **COAF//USEVENTREF** | | Official Corporate Action Event Reference | | |
| **M** | **:23G:** | | | **NEWM** | | Replacement with entitlement | | |
| **M** | **:22F::** | | | **CAEV//DRAW** | | Corporate Action Event Indicator | | |
| **M** | **:22F::** | | | **CAMV//MAND** | | Mandatory/Voluntary Indicator | | |
| **M** | **:25D::** | | | **PROC//COMP** | | Processing Status | | |
| **M** | **:16S:** | | | **GENL** | | End Of Block | | |
|  | *End of Sequence A General Information* | | | | | | |  |
|  | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | |  |
| **M** |  | **:16R:** | | | **USECU** | | Start of Block | | |
| **M** |  | **:35B:** | | | **ISIN US784216AB97** | | Identification of the Financial Instrument | | |
|  |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | |  | |
| **M** |  | **:16R:** | | | **ACCTINFO** | | Start of Block | | |
| **M** |  | **:97A::** | | | **SAFE//123456** | | Safekeeping Account | | |
| **O** |  | **:93B::** | | | **ELIG//FAMT/200000,** | | Total Eligible For Corporate Action Balance | | |
| **M** |  | **:16S:** | | | **ACCTINFO** | | End Of Block | | |
|  |  | *End of Subsequence B2 Account Information* | | | | | |  | |
| **M** |  | **:16S:** | | | **USECU** | | End Of Block | | |
|  | *End of Mandatory Sequence B Underlying Securities* | | | | | | |  | |
|  | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | |  | |
| **M** |  | **:16R:** | | | **CADETL** | | Start of Block | | |
| **M** |  | **:98A::** | | | **LOTO//20YY1116** | | Lottery Date | | |
| **O** |  | **:98A::** | | | **RDTE//20YY1115** | | Record Date (Publication Date -1) | | |
| **O** |  | **:98A::** | | | **RESU//20YY1116** | | Declared Publication Date | | |
| **O** |  | **:36B::** | | | **BASE//1000,** | | Lottery Base Denomination | | |
| **O** |  | **:36B::** | | | **INCR//1000,** | | Lottery Incremential Denomination | | |
| **O** |  | **:22F::** | | | **LOTO//ORIG** | | Original Lottery Type Indicator | | |
| **M** |  | **:16S:** | | | **CADETL** | | End Of Block | | |
|  | *End of Sequence D Corporate Actions Details* | | | | | | |  | |
|  |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | |  | |
| **M** |  | **:16R:** | | | **CAOPTN** | | Start of Block | | |
| **M** |  | **:13A::** | | | **CAON//001** | | CA Option Number | | |
| **M** |  | **:22F::** | | | **CAOP//CASH** | | Corporate Action Option Code Indicator | | |
| **R** |  | **:11A::** | | | **OPTN//USD** | | Currency offered | | |
| **M** |  | **:17B::** | | | **DFLT//Y** | | Default Processing Flag | | |
|  |  |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | |  | |
| **M** |  | **:16R:** | | | **SECMOVE** | | Start of Block | | |
| **M** |  | **:22H::** | | | **CRDB//DEBT** | | Credit/Debit Indicator | | |
| **M** |  | **:35B:** | | | **ISIN US784216AB97** | | Identification of the Financial Instrument | | |
| **M** |  | **:98A::** | | | **PAYD//20YY1223** | | Payment Date/Time | | |
| **M** |  | **:16S:** | | | **SECMOVE** | | End Of Block | | |
|  |  |  | *End of Subsequence E1 Securities Movement* | | | | |  | |
|  |  |  | *Optional Repetitive Subsequence E2 Cash Movement* | | | | |  | |
| **M** |  | **:16R:** | | | **CASHMOVE** | | Start of Block | | |
| **M** |  | **:22H::** | | | **CRDB//CRED** | | Credit/Debit Indicator | | |
| **M** |  | **:98A::** | | | **PAYD//20YY1223** | | Payment Date/Time | | |
| **M** |  | **:90A::** | | | **OFFR//PRCT/100,** | | Redemption Price | | |
| **M** |  | **:16S:** | | | **CASHMOVE** | | End Of Block | | |
|  |  |  | *End of Subsequence E2 Cash Movement* | | | | |  | |
| **M** |  | **:16S:** | | | **CAOPTN** | | End Of Block | | |
|  |  | *End of Sequence E Corporate Action Options* | | | | | |  | |

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | | | | |
|  | | | *Mandatory* **Sequence A** *General Information* | | | | | | | | |  | |
| **M** | | | **:16R:** | | | | **GENL** | | Start of Block | | | | |
| **M** | | | **:20C::** | | | | **CORP//CORPACTREF1** | | Corporate Action Reference | | | | |
| **M** | | | **:20C::** | | | | **SEME//BCC25AAF504ADC00** | | Sender's Message Reference | | | | |
| **O** | | | **:20C::** | | | | **COAF//USEVENTREF** | | Official Corporate Action Event Reference | | | | |
| **M** | | | **:23G:** | | | | **REPE** | | Replacement with entitlement | | | | |
| **M** | | | **:22F::** | | | | **CAEV//DRAW** | | Corporate Action Event Indicator | | | | |
| **M** | | | **:22F::** | | | | **CAMV//MAND** | | Mandatory/Voluntary Indicator | | | | |
| **M** | | | **:25D::** | | | | **PROC//COMP** | | Processing Status | | | | |
| **O** | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | |  | |
|  | **:16R:** | | | | **LINK** | | | Start of Block | | | | | | |
| **O** | **:22F:** | | | | **LINK//AFTE** | | | Linkage Type Indicator | | | | | | |
| **R** | **:13A::** | | | | **LINK//564** | | | Previously sent message type | | | | | | |
| **M** | **:20C::** | | | | **PREV//BCC25AAF504ADC00** | | | Previously sent message reference | | | | | | |
|  | **:16S:** | | | | **LINK** | | | End of Block | | | | | | |
| **O** | *End of Subsequence A1 Linkages* | | | | | | | | |  | |
| **M** | | | **:16S:** | | | | **GENL** | | End Of Block | | | | |
|  | | | *End of Sequence A General Information* | | | | | | | | |  | |
|  | | | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | |  | |
| **M** | | |  | **:16R:** | | | | **USECU** | | Start of Block | | | | |
| **M** | | |  | **:35B:** | | | | **ISIN US784216AB97** | | Identification of the Financial Instrument | | | | |
|  | | |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | |  | | |
| **M** | | |  | **:16R:** | | | | **ACCTINFO** | | Start of Block | | | | |
| **M** | | |  | **:97A::** | | | | **SAFE//123456** | | Safekeeping Account | | | | |
| **O** | | |  | **:93B::** | | | | **ELIG//FAMT/200000,** | | Total Eligible For Corporate Action Balance | | | | |
| **O** | | |  | **:93B::** | | | | **AFFB//FAMT/50000,** | | Affected balance from the lottery (called position) | | | | |
| **M** | | |  | **:16S:** | | | | **ACCTINFO** | | End Of Block | | | | |
|  | | |  | *End of Subsequence B2 Account Information* | | | | | | | |  | | |
| **M** | | |  | **:16S:** | | | | **USECU** | | End Of Block | | | | |
|  | | | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | |  | | |
|  | | | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | |  | | |
| **M** | | |  | **:16R:** | | | | **CADETL** | | Start of Block | | | | |
| **M** | | |  | **:98A::** | | | | **LOTO//20YY1116** | | Lottery Date | | | | |
| **O** | | |  | **:98A::** | | | | **RDTE//20YY1115** | | Record Date (Publication Date -1) | | | | |
| **O** | | |  | **:98A::** | | | | **RESU//20YY1116** | | Declared Publication Date | | | | |
| **O** | | |  | **:36B::** | | | | **BASE//1000,** | | Lottery Base Denomination | | | | |
| **O** | | |  | **:36B::** | | | | **INCR//1000,** | | Lottery Incremential Denomination | | | | |
| **O** | | |  | **:22F::** | | | | **LOTO//ORIG** | | Original Lottery Type Indicator | | | | |
| **M** | | |  | **:16S:** | | | | **CADETL** | | End Of Block | | | | |
|  | | | *End of Sequence D Corporate Actions Details* | | | | | | | | |  | | |
|  | | |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | |  | | |
| **M** | | |  | **:16R:** | | | | **CAOPTN** | | Start of Block | | | | |
| **M** | | |  | **:13A::** | | | | **CAON//001** | | CA Option Number | | | | |
| **M** | | |  | **:22F::** | | | | **CAOP//CASH** | | Corporate Action Option Code Indicator | | | | |
| **R** | | |  | **:11A::** | | | | **OPTN//USD** | | Currency offered | | | | |
| **M** | | |  | **:17B::** | | | | **DFLT//Y** | | Default Processing Flag | | | | |
|  | | |  |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | |  | | |
| **M** | | |  | **:16R:** | | | | **SECMOVE** | | Start of Block | | | | |
| **M** | | |  | **:22H::** | | | | **CRDB//DEBT** | | Credit/Debit Indicator | | | | |
| **M** | | |  | **:35B:** | | | | **ISIN US784216AB97** | | Identification of the Financial Instrument | | | | |
| **O** | | |  | **:36B::** | | | | **ENTL//FAMT/50000,** | | Quantity of called shares affected by the lottery | | | | |
| **M** | | |  | **:98A::** | | | | **PAYD//20YY1223** | | Payment Date/Time | | | | |
| **M** | | |  | **:16S:** | | | | **SECMOVE** | | End Of Block | | | | |
|  | | |  |  | *End of Subsequence E1 Securities Movement* | | | | | | |  | | |
|  | | |  |  | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | |  | | |
| **M** | | |  | **:16R:** | | | | **CASHMOVE** | | Start of Block | | | | |
| **M** | | |  | **:22H::** | | | | **CRDB//CRED** | | Credit/Debit Indicator | | | | |
| **M** | | |  | **:98A::** | | | | **PAYD//20YY1223** | | Payment Date/Time | | | | |
| **M** | | |  | **:90A::** | | | | **OFFR//PRCT/100,** | | Redemption Price | | | | |
| **M** | | |  | **:16S:** | | | | **CASHMOVE** | | End Of Block | | | | |
|  | | |  |  | *End of Subsequence E2 Cash Movement* | | | | | | |  | | |
| **M** | | |  | **:16S:** | | | | **CAOPTN** | | End Of Block | | | | |
|  | | |  | *End of Sequence E Corporate Action Options* | | | | | | | |  | | |

# DRCA: Cash Distribution from Non-Eligible Securities Sales (MAND)

### Definition:

Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.

### Issuer name:

ISIN US46267Q103

BANK OF IRELAND SPON ADR

/1 ADR REP 4 ORD SHS/

### Terms:

Gross rate USD 0.00485500

ADR fee USD 0.0005

Net rate USD 0.004355

### Relative dates:

Ex Date: 18 August 20YY

Record Date: 8 July 20YY

Pay Date: 29 August 20YY

Market Claim Tracking End Date: 22 August 20YY

### Transaction description:

Fee will be applie per eligible share

### Messages:

MT 564 for the notification

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notification | | | | | | | | | | |
|  |  |  |  |  |  | | |  | | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | |
|  | **:16R:** | | | | | | **GENL** | | Start of Block | | |
| **M** | **:20C::** | | | | | | **CORP//EV1234567** | | Corporate Action Reference | | |
| **M** | **:20C::** | | | | | | **SEME//253147815** | | Sender's Message Reference | | |
| **O** | **:20C::** | | | | | | **COAF// BI01593212345** | | Official Corporate Action Event Reference | | |
| **M** | **:23G:** | | | | | | **NEWM** | | Replacement with entitlement | | |
| **M** | **:22F::** | | | | | | **CAEV//DRCA** | | Corporate Action Event Indicator | | |
| **M** | **:22F::** | | | | | | **CAMV//MAND** | | Mandatory/Voluntary Indicator | | |
| **M** | **:25D::** | | | | | | **PROC//COMP** | | Processing Status | | |
|  | **:16S:** | | | | | | **GENL** | | End Of Block | | |
| **M** | *End of Sequence A General Information* | | | | | | | | |  | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | |  | |
|  | **:16R:** | | | | | | **USECU** | | Start of Block | | |
| **M** | **:35B:** | | | | | | **ISIN US46267Q1031**  **BANK OF IRELAND SPON ADR** | | Identification of the Financial Instrument | | |
| **M** |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | |
|  | **:16R:** | | | | | **ACCTINFO** | | | Start of Block | | |
| **M** | **:97A::** | | | | | **SAFE//123456** | | | Safekeeping Account | | |
| **M** | **:93B::** | | | | | **ELIG//UNIT/306500,** | | | Total Eligible For Corporate Action Balance | | |
| **O** | **:93B::** | | | | | **SETT//UNIT/306500,** | | | Settlement Position Balance | | |
|  | **:16S:** | | | | | **ACCTINFO** | | | End Of Block | | |
| **M** |  | *End of Subsequence B2 Account Information* | | | | | | | |  | |
|  | **:16S:** | | | | | | **USECU** | | End Of Block | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | |  | |
| **R** | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | |  | |
|  | **:16R:** | | | | | | **CADETL** | | Start of Block | | |
| **M** | **:98A::** | | | | | | **XDTE//20YY0818** | | Ex-Dividend or Distribution Date/Time | | |
| **M** | **:98A::** | | | | | | **RDTE//20YY0708** | | Record Date/Time | | |
| **O** | **:98A::** | | | | | | **MCTD//20YY0822** | | Market Claim Tracking End Date | | |
|  | **:16S:** | | | | | | **CADETL** | | End Of Block | | |
| **R** | *End of Sequence D Corporate Actions Details* | | | | | | | | |  | |
| **O** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | |
|  | **:16R:** | | | | | | **CAOPTN** | | Start of Block | | |
| **M** | **:13A::** | | | | | | **CAON//001** | | CA Option Number | | |
| **M** | **:22F::** | | | | | | **CAOP//CASH** | | Corporate Action Option Code Indicator | | |
| **M** | **:17B::** | | | | | | **DFLT//Y** | | Default Processing Flag | | |
| **O** |  |  | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | |
|  | **:16R:** | | | | | | **CASHMOVE** | | Start of Block | | |
| **M** | **:22H::** | | | | | | **CRDB//CRED** | | Credit/Debit Indicator | | |
| **M** | **:98A::** | | | | | | **PAYD//20YY0829** | | Payment Date/Time | | |
| **O** | **:98A::** | | | | | | **VALU//20YY0829** | | Value Date/Time | | |
| **O** | **:92F::** | | | | | | **CHAR//USD0,0005** | | Charges/Fees | | |
| **M** | **:90B::** | | | | | | **OFFR//ACTU/USD0,004855** | | Cash Price per product Received | | |
|  | **:16S:** | | | | | | **CASHMOVE** | | End Of Block | | |
| **O** |  |  | *End of Subsequence E2 Cash Movement* | | | | | | |  | |
|  | **:16S:** | | | | | | **CAOPTN** | | End Of Block | | |
| **O** |  | *End of Sequence E Corporate Action Options* | | | | | | | | |

# DRIP: Dividend Reinvestment (CHOS) - Without Interim Line (Classic)

### Definition:

Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.

### Issue name:

PETROPAVLOVSK

ISIN GB0031544546

### Terms:

It is offered to shareholders to reinvest their dividend into additional shares (1 new for 40 held) or keep dividend of GBP 0,07 per share.

### Relative dates:

Ex date - 24 February 20YY

Record date - 26 February 20YY

Dividend payment date - 22 March 20YY

Response deadline date – 05 March 20YY

### Transaction description:

A/C 98-0112441-05 has an underlying balance of 80,000 shares.

### Instruction description:

The Client will elect the securities option for the entire eligible balance.

### Messages:

MT 564 for notification

MT 565 for the instruction

MT 567 for the processing status of the instruction

MT 564 for the notice of entitlement

MT 566 for the confirmation

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notification | | | | | | | | | | | | | | | | |
|  |  |  | |  |  |  | | | | |  | | | | | |  | | | | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | | | | |  | | | | |
|  | **:16R:** | | | | | | **GENL** | | | | | Start of Block | | | | | | |
| **M** | **:20C::** | | | | | | **CORP//1002477031544546** | | | | | Corporate Action Reference | | | | | | |
| **M** | **:20C::** | | | | | | **SEME//002102130561400** | | | | | Sender's Message Reference | | | | | | |
| **O** | **:20C::** | | | | | | **COAF//CA000002797976** | | | | | Official Corporate Action Event Reference | | | | | | |
| **M** | **:23G:** | | | | | | **NEWM** | | | | | Function of the message (here, new message) | | | | | | |
| **M** | **:22F::** | | | | | | **CAEV//DRIP** | | | | | Corporate Action Event Indicator | | | | | | |
| **M** | **:22F::** | | | | | | **CAMV//CHOS** | | | | | Mandatory/Voluntary Indicator | | | | | | |
| **M** | **:25D::** | | | | | | **PROC//COMP** | | | | | Processing Status | | | | | | |
|  | **:16S:** | | | | | | **GENL** | | | | | End Of Block | | | | | | |
| **M** | *End of Sequence A General Information* | | | | | | | | | | | | | |  | | | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | | | |  | | | |
|  |  | **:16R:** | | | | | | | **USECU** | | | Start of Block | | | | | | |
| **M** |  | **:35B:** | | | | | | | **ISIN GB0031544546** | | | Identification of the Financial Instrument | | | | | | |
| **M** |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | | | | |
|  |  | **:16R:** | | | | | | | **ACCTINFO** | | | Start of Block | | | | | | |
| **M** |  | **:97A::** | | | | | | | **SAFE//98-0112441-05** | | | Safekeeping Account | | | | | | |
| **O** |  | **:93B::** | | | | | | | **ELIG//UNIT/80000,** | | | Total Eligible For Corporate Action Balance | | | | | | |
|  |  | **:16S:** | | | | | | **ACCTINFO** | | | | End Of Block | | | | | | |
| **M** |  | *End of Subsequence B2 Account Information* | | | | | | | | | | | | |  | | | |
|  |  | **:16S:** | | | | | | | **USECU** | | | End Of Block | | | | | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | | | | |
| **M** | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | | | | | | |
|  |  | **:16R:** | | | | | | | **CADETL** | | | | Start of Block | | | | | | |
| **M** |  | **:98A::** | | | | | | | **XDTE//20YY0224** | | | | Ex-Dividend or Distribution Date/Time | | | | | | |
| **M** |  | **:98A::** | | | | | | | **RDTE//20YY0226** | | | | Record Date/Time | | | | | | |
|  |  | **:16S:** | | | | | | | **CADETL** | | | | End Of Block | | | | | | |
| **M** | *End of Sequence D Corporate Actions Details* | | | | | | | | | | | | | | |  | | | | |
| **O** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | | | | |
|  |  | **:16R:** | | | | | | | **CAOPTN** | | | | Start of Block | | | | | | |
| **M** |  | **:13A::** | | | | | | | **CAON//001** | | | | CA Option Number | | | | | | |
| **M** |  | **:22F::** | | | | | | | **CAOP//CASH** | | | | Corporate Action Option Code Indicator | | | | | | |
| **R** |  | **:11A::** | | | | | | | **OPTN//GBP** | | | | Currency offered | | | | | | |
| **M** |  | **:17B::** | | | | | | | **DFLT//Y** | | | | Default Processing Flag | | | | | | |
| **O** |  | **:98A::** | | | | | | | **RDDT//20YY0305** | | | | Response deadline date/time | | | | | | |
| **O** |  | **:98A::** | | | | | | | **MKDT//20YY0306** | | | | Market Deadline Date | | | | | | |
| **O** |  |  | | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | | | | | | | | | |
|  |  | **:16R:** | | | | | | **CASHMOVE** | | | | | Start of Block | | | | | | |
| **M** |  | **:22H::** | | | | | | **CRDB//CRED** | | | | | Credit/Debit Indicator | | | | | | |
| **M** |  | **:98A::** | | | | | | **PAYD//20YY0322** | | | | | Payment Date/Time | | | | | | |
| **M** |  | **:92F::** | | | | | | **GRSS//GBP0,07** | | | | | Gross dividend rate | | | | | | |
| **M** |  | | **:92F::** | | | | | | | **NETT//GBP0,0595** | | | | Net dividend rate | | | | | | | | |
| **O** |  | | **:92A::** | | | | | | | **WITL//15, *(or TAXR or TAXC)*** | | | | Withholding of Local tax | | | | | | | | |
|  |  | **:16S:** | | | | | | **CASHMOVE** | | | | | End Of Block | | | | | | |
| **O** |  |  | | *End of Subsequence E2 Cash Movement* | | | | | | | | | | | |  | | | | |
|  |  | **:16S:** | | | | | | | **CAOPTN** | | | | End Of Block | | | | | | |
| **O** |  | *End of Sequence E Corporate Action Options* | | | | | | | | | | | | | |  | | | | |
| **O** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | | | | |
|  |  | **:16R:** | | | | | | | **CAOPTN** | | | | Start of Block | | | | | | |
| **M** |  | **:13A::** | | | | | | | **CAON//002** | | | | CA Option Number | | | | | | |
| **M** |  | **:22F::** | | | | | | | **CAOP//SECU** | | | | Corporate Action Option Code Indicator | | | | | | |
| **O** |  | | **:11A::** | | | | | **OPTN//GBP** | | | | | Currency option | | | | | | |
| **M** |  | **:17B::** | | | | | | | **DFLT//N** | | | | Default Processing Flag | | | | | | |
| **O** |  | **:98A::** | | | | | | | **RDDT//20YY0305** | | | | Response deadline date/time | | | | | | |
| **O** |  | **:98A::** | | | | | | | **MKDT//20YY0306** | | | | Market Deadline Date | | | | | | |
| **O** |  |  | | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | | |
| **M** |  | **:16R:** | | | | | | | **SECMOVE** | | | | Start of Block | | | | |
| **M** |  | **:22H::** | | | | | | | **CRDB//CRED** | | | | Credit/Debit Indicator | | | | |
| **M** |  | **:35B:** | | | | | | | **ISIN GB0031544546** | | | | Identification of the Financial Instrument | | | | |
| **C1[[3]](#footnote-3)** |  | **:90B::** | | | | | | | **PRPP//ACTU/GBP2,8** | | | | Reinvestment price paid per credit security | | | | |
| **C1[[4]](#footnote-4)** |  | **:92D::** | | | | | | | **ADEX//1,/40,** | | | | Additional for Existing Securities | | | | |
| **M** |  | **:98A::** | | | | | | | **PAYD//20YY0322** | | | | Payment Date/Time | | | | |
| **M** |  | **:16S:** | | | | | | | **SECMOVE** | | | | End Of Block | | | | |
| **O** |  |  | | *End of Subsequence E1 Securities Movement* | | | | | | | | | | | |  | | |
|  |  | **:16S:** | | | | | | | **CAOPTN** | | | | End Of Block | | | | |
| **O** |  | *End of Sequence E Corporate Action Options* | | | | | | | | | | | | | | |  | | | | |

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 565 Corporate Action Instruction | | | | | | | | | | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | |  |
|  |  | **:16R:** | | | | | **GENL** | | Start of Block | | |
| **M** |  | **:20C::** | | | | | **CORP//1002477031544546** | | Corporate Action Reference | | |
| **M** |  | **:20C::** | | | | | **SEME//002102130561401** | | Sender's Message Reference | | |
| **O** |  | **:20C::** | | | | | **COAF//CA000002797976** | | Official Corporate Action Event Reference | | |
| **M** |  | **:23G:** | | | | | **NEWM** | | Function of the message (here, new message) | | |
| **M** |  | **:22F::** | | | | | **CAEV//DRIP** | | Corporate Action Event Indicator | | |
| **R** |  | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | |  | |
|  |  | **:16R:** | | | | **LINK** | | | Start of Block | | |
| **R** |  | **:13A::** | | | | **LINK//564** | | | Previously received message type | | |
| **M** |  | **:20C::** | | | | **RELA//002102130561400** | | | Previously received message reference | | |
|  |  | **:16S:** | | | | **LINK** | | | End of Block | | |
| **R** |  | *End of Subsequence A1 Linkages* | | | | | | | |  | |
|  |  | **:16S:** | | | | **GENL** | | | End Of Block | | |
| **M** | *End of Sequence A General Information* | | | | | | | | |  | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | |  | |
|  |  | **:16R:** | | | | | **USECU** | | Start of Block | | |
| **R** |  | **:35B:** | | | | | **ISIN GB0031544546** | | Identification of the Financial Instrument | | |
| **M** |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | |
|  |  | **:16R:** | | | | | **ACCTINFO** | | Start of Block | | |
| **M** |  | **:97A::** | | | | | **SAFE//98-0112441-05** | | Safekeeping Account | | |
| **R** |  | **:93B::** | | | | | **ELIG//UNIT/80000,** | | Total Eligible For Corporate Action Balance | | |
|  |  | **:16S:** | | | | | **ACCTINFO** | | End Of Block | | |
| **M** |  | *End of Subsequence B2 Account Information* | | | | | | | |  | |
|  |  | **:16S:** | | | | | **USECU** | | End Of Block | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | |  | |
| **M** | *Mandatory* **Sequence D** *Corporate Actions Instruction* | | | | | | | | |  | |
|  |  | **:16R:** | | | | | **CAINST** | | Start of Block | | |
| **M** |  | **:13A::** | | | | | **CAON//002** | | CA Option Number | | |
| **M** |  | **:22F::** | | | | | **CAOP//SECU** | | Corporate Action Option Code Indicator | | |
| **M** |  | **:36B::** | | | | | **QINS//UNIT/80000,** | | Quantity of securities instructed | | |
|  |  | **:16S:** | | | | | **CAINST** | | End Of Block | | |
| **M** | *End of Sequence D Corporate Actions Details* | | | | | | | | | |  |
|  |  |  |  |  |  | | |  | | |  |

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 567 Corporate Action Status and Processing Advice | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | | | | | | | | |  | | | | | | | | |
|  | **:16R:** | | | | | | | | | | **GENL** | | | | | | Start of Block | | | | | | | | | |
| **M** | **:20C::** | | | | | | | | | | **CORP//1002477031544546** | | | | | | Corporate Action Reference | | | | | | | | | |
| **M** | **:20C::** | | | | | | | | | | **SEME//002102130561402** | | | | | | Sender's Message Reference | | | | | | | | | |
| **O** | **:20C::** | | | | | | | | | | **COAF//CA000002797976** | | | | | | Official Corporate Action Event Reference | | | | | | | | | |
| **M** | **:23G:** | | | | | | | | | | **INST** | | | | | | Function of the message (here, reporting on an instruction status) | | | | | | | | | |
| **M** | **:22F::** | | | | | | | | | | **CAEV//DRIP** | | | | | | Corporate Action Event Indicator | | | | | | | | | |
| **M** |  | | *Mandatory Repetitive Subsequence A1 Linkages* | | | | | | | | | | | | | | |  | | | | | | | | |
|  | **:16R:** | | | | | | | | | | **LINK** | | | | | | Start of Block | | | | | | | | | |
| **R** | **:13A::** | | | | | | | | | | **LINK//565** | | | | | | Previously received message type | | | | | | | | | |
| **M** | **:20C::** | | | | | | | | | | **RELA//002102130561401** | | | | | | Previously received message reference | | | | | | | | | |
|  | **:16S:** | | | | | | | | | | **LINK** | | | | | | End of Block | | | | | | | | | |
| **M** |  | | *End of Mandatory Subsequence A1 Linkages* | | | | | | | | | | | | | | | | | |  | | | | | | | | |
| **M** |  | | *Mandatory Repetitive Subsequence A2 Status* | | | | | | | | | | | | | | | | | |  | | | | | | | | |
|  | **:16R:** | | | | | | | | | | **STAT** | | | | | | Start of Block | | | | | | | | |
| **M** | **:25D::** | | | | | | | | | | **IPRC//PACK** | | | | | | Instruction accepted for further processing | | | | | | | | |
|  | **:16S:** | | | | | | | | | | **STAT** | | | | | | End of Block | | | | | | | | |
| **M** |  | | *End of Mandatory Subsequence A2 Status* | | | | | | | | | | | | | | | | | |  | | | | | | | | |
|  | **:16S:** | | | | | | | | | | **GENL** | | | | | | End Of Block | | | | | | | | |
| **M** | *End of Sequence A General Information* | | | | | | | | | | | | | | | | | | | |  | | | | | | | | |
| **M** | *Optional* **Sequence B** *Corporate Action Details* | | | | | | | | | | | | | | | | | | | |  | | | | | | | | |
|  | **:16R:** | | | | | | | | | | **CADETL** | | | | | | Start of Block | | | | | | | | |
| **M** | **:13A::** | | | | | | | | | | **CAON//002** | | | | | | CA Option Number | | | | | | | | |
| **M** | **:22F::** | | | | | | | | | | **CAOP//SECU** | | | | | | CA Option Code Indicator | | | | | | | | |
| **O** | **:97A::** | | | | | | | | | | **SAFE//98-0112441-05** | | | | | | Safekeeping Account | | | | | | | | |
| **O** | **:35B:** | | | | | | | | | | **ISIN GB0031544546** | | | | | | Identification of the Financial Instrument | | | | | | | | |
|  | **:36B::** | | | | | | | | | | **STAQ//UNIT/80000,** | | | | | | Quantity on which the status is given | | | | | | | | |
|  | **:16S:** | | | | | | | | | | **CADETL** | | | | | | End Of Block | | | | | | | | |
| **M** | *End of Optional Sequence B Corporate Action Details* | | | | | | | | | | | | | | | | | | | |  | | | | | | | | |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | | | | | | | | | | | | | | |
| **M** | | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | | | | | | | | |  | | | | | | | | |
|  | | **:16R:** | | | | | | | | | | | **GENL** | | | | Start of Block | | | | | | | | |
| **M** | | **:20C::** | | | | | | | | | | | **CORP//1002477031544546** | | | | Corporate Action Reference | | | | | | | | |
| **M** | | **:20C::** | | | | | | | | | | | **SEME//002102130561403** | | | | Sender's Message Reference | | | | | | | | |
| **O** | | **:20C::** | | | | | | | | | | | **COAF//CA000002797976** | | | | Official Corporate Action Event Reference | | | | | | | | |
| **M** | | **:23G:** | | | | | | | | | | | **REPE** | | | | Function of the message (here, REPE message) | | | | | | | | |
| **M** | | **:22F::** | | | | | | | | | | | **CAEV//DRIP** | | | | Corporate Action Event Indicator | | | | | | | | |
| **M** | | **:22F::** | | | | | | | | | | | **CAMV//CHOS** | | | | Mandatory/Voluntary Indicator | | | | | | | | |
| **M** | | **:25D::** | | | | | | | | | | | **PROC//COMP** | | | | Processing Status | | | | | | | | |
| **O** | |  | | | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | | | | | |  | | | | | | | | |
|  | | **:16R:** | | | | | | | | | | | **LINK** | | | | Start of Block | | | | | | | | |
| **R** | | **:13A::** | | | | | | | | | | | **LINK//564** | | | | Linked Message | | | | | | | | |
| **M** | | **:20C::** | | | | | | | | | | | **PREV//002102130561400** | | | | Previous Message Reference | | | | | | | | |
|  | | **:16S:** | | | | | | | | | | | **LINK** | | | | End of Block | | | | | | | | |
| **O** | |  | | | | *End of Subsequence A1 Linkages* | | | | | | | | | | | | | | | |  | | | | | | | | |
| **O** | |  | | | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | | | | | |  | | | | | | | | |
|  | | **:16R:** | | | | | | | | | | | **LINK** | | | | Start of Block | | | | | | | | |
| **R** | | **:13A::** | | | | | | | | | | | **LINK//565** | | | | Linked Message | | | | | | | | |
| **M** | | **:20C::** | | | | | | | | | | | **RELA//002102130561401** | | | | Related Message Reference | | | | | | | | |
|  | | **:16S:** | | | | | | | | | | | **LINK** | | | | End of Block | | | | | | | | |
| **O** | |  | | | | *End of Subsequence A1 Linkages* | | | | | | | | | | | | | | | |  | | | | | | | | |
|  | | **:16S:** | | | | | | | | | | | **GENL** | | | | End of Block | | | | | | | | |
| **M** | | *End of Sequence A General Information* | | | | | | | | | | | | | | | | | | | |  | | | | | | | | |
| **M** | | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | | | | | | | | | |  | | | | | | | | |
|  | | **:16R:** | | | | | | | | | | | **USECU** | | | | Start of Block | | | | | | | | |
| **M** | | **:35B:** | | | | | | | | | | | **ISIN GB0031544546** | | | | Identification of the Financial Instrument | | | | | | | | |
| **M** | |  | | | | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | | | | | | | | |
|  | | **:16R:** | | | | | | | | | | | **ACCTINFO** | | | | Start of Block | | | | | | | | |
| **M** | | **:97A::** | | | | | | | | | | | **SAFE//98-0112441-05** | | | | Safekeeping Account | | | | | | | | |
| **M** | | **:93B::** | | | | | | | | | | | **ELIG//UNIT/80000,** | | | | Total Eligible For Corporate Action Balance | | | | | | | | |
|  | | **:16S:** | | | | | | | | | | | **ACCTINFO** | | | | End Of Block | | | | | | | | |
| **M** | |  | | | | *End of Subsequence B2 Account Information* | | | | | | | | | | | | | | | |  | | | | | | | | |
|  | | **:16S:** | | | | | | | | | | | **USECU** | | | | End Of Block | | | | | | | | |
| **M** | | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | | | | | | | | | |  | | | | | | | | |
| **M** | | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | | | | | | | | | | | |  | | | | | | | | |
|  | | **:16R:** | | | | | | | | | | | **CADETL** | | | | Start of Block | | | | | | | | |
| **M** | | **:98A::** | | | | | | | | | | | **XDTE//20YY0224** | | | | Ex-Dividend or Distribution Date/Time | | | | | | | | |
| **M** | | **:98A::** | | | | | | | | | | | **RDTE//20YY0226** | | | | Record Date/Time | | | | | | | | |
| **O** | | **:22F::** | | | | | | | | | | | **ADDB//CAPA** | | | | Preliminary Advice of Payment | | | | | | | | |
|  | | **:16S:** | | | | | | | | | | | **CADETL** | | | | End Of Block | | | | | | | | |
| **M** | | *End of Sequence D Corporate Actions Details* | | | | | | | | | | | | | | | | | | | |  | | | | | | | | |
| **O** | |  | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | | **:16R:** | | | | | | | | | | | **CAOPTN** | | | | Start of Block | | | | | | | | |
| **M** | | **:13A::** | | | | | | | | | | | **CAON//002** | | | | CA Option Number | | | | | | | | |
| **M** | | **:22F::** | | | | | | | | | | | **CAOP//SECU** | | | | Corporate Action Option Code Indicator | | | | | | | | |
| **M** | | **:17B::** | | | | | | | | | | | **DFLT//N** | | | | Default Processing Flag | | | | | | | | |
| **O** | | **:98A::** | | | | | | | | | | | **RDDT//20YY0305** | | | | Response deadline date/time | | | | | | | | |
| **O** | | **:98A::** | | | | | | | | | | | **MKDT//20YY0306** | | | | Market Deadline Date | | | | | | | | |
| **O** | |  | | |  | | | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | | | | | | | | |
| **M** | | **:16R:** | | | | | | | | | | | | **SECMOVE** | | | Start of Block | | | | | | | | |
| **M** | | **:22H::** | | | | | | | | | | | | **CRDB//CRED** | | | Credit/Debit Indicator | | | | | | | | |
| **M** | | **:35B:** | | | | | | | | | | | **ISIN GB0031544546** | | | | Identification of the Financial Instrument | | | | | | | | |
| **M** | | **:36B::** | | | | | | | | | | | **ENTL//UNIT/2000,** | | | | Quantity to be credited | | | | | | | | |
| **C1[[5]](#footnote-5)** | | **:90B::** | | | | | | | | | | | **PRPP//ACTU/GBP2,8** | | | | Reinvestment price paid per credit security | | | | | | | | |
| **C1[[6]](#footnote-6)** | | **:92D::** | | | | | | | | | | | **ADEX//1,/40,** | | | | Additional for Existing Securities | | | | | | | | |
| **M** | | **:98A::** | | | | | | | | | | | **PAYD//20YY0322** | | | | Payment Date/Time | | | | | | | | |
| **O** | | **:98A::** | | | | | | | | | | | **EARL//20YY0323** | | | | Earliest Payment Date | | | | | | | | |
| **M** | | **:16S:** | | | | | | | | | | | **SECMOVE** | | | | End Of Block | | | | | | | | |
| **O** | |  | | |  | | | *End of Subsequence E1 Securities Movement* | | | | | | | | | | | | | |  | | | | | | | | |
|  | | **:16S:** | | | | | | | | | | | | **CAOPTN** | | | End Of Block | | | | | | | | |
| **O** | | *End of Sequence E Corporate Action Options* | | | | | | | | | | | | | | | | | |  | | | | | | | | |
| MT 566 Corporate Action Confirmation | | | | | | | | | | | | | | | | | | | | | | |
|  | |  | |  | | |  | |  |  | | | | |  | | | | | | | |
| **M** | | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | | | | | | | | | |
|  | | **:16R:** | | | | | | | | | | **GENL** | | | | Start of Block | | | | | | | | |
| **M** | | **:20C::** | | | | | | | | | | **CORP//1002477031544546** | | | | Corporate Action Reference | | | | | | | | |
| **M** | | **:20C::** | | | | | | | | | | **SEME//002102130561404** | | | | Sender's Message Reference | | | | | | | | |
| **O** | | **:20C::** | | | | | | | | | | **COAF//CA000002797976** | | | | Official Corporate Action Event Reference | | | | | | | | |
| **M** | | **:23G:** | | | | | | | | | | **NEWM** | | | | Function of the message (here, new message) | | | | | | | | |
| **M** | | **:22F::** | | | | | | | | | | **CAEV//DRIP** | | | | Corporate Action Event Indicator | | | | | | | | |
| **O** | |  | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | | | | |  | | | | | | | | |
|  | | **:16R:** | | | | | | | | | | **LINK** | | | | Start of Block | | | | | | | | |
| **R** | | **:13A::** | | | | | | | | | | **LINK//564** | | | | Previously sent message type | | | | | | | | |
| **M** | | **:20C::** | | | | | | | | | | **PREV//002102130561403** | | | | Previously sent message reference | | | | | | | | |
|  | | **:16S:** | | | | | | | | | | **LINK** | | | | End of Block | | | | | | | | |
| **O** | |  | | *End of Subsequence A1 Linkages* | | | | | | | | | | | | | | |  | | | | | | | | |
| **M** | | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | | | | | | |  | | | | | | | | |
|  | | **:16R:** | | | | | | | | | | **USECU** | | | | Start of Block | | | | | | | |
| **M** | | **:97A::** | | | | | | | | | | **SAFE//98-0112441-05** | | | | Safekeeping Account | | | | | | | |
| **M** | | **:35B:** | | | | | | | | | | **ISIN GB0031544546** | | | | Identification of the Financial Instrument | | | | | | | |
| **O** | | **:93B::** | | | | | | | | | | **ELIG//UNIT/80000,** | | | | Eligible unit balance | | | | | | | |
| **M** | | **:93B::** | | | | | | | | | | **CONB//UNIT/80000,** | | | | Confirmed unit balance | | | | | | | |
|  | | **:16S:** | | | | | | | | | | **USECU** | | | | End Of Block | | | | | | | |
| **M** | | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | | | | | | |  | | | | | | | | |
| **M** | | *Optional* **Sequence C** *Corporate Actions Details* | | | | | | | | | | | | | | | | |  | | | | | | | | |
|  | | **:16R:** | | | | | | | | | | **CADETL** | | | | Start of Block | | | | | | | |
| **M** | | **:98A::** | | | | | | | | | | **XDTE//20YY0224** | | | | Ex-Dividend or Distribution Date/Time | | | | | | | |
| **M** | | **:98A::** | | | | | | | | | | **RDTE//20YY0226** | | | | Record Date/Time | | | | | | | |
|  | | **:16S:** | | | | | | | | | | **CADETL** | | | | End Of Block | | | | | | | |
| **M** | | *End of Sequence C Corporate Actions Details* | | | | | | | | | | | | | | | | |  | | | | | | | | |
| **M** | |  | | *Mandatory* **Sequence D** *Corporate Action Confirmation* | | | | | | | | | | | | | | |  | | | | | | | | |
|  | | **:16R:** | | | | | | | | | | **CACONF** | | | | Start of Block | | | | | | | |
| **M** | | **:13A::** | | | | | | | | | | **CAON//002** | | | | CA Option Number | | | | | | | |
| **M** | | **:22F::** | | | | | | | | | | **CAOP//SECU** | | | | Corporate Action Option Code Indicator | | | | | | | |
| **M** | |  | |  | | | *Optional Repetitive Subsequence D1 Securities Movement* | | | | | | | | | | | | | | | | | | | | |
|  | | **:16R:** | | | | | | | | | | **SECMOVE** | | | | Start of Block | | | | | | | |
| **M** | | **:22H::** | | | | | | | | | | **CRDB//CRED** | | | | Credit/Debit Indicator | | | | | | | |
| **M** | | **:35B:** | | | | | | | | | | **ISIN GB0031544546** | | | | Identification of the Financial Instrument | | | | | | | |
| **M** | | **:36B::** | | | | | | | | | | **PSTA//UNIT/2000,** | | | | Posting Quantity | | | | | | | |
| **C1[[7]](#footnote-7)** | | **:92D::** | | | | | | | | | | **ADEX//1,/40,** | | | | Additional for Existing Securities | | | | | | | |
| **C1[[8]](#footnote-8)** | | **:90B ::** | | | | | | | | | | **PRPP//ACTU/GBP2,8** | | | | Reinvestment price paid per credit security | | | | | | | |
| **M** | | **:98A::** | | | | | | | | | | **POST//20YY0322** | | | | Posting Date/Time | | | | | | | |
| O | | :98A:: | | | | | | | | | | EARL//20YY0323 | | | | Earliest Payment Date | | | | | | | |
|  | | **:16S:** | | | | | | | | | | **SECMOVE** | | | | End Of Block | | | | | | | |
| **M** | |  | |  | | | *End of Subsequence D1 Securities Movement* | | | | | | | | | | | |  | | | | | | | | |
|  | | **:16S:** | | | | | | | | | | **CACONF** | | | | End Of Block | | | | | | | |
| **M** | |  | | *End of Mandatory Sequence D Corporate Action Confirmation* | | | | | | | | | | | | | | | | | | | | | | | | | | |

# DRIP: Dividend Reinvestment (CHOS) - With Interim Line

### RHDI Definition:

The distribution of intermediate securities or privilege that gives the holder the right to take part in a future event.

### DRIP Definition:

Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.

### Terms:

A distribution requiring two ISO 15022 events.

In the first mandatory event (RHDI) the additional for existing ratio is 1 for 1, ie, 1 interim ‘B’ share for each underlying ‘A’.

The second event, DRIP, is mandatory with two options:

1. interim shares are debited and in exchange a new ‘A’ share is credited (new for underlying ratio is 1 new for 95)
2. cash is given in lieu of rights credited in event one (gross amount of EUR 0,195 per interim)

The events are cross-referenced by the linkage sequence.

### Relative details and dates:

The first event ex-date is November 10, 20YY

The first event record date is November 12, 20YY

The first event posting date is November 15, 20YY

### Transaction description:

A/C 98-0112441-05 has an underlying balance of 9,500 shares.

### Instruction description (for DRIP):

The Client will elect the securities option for the entire eligible balance.

Event 1

MT 564 for notification of the mandatory Distribution of the interim security

MT 564 for entitlement of the mandatory Distribution of the interim security

MT 566 for confirmation of the mandatory Distribution of the interim security

Event 2

MT 564 for notification of the dividend reinvestment

MT 565 for instruction of the SECU option

MT 567 for instruction processing status

MT 564 for notice of entitlement.

MT 566 for confirmation of the SECU option

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notification (Event 1) | | | | | | | | |
|  |  |  |  |  |  | |  | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | |
|  |  | **:16R:** | | | | **GENL** | | Start of Block | |
| **M** |  | **:20C::** | | | | **CORP//BE220120100110** | | Corporate Action Reference | |
| **M** |  | **:20C::** | | | | **SEME//BEV2010020200430** | | Sender's Message Reference | |
| **O** |  | **:20C::** | | | | **COAF//BI01593212345** | | Official Corporate Action Event Reference | |
| **M** |  | **:23G:** | | | | **NEWM** | | Function of the message (here, new message) | |
| **O** |  | **:22F::** | | | | **CAEP//DISN** | | Corporate Action Event Processing | |
| **M** |  | **:22F::** | | | | **CAEV//RHDI** | | Corporate Action Event Indicator | |
| **M** |  | **:22F::** | | | | **CAMV//MAND** | | Mandatory/Voluntary Indicator | |
| **M** |  | **:25D::** | | | | **PROC//COMP** | | Processing Status | |
|  |  | **:16S:** | | | | **GENL** | | End Of Block | |
| **M** | *End of Sequence A General Information* | | | | | | | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | |
|  |  | **:16R:** | | | | **USECU** | | Start of Block | |
| **M** |  | **:35B:** | | | | **ISIN NL0000009355** | | Identification of the Financial Instrument | |
| **M** |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | |
|  |  | **:16R:** | | | | **ACCTINFO** | | Start of Block | |
| **M** |  | **:97A::** | | | | **SAFE//98-0112441-05** | | Safekeeping Account | |
| **O** |  | **:93B::** | | | | **ELIG//UNIT/9500,** | | Total Eligible For Corporate Action Balance | |
|  |  | **:16S:** | | | | **ACCTINFO** | | End Of Block | |
| **M** |  | *End of Subsequence B2 Account Information* | | | | | | |  |
|  |  | **:16S:** | | | | **USECU** | | End Of Block | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | |  |
| **M** | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | |  |
|  |  | **:16R:** | | | | **CADETL** | | Start of Block | |
| **M** |  | **:98A::** | | | | **XDTE//20YY1110** | | Ex-Dividend or Distribution Date/Time | |
| **M** |  | **:98A::** | | | | **RDTE//20YY1112** | | Record Date/Time | |
| **O** |  | **:22F::** | | | | **DIVI//INTE** | | Dividend Type Indicator (example) | |
| **M** |  | **:22F::** | | | | **RHDI//DRIP** | | Intermediate Securities Distribution Type Indicator | |
|  |  | **:16S:** | | | | **CADETL** | | End Of Block | |
| **M** | *End of Sequence D Corporate Actions Details* | | | | | | | |  |
| **O** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | |
|  |  | **:16R:** | | | | **CAOPTN** | | Start of Block | |
| **M** |  | **:13A::** | | | | **CAON//001** | | CA Option Number | |
| **M** |  | **:22F::** | | | | **CAOP//SECU** | | Corporate Action Option Code Indicator | |
| **M** |  | **:17B::** | | | | **DFLT//Y** | | Default Processing Flag | |
| **O** |  | **:98A::** | | | | **EXPI//20YY1207** | | Expiry Date | |
| **O** |  |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | |
| **M** |  | **:16R:** | | | | **SECMOVE** | | Start of Block | |
| **M** |  | **:22H::** | | | | **CRDB//CRED** | | Credit/Debit Indicator | |
| **M** |  | **:35B:** | | | | **ISIN NL0009311588** | | Identification of the Financial Instrument | |
| **O** |  | **:36B::** | | | | **ENTL//UNIT/9500,** | | Quantity to be credited | |
| **O** |  | **:69A::** | | | | **TRDP//20YY1116/20YY1207** | | Trading Period | |
| **M** |  | **:92D::** | | | | **ADEX//1,/1,** | | Additional for Existing Securities | |
| **M** |  | **:98A::** | | | | **PAYD//20YY1115** | | Payment Date/Time | |
| **O** |  | **:98A::** | | | | **EARL//20YY1116** | | Earliest payment date | |
| **M** |  | **:16S:** | | | | **SECMOVE** | | End Of Block | |
| **O** |  |  | *End of Subsequence E1 Securities Movement* | | | | | |  |
|  |  | **:16S:** | | | | **CAOPTN** | | End Of Block | |
| **O** |  | *End of Sequence E Corporate Action Options* | | | | | | |  |

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notice of Entitlement (Event 1) | | | | | | | | | | | |
|  |  |  |  |  |  | |  | |  | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | |  | |
|  |  | **:16R:** | | | | **GENL** | | Start of Block | | | | |
| **M** |  | **:20C::** | | | | **CORP//BE220120100110** | | Corporate Action Reference | | | | |
| **M** |  | **:20C::** | | | | **SEME//BEV2010020200539** | | Sender's Message Reference | | | | |
| **O** |  | **:20C::** | | | | **COAF//BI01593212345** | | Official Corporate Action Event Reference | | | | |
| **M** |  | **:23G:** | | | | **REPE** | | Replacement with entitlement | | | | |
| **O** |  | **:22F::** | | | | **CAEP//DISN** | | Corporate Action Event Processing | | | | |
| **M** |  | **:22F::** | | | | **CAEV//RHDI** | | Corporate Action Event Indicator | | | | |
| **M** |  | **:22F::** | | | | **CAMV//CHOS** | | Mandatory/Voluntary Indicator | | | | |
| **M** |  | **:25D::** | | | | **PROC//COMP** | | Processing Status | | | | |
| **O** |  | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | |  |
|  |  | **:16R:** | | | | **LINK** | | Start of Block | | | | |
| **R** |  | **:13A::** | | | | **LINK//564** | | Previously sent message type | | | | |
| **M** |  | **:20C::** | | | | **PREV//BEV2010020200430** | | Previously sent message reference | | | | |
|  |  | **:16S:** | | | | **LINK** | | End of Block | | | | |
| **O** |  | *End of Subsequence A1 Linkages* | | | | | | | |  |
|  |  | **:16S:** | | | | **GENL** | | End Of Block | | | | |
| **M** | *End of Sequence A General Information* | | | | | | | | |  |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | |  |
|  |  | **:16R:** | | | | **USECU** | | Start of Block | | | | |
| **M** |  | **:35B:** | | | | **ISIN NL0000009355** | | Identification of the Financial Instrument | | | | |
| **M** |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | |
|  |  | **:16R:** | | | | **ACCTINFO** | | Start of Block | | | | |
| **M** |  | **:97A::** | | | | **SAFE//98-0112441-05** | | Safekeeping Account | | | | |
| **M** |  | **:93B::** | | | | **ELIG//UNIT/9500,** | | Total Eligible For Corporate Action Balance | | | | |
|  |  | **:16S:** | | | | **ACCTINFO** | | End Of Block | | | | |
| **M** |  | *End of Subsequence B2 Account Information* | | | | | | | |  |
|  |  | **:16S:** | | | | **USECU** | | End Of Block | | | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | |  |
| **M** | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | |  |
|  |  | **:16R:** | | | | **CADETL** | | Start of Block | | | | |
| **M** |  | **:98A::** | | | | **XDTE//20YY1110** | | Ex-Dividend or Distribution Date/Time | | | | |
| **M** |  | **:98A::** | | | | **RDTE//20YY1112** | | Record Date/Time | | | | |
| **O** |  | **:22F::** | | | | **DIVI//INTE** | | Dividend Type Indicator | | | | |
| **M** |  | **:22F::** | | | | **RHDI//DRIP** | | Intermediate Securities Distribution Type Indicator | | | | |
| **O** |  | **:22F::** | | | | **ADDB//CAPA** | | The message is a preliminary advice of payment | | | | |
|  |  | **:16S:** | | | | **CADETL** | | End Of Block | | | | |
| **M** | *End of Sequence D Corporate Actions Details* | | | | | | | |  | |
| **O** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | |
|  |  | **:16R:** | | | | **CAOPTN** | | Start of Block | | | | |
| **M** |  | **:13A::** | | | | **CAON//001** | | CA Option Number | | | | |
| **M** |  | **:22F::** | | | | **CAOP//SECU** | | Corporate Action Option Code Indicator | | | | |
| **M** |  | **:17B::** | | | | **DFLT//Y** | | Default Processing Flag | | | | |
| **O** |  | **:98A::** | | | | **EXPI//20YY1207** | | Expiry Date | | | | |
| **O** |  |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | |
| **M** |  | **:16R:** | | | | **SECMOVE** | | Start of Block | | | | |
| **M** |  | **:22H::** | | | | **CRDB//CRED** | | Credit/Debit Indicator | | | | |
| **M** |  | **:35B:** | | | | **ISIN NL0009311588** | | Identification of the Financial Instrument | | | | |
| **M** |  | **:36B::** | | | | **ENTL//UNIT/9500,** | | Quantity of new shares to be posted | | | | |
| **O** |  | **:69A::** | | | | **TRDP//20YY1116/20YY1207** | | Trading Period | | | | |
| **M** |  | **:92D::** | | | | **ADEX//1,/1,** | | Additional for Existing Securities | | | | |
| **M** |  | **:98A::** | | | | **PAYD//20YY1115** | | Payment Date/Time | | | | |
| **O** |  | **:98A::** | | | | **EARL//20YY1116** | | Earliest payment date | | | | |
| **M** |  | **:16S:** | | | | **SECMOVE** | | End Of Block | | | | |
| **O** |  |  | *End of Subsequence E1 Securities Movement* | | | | | | |  |
|  |  | **:16S:** | | | | **CAOPTN** | | End Of Block | | | | |
| **O** |  | *End of Sequence E Corporate Action Options* | | | | | | |  | |

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 566 Corporate Action Confirmation (Event 1) | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  |  |  | |  | |  | |  | | | | | | | | |  | | | | | | | |  | | | | | | | | | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | | | |
|  |  | **:16R:** | | | | | | | | | | **GENL** | | | | | | | | | | Start of Block | | | | | | | | | | | | | |
| **M** |  | **:20C::** | | | | | | | | | | **CORP//BE220120100110** | | | | | | | | | | Corporate Action Reference | | | | | | | | | | | | | |
| **M** |  | **:20C::** | | | | | | | | | | **SEME//BEV2010020200639** | | | | | | | | | | Sender's Message Reference | | | | | | | | | | | | | |
| **O** |  | **:20C::** | | | | | | | | | | **COAF//BI01593212345** | | | | | | | | | | Official Corporate Action Event Reference | | | | | | | | | | | | | |
| **M** |  | **:23G:** | | | | | | | | | | **NEWM** | | | | | | | | | | Function of the message (here, new message) | | | | | | | | | | | | | |
| **M** |  | **:22F::** | | | | | | | | | | **CAEV//RHDI** | | | | | | | | | | Corporate Action Event Indicator | | | | | | | | | | | | | |
| **O** |  | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | |
|  |  | **:16R:** | | | | | | | | | | **LINK** | | | | | | | | | | Start of Block | | | | | | | | | | | | | |
| **R** |  | **:13A::** | | | | | | | | | | **LINK//564** | | | | | | | | | | Previously sent message type | | | | | | | | | | | | | |
| **M** |  | **:20C::** | | | | | | | | | | **PREV//BEV2010020200539** | | | | | | | | | | Previously sent message reference | | | | | | | | | | | | | |
|  |  | **:16S:** | | | | | | | | | | **LINK** | | | | | | | | | | End of Block | | | | | | | | | | | | | |
| **O** |  | *End of Subsequence A1 Linkages* | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | |
|  |  | **:16S:** | | | | | | | | | | **GENL** | | | | | | | | | | End Of Block | | | | | | | | | | | | | |
| **M** | *End of Sequence A General Information* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | |
|  |  | **:16R:** | | | | | | | | | | **USECU** | | | | | | | | | | Start of Block | | | | | | | | | | | | | |
| **M** |  | **:97A::** | | | | | | | | | | **SAFE//98-0112441-05** | | | | | | | | | | Safekeeping Account | | | | | | | | | | | | | |
| **M** |  | **:35B:** | | | | | | | | | | **ISIN NL0000009355** | | | | | | | | | | Identification of the Financial Instrument | | | | | | | | | | | | | |
| **O** |  | **:93B::** | | | | | | | | | | **ELIG//UNIT/9500,** | | | | | | | | | | Eligible unit balance | | | | | | | | | | | | | |
| **M** |  | **:93B::** | | | | | | | | | | **CONB//UNIT/9500,** | | | | | | | | | | Confirmed unit balance | | | | | | | | | | | | | |
|  |  | **:16S:** | | | | | | | | | | **USECU** | | | | | | | | | | End Of Block | | | | | | | | | | | | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | |
| **M** | *Optional* **Sequence C** *Corporate Actions Details* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | |
|  |  | **:16R:** | | | | | | | | | | **CADETL** | | | | | | | | | | Start of Block | | | | | | | | | | | | | |
| **M** |  | **:98A::** | | | | | | | | | | **XDTE//20YY1110** | | | | | | | | | | Ex-Dividend or Distribution Date/Time | | | | | | | | | | | | | |
| **M** |  | **:98A::** | | | | | | | | | | **RDTE//20YY1112** | | | | | | | | | | Record Date/Time | | | | | | | | | | | | | |
| **O** |  | **:22F::** | | | | | | | | | | **DIVI//INTE** | | | | | | | | | | Dividend Type Indicator | | | | | | | | | | | | | |
| **M** |  |  | | **:22F::** | | | | | | | | | | **RHDI//DRIP** | | | | | | | | Intermediate Securities Distribution Type Indicator | | | | | | | | | | | | | |
|  |  |  | | **:16S:** | | | | | | | | | | **CADETL** | | | | | | | | End Of Block | | | | | | | | | | | | | |
| **M** | *End of Sequence C Corporate Actions Details* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | |
| **M** |  | *Mandatory* **Sequence D** *Corporate Action Confirmation* | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | |
|  |  |  | | **:16R:** | | | | | | | | | | **CACONF** | | | | | | | | Start of Block | | | | | | | | | | | | | |
| **M** |  |  | | **:13A::** | | | | | | | | | | **CAON//001** | | | | | | | | CA Option Number | | | | | | | | | | | | | |
| **M** |  |  | | **:22F::** | | | | | | | | | | **CAOP//SECU** | | | | | | | | Corporate Action Option Code Indicator | | | | | | | | | | | | | |
| **O** |  |  | | **:98A::** | | | | | | | | | | **EXPI//20YY1207** | | | | | | | | Expiry Date | | | | | | | | | | | | | |
| **M** |  |  | | *Optional Repetitive Subsequence D1 Securities Movement* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  |  |  | | **:16R:** | | | | | | | | | | **SECMOVE** | | | | | | | | Start of Block | | | | | | | | | | | | | |
| **M** |  |  | | **:22H::** | | | | | | | | | | **CRDB//CRED** | | | | | | | | Credit/Debit Indicator | | | | | | | | | | | | | |
| **M** |  |  | | **:35B:** | | | | | | | | | | **ISIN NL0009311588** | | | | | | | | Identification of the Financial Instrument | | | | | | | | | | | | | |
| **M** |  |  | | **:36B::** | | | | | | | | | | **PSTA//UNIT/9500,** | | | | | | | | Posting Quantity | | | | | | | | | | | | | |
| **M** |  |  | | **:92D::** | | | | | | | | | | **ADEX//1,/1,** | | | | | | | | Additional for Existing Securities | | | | | | | | | | | | | |
| **M** |  |  | | **:98A::** | | | | | | | | | | **POST//20YY1115** | | | | | | | | Posting Date/Time | | | | | | | | | | | | | |
| **O** |  |  | | **:98A::** | | | | | | | | | | **EARL//20YY1116** | | | | | | | | Earliest payment date | | | | | | | | | | | | | |
|  |  |  | | **:16S:** | | | | | | | | | | **SECMOVE** | | | | | | | | End Of Block | | | | | | | | | | | | | |
| **M** |  |  | | *End of Subsequence D1 Securities Movement* | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | |
|  |  |  | | **:16S:** | | | | | | | | | | **CACONF** | | | | | | | | End Of Block | | | | | | | | | | | | | |
| **M** |  | *End of Mandatory Sequence D Corporate Action Confirmation* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  |  |  | |  | |  | |  | | | | | | | | |  | | | | | | | |  | | | | | | | | | | |
| MT 564 Corporate Action Notification (Event 2) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  |  |  | |  | |  | |  | | | | | | | | | |  | | | | | | | | | | | |  | | | | | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  |  |  | | **:16R:** | | | | | | | | | | | **GENL** | | | | | | | Start of Block | | | | | | | | | | | | | |
| **M** |  |  | | **:20C::** | | | | | | | | | | | **CORP//BE320120100110** | | | | | | | Corporate Action Reference | | | | | | | | | | | | | |
| **M** |  |  | | **:20C::** | | | | | | | | | | | **SEME//BEV3010020200430** | | | | | | | Sender's Message Reference | | | | | | | | | | | | | |
| **O** |  |  | | **:20C::** | | | | | | | | | | | **COAF//BI01593212345** | | | | | | | Official Corporate Action Event Reference | | | | | | | | | | | | | |
| **M** |  |  | | **:23G:** | | | | | | | | | | | **NEWM** | | | | | | | Function of the message (here, new message) | | | | | | | | | | | | | |
| **M** |  |  | | **:22F::** | | | | | | | | | | | **CAEV//DRIP** | | | | | | | Corporate Action Event Indicator | | | | | | | | | | | | | |
| **M** |  |  | | **:22F::** | | | | | | | | | | | **CAMV//CHOS** | | | | | | | Mandatory/Voluntary Indicator | | | | | | | | | | | | | |
| **M** |  |  | | **:25D::** | | | | | | | | | | | **PROC//COMP** | | | | | | | Processing Status | | | | | | | | | | | | | |
| **O** |  | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  |  |  | | **:16R:** | | | | | | | | | | | **LINK** | | | | | | | Start of Block | | | | | | | | | | | | | |
| **R** |  |  | | **:13A::** | | | | | | | | | | | **LINK//564** | | | | | | | Message Type | | | | | | | | | | | | | |
| **M** |  |  | | **:20C::** | | | | | | | | | | | **CORP//BE220120100110** | | | | | | | Corporate action reference of the 1st event distribution. | | | | | | | | | | | | | |
|  |  |  | | **:16S:** | | | | | | | | | | | **LINK** | | | | | | | End of Block | | | | | | | | | | | | | |
| **O** |  | *End of Subsequence A1 Linkages* | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  |  |  | | **:16S:** | | | | | | | | | | | **GENL** | | | | | | | End Of Block | | | | | | | | | | | | | |
| **M** | *End of Sequence A General Information* | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  |  |  | | **:16R:** | | | | | | | | | | | **USECU** | | | | | | | Start of Block | | | | | | | | | | | | | |
| **M** |  |  | | **:35B:** | | | | | | | | | | | **ISIN NL0009311588** | | | | | | | Identification of the Financial Instrument | | | | | | | | | | | | | |
| **M** |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  |  |  | | **:16R:** | | | | | | | | | | | **ACCTINFO** | | | | | | | Start of Block | | | | | | | | | | | | | |
| **M** |  |  | | **:97A::** | | | | | | | | | | | **SAFE//98-0112441-05** | | | | | | | Safekeeping Account | | | | | | | | | | | | | |
| **O** |  |  | | **:93B::** | | | | | | | | | | | **ELIG//UNIT/9500,** | | | | | | | Total Eligible For Corporate Action Balance | | | | | | | | | | | | | |
|  |  |  | | **:16S:** | | | | | | | | | | | **ACCTINFO** | | | | | | | End Of Block | | | | | | | | | | | | | |
| **M** |  | *End of Subsequence B2 Account Information* | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  |  |  | | **:16S:** | | | | | | | | | | | **USECU** | | | | | | | End Of Block | | | | | | | | | | | | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
| **O** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  |  |  | | **:16R:** | | | | | | | | | | | **CAOPTN** | | | | | | | Start of Block | | | | | | | | | | | | | |
| **M** |  |  | | **:13A::** | | | | | | | | | | | **CAON//001** | | | | | | | CA Option Number | | | | | | | | | | | | | |
| **M** |  |  | | **:22F::** | | | | | | | | | | | **CAOP//CASH** | | | | | | | Corporate Action Option Code Indicator | | | | | | | | | | | | | |
| **R** |  |  | | **:11A::** | | | | | | | | | | | **OPTN//EUR** | | | | | | | Currency offered | | | | | | | | | | | | | |
| **M** |  |  | | **:17B::** | | | | | | | | | | | **DFLT//Y** | | | | | | | Default Processing Flag | | | | | | | | | | | | | |
| **O** |  |  | | **:98A::** | | | | | | | | | | | **RDDT//20YY1203** | | | | | | | Response deadline date/time | | | | | | | | | | | | | |
| **O** |  |  | | **:98A::** | | | | | | | | | | | **MKDT//20YY1207** | | | | | | | Market Deadline Date | | | | | | | | | | | | | |
| **R** |  |  | | **:69A::** | | | | | | | | | | | **PWAL//20YY1115/20YY1207** | | | | | | | Period of action | | | | | | | | | | | | | |
| **O** |  |  | | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **M** |  |  | | **:16R:** | | | | | | | | | | | **SECMOVE** | | | | | | | Start of Block | | | | | | | | | | | | | | |
| **M** |  |  | | **:22H::** | | | | | | | | | | | **CRDB//DEBT** | | | | | | | Credit/Debit Indicator | | | | | | | | | | | | | | |
| **M** |  |  | | **:35B:** | | | | | | | | | | | **ISIN NL0009311588** | | | | | | | Identification of the Financial Instrument | | | | | | | | | | | | | | |
| **M** |  |  | | **:36B::** | | | | | | | | | | | **ENTL//UNIT/9500,** | | | | | | | Quantity to be debited | | | | | | | | | | | | | | |
| **M** |  |  | | **:98A::** | | | | | | | | | | | **PAYD//20YY1215** | | | | | | | Payment Date/Time | | | | | | | | | | | | | | |
| **M** |  |  | | **:16S:** | | | | | | | | | | | **SECMOVE** | | | | | | | End Of Block | | | | | | | | | | | | | | |
| **O** |  |  | | *End of Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
| **O** |  |  | | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  |  |  | | **:16R:** | | | | | | | | | | | **CASHMOVE** | | | | | | | Start of Block | | | | | | | | | | | | | |
| **M** |  |  | | **:22H::** | | | | | | | | | | | **CRDB//CRED** | | | | | | | Credit/Debit Indicator | | | | | | | | | | | | | |
| **O** |  |  | | **:19B::** | | | | | | | | | | | **ENTL//EUR1574,62** | | | | | | | Entitled amount | | | | | | | | | | | | | |
| **O** |  |  | | **:19B::** | | | | | | | | | | | **GRSS//EUR1852,5** | | | | | | | Gross amount | | | | | | | | | | | | | |
| **O** |  |  | | **:19B::** | | | | | | | | | | | **TAXR//EUR277,88** | | | | | | | Tax amount | | | | | | | | | | | | | |
| **O** |  |  | | **:19B::** | | | | | | | | | | | **NETT//EUR1574,62** | | | | | | | Net amount | | | | | | | | | | | | | |
| **M** |  |  | | **:98A::** | | | | | | | | | | | **PAYD//20YY1215** | | | | | | | Payment Date/Time | | | | | | | | | | | | | |
| **O** |  |  | | **:98A::** | | | | | | | | | | | **VALU//20YY1215** | | | | | | | Value Date/Time | | | | | | | | | | | | | |
| **M** |  |  | | **:92F::** | | | | | | | | | | | **GRSS//EUR0,195** | | | | | | | Gross dividend rate | | | | | | | | | | | | | |
| **M** |  |  | | **:92F::** | | | | | | | | | | | **NETT//EUR0,16575** | | | | | | | Net dividend rate | | | | | | | | | | | | | |
| **O** |  |  | | **:92A::** | | | | | | | | | | | **TAXR//15,** | | | | | | | Tax rate | | | | | | | | | | | | | |
|  |  |  | | **:16S:** | | | | | | | | | | | **CASHMOVE** | | | | | | | End Of Block | | | | | | | | | | | | | |
| **O** |  |  | | *End of Subsequence E2 Cash Movement* | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  |  |  | | **:16S:** | | | | | | | | | | | **CAOPTN** | | | | | | | End Of Block | | | | | | | | | | | | | |
| **O** |  | *End of Sequence E Corporate Action Options* | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
| **O** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  |  |  | | **:16R:** | | | | | | | | | | | **CAOPTN** | | | | | | | Start of Block | | | | | | | | | | | | | |
| **M** |  |  | | **:13A::** | | | | | | | | | | | **CAON//002** | | | | | | | CA Option Number | | | | | | | | | | | | | |
| **M** |  |  | | **:22F::** | | | | | | | | | | | **CAOP//SECU** | | | | | | | Corporate Action Option Code Indicator | | | | | | | | | | | | | |
| **M** |  |  | | **:17B::** | | | | | | | | | | | **DFLT//N** | | | | | | | Default Processing Flag | | | | | | | | | | | | | |
| **O** |  |  | | **:98A::** | | | | | | | | | | | **RDDT//20YY1203** | | | | | | | Response deadline date/time | | | | | | | | | | | | | |
| **O** |  |  | | **:98A::** | | | | | | | | | | | **MKDT//20YY1207** | | | | | | | Market Deadline Date | | | | | | | | | | | | | |
| **R** |  |  | | **:69A::** | | | | | | | | | | | **PWAL//20YY1115/20YY1207** | | | | | | | Period of action | | | | | | | | | | | | | |
| **O** |  |  | | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **M** |  | **:16R:** | | | | | | | | | | | **SECMOVE** | | | | | | | Start of Block | | | | | | | | | | | | | | | |
| **M** |  | **:22H::** | | | | | | | | | | | **CRDB//DEBT** | | | | | | | Credit/Debit Indicator | | | | | | | | | | | | | | | |
| **M** |  | **:35B:** | | | | | | | | | | | **ISIN NL0009311588** | | | | | | | Identification of the Financial Instrument | | | | | | | | | | | | | | | |
| **M** |  | **:36B::** | | | | | | | | | | | **ENTL//UNIT/9500,** | | | | | | | Quantity to be debited | | | | | | | | | | | | | | | |
| **M** |  | **:98A::** | | | | | | | | | | | **PAYD//20YY1215** | | | | | | | Payment Date/Time | | | | | | | | | | | | | | | |
| **M** |  | **:16S:** | | | | | | | | | | | **SECMOVE** | | | | | | | End Of Block | | | | | | | | | | | | | | | |
| **O** |  |  | | *End of Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
| **O** |  |  | | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **M** |  | **:16R:** | | | | | | | | | | | **SECMOVE** | | | | | | | Start of Block | | | | | | | | | | | | | | | |
| **M** |  | **:22H::** | | | | | | | | | | | **CRDB//CRED** | | | | | | | Credit/Debit Indicator | | | | | | | | | | | | | | | |
| **M** |  | **:35B:** | | | | | | | | | | | **ISIN NL0000009355** | | | | | | | Identification of the Financial Instrument | | | | | | | | | | | | | | | |
| **M** |  | **:36B::** | | | | | | | | | | | **ENTL//UNIT/100,** | | | | | | | Quantity to be credited | | | | | | | | | | | | | | | |
| **M** |  | **:92D::** | | | | | | | | | | | **NEWO//1,/95,** | | | | | | | New Securities to Underlying Securities | | | | | | | | | | | | | | | |
| **M** |  | **:98A::** | | | | | | | | | | | **PAYD//20YY1215** | | | | | | | Payment Date/Time | | | | | | | | | | | | | | | |
| **O** |  | **:98A::** | | | | | | | | | | | **EARL//20YY1216** | | | | | | | Earliest Payment Date | | | | | | | | | | | | | | | |
| **M** |  | **:16S:** | | | | | | | | | | | **SECMOVE** | | | | | | | End Of Block | | | | | | | | | | | | | | | |
| **O** |  |  | | *End of Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  |  | **:16S:** | | | | | | | | | | | **CAOPTN** | | | | | | | End Of Block | | | | | | | | | | | | | | | |
| **O** |  | *End of Sequence E Corporate Action Options* | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  |  |  | |  | |  | |  | | | | | | | | | |  | | | | | | | | | | | |  | | | | | |
| MT 565 Corporate Action Instruction (Event 2) | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  |  |  | |  | |  | |  | | | | | | | | | |  | | | | | | | | | |  | | | | | | | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | |
|  |  | **:16R:** | | | | | | | | | | | **GENL** | | | | | | | | Start of Block | | | | | | | | | | | | | | |
| **M** |  | **:20C::** | | | | | | | | | | | **CORP//BE320120100110** | | | | | | | | Corporate Action Reference | | | | | | | | | | | | | | |
| **M** |  | **:20C::** | | | | | | | | | | | **SEME//BEV3010020200431** | | | | | | | | Sender's Message Reference | | | | | | | | | | | | | | |
| **O** |  | **:20C::** | | | | | | | | | | | **COAF//BI01593212345** | | | | | | | | Official Corporate Action Event Reference | | | | | | | | | | | | | | |
| **M** |  | **:23G:** | | | | | | | | | | | **NEWM** | | | | | | | | Function of the message (here, new message) | | | | | | | | | | | | | | |
| **M** |  | **:22F::** | | | | | | | | | | | **CAEV//DRIP** | | | | | | | | Corporate Action Event Indicator | | | | | | | | | | | | | | |
| **R** |  | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | |
|  |  | **:16R:** | | | | | | | | | | | **LINK** | | | | | | | | Start of Block | | | | | | | | | | | | | | |
| **R** |  | **:13A::** | | | | | | | | | | | **LINK//564** | | | | | | | | Previously received message type | | | | | | | | | | | | | | |
| **M** |  | **:20C::** | | | | | | | | | | | **RELA//BEV3010020200430** | | | | | | | | Previously received message reference | | | | | | | | | | | | | | |
|  |  | **:16S:** | | | | | | | | | | | **LINK** | | | | | | | | End of Block | | | | | | | | | | | | | | |
| **R** |  | *End of Subsequence A1 Linkages* | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | |
|  |  | **:16S:** | | | | | | | | | | | **GENL** | | | | | | | | End Of Block | | | | | | | | | | | | | | |
| **M** | *End of Sequence A General Information* | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | |
|  |  | **:16R:** | | | | | | | | | | | **USECU** | | | | | | | | Start of Block | | | | | | | | | | | | | | |
| **R** |  | **:35B:** | | | | | | | | | | | **ISIN NL0009311588** | | | | | | | | Identification of the Financial Instrument | | | | | | | | | | | | | | |
| **M** |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  |  | **:16R:** | | | | | | | | | | | **ACCTINFO** | | | | | | | | Start of Block | | | | | | | | | | | | | | |
| **M** |  | **:97A::** | | | | | | | | | | | **SAFE//98-0112441-05** | | | | | | | | Safekeeping Account | | | | | | | | | | | | | | |
| **R** |  | **:93B::** | | | | | | | | | | | **ELIG//UNIT/9500,** | | | | | | | | Total Eligible For Corporate Action Balance | | | | | | | | | | | | | | |
|  |  | **:16S:** | | | | | | | | | | | **ACCTINFO** | | | | | | | | End Of Block | | | | | | | | | | | | | | |
| **M** |  | *End of Subsequence B2 Account Information* | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | |
|  |  | **:16S:** | | | | | | | | | | | **USECU** | | | | | | | | End Of Block | | | | | | | | | | | | | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | |
| **M** | *Mandatory* **Sequence D** *Corporate Actions Instruction* | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | |
|  |  | **:16R:** | | | | | | | | | | | **CAINST** | | | | | | | | Start of Block | | | | | | | | | | | | | | |
| **M** |  | **:13A::** | | | | | | | | | | | **CAON//002** | | | | | | | | CA Option Number | | | | | | | | | | | | | | |
| **M** |  | **:22F::** | | | | | | | | | | | **CAOP//SECU** | | | | | | | | Corporate Action Option Code Indicator | | | | | | | | | | | | | | |
| **O** |  | **:35B:** | | | | | | | | | | | **ISIN NL0000009355** | | | | | | | | Identification of the Financial Instrument | | | | | | | | | | | | | | |
| **M** |  | **:36B::** | | | | | | | | | | | **QINS//UNIT/9500,** | | | | | | | | Quantity of securities instructed | | | | | | | | | | | | | | |
|  |  | **:16S:** | | | | | | | | | | | **CAINST** | | | | | | | | End Of Block | | | | | | | | | | | | | | |
| **M** | *End of Sequence D Corporate Actions Details* | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | |
|  |  |  | |  | |  | |  | | | | | | | | | |  | | | | | | | | | |  | | | | | | | |
| MT 567 Corporate Action Status and Processing Advice (Event 2) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  |  |  | |  | |  | |  | | | | | | | |  | | | | | | | |  | | | | | | | | | | | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | | | | | |
|  | **:16R:** | | | | | | | | | | **GENL** | | | | | | | | | | Start of Block | | | | | | | | | | | | | | |
| **M** | **:20C::** | | | | | | | | | | **CORP//BE320120100110** | | | | | | | | | | Corporate Action Reference | | | | | | | | | | | | | | |
| **M** | **:20C::** | | | | | | | | | | **SEME//BEV3010020200432** | | | | | | | | | | Sender's Message Reference | | | | | | | | | | | | | | |
| **O** | **:20C::** | | | | | | | | | | **COAF//BI01593212345** | | | | | | | | | | Official Corporate Action Event Reference | | | | | | | | | | | | | | |
| **M** | **:23G:** | | | | | | | | | | **INST** | | | | | | | | | | Function of the message (here, reporting on an instruction status) | | | | | | | | | | | | | | |
| **M** | **:22F::** | | | | | | | | | | **CAEV//DRIP** | | | | | | | | | | Corporate Action Event Indicator | | | | | | | | | | | | | | |
| **M** |  | *Mandatory Repetitive Subsequence A1 Linkages* | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | |
|  | **:16R:** | | | | | | | | | | **LINK** | | | | | | | | | | Start of Block | | | | | | | | | | | | | | |
| **R** | **:13A::** | | | | | | | | | | **LINK//565** | | | | | | | | | | Previously received message type | | | | | | | | | | | | | | |
| **M** | **:20C::** | | | | | | | | | | **RELA//BEV3010020200431** | | | | | | | | | | Previously received message reference | | | | | | | | | | | | | | |
|  | **:16S:** | | | | | | | | | | **LINK** | | | | | | | | | | End of Block | | | | | | | | | | | | | | |
| **M** |  | *End of Mandatory Subsequence A1 Linkages* | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | |
| **M** |  | *Mandatory Repetitive Subsequence A2 Status* | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | |
|  | **:16R:** | | | | | | | | | | **STAT** | | | | | | | | | | Start of Block | | | | | | | | | | | | | | |
| **M** | **:25D::** | | | | | | | | | | **IPRC//PACK** | | | | | | | | | | Instruction accepted for further processing | | | | | | | | | | | | | | |
|  | **:16S:** | | | | | | | | | | **STAT** | | | | | | | | | | End of Block | | | | | | | | | | | | | | |
| **M** |  | *End of Mandatory Subsequence A2 Status* | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | |
|  | **:16S:** | | | | | | | | | | **GENL** | | | | | | | | | | End Of Block | | | | | | | | | | | | | | |
| **M** | *End of Sequence A General Information* | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | |
| **M** | *Optional* **Sequence B** *Corporate Action Details* | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | |
|  | **:16R:** | | | | | | | | | | **CADETL** | | | | | | | | | | Start of Block | | | | | | | | | | | | | | |
| **M** | **:13A::** | | | | | | | | | | **CAON//002** | | | | | | | | | | CA Option Number | | | | | | | | | | | | | | |
| **M** | **:22F::** | | | | | | | | | | **CAOP//SECU** | | | | | | | | | | CA Option Code Indicator | | | | | | | | | | | | | | |
|  | **:36B::** | | | | | | | | | | **STAQ//UNIT/9500,** | | | | | | | | | | Quantity on which the status is given | | | | | | | | | | | | | | |
|  | **:16S:** | | | | | | | | | | **CADETL** | | | | | | | | | | End Of Block | | | | | | | | | | | | | | |
| **M** | *End of Optional Sequence B Corporate Action Details* | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | | | | | |
|  |  |  | |  | |  | |  | | | | | | | |  | | | | | | | |  | | | | | | | | | | | |
| MT 564 Corporate Action Notice of Entitlement (Event 2) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  |  | |  | |  | |  | |  | | | | | | | |  | | | | | | |  | | | | | | | | | | | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | | | | | |
|  | **:16R:** | | | | | | | | | **GENL** | | | | | | | | | | | Start of Block | | | | | | | | | | | | | | |
| **M** | **:20C::** | | | | | | | | | **CORP//BE220120100110** | | | | | | | | | | | Corporate Action Reference | | | | | | | | | | | | | | |
| **M** | **:20C::** | | | | | | | | | **SEME//BEV3010020200539** | | | | | | | | | | | Sender's Message Reference | | | | | | | | | | | | | | |
| **O** | **:20C::** | | | | | | | | | **COAF//BI01593212345** | | | | | | | | | | | Official Corporate Action Event Reference | | | | | | | | | | | | | | |
| **M** | **:23G:** | | | | | | | | | **REPE** | | | | | | | | | | | Replacement with entitlement | | | | | | | | | | | | | | |
| **M** | **:22F::** | | | | | | | | | **CAEV//DRIP** | | | | | | | | | | | Corporate Action Event Indicator | | | | | | | | | | | | | | |
| **M** | **:22F::** | | | | | | | | | **CAMV//CHOS** | | | | | | | | | | | Mandatory/Voluntary Indicator | | | | | | | | | | | | | | |
| **M** | **:25D::** | | | | | | | | | **PROC//COMP** | | | | | | | | | | | Processing Status | | | | | | | | | | | | | | |
| **O** | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | |
|  | **:16R:** | | | | | | | | | **LINK** | | | | | | | | | | | Start of Block | | | | | | | | | | | | | | |
| **R** | **:13A::** | | | | | | | | | **LINK//564** | | | | | | | | | | | Previously sent message type | | | | | | | | | | | | | | |
| **M** | **:20C::** | | | | | | | | | **PREV//BEV3010020200430** | | | | | | | | | | | Previously sent message reference | | | | | | | | | | | | | | |
|  | **:16S:** | | | | | | | | | **LINK** | | | | | | | | | | | End of Block | | | | | | | | | | | | | | |
| **O** | *End of Subsequence A1 Linkages* | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | |
|  | **:16S:** | | | | | | | | | **GENL** | | | | | | | | | End Of Block | | | | | | | | | | | | | | |
| **M** | *End of Sequence A General Information* | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | |
|  | **:16R:** | | | | | | | | | **USECU** | | | | | | | | | Start of Block | | | | | | | | | | | | | | |
| **M** | **:35B:** | | | | | | | | | **ISIN NL0009311588** | | | | | | | | | Identification of the Financial Instrument | | | | | | | | | | | | | | |
| **M** | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | | | | **ACCTINFO** | | | | | | | | | Start of Block | | | | | | | | | | | | | | |
| **M** | **:97A::** | | | | | | | | | **SAFE//98-0112441-05** | | | | | | | | | Safekeeping Account | | | | | | | | | | | | | | |
| **M** | **:93B::** | | | | | | | | | **ELIG//UNIT/9500,** | | | | | | | | | Total Eligible For Corporate Action Balance | | | | | | | | | | | | | | |
|  | **:16S:** | | | | | | | | | **ACCTINFO** | | | | | | | | | End Of Block | | | | | | | | | | | | | | |
| **M** | *End of Subsequence B2 Account Information* | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | |
|  | **:16S:** | | | | | | | | | **USECU** | | | | | | | | | End Of Block | | | | | | | | | | | | | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | |
| **M** | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | |
|  | **:16R:** | | | | | | | | | **CADETL** | | | | | | | | | Start of Block | | | | | | | | | | | | | | |
| **O** | **:22F::** | | | | | | | | | **ADDB//CAPA** | | | | | | | | | The message is a preliminary advice of payment | | | | | | | | | | | | | | |
|  | **:16S:** | | | | | | | | | **CADETL** | | | | | | | | | End Of Block | | | | | | | | | | | | | | |
| **M** | *End of Sequence D Corporate Actions Details* | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | |
| **O** | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | | | | **CAOPTN** | | | | | | | | | Start of Block | | | | | | | | | | | | | | |
| **M** | **:13A::** | | | | | | | | | **CAON//002** | | | | | | | | | CA Option Number | | | | | | | | | | | | | | |
| **M** | **:22F::** | | | | | | | | | **CAOP//SECU** | | | | | | | | | Corporate Action Option Code Indicator | | | | | | | | | | | | | | |
| **M** | **:17B::** | | | | | | | | | **DFLT//N** | | | | | | | | | Default Processing Flag | | | | | | | | | | | | | | |
| **O** | **:98A::** | | | | | | | | | **RDDT//20YY1203** | | | | | | | | | Response deadline date/time | | | | | | | | | | | | | | |
| **O** | **:98A::** | | | | | | | | | **MKDT//20YY1207** | | | | | | | | | Market Deadline Date | | | | | | | | | | | | | | |
| **R** | **:69A::** | | | | | | | | | **PWAL//20YY1115/20YY1207** | | | | | | | | | Period of action | | | | | | | | | | | | | | |
| **O** |  | | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **M** | **:16R:** | | | | | | | | | **SECMOVE** | | | | | | | | | Start of Block | | | | | | | | | | | | | | |
| **M** | **:22H::** | | | | | | | | | **CRDB//DEBT** | | | | | | | | | Credit/Debit Indicator | | | | | | | | | | | | | | |
| **M** | **:35B:** | | | | | | | | | **ISIN NL0009311588** | | | | | | | | | Identification of the Financial Instrument | | | | | | | | | | | | | | |
| **M** | **:36B::** | | | | | | | | | **ENTL//UNIT/9500,** | | | | | | | | | Quantity to be debited | | | | | | | | | | | | | | |
| **M** | **:98A::** | | | | | | | | | **PAYD//20YY1215** | | | | | | | | | Payment Date/Time | | | | | | | | | | | | | | |
| **M** | **:16S:** | | | | | | | | | **SECMOVE** | | | | | | | | | End Of Block | | | | | | | | | | | | | | |
| **O** |  | | *End of Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | |
| **O** |  | | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **M** | **:16R:** | | | | | | | | | **SECMOVE** | | | | | | | | | Start of Block | | | | | | | | | | | | | | |
| **M** | **:22H::** | | | | | | | | | **CRDB//CRED** | | | | | | | | | Credit/Debit Indicator | | | | | | | | | | | | | | |
| **M** | **:35B:** | | | | | | | | | **ISIN NL0000009355** | | | | | | | | | Identification of the Financial Instrument | | | | | | | | | | | | | | |
| **M** | **:36B::** | | | | | | | | | **ENTL//UNIT/100,** | | | | | | | | | Quantity of new shares to be posted | | | | | | | | | | | | | | |
| **M** | **:92D::** | | | | | | | | | **NEWO//1,/95,** | | | | | | | | | New Securities to Underlying Securities | | | | | | | | | | | | | | |
| **M** | **:98A::** | | | | | | | | | **PAYD//20YY1215** | | | | | | | | | Payment Date/Time | | | | | | | | | | | | | | |
| **O** | **:98A::** | | | | | | | | | **EARL//20YY1216** | | | | | | | | | Earliest Payment Date | | | | | | | | | | | | | | |
| **M** | **:16S:** | | | | | | | | | **SECMOVE** | | | | | | | | | End Of Block | | | | | | | | | | | | | | |
| **O** |  | | *End of Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | |
|  | **:16S:** | | | | | | | | | **CAOPTN** | | | | | | | | | End Of Block | | | | | | | | | | | | | | |
| **O** | *End of Sequence E Corporate Action Options* | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | | | | |

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 566 Corporate Action Confirmation (Event 2) | | | | | | | | | | |
|  |  |  |  |  |  | | |  | |  | | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | |  | | |
|  | **:16R:** | | | | | | **GENL** | | Start of Block | | | |
| **M** | **:20C::** | | | | | | **CORP//BE220120100110** | | Corporate Action Reference | | | |
| **M** | **:20C::** | | | | | | **SEME//BEV3010020200639** | | Sender's Message Reference | | | |
| **O** | **:20C::** | | | | | | **COAF//BI01593212345** | | Official Corporate Action Event Reference | | | |
| **M** | **:23G:** | | | | | | **NEWM** | | Function of the message (here, new message) | | | |
| **M** | **:22F::** | | | | | | **CAEV//DRIP** | | Corporate Action Event Indicator | | | |
| **O** |  | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | |  |
|  | **:16R:** | | | | | | **LINK** | | Start of Block | | | |
| **R** | **:13A::** | | | | | | **LINK//564** | | Previously sent message type | | | |
| **M** | **:20C::** | | | | | | **PREV//BEV3010020200539** | | Previously sent message reference | | | |
|  | **:16S:** | | | | | | **LINK** | | End of Block | | | |
| **O** |  | *End of Subsequence A1 Linkages* | | | | | | | | | |  |
|  | **:16S:** | | | | | | **GENL** | | End Of Block | | | |
| **M** | *End of Sequence A General Information* | | | | | | | | | | |  |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | |  |
|  | **:16R:** | | | | | | **USECU** | | Start of Block | | | |
| **M** | **:97A::** | | | | | | **SAFE//98-0112441-05** | | Safekeeping Account | | | |
| **M** | **:35B:** | | | | | | **ISIN NL0009311588** | | Identification of the Financial Instrument | | | |
| **O** | **:93B::** | | | | | | **ELIG//UNIT/9500,** | | Eligible unit balance | | | |
| **M** | **:93B::** | | | | | | **CONB//UNIT/9500,** | | Confirmed unit balance | | | |
|  | **:16S:** | | | | | | **USECU** | | End Of Block | | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | |  |
|  |  | | | | | | | | | | |  |
| **M** |  | *Mandatory* **Sequence D** *Corporate Action Confirmation* | | | | | | | | | |  |
|  | **:16R:** | | | | | | **CACONF** | | Start of Block | | | |
| **M** | **:13A::** | | | | | | **CAON//002** | | CA Option Number | | | |
| **M** | **:22F::** | | | | | | **CAOP//SECU** | | Corporate Action Option Code Indicator | | | |
| **O** | **:98A::** | | | | | | **RDDT//20YY1203** | | Response deadline date/time | | | |
| **O** | **:98A::** | | | | | | **MKDT//20YY1207** | | Market Deadline Date | | | |
| **M** |  |  | *Optional Repetitive Subsequence D1 Securities Movement* | | | | | | | | | |
|  | **:16R:** | | | | | | **SECMOVE** | | Start of Block | | | |
| **M** | **:22H::** | | | | | | **CRDB//DEBT** | | Credit/Debit Indicator | | | |
| **M** | **:35B:** | | | | | | **ISIN NL0009311588** | | Identification of the Financial Instrument | | | |
| **M** | **:36B::** | | | | | | **PSTA//UNIT/9500,** | | Posting Quantity | | | |
| **M** | **:98A::** | | | | | | **POST//20YY1215** | | Posting Date/Time | | | |
|  | **:16S:** | | | | | | **SECMOVE** | | End Of Block | | | |
| **M** |  |  | *End of Subsequence D1 Securities Movement* | | | | | | | | |  |
| **M** |  |  | *Optional Repetitive Subsequence D1 Securities Movement* | | | | | | | | | |
|  | **:16R:** | | | | | | **SECMOVE** | | Start of Block | | | |
| **M** | **:22H::** | | | | | | **CRDB//CRED** | | Credit/Debit Indicator | | | |
| **M** | **:35B:** | | | | | | **ISIN NL0000009355** | | Identification of the Financial Instrument | | | |
| **M** | **:36B::** | | | | | | **PSTA//UNIT/100,** | | Posting Quantity | | | |
| **M** | **:92D::** | | | | | | **NEWO//1,/95,** | | New Securities to Underlying Securities | | | |
| **M** | **:98A::** | | | | | | **POST//20YY1215** | | Posting Date/Time | | | |
| **O** | **:98A::** | | | | | | **EARL//20YY1216** | | Earliest Payment Date | | | |
|  | **:16S:** | | | | | | **SECMOVE** | | End Of Block | | | |
| **M** |  |  | *End of Subsequence D1 Securities Movement* | | | | | | | | |  |
|  | **:16S:** | | | | | **CACONF** | | | End Of Block | | | |
| **M** |  | *End of Mandatory Sequence D Corporate Action Confirmation* | | | | | | | | | | |

# DRIP: Dividend Reinvestment (VOLU) - Following Cash Distribution (DVCA)

### Definition:

Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.

### Issue name:

BRIT AMER TOBACCO SHS

ISIN GB0002875804

### Terms:

It is offered to shareholders to reinvest their dividend into additional shares (price is GBP 10) or keep dividend of GBP 0,25 per share. Please notice that this dividend was previously distributed in a DVCA event. So, we have 2 options. The first is SECU with debit of cash previously received in the mandatory DVCA. The second is NOAC to keep the cash that was distributed in the first event).

### Relative dates:

Ex date - 24 February 20YY

Record date - 26 February 20YY

Dividend payment date - 22 March 20YY

Response deadline date – 05 March 20YY

### Transaction description:

A/C 98-0112441-05 has an underlying balance of 80,000 shares.

### Instruction description:

The Client will elect the securities option for the entire eligible balance.

### Messages:

MT 564 for notification

MT 565 for the instruction

MT 567 for the processing status of the instruction

MT 564 for the notice of entitlement

MT 566 for the confirmation

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notification | | | | | | | | | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | |
|  | **:16R:** | | | **GENL** | | | Start of Block | | | | | | |
| **M** | **:20C::** | | | **CORP//1002477031544546** | | | Corporate Action Reference | | | | | | |
| **M** | **:20C::** | | | **SEME//102102130561400** | | | Sender's Message Reference | | | | | | |
| **O** | **:20C::** | | | **COAF//CA000002797977** | | | Official Corporate Action Event Reference | | | | | | |
| **M** | **:23G:** | | | **NEWM** | | | Function of the message (here, new message) | | | | | | |
| **M** | **:22F::** | | | **CAEV//DRIP** | | | Corporate Action Event Indicator | | | | | | |
| **M** | **:22F::** | | | **CAMV//VOLU** | | | Mandatory/Voluntary Indicator | | | | | | |
| **M** | **:25D::** | | | | | **PROC//COMP** | Processing Status | | | | | | |
|  | **:16S:** | | | | | **GENL** | End Of Block | | | | | | |
| **M** | *End of Sequence A General Information* | | | | | | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | |
|  | **:16R:** | | | | | **USECU** | Start of Block | | | | | | |
| **M** | **:35B:** | | | | | **ISIN GB0002875804** | Identification of the Financial Instrument | | | | | | |
| **M** |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | |
|  | **:16R:** | | | | | **ACCTINFO** | Start of Block | | | | | | |
| **M** | **:97A::** | | | | | **SAFE//98-0112441-05** | Safekeeping Account | | | | | | |
| **O** | **:93B::** | | | | | **ELIG//UNIT/80000,** | Total Eligible For Corporate Action Balance | | | | | | |
|  | **:16S:** | | | | | **ACCTINFO** | End Of Block | | | | | | |
| **M** |  | *End of Subsequence B2 Account Information* | | | | | | |
|  | **:16S:** | | | | | **USECU** | End Of Block | | | | | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | |
| **M** | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | |
|  | **:16R:** | | | | | **CADETL** | Start of Block | | | | | | |
| **M** | **:98A::** | | | | | **XDTE//20YY0224** | Ex-Dividend or Distribution Date/Time | | | | | | |
| **M** | **:98A::** | | | | | **RDTE//20YY0226** | Record Date/Time | | | | | | |
|  | **:16S:** | | | | | **CADETL** | End Of Block | | | | | | |
| **M** | *End of Sequence D Corporate Actions Details* | | | | | | |
| **O** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | |
|  | **:16R:** | | | | | **CAOPTN** | Start of Block | | | | | | |
| **M** | **:13A::** | | | | | **CAON//001** | CA Option Number | | | | | | |
| **M** | **:22F::** | | | | | **CAOP//SECU** | Corporate Action Option Code Indicator | | | | | | |
| **R** | **:22F::** | | | | | **DISF//RDDN** | Disposition of Fractions Indicator | | | | | | |
| **M** | **:17B::** | | | | | **DFLT//N** | Default Processing Flag | | | | | | |
| **O** | **:98A::** | | | | | **RDDT//20YY0305** | Response deadline date/time | | | | | | |
| **O** | **:98A::** | | | | | **MKDT//20YY0306** | Market Deadline Date | | | | | | |
| **R** | **:69A::** | | | | | **PWAL//20YY0208/20YY0305** | Period of action | | | | | | |
| **O** |  |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | |
| **M** | **:16R:** | | | | | **SECMOVE** | Start of Block | | | | | | |
| **M** | **:22H::** | | | | | **CRDB//CRED** | Credit/Debit Indicator | | | | | | |
| **M** | **:35B:** | | | | | **ISIN GB0002875804** | Identification of the Financial Instrument | | | | | | |
| **O** | **:36B::** | | | | | **ENTL//UNIT/2000,** | Quantity to be credited | | | | | | |
| C1[[9]](#footnote-9) | **:92D ::** | | | | | **ADEX//0,25/10,** | Additional for Existing Securities | | | | | | |
| **M** | **:98A::** | | | | | **PAYD//20YY0322** | Payment Date/Time | | | | | | |
| **O** | **:98A::** | | | | | **AVAL//20YY0323** | Available Date/Time For Trading | | | | | | |
| **O** | **:98A::** | | | | | **EARL//20YY0323** | Earliest Payment Date | | | | | | |
| **M** | **:16S:** | | | | | **SECMOVE** | End Of Block | | | | | | |
| **O** |  |  | *End of Subsequence E1 Securities Movement* | | | | | |  | | |
| **O** |  |  | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | |  | | |
|  | **:16R:** | | | | | **CASHMOVE** | Start of Block | | | | | | |
| **M** | **:22H::** | | | | | **CRDB//DEBT** | Credit/Debit Indicator | | | | | | |
| **O** | **:19B::** | | | | | **ENTL//GBP20000,** | Entitled amount | | | | | | |
| **O** | **:19B::** | | | | | **GRSS//GBP20000,** | Gross amount | | | | | | |
| **M** | **:98A::** | | | | | **PAYD//20YY0321** | Payment Date/Time | | | | | | |
| **O** | **:98A::** | | | | | **VALU//20YY0321** | Value Date/Time | | | | | | |
| **C1** | **:90B::** | | | | | **PRPP//ACTU/GBP10,** | Reinvestment Cash Price Paid per Product | | | | | | |
|  | **:16S:** | | | | **CASHMOVE** | | End Of Block | | | | | |
| **O** |  |  | *End of Subsequence E2 Cash Movement* | | | | | |  | | |
|  | **:16S:** | | | | | **CAOPTN** | End Of Block | | | | | | |
| **O** |  | *End of Sequence E Corporate Action Options* | | | | | | |  | | |
| **O** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | |
|  | **:16R:** | | | | **CAOPTN** | | Start of Block | | | | | |
| **M** | **:13A::** | | | | **CAON//002** | | CA Option Number | | | | | |
| **M** | **:22F::** | | | | **CAOP//NOAC** | | Corporate Action Option Code Indicator | | | | | |
| **M** | **:17B::** | | | | **DFLT//Y** | | Default Processing Flag | | | | | |
| **O** | **:98A::** | | | | **RDDT//20YY0305** | | Response deadline date/time | | | | | |
| **O** | **:98A::** | | | | **MKDT//20YY0306** | | Market Deadline Date | | | | | |
| **O** | **:69A::** | | | | **PWAL//20YY0208/20YY0305** | | Period of action | | | | | |
|  | **:16S:** | | | | **CAOPTN** | | End Of Block | | | | | |
| **O** |  | *End of Sequence E Corporate Action Options* | | | | | | | | |

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 565 Corporate Action Instruction | | | | | | | | | | | | | | | |
|  |  |  |  |  |  | | | | |  | | | | | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | | | |
|  |  | **:16R:** | | | | | | **GENL** | | | | | | Start of Block | | | | |
| **M** |  | **:20C::** | | | | | | **CORP//1002477031544546** | | | | | | Corporate Action Reference | | | | |
| **M** |  | **:20C::** | | | | | | **SEME//102102130561401** | | | | | | Sender's Message Reference | | | | |
| **O** |  | **:20C::** | | | | | | **COAF//CA000002797977** | | | | | | Official Corporate Action Event Reference | | | | |
| **M** |  | **:23G:** | | | | | | **NEWM** | | | | | | Function of the message (here, new message) | | | | |
| **M** |  | **:22F::** | | | | | | **CAEV//DRIP** | | | | | | Corporate Action Event Indicator | | | | |
| **R** |  | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | | |  | |
|  |  | **:16R:** | | | | | | **LINK** | | | | | | Start of Block | | | | |
| **R** |  | **:13A::** | | | | | | **LINK//564** | | | | | | Previously received message type | | | | |
| **M** |  | **:20C::** | | | | | | **RELA//102102130561400** | | | | | | Previously received message reference | | | | |
|  |  | **:16S:** | | | | | | **LINK** | | | | | | End of Block | | | | |
| **R** |  | *End of Subsequence A1 Linkages* | | | | | | | | | | | | |  | |
|  |  | **:16S:** | | | | **GENL** | | | | | | End Of Block | | | | | | |
| **M** | *End of Sequence A General Information* | | | | | | | | | | | | | |  | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | | | |  | |
|  |  | **:16R:** | | | | | **USECU** | | | | | | Start of Block | | | | | |
| **R** |  | **:35B:** | | | | | **ISIN GB0002875804** | | | | | | Identification of the Financial Instrument | | | | | |
| **M** |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | | |
|  |  | **:16R:** | | | | | | **ACCTINFO** | | | | | | Start of Block | | | | |
| **M** |  | **:97A::** | | | | | | **SAFE//98-0112441-05** | | | | | | Safekeeping Account | | | | |
| **R** |  | **:93B::** | | | | | | **ELIG//UNIT/80000,** | | | | | | Total Eligible For Corporate Action Balance | | | | |
|  |  | **:16S:** | | | | | | **ACCTINFO** | | | | | | End Of Block | | | | |
| **M** |  | *End of Subsequence B2 Account Information* | | | | | | | | | | | | |  | |
|  |  | **:16S:** | | | | | | **USECU** | | | | | | End Of Block | | | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | | | |  | |
| **M** | *Mandatory* **Sequence D** *Corporate Actions Instruction* | | | | | | | | | | | | | |  | |
|  |  | **:16R:** | | | | | | **CAINST** | | | | | | Start of Block | | | | |
| **M** |  |  | **:13A::** | | | | | | **CAON//001** | | CA Option Number | | | | | | | |
| **M** |  |  | **:22F::** | | | | | | **CAOP//SECU** | | Corporate Action Option Code Indicator | | | | | | | |
| **O** |  |  | **:35B:** | | | | | | **ISIN GB0002875804** | | Identification of the Financial Instrument | | | | | | | |
| **M** |  |  | **:36B::** | | | | | | **QINS//UNIT/80000,** | | Quantity of securities instructed | | | | | | | |
|  |  |  | **:16S:** | | | | | | **CAINST** | | End Of Block | | | | | | | |
| **M** | *End of Sequence D Corporate Actions Details* | | | | | | | | | | | | | | |  | |
|  |  |  |  |  |  | | | | |  | | | | | |  | |

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 567 Corporate Action Status and Processing Advice | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | |  | |  | |  | |  | |  | | | | | | |
| **M** | | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | | | | | | | | | | | |
|  | | **:16R:** | | | | | | | | | | **GENL** | | | | | | | | | | Start of Block | | | | | | | | |
| **M** | | **:20C::** | | | | | | | | | | **CORP//1002477031544546** | | | | | | | | | | Corporate Action Reference | | | | | | | | |
| **M** | | **:20C::** | | | | | | | | | | **SEME//102102130561402** | | | | | | | | | | Sender's Message Reference | | | | | | | | |
| **O** | | **:20C::** | | | | | | | | | | **COAF//CA000002797977** | | | | | | | | | | Official Corporate Action Event Reference | | | | | | | | |
| **M** | | **:23G:** | | | | | | | | | | **INST** | | | | | | | | | | Function of the message (here, reporting on an instruction status) | | | | | | | | |
| **M** | | **:22F::** | | | | | | | | | | **CAEV//DRIP** | | | | | | | | | | Corporate Action Event Indicator | | | | | | | | |
| **M** | |  | | *Mandatory Repetitive Subsequence A1 Linkages* | | | | | | | | | | | | | | | | | | | | | |  | | | | |
|  | | **:16R:** | | | | | | | | | | | | **LINK** | | | | | | | | | Start of Block | | | | | | | |
| **R** | | **:13A::** | | | | | | | | | | | | **LINK//565** | | | | | | | | | Previously received message type | | | | | | | |
| **M** | | **:20C::** | | | | | | | | | | | | **RELA//102102130561401** | | | | | | | | | Previously received message reference | | | | | | | |
|  | | **:16S:** | | | | | | | | | | | | **LINK** | | | | | | | | | End of Block | | | | | | | |
| **M** | |  | | *End of Mandatory Subsequence A1 Linkages* | | | | | | | | | | | | | | | | | | | | | |  | | | | |
| **M** | |  | | *Mandatory Repetitive Subsequence A2 Status* | | | | | | | | | | | | | | | | | | | | | |  | | | | |
|  | | **:16R:** | | | | | | | | | | | | **STAT** | | | | | | | | | Start of Block | | | | | | | |
| **M** | | **:25D::** | | | | | | | | | | | | **IPRC//PACK** | | | | | | | | | Instruction accepted for further processing | | | | | | | |
|  | | **:16S:** | | | | | | | | | | | | **STAT** | | | | | | | | | End of Block | | | | | | | |
| **M** | |  | | *End of Mandatory Subsequence A2 Status* | | | | | | | | | | | | | | | | | | | | | |  | | | | |
|  | | **:16S:** | | | | | | | | | | | | **GENL** | | | | | | | | | End Of Block | | | | | | | |
| **M** | | *End of Sequence A General Information* | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
| **M** | | *Optional* **Sequence B** *Corporate Action Details* | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  | | **:16R:** | | | | | | | | | | | | **CADETL** | | | | | | | | | | Start of Block | | | | | | |
| **M** | | **:13A::** | | | | | | | | | | | | **CAON//001** | | | | | | | | | | CA Option Number | | | | | | |
| **M** | | **:22F::** | | | | | | | | | | | | **CAOP//SECU** | | | | | | | | | | CA Option Code Indicator | | | | | | |
|  | | **:36B::** | | | | | | | | | | | | **STAQ//UNIT/80000,** | | | | | | | | | | Quantity on which the status is given | | | | | | |
|  | | **:16S:** | | | | | | | | | | | | **CADETL** | | | | | | | | | | End Of Block | | | | | | |
| **M** | | *End of Optional Sequence B Corporate Action Details* | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  | |  | |  | |  | |  | |  | | | | | | |  | | | | | | | | |  | | | | |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | |
|  | **:16R:** | | | | | | | | | | | **GENL** | | | | | | | | | | Start of Block | | | | | | | | |
| **M** | **:20C::** | | | | | | | | | | | **CORP//1002477031544546** | | | | | | | | | | Corporate Action Reference | | | | | | | | |
| **M** | **:20C::** | | | | | | | | | | | **SEME//102102130561403** | | | | | | | | | | Sender's Message Reference | | | | | | | | |
| **O** | **:20C::** | | | | | | | | | | | **COAF//CA000002797977** | | | | | | | | | | Official Corporate Action Event Reference | | | | | | | | |
| **M** | **:23G:** | | | | | | | | | | | **REPE** | | | | | | | | | | Replacement with entitlement | | | | | | | | |
| **M** | **:22F::** | | | | | | | | | | | **CAEV//DRIP** | | | | | | | | | | Corporate Action Event Indicator | | | | | | | | |
| **M** | **:22F::** | | | | | | | | | | | **CAMV//VOLU** | | | | | | | | | | Mandatory/Voluntary Indicator | | | | | | | | |
| **M** | **:25D::** | | | | | | | | | | | **PROC//COMP** | | | | | | | | | | Processing Status | | | | | | | | |
| **O** |  | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  | **:16R:** | | | | | | | | | | | **LINK** | | | | | | | | | | Start of Block | | | | | | | | |
| **R** | **:13A::** | | | | | | | | | | | **LINK//564** | | | | | | | | | | Previously sent message type | | | | | | | | |
| **M** | **:20C::** | | | | | | | | | | | **PREV//102102130561400** | | | | | | | | | | Previously sent message reference | | | | | | | | |
|  | **:16S:** | | | | | | | | | | | **LINK** | | | | | | | | | | End of Block | | | | | | | | |
| **O** |  | | *End of Subsequence A1 Linkages* | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
| **O** |  | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  | **:16R:** | | | | | | | | | | | **LINK** | | | | | | | | | | Start of Block | | | | | | | | |
| **R** | **:13A::** | | | | | | | | | | | **LINK//565** | | | | | | | | | | Previously received message type | | | | | | | | |
| **M** | **:20C::** | | | | | | | | | | | **RELA//102102130561401** | | | | | | | | | | Previously received message reference | | | | | | | | |
|  | **:16S:** | | | | | | | | | | | **LINK** | | | | | | | | | | End of Block | | | | | | | | |
| **O** |  | | *End of Subsequence A1 Linkages* | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  | **:16S:** | | | | | | | | | | | **GENL** | | | | | | | | | | End Of Block | | | | | | | | |
| **M** | *End of Sequence A General Information* | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  | **:16R:** | | | | | | | | | | | **USECU** | | | | | | | | | | Start of Block | | | | | | | | |
| **M** | **:35B:** | | | | | | | | | | | **ISIN GB0002875804** | | | | | | | | | | Identification of the Financial Instrument | | | | | | | | |
| **M** |  | | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | | | | | | **ACCTINFO** | | | | | | | | | | Start of Block | | | | | | | | |
| **M** | **:97A::** | | | | | | | | | | | **SAFE//98-0112441-05** | | | | | | | | | | Safekeeping Account | | | | | | | | |
| **M** | **:93B::** | | | | | | | | | | | **ELIG//UNIT/80000,** | | | | | | | | | | Total Eligible For Corporate Action Balance | | | | | | | | |
|  | **:16S:** | | | | | | | | | | | **ACCTINFO** | | | | | | | | | | End Of Block | | | | | | | | |
| **M** |  | | *End of Subsequence B2 Account Information* | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  | **:16S:** | | | | | | | | | | | **USECU** | | | | | | | | | | End Of Block | | | | | | | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
| **M** | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  | **:16R:** | | | | | | | | | | | **CADETL** | | | | | | | | | | Start of Block | | | | | | | | |
| **M** | **:98A::** | | | | | | | | | | | **XDTE//20YY0224** | | | | | | | | | | Ex-Dividend or Distribution Date/Time | | | | | | | | |
| **M** | **:98A::** | | | | | | | | | | | **RDTE//20YY0226** | | | | | | | | | | Record Date/Time | | | | | | | | |
| **O** | **:22F::** | | | | | | | | | | | **ADDB//CAPA** | | | | | | | | | | The message is a preliminary advice of payment | | | | | | | | |
|  | **:16S:** | | | | | | | | | | | **CADETL** | | | | | | | | | | End Of Block | | | | | | | | |
| **M** | *End of Sequence D Corporate Actions Details* | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
| **O** |  | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | | | | | | **CAOPTN** | | | | | | | | | | Start of Block | | | | | | | | |
| **M** | **:13A::** | | | | | | | | | | | **CAON//001** | | | | | | | | | | CA Option Number | | | | | | | | |
| **M** | **:22F::** | | | | | | | | | | | **CAOP//SECU** | | | | | | | | | | Corporate Action Option Code Indicator | | | | | | | | |
| **R** | **:22F::** | | | | | | | | | | | **DISF//RDDN** | | | | | | | | | | Disposition of Fractions Indicator | | | | | | | | |
| **M** | **:17B::** | | | | | | | | | | | **DFLT//N** | | | | | | | | | | Default Processing Flag | | | | | | | | |
| **O** | **:98A::** | | | | | | | | | | | **RDDT//20YY0305** | | | | | | | | | | Response deadline date/time | | | | | | | | |
| **O** | **:98A::** | | | | | | | | | | | **MKDT//20YY0306** | | | | | | | | | | Market Deadline Date | | | | | | | | |
| **R** | **:69A::** | | | | | | | | | | | **PWAL//20YY0208/20YY0305** | | | | | | | | | | Period of action | | | | | | | | |
| **O** |  | |  | | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | | | | | | | | | | | |
| **M** | **:16R:** | | | | | | | | | | **SECMOVE** | | | | | | | Start of Block | | | | | | | | | | | | |
| **M** | **:22H::** | | | | | | | | | | **CRDB//CRED** | | | | | | | Credit/Debit Indicator | | | | | | | | | | | | |
| **M** | **:35B:** | | | | | | | | | | **ISIN GB0002875804** | | | | | | | Identification of the Financial Instrument | | | | | | | | | | | | |
| **M** | **:36B::** | | | | | | | | | | **ENTL//UNIT/2000,** | | | | | | | Quantity of new shares to be posted | | | | | | | | | | | | |
| **C1[[10]](#footnote-10)** | | **:92D ::** | | | | | | | | | | | **ADEX//0,25/10,** | | | | | Additional for Existing Securities | | | | | | | | | | | | |
| **M** | **:98A::** | | | | | | | | | | **PAYD//20YY0322** | | | | | | | Payment Date/Time | | | | | | | | | | | | |
| **O** | **:98A::** | | | | | | | | | | **AVAL//20YY0323** | | | | | | | Available Date/Time For Trading | | | | | | | | | | | | |
| **O** | **:98A::** | | | | | | | | | | **EARL//20YY0323** | | | | | | | Earliest Payment Date | | | | | | | | | | | | |
| **M** | **:16S:** | | | | | | | | | | **SECMOVE** | | | | | | | End Of Block | | | | | | | | | | | | |
| **O** |  | |  | | *End of Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | | | | | | | | | |  | |
| **O** |  | |  | | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | | | | | | | | | | | | | | | | |  | |
|  | **:16R:** | | | | | | | | | | **CASHMOVE** | | | | | | | | Start of Block | | | | | | | | | | | |
| **M** | **:22H::** | | | | | | | | | | **CRDB//DEBT** | | | | | | | | Credit/Debit Indicator | | | | | | | | | | | |
| **R** | **:19B::** | | | | | | | | | | **ENTL//GBP20000,** | | | | | | | | Entitled amount | | | | | | | | | | | |
| **O** | **:19B::** | | | | | | | | | | **GRSS//GBP20000,** | | | | | | | | Gross amount | | | | | | | | | | | |
| **M** | **:98A::** | | | | | | | | | | **PAYD//20YY0321** | | | | | | | | Payment Date/Time | | | | | | | | | | | |
| **O** | **:98A::** | | | | | | | | | | **VALU//20YY0321** | | | | | | | | Value Date/Time | | | | | | | | | | | |
| **C1** | **:90B::** | | | | | | | | | | **PRPP//ACTU/GBP10,** | | | | | | | | | Generic Cash Price Paid per Product | | | | | | | | | | |
|  | **:16S:** | | | | | | | | | | **CASHMOVE** | | | | | | | | | End Of Block | | | | | | | | | | |
| **O** |  | |  | | *End of Subsequence E2 Cash Movement* | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  | **:16S:** | | | | | | | | | | | | | | **CAOPTN** | | | | | | End Of Block | | | | | | | | |
| **O** |  | | *End of Sequence E Corporate Action Options* | | | | | | | | | | | | | | | | | | | | | | | | |  | | |
|  |  | |  | |  | |  | |  | | | | | | |  | | | | | | | | | | | |  | | |
| MT 566 Corporate Action Confirmation | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | |
|  | **:16R:** | | | | | | | | | | | | | | **GENL** | | | | | | Start of Block | | | | | | | | | |
| **M** | **:20C::** | | | | | | | | | | | | | | **CORP//1002477031544546** | | | | | | Corporate Action Reference | | | | | | | | | |
| **M** | **:20C::** | | | | | | | | | | | | | | **SEME//102102130561404** | | | | | | Sender's Message Reference | | | | | | | | | |
| **O** | **:20C::** | | | | | | | | | | | | | | **COAF//CA000002797977** | | | | | | Official Corporate Action Event Reference | | | | | | | | | |
| **M** | **:23G:** | | | | | | | | | | | | | | **NEWM** | | | | | | Function of the message (here, new message) | | | | | | | | | |
| **M** | **:22F::** | | | | | | | | | | | | | | **CAEV//DRIP** | | | | | | Corporate Action Event Indicator | | | | | | | | | |
| **O** |  | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  | **:16R:** | | | | | | | | | | | | | | **LINK** | | | | | | Start of Block | | | | | | | | | |
| **R** | **:13A::** | | | | | | | | | | | | | | **LINK//564** | | | | | | Previously sent message type | | | | | | | | | |
| **M** | **:20C::** | | | | | | | | | | | | | | **PREV//102102130561403** | | | | | | Previously sent message reference | | | | | | | | | |
|  | **:16S:** | | | | | | | | | | | | | | **LINK** | | | | | | End of Block | | | | | | | | | |
| **O** |  | | *End of Subsequence A1 Linkages* | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
| **O** |  | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  | **:16R:** | | | | | | | | | | | | | | **LINK** | | | | | | Start of Block | | | | | | | | | |
| **R** | **:13A::** | | | | | | | | | | | | | | **LINK//565** | | | | | | Previously received message type | | | | | | | | | |
| **M** | **:20C::** | | | | | | | | | | | | | | **RELA//102102130561401** | | | | | | Previously received message reference | | | | | | | | | |
|  | **:16S:** | | | | | | | | | | | | | | **LINK** | | | | | | End of Block | | | | | | | | | |
| **O** |  | | *End of Subsequence A1 Linkages* | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  | **:16S:** | | | | | | | | | | | | | | **GENL** | | | | | | End Of Block | | | | | | | | | |
| **M** | *End of Sequence A General Information* | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  | **:16R:** | | | | | | | | | | | | | | **USECU** | | | | | | Start of Block | | | | | | | | | |
| **M** | **:97A::** | | | | | | | | | | | | | | **SAFE//98-0112441-05** | | | | | | Safekeeping Account | | | | | | | | | |
| **M** | **:35B:** | | | | | | | | | | | | | | **ISIN GB0002875804** | | | | | | Identification of the Financial Instrument | | | | | | | | | |
| **O** | **:93B::** | | | | | | | | | | | | | | **ELIG//UNIT/80000,** | | | | | | Eligible unit balance | | | | | | | | | |
| **M** | **:93B::** | | | | | | | | | | | | | | **COMB//UNIT/80000,** | | | | | | Confirmed unit balance | | | | | | | | | |
|  | **:16S:** | | | | | | | | | | | | | | **USECU** | | | | | | End Of Block | | | | | | | | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
| **M** | *Optional* **Sequence C** *Corporate Actions Details* | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  | **:16R:** | | | | | | | | | | | | | | **CADETL** | | | | | | Start of Block | | | | | | | | | |
| **M** | **:98A::** | | | | | | | | | | | | | | **XDTE//20YY0224** | | | | | | Ex-Dividend or Distribution Date/Time | | | | | | | | | |
| **M** | **:98A::** | | | | | | | | | | | | | | **RDTE//20YY0226** | | | | | | Record Date/Time | | | | | | | | | |
|  | **:16S:** | | | | | | | | | | | | | | **CADETL** | | | | | | End Of Block | | | | | | | | | |
| **M** | *End of Sequence C Corporate Actions Details* | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
| **M** |  | | *Mandatory* **Sequence D** *Corporate Action Confirmation* | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  | **:16R:** | | | | | | | | | | | | | | **CACONF** | | | | | | Start of Block | | | | | | | | | |
| **M** | **:13A::** | | | | | | | | | | | | | | **CAON//001** | | | | | | CA Option Number | | | | | | | | | |
| **M** | **:22F::** | | | | | | | | | | | | | | **CAOP//SECU** | | | | | | Corporate Action Option Code Indicator | | | | | | | | | |
| **R** | **:22F::** | | | | | | | | | | | | | | **DISF//RDDN** | | | | | | Disposition of Fractions Indicator | | | | | | | | | |
| **O** | **:98A::** | | | | | | | | | | | | | | **RDDT//20YY0305** | | | | | | Response deadline date/time | | | | | | | | | |
| **O** | **:98A::** | | | | | | | | | | | | | | **MKDT//20YY0306** | | | | | | Market Deadline Date | | | | | | | | | |
| **O** | **:69A::** | | | | | | | | | | | | | | **PWAL//20YY0208/20YY0305** | | | | | | Period of action | | | | | | | | | |
| **M** |  | |  | | *Optional Repetitive Subsequence D1 Securities Movement* | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | | | | | | | | | **SECMOVE** | | | | | | Start of Block | | | | | | | | | |
| **M** | **:22H::** | | | | | | | | | | | | | | **CRDB//CRED** | | | | | | Credit/Debit Indicator | | | | | | | | | |
| **M** | **:35B:** | | | | | | | | | | | | | | **ISIN GB0002875804** | | | | | | Identification of the Financial Instrument | | | | | | | | | |
| **M** | **:36B::** | | | | | | | | | | | | | | **PSTA//UNIT/2000,** | | | | | | Posting Quantity | | | | | | | | | |
| **M** | **:98A::** | | | | | | | | | | | | | | **POST//20YY0322** | | | | | | Posting Date/Time | | | | | | | | | |
| **O** | **:98A::** | | | | | | | | | | | | | | **AVAL//20YY0323** | | | | | | Available Date/Time For Trading | | | | | | | | | |
| **O** | **:98A::** | | | | | | | | | | | | | | **EARL//20YY0323** | | | | | | Earliest Payment Date | | | | | | | | | |
|  | **:16S:** | | | | | | | | | | | | | | **SECMOVE** | | | | | | End Of Block | | | | | | | | | |
| **M** |  | |  | | *End of Subsequence D1 Securities Movement* | | | | | | | | | | | | | | | | | | | |  | | | | | |
| **M** |  | |  | | *Optional Repetitive Subsequence D2 Cash Movement* | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  | **:16R:** | | | | | | | | | | | | | | **CASHMOVE** | | | | | | Start of Block | | | | | | | | | |
| **M** | **:22H::** | | | | | | | | | | | | | | **CRDB//DEBT** | | | | | | Credit/Debit Indicator | | | | | | | | | |
| **M** | **:19B::** | | | | | | | | | | | | | | **PSTA//GBP20000,** | | | | | | Posting amount | | | | | | | | | |
| **O** | **:19B::** | | | | | | | | | | | | | | **GRSS//GBP20000,** | | | | | | Gross amount | | | | | | | | | |
| **M** | **:98A::** | | | | | | | | | | | | | | **POST//20YY0321** | | | | | | Posting Date/Time | | | | | | | | | |
| **O** | **:98A::** | | | | | | | | | | | | | | **VALU//20YY0321** | | | | | | Value Date/Time | | | | | | | | | |
| **M** | **:90B::** | | | | | | | | | | | | | | **OFFR//ACTU/GBP0,25** | | | | | | Generic Cash Price Received per Product | | | | | | | | | |
| **M** | **:90B::** | | | | | | | | | | | | | | **PRPP//ACTU/GBP10,** | | | | | | Generic Cash Price Paid per Product | | | | | | | | | |
|  | **:16S:** | | | | | | | | | | | | | | **CASHMOVE** | | | | | | End Of Block | | | | | | | | | |
| **M** |  | |  | | *End of Subsequence D2 Cash Movement* | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  | **:16S:** | | | | | | | | | | | | | | **CACONF** | | | | | | End Of Block | | | | | | | | | |
| **M** |  | | *End of Mandatory Sequence D Corporate Action Confirmation* | | | | | | | | | | | | | | | | | | | | | | | | | | | |

# DSCL: Disclosure Event (VOLU)

### Definition:

Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.

### Issue name:

ISIN XS0277864640

CEVA GROUP PLC

### Relative dates:

Record Date – October 25, 20YY

Response deadline date – December 08, 20YY

Maturity Date - December 1, 20YY

### Transaction description:

The client account (a/c 12345) holds a face amount of EUR 1,000 in this bond issue.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notification | | | | | |
|  |  |  |  |  |  |
| *M* | *Mandatory Sequence A General Information* | | | | |
|  | **:16R:GENL** | | | | Start of Block |
| **M** | **:20C::CORP//CA000006152590** | | | | Corporate Action Reference |
| **M** | **:20C::SEME//DSBBW4X3QF4MS326** | | | | Sender's Message Reference |
| **O** | **:20C::COAF//1235698548544444** | | | | Official Corporate Action Event Reference |
| **M** | **:23G:NEWM** | | | | Function of the message (here, new message) |
| **M** | **:22F::CAEV//DSCL** | | | | Corporate Action Event Indicator |
| **O** | **:22F::CAEP//GENL** | | | | Corporate Action Processing Indicator |
| **M** | **:22F::CAMV//VOLU** | | | | Mandatory/Voluntary Indicator |
| **O** | **:98C::PREP//20YY1201140250** | | | | Message Preparation date/time |
| **M** | **:25D::PROC//COMP** | | | | Processing Status |
|  | **:16S:GENL** | | | | End of Block |
|  | *End of Sequence A General Information* | | | | |
|  | | | | | |
| ***M*** | *Mandatory Sequence B Underlying Securities* | | | | |
|  | **:16R:USECU** | | | | Start of Block |
| **M** | **:35B:ISIN XS0277864640** | | | | Identification of the Financial Instrument |
| ***M*** | *Mandatory Repetitive Subsequence B2 Account Information* | | | | |
|  | **:16R:ACCTINFO** | | | | Start of Block |
| **M** | **:97A::SAFE//12345** | | | | Safekeeping Account |
| **O** | **:93B::SETT//FAMT/1000,** | | | | Total Eligible For Corporate Action Balance |
|  | **:16S:ACCTINFO** | | | | End of Block |
|  | *End of Subsequence B2 Account Information* | | | | | |
|  | :16S:USECU | | | | End of Block |
|  | *End of Mandatory Sequence B Underlying Securities* | | | | |
|  | | | | | |
|  | *Optional Sequence D Corporate Actions Details* | | | | |
|  | **:16R:CADETL** | | | | Start of Block |
| **O** | **:98A::ANOU//20YY0623** | | | | Announcement Date/Time |
| **O** | **:98A::MATU//20YY1201** | | | | Maturity Date |
| **O** | **:98A::RDTE//20YY1125** | | | | Record date |
| **O** | **:17B::CERT//N** | | | | Certification Flag |
|  | **:16S:CADETL** | | | | End of Block |
|  | **End of Sequence D Corporate Actions Details** | | | | |
|  | | | | | |
|  | *Optional Repetitive Sequence E Corporate Action Options* | | | | |
|  | **:16R:CAOPTN** | | | | Start of Block |
| **M** | **:13A::CAON//001** | | | | CA Option Number |
| **M** | **:22F::CAOP//CONY** | | | | Corporate Action Option Code Indicator |
| **M** | **:17B::DFLT//N** | | | | Default Processing Flag |
| **O** | **:98C::RDDT//20YY1208160000** | | | | Response deadline date/time |
| **O** | **:98A::MKDT//20YY1209** | | | | Market Deadline Date |
| **O** | **:69A::PWAL//20YY1129/20YY1208** | | | | Period of Action |
|  | **:16S:CAOPTN** | | | | End Of Block |
|  | *End of Sequence E Corporate Action Options* | | | | |
|  | *Optional Repetitive Sequence E Corporate Action Options* | | | | |
|  | **:16R:CAOPTN** | | | | Start of Block |
| **M** | **:13A::CAON//001** | | | | CA Option Number |
| **M** | **:22F::CAOP//CONN** | | | | Corporate Action Option Code Indicator |
| **M** | **:17B::DFLT//Y** | | | | Default Processing Flag |
| **O** | **:98C::RDDT//20YY1208160000** | | | | Response deadline date/time |
| **O** | **:98A::MKDT//20YY1209** | | | | Market Deadline Date |
| **O** | **:69A::PWAL//20YY1129/20YY1208** | | | | Period of Action |
|  | **:16S:CAOPTN** | | | | End Of Block |
|  | *End of Sequence E Corporate Action Options* | | | | |
|  | *Optional Repetitive Sequence E Corporate Action Options* | | | | |
|  | **:16R:CAOPTN** | | | | Start of Block |
| **M** | **:13A::CAON//002** | | | | CA Option Number |
| **M** | **:22F::CAOP//NOAC** | | | | Corporate Action Option Code Indicator |
| **M** | **:17B::DFLT//N** | | | | Default Processing Flag |
| **O** | **:98C::RDDT//20YY1208160000** | | | | Response deadline date/time |
| **O** | **:98A::MKDT//20YY1209** | | | | Market Deadline Date |
| **O** | **:69A::PWAL//20YY1129/20YY1208** | | | | Period of Action |
|  | **:16S:CAOPTN** | | | | End Of Block |
| *O* | *End of Sequence E Corporate Action Options* | | | | |
|  | | | | | |
|  | *Optional Sequence F Additional Information* | | | | |
| **O** | **:16R:ADDINFO** | | | | Start of Block |
| **O** | **:70E::ADTX//++ADDITIONAL INFORMATION ++Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer** | | | | Additional Text |
|
|
|
|  | **:16S:ADDINFO** | | | | End of Block |
|  | *End of Sequence F Additional Information* | | | | |

# DTCH: Dutch Auction (VOLU)

### Definition:

An action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.

### Issue:

ISIN GB00B0167W72

### Terms:

Shares may be tendered at GBP10, increments or at a price specified by the holder within the minimum and maximum prices.

### Relative details and dates:

Record date – 30 April 20YY

Response deadline date – 12 May 20YY

Cash payment date – 21 July 20YY

Debit of security – 21 July 20YY

### Instruction description:

The holder will elect at the lowest cash increment option (60000 out of 100000 eligible balance) and also the remaining eligible balance at a price specified by the holder.

The lowest option is satisfied in full, the higher (holder specified) option is unsuccessful and not paid out.

### Messages:

MT 564 for the notification

MT 565 for the instruction 1

MT 567 for the processing status of the instruction

MT 565 for the instruction 2

MT 567 for the processing status of the instruction

MT 566 for the confirmation

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notification | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | | | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | | | | | | | | | | | | | | |
| **M** | | | **:16R:** | | | | | | | | **GENL** | | | | | | | | Start of Block | | | | | | | | | |
| **M** | | | **:20C::** | | | | | | | | **CORP//DTCH100056798** | | | | | | | | Corporate Action Reference | | | | | | | | | |
| **M** | | | **:20C::** | | | | | | | | **SEME//154802472** | | | | | | | | Sender's Message Reference | | | | | | | | | |
| **O** | | | **:20C::** | | | | | | | | **COAF//BI01593212345** | | | | | | | | Official Corporate Action Event Reference | | | | | | | | | |
| **M** | | | **:23G:** | | | | | | | | **NEWM** | | | | | | | | Function of the message (here, new message) | | | | | | | | | |
| **M** | | | **:22F::** | | | | | | | | **CAEV//DTCH** | | | | | | | | Corporate Action Event Indicator | | | | | | | | | |
| **M** | | | **:22F::** | | | | | | | | **CAMV//VOLU** | | | | | | | | Mandatory/Voluntary Indicator | | | | | | | | | |
| **M** | | | **:25D::** | | | | | | | | **PROC//COMP** | | | | | | | | Processing Status | | | | | | | | | |
| **M** | | | **:16S:** | | | | | | | | **GENL** | | | | | | | | End Of Block | | | | | | | | | |
|  | | | *End of Sequence A General Information* | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | | | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | | | | | | | | | | | | | | | |
| **M** | | | **:16R:** | | | | | | | | **USECU** | | | | | | | | Start of Block | | | | | | | | | |
| **M** | | | **:35B:** | | | | | | | | **ISIN GB00B0167W72** | | | | | | | | Identification of the Financial Instrument | | | | | | | | | |
|  | | |  | | | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | | | | | | | | | | |
| **M** | | |  | | | **:16R:** | | | | | | **ACCTINFO** | | | | | | | Start of Block | | | | | | | | | |
| **M** | | |  | | | **:97A::** | | | | | | **SAFE//88888** | | | | | | | Safekeeping Account | | | | | | | | | |
| **I** | | |  | | | **:93B::** | | | | | | **ELIG//UNIT/100000,** | | | | | | | Total Eligible For Corporate Action Balance | | | | | | | | | |
| **M** | | |  | | | **:16S:** | | | | | | **ACCTINFO** | | | | | | | End Of Block | | | | | | | | | |
|  | | |  | | | *End of Subsequence B2 Account Information* | | | | | | | | | | | | | | | | | | | | | | |
| **M** | | |  | | | **:16S:** | | | | | | **USECU** | | | | | | | End Of Block | | | | | | | | | |
|  | | | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | | | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | | | | | | | | | | | | | | | | | |
| **R** | | |  | | | **:16R:** | | | | | | **CADETL** | | | | | | | Start of Block | | | | | | | | | |
| **O** | | |  | | | **:98A::** | | | | | | **RDTE//20YY0430** | | | | | | | Record date | | | | | | | | | |
| **O** | | |  | | | **:92F::** | | | | | | **BIDI//GBP10,** | | | | | | | Bid Interval | | | | | | | | | |
| **O** | | |  | | | **:90B::** | | | | | | **MINP//ACTU/GBP6586,** | | | | | | | Minimum Price | | | | | | | | | |
| **O** | | |  | | | **:90B::** | | | | | | **MAXP//ACTU/GBP6686,** | | | | | | | Maximum Price | | | | | | | | | |
| **R** | | |  | | | **:16S:** | | | | | | **CADETL** | | | | | | | End Of Block | | | | | | | | | |
|  | | | *End of Sequence D Corporate Actions Details* | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | | |  | | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | | | | | | | | |
| **M** | | |  | | | **:16R:** | | | | | | **CAOPTN** | | | | | | | Start of Block | | | | | | | | | |
| **M** | | |  | | | **:13A::** | | | | | | **CAON//001** | | | | | | | CA Option Number | | | | | | | | | |
| **M** | | |  | | | **:22F::** | | | | | | **CAOP//CASH** | | | | | | | Corporate Action Option Code Indicator | | | | | | | | | |
| **R** | | |  | | | **:11A::** | | | | | | **OPTN//GBP** | | | | | | | Currency offered | | | | | | | | | |
| **M** | | |  | | | **:17B::** | | | | | | **DFLT//N** | | | | | | | Default Processing Flag | | | | | | | | | |
| **O** | | |  | | | **:98A::** | | | | | | **RDDT//20YY0512** | | | | | | | Response deadline date | | | | | | | | | |
| **O** | | |  | | | **:98A::** | | | | | | **MKDT//20YY0513** | | | | | | | Market Deadline Date | | | | | | | | | |
| **O** | | |  | | | **:69A::** | | | | | | **PWAL//20YY0430/20YY0512** | | | | | | | Period of Action | | | | | | | | | |
|  | | |  | | |  | | | | | | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | | |
| **M** | | |  | | | **:16R:** | | | | | | **SECMOVE** | | | | | | |  | | | | | | | | | |
| **M** | | |  | | | **:22H::** | | | | | | **CRDB//DEBT** | | | | | | | Credit/Debit Indicator | | | | | | | | | |
| **M** | | |  | | | **:35B:** | | | | | | **ISIN GB00B0167W72** | | | | | | | ID of shares to be debited | | | | | | | | | |
| **M** | | |  | | | **:98A::** | | | | | | **PAYD//20YY0721** | | | | | | | Payment Date/Time | | | | | | | | | |
| **M** | | |  | | | **:16S:** | | | | | | **SECMOVE** | | | | | | |  | | | | | | | | | |
|  | | |  | | |  | | | | | | *End of Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | | |
|  | |  | | | |  | | | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | | | | | | | | | | | | |  |
| **M** | |  | | | | **:16R:** | | | | | | | **CASHMOVE** | | | | | | Start of Block | | | | | | | | | |
| **M** | |  | | | | **:22H::** | | | | | | | **CRDB//CRED** | | | | | | Credit/Debit Indicator | | | | | | | | | |
| **M** | |  | | | | **:98A::** | | | | | | | **PAYD//20YY0721** | | | | | | Payment Date/Time | | | | | | | | | |
| **O** | |  | | | | **:90B::** | | | | | | | **OFFR//ACTU/GBP6586,** | | | | | | Tender price at first increment | | | | | | | | | |
| **M** | |  | | | | **:16S:** | | | | | | | **CASHMOVE** | | | | | | End Of Block | | | | | | | | | |
|  | |  | | | |  | | | *End of Subsequence E2 Cash Movement* | | | | | | | | | | | | | | | | | | | |  |
| **M** | | |  | | | **:16S:** | | | | | | **CAOPTN** | | | | | | | End Of Block | | | | | | | | | |
|  | | |  | | | *End of Sequence E Corporate Action Options* | | | | | | | | | | | | | | | | | | | | | | |  |
|  | | |  | | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | | | | | | | | |  |
| **M** | | |  | | | **:16R:** | | | | | | **CAOPTN** | | | | | | Start of Block | | | | | | | | | | |
| **M** | | |  | | | **:13A::** | | | | | | **CAON//002** | | | | | | CA Option Number | | | | | | | | | | |
| **M** | | |  | | | **:22F::** | | | | | | **CAOP//CASH** | | | | | | Corporate Action Option Code Indicator | | | | | | | | | | |
| **R** | | |  | | | **:11A::** | | | | | | **OPTN//GBP** | | | | | | Currency offered | | | | | | | | | | |
| **M** | | |  | | | **:17B::** | | | | | | **DFLT//N** | | | | | | Default Processing Flag | | | | | | | | | | |
| **O** | | |  | | | **:98A::** | | | | | | **RDDT//20YY0512** | | | | | | Response deadline date | | | | | | | | | | |
| **O** | | |  | | | **:98A::** | | | | | | **MKDT//20YY0513** | | | | | | Market Deadline Date | | | | | | | | | | |
|  | | |  | | |  | | | | | | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | | |
| **M** | | |  | | | **:16R:** | | | | | | **SECMOVE** | | | | |  | | | | | | | | | | | |
| **M** | | |  | | | **:22H::** | | | | | | **CRDB//DEBT** | | | | | Credit/Debit Indicator | | | | | | | | | | | |
| **M** | | |  | | | **:35B:** | | | | | | **ISIN GB00B0167W72** | | | | | ID of shares to be debited | | | | | | | | | | | |
| **M** | | |  | | | **:98A::** | | | | | | **PAYD//20YY0721** | | | | | Payment Date/Time | | | | | | | | | | | |
| **M** | | |  | | | **:16S:** | | | | | | **SECMOVE** | | | | |  | | | | | | | | | | | |
|  | | |  | | |  | | | | | | *End of Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | | |
|  | |  | | | |  | | | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | | | | | | | | | | | | |  |
| **M** | |  | | | | **:16R:** | | | | | | **CASHMOVE** | | | | | | Start of Block | | | | | | | | | | |
| **M** | |  | | | | **:22H::** | | | | | | **CRDB//CRED** | | | | | | Credit/Debit Indicator | | | | | | | | | | |
| **M** | |  | | | | **:98A::** | | | | | | | **PAYD//20YY0721** | | | | Payment Date/Time | | | | | | | | | |
| **O** | |  | | | | **:90B::** | | | | | | | **OFFR//ACTU/GBP6596,** | | | | Tender price at second increment | | | | | | | | | |
| **M** | |  | | | | **:16S:** | | | | | | | **CASHMOVE** | | | | End Of Block | | | | | | | | | |
|  | |  | | | |  | | | *End of Subsequence E2 Cash Movement* | | | | | | | | | | | | | | | | | | | |
| **M** | | |  | | | **:16S:** | | | | | | | **CAOPTN** | | | | | | | End Of Block | | | | | | | | |
|  | | |  | | | *End of Sequence E Corporate Action Options* | | | | | | | | | | | | | | | | | | | | | | |
| **And so on for remaining increments of GBP10 until reaching maximum bid price** | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | | |  | | | *OptionalRepetitive***Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | | | | | | | | | |
| **M** | | |  | | | **:16R:** | | | | | | **CAOPTN** | | | | | | | | | Start of Block | | | | | | | | |
| **M** | | |  | | | **:13A::** | | | | | | **CAON//012** | | | | | | | | | CA Option Number | | | | | | | | |
| **M** | | |  | | | **:22F::** | | | | | | **CAOP//CASH** | | | | | | | | | Corporate Action Option Code Indicator | | | | | | | | |
| **R** | | |  | | | **:11A::** | | | | | | **OPTN//GBP** | | | | | | | | | Currencyoffered | | | | | | | | |
| **M** | | |  | | | **:17B::** | | | | | | **DFLT//N** | | | | | | | | | Default Processing Flag | | | | | | | | |
| **O** | | |  | | | **:98A::** | | | | | | **RDDT//20YY0512** | | | | | | | | | Response deadline date | | | | | | | | |
| **O** | | |  | | | **:98A::** | | | | | | **MKDT//20YY0513** | | | | | | | | | Market Deadline Date | | | | | | | | |
|  | | |  | | |  | | | | | | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | | | |
| **M** | | |  | | | **:16R:** | | | | | | **SECMOVE** | | | | | | | | |  | | | | | | | | |
| **M** | | |  | | | **:22H::** | | | | | | **CRDB//DEBT** | | | | | | | | | Credit/Debit Indicator | | | | | | | | |
| **M** | | |  | | | **:35B:** | | | | | | **ISIN GB00B0167W72** | | | | | | | | | ID of shares to be debited | | | | | | | | |
| **M** | | |  | | | **:98A::** | | | | | | **PAYD//20YY0721** | | | | | | | | | Payment Date/Time | | | | | | | | |
| **M** | | |  | | | **:16S:** | | | | | | **SECMOVE** | | | | | | | | |  | | | | | | | | |
|  | | |  | | |  | | | | | | *End of Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | | | |
|  | |  | | | |  | | | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | | | | | | | | | | | | | |
| **M** | |  | | | | **:16R:** | | | | | | **CASHMOVE** | | | | | | | | | Start of Block | | | | | | | | |
| **M** | |  | | | | **:22H::** | | | | | | **CRDB//CRED** | | | | | | | | | Credit/DebitIndicator | | | | | | | | |
| **M** | |  | | | | **:98A::** | | | | | | **PAYD//20YY0721** | | | | | | | | | Payment Date/Time | | | | | | | | |
| **O** | |  | | | | **:90B::** | | | | | | **OFFR//ACTU/UNSP,** | | | | | | | | | Strike Price | | | | | | | | |
| **M** | |  | | | | **:16S:** | | | | | | **CASHMOVE** | | | | | | | | | End Of Block | | | | | | | | |
|  | |  | | | |  | | | | | |  | | | | | | | | |  | | | | | | | | |
|  | |  | | | |  | | | *End of Subsequence E2 Cash Movement* | | | | | | | | | | | | | | | | | | | | |
| **M** | | |  | | | **:16S:** | | | | | | | | | **CAOPTN** | | | | | | | End Of Block | | | | | | | |
|  | | |  | | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | | | | | | | | |
| **M** | | |  | | | **:16R:** | | | | | | | **CAOPTN** | | | | | | | Start of Block | | | | | | | | |
| **M** | | |  | | | **:13A::** | | | | | | | **CAON//0012** | | | | | | | CA Option Number | | | | | | | | |
| **M** | | |  | | | **:22F::** | | | | | | | **CAOP//NOAC** | | | | | | | Corporate Action Option Code Indicator | | | | | | | | |
| **M** | | |  | | | **:17B::** | | | | | | | **DFLT//Y** | | | | | | | Default Processing Flag | | | | | | | | |
| **O** | | |  | | | **:98A::** | | | | | | | **RDDT//20YY0512** | | | | | | | Response deadline date | | | | | | | | |
| **O** | | |  | | | **:98A::** | | | | | | **MKDT//20YY0513** | | | | | | | | Market Deadline Date | | | | | | | | |
| **M** | | |  | | | **:16S:** | | | | | | | **CAOPTN** | | | | | | | End Of Block | | | | | | | | |
|  | | |  | | | *End of Sequence E Corporate Action Options* | | | | | | | | | | | | | | | | | | | | | | |
| MT 565 Corporate Action Instruction | | | | | | | | | | | | | | | | | | | | | | |
|  |  | |  |  | |  |  | | | | | |  | | | | | | | | | | |  |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | | | | | | | | | | | |  |
|  | **:16R:** | | | | | | | | **GENL** | | | | | | Start of Block | | | | | | | | | | | |
| **M** | **:20C::** | | | | | | | | **CORP//DTCH100056798** | | | | | | Corporate Action Reference | | | | | | | | | | | |
| **M** | **:20C::** | | | | | | | | **SEME//253147815** | | | | | | Sender's Message Reference | | | | | | | | | | | |
| **O** | **:20C::** | | | | | | | | **COAF//BI01593212345** | | | | | | Official Corporate Action Event Reference | | | | | | | | | | | |
| **M** | **:23G:** | | | | | | | | **NEWM** | | | | | |  | | | | | | | | | | | |
| **M** | **:22F::** | | | | | | | | **CAEV//DTCH** | | | | | | Corporate Action Event Indicator | | | | | | | | | | | |
| **O** |  | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | | | **LINK** | | | | | | Start of Block | | | | | | | | | | | |
| **R** | **:13A::** | | | | | | | | **LINK//564** | | | | | | Previously sent message type | | | | | | | | | | | |
| **M** | **:20C::** | | | | | | | | **PREV//154802472** | | | | | | Previously sent message reference | | | | | | | | | | | |
|  | **:16S:** | | | | | | | | **LINK** | | | | | | End of Block | | | | | | | | | | | |
| **O** |  | | *End of Subsequence A1 Linkages* | | | | | | | | | | | | | | | | | | |
|  |  | |  |  | |  |  | | | | | |  | | | | | | | | |
|  | **:16S:** | | | | | | | | **GENL** | | | | | | End Of Block | | | | | | | | | | | |
| **M** | *End of Sequence A General Information* | | | | | | | | | | | | | | | | | | | | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | | | **USECU** | | | | | | Start of Block | | | | | | | | | | | |
| **M** | **:35B:** | | | | | | | | **ISIN GB00B0167W72** | | | | | | Identification of the Financial Instrument | | | | | | | | | | | |
| **M** | *Mandatory* **Sequence B2** *Account Information* | | | | | | | | | | | | | | | | | | | | |
| **M** | **:16R :** | | | | | | | | **ACCTINFO** | | | | | | Start Of Block | | | | | | | | | | | |
| **M** | **:97A::** | | | | | | | | **SAFE//88888** | | | | | | Safekeeping Account | | | | | | | | | | | |
| **O** | **:93B::** | | | | | | | | **ELIG//UNIT/100000,** | | | | | | Eligible Balance | | | | | | | | | | | |
| **M** | **:16S :** | | | | | | | | **ACCTINFO** | | | | | | End Of Block | | | | | | | | | | | |
| **M** | *End of* **Sequence B2** *Account Information* | | | | | | | | | | | | | | | | | | | | |
| **M** | **:16S:** | | | | | | | | **USECU** | | | | | | End Of Block | | | | | | | | | | | |
| **M** | *End of* **Sequence B** *Underlying Securities* | | | | | | | | | | | | | | | | | | | | |
| **M** |  | | *Mandatory* **Sequence D** *Corporate Action Instruction* | | | | | | | | | | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | | | **CAINST** | | | | | | Start of Block | | | | | | | | | | | |
| **M** | **:13A::** | | | | | | | | **CAON//001** | | | | | | CA Option Number | | | | | | | | | | | |
| **M** | **:22F::** | | | | | | | | **CAOP//CASH** | | | | | | Corporate Action Option Code Indicator | | | | | | | | | | | |
| **M** | **:36B::** | | | | | | | | **QINS//UNIT/60000,** | | | | | | Quantity Instructed | | | | | | | | | | | |
|  |  | | | | | | | |  | | | | | |  | | | | | | | | | | | | |
|  | **:16S:** | | | | | | | | **CAINST** | | | | | | End Of Block | | | | | | | | | | | |
| **O** |  | | *End of SequenceD Corporate Action Instruction* | | | | | | | | | | | | | | | | | | | | |  |
|  |  | |  |  | |  |  | | | | | |  | | | | | | | | | | |  |

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 567 Corporate Action Status and Processing Advice | | | | | | | | | | | |
|  |  |  |  |  |  | |  | | |  |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | |  |
|  | **:16R:** | | | | | **GENL** | | Start of Block | | | |
| **M** | **:20C::** | | | | | **CORP//DTCH100056798** | | Corporate Action Reference | | | |
| **M** | **:20C::** | | | | | **SEME//253147825** | | Sender's Message Reference | | | |
| **O** | **:20C::** | | | | | **COAF//BI01593212345** | | Official Corporate Action Event Reference | | | |
| **M** | **:23G:** | | | | | **INST** | |  | | | |
| **M** | **:22F::** | | | | | **CAEV//DTCH** | | Corporate Action Event Indicator | | | |
| **O** |  | *Optional Repetitive Subsequence A1 Linkages* | | | | | | |
|  | **:16R:** | | | | | **LINK** | | Start of Block | | | |
| **R** | **:13A::** | | | | | **LINK//565** | | Previously sent message type | | | |
| **M** | **:20C::** | | | | | **RELA//253147815** | | Previously sent message reference | | | |
|  | **:16S:** | | | | | **LINK** | | End of Block | | | |
| **O** |  | *End of Subsequence A1 Linkages* | | | | | | |
| **M** |  | *Mandatory Repetitive Subsequence A2 Status* | | | | | | |
|  | **:16R:** | | | | | **STAT** | | Start of Block | | | |
|  | **:25D::** | | | | | **IPRC//PACK** | | Instruction Processing Status | | | |
|  | **:16S:** | | | | | **STAT** | | End Of Block | | | |
| **M** |  | *End of Subsequence A2 Status* | | | | | | |
|  | **:16S:** | | | | | **GENL** | | End Of Block | | | |
| **M** | *End of Sequence A General Information* | | | | | | | |
| **O** | *Optional Sequence B Corporate Actions Details* | | | | | | | |
|  | **:16R:** | | | | | **CADETL** | | Start of Block | | | |
| **O** | **:13A::** | | | | | **CAON//001** | | CA Option Number | | | |
| **O** | **:22F::** | | | | | **CAOP//CASH** | | Corporate Action Option Code Indicator | | | |
| **O** | **:97A::** | | | | | **SAFE//88888** | | Safekeeping Account | | | |
| **O** | **:35B:** | | | | | **ISIN GB00B0167W72** | | Identification of the Financial Instrument | | | |
| **O** | **:36B::** | | | | | **STAQ//UNIT/60000,** | | Quantity Instructed | | | |
|  | **:16R:** | | | | | **CADETL** | | Start of Block | | | |
| **O** |  | *End of Sequence B Corporate Actions Details* | | | | | | | |  |
|  |  |  |  |  |  | |  | | |  |

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 565 Corporate Action Instruction | | | | | | | | | | | | | |
|  |  | |  |  |  |  | | |  | | | | |  |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | |  |
|  | **:16R:** | | | | | | **GENL** | | | Start of Block | | | | | | |
| **M** | **:20C::** | | | | | | **CORP//DTCH100056798** | | | Corporate Action Reference | | | | | | |
| **M** | **:20C::** | | | | | | **SEME//2531478156** | | | Sender's Message Reference | | | | | | |
| **O** | **:20C::** | | | | | | **COAF//BI01593212345** | | | Official Corporate Action Event Reference | | | | | | |
| **M** | **:23G:** | | | | | | **NEWM** | | |  | | | | | | |
| **M** | **:22F::** | | | | | | **CAEV//DTCH** | | | Corporate Action Event Indicator | | | | | | |
| **O** |  | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | |
|  | **:16R:** | | | | | | **LINK** | | | Start of Block | | | | | | |
| **R** | **:13A::** | | | | | | **LINK//564** | | | Previously sent message type | | | | | | |
| **M** | **:20C::** | | | | | | **PREV//154802472** | | | Previously sent message reference | | | | | | |
|  | **:16S:** | | | | | | **LINK** | | | End of Block | | | | | | |
| **O** |  | | *End of Subsequence A1 Linkages* | | | | | | | | | |
|  |  | |  |  |  |  | | |  | | | |
|  | **:16S:** | | | | | | **GENL** | | | End Of Block | | | | | | |
| **M** | *End of Sequence A General Information* | | | | | | | | | | | |
|  | | **:16R:** | | | | | | **USECU** | | | Start of Block | | | | |
| **M** | | **:35B:** | | | | | | **ISIN GB00B0167W72** | | | Identification of the Financial Instrument | | | | |
| **M** | | *Mandatory* **Sequence B2** *Account Information* | | | | | | | | | |
| **M** | | **:16R :** | | | | | | **ACCTINFO** | | | Start Of Block | | | | |
| **M** | | **:97A::** | | | | | | **SAFE//88888** | | | Safekeeping Account | | | | |
| **O** | | **:93B::** | | | | | | **ELIG//UNIT/100000,** | | | Eligible Balance | | | | |
| **M** | | **:16S :** | | | | | | **ACCTINFO** | | | End Of Block | | | | |
| **M** | | *End of* **Sequence B2** *Account Information* | | | | | | | | | |
| **M** | | **:16S:** | | | | | | **USECU** | | | End Of Block | | | | |
| **M** | | *End of* **Sequence B** *Underlying Securities* | | | | | | | | | |
| **M** |  | | *Mandatory* **Sequence D** *Corporate Action Instruction* | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | **CAINST** | | | Start of Block | | | | | | |
| **M** | **:13A::** | | | | | | **CAON//003** | | | CA Option Number | | | | | | |
| **M** | **:22F::** | | | | | | **CAOP//CASH** | | | Corporate Action Option Code Indicator | | | | | | |
| **M** | **:36B::** | | | | | | **QINS//UNIT/40000,** | | | Quantity Instructed | | | | | | |
|  |  | | | | | |  | | |  | | | | | | |
|  | **:16S:** | | | | | | **CAINST** | | | End Of Block | | | | | | |
| **O** |  | | *End of SequenceD Corporate Action Instruction* | | | | | | | | | | |  |

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 567 Corporate Action Status and Processing Advice | | | | | | | | | | | | | | | | | | | | | | | |
|  |  | |  | |  | |  | |  | | | |  | | | | | | |  | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | | | | | | | |  | |
|  | **:16R:** | | | | | | | | | | **GENL** | | | | Start of Block | | | | | | | | | |
| **M** | **:20C::** | | | | | | | | | | **CORP//DTCH100056798** | | | | Corporate Action Reference | | | | | | | | | |
| **M** | **:20C::** | | | | | | | | | | **SEME//2531478258** | | | | Sender's Message Reference | | | | | | | | | |
| **O** | **:20C::** | | | | | | | | | | **COAF//BI01593212345** | | | | Official Corporate Action Event Reference | | | | | | | | | |
| **M** | **:23G:** | | | | | | | | | | **INST** | | | |  | | | | | | | | | |
| **M** | **:22F::** | | | | | | | | | | **CAEV//DTCH** | | | | Corporate Action Event Indicator | | | | | | | | | |
| **O** |  | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | | | | | **LINK** | | | | Start of Block | | | | | | | | | |
| **R** | **:13A::** | | | | | | | | | | **LINK//565** | | | | Previously sent message type | | | | | | | | | |
| **M** | **:20C::** | | | | | | | | | | **RELA//2531478156** | | | | Previously sent message reference | | | | | | | | | |
|  | **:16S:** | | | | | | | | | | **LINK** | | | | End of Block | | | | | | | | | |
| **O** |  | | *End of Subsequence A1 Linkages* | | | | | | | | | | | | | | |
| **M** |  | | *Mandatory Repetitive Subsequence A2 Status* | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | | | | | **STAT** | | | | Start of Block | | | | | | | | | |
|  | **:25D::** | | | | | | | | | | **IPRC//PACK** | | | | Instruction Processing Status | | | | | | | | | |
|  | **:16S:** | | | | | | | | | | **STAT** | | | | End Of Block | | | | | | | | | |
| **M** | *End of Subsequence A2 Status* | | | | | | | | | | | | | | | | |
|  | **:16S:** | | | | | | | | | | **GENL** | | | | End Of Block | | | | | | | | | |
| **M** | *End of Sequence A General Information* | | | | | | | | | | | | | | | | |
| **O** | *Optional Sequence B Corporate Actions Details* | | | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | | | | | **CADETL** | | | | Start of Block | | | | | | | | | |
| **O** | **:13A::** | | | | | | | | | | **CAON//003** | | | | CA Option Number | | | | | | | | | |
| **O** | **:22F::** | | | | | | | | | | **CAOP//CASH** | | | | Corporate Action Option Code Indicator | | | | | | | | | |
| **O** | **:97A::** | | | | | | | | | | **SAFE//88888** | | | | Safekeeping Account | | | | | | | | | |
| **O** | **:35B:** | | | | | | | | | | **ISIN GB00B0167W72** | | | | Identification of the Financial Instrument | | | | | | | | | |
| **O** | **:36B::** | | | | | | | | | | **STAQ//UNIT/40000,** | | | | Quantity Instructed | | | | | | | | | |
|  | **:16R:** | | | | | | | | | | **CADETL** | | | | Start of Block | | | | | | | | | |
| **O** |  | | *End of Sequence B Corporate Actions Details* | | | | | | | | | | | | | | | | |  | |
|  |  | |  | |  | |  | |  | | | |  | | | | | | |  | |
| MT 566 Corporate Action Confirmation | | | | | | | | | | | | | | | | | | | | |
|  | |  | |  | |  | |  | |  | | | |  | | | | | | |  | |
| **M** | | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | | | | | | | |  | |
|  | | **:16R:** | | | | | | | | | | **GENL** | | | | Start of Block | | | | | | | | | |
| **M** | | **:20C::** | | | | | | | | | | **CORP//DTCH100056798** | | | | Corporate Action Reference | | | | | | | | | |
| **M** | | **:20C::** | | | | | | | | | | **SEME//253147815** | | | | Sender's Message Reference | | | | | | | | | |
| **O** | | **:20C::** | | | | | | | | | | **COAF//BI01593212345** | | | | Official Corporate Action Event Reference | | | | | | | | | |
| **M** | | **:23G:** | | | | | | | | | | **NEWM** | | | |  | | | | | | | | | |
| **M** | | **:22F::** | | | | | | | | | | **CAEV//DTCH** | | | | Corporate Action Event Indicator | | | | | | | | | |
| **O** | |  | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | | | | |
|  | | **:16R:** | | | | | | | | | | **LINK** | | | | Start of Block | | | | | | | | | |
| **R** | | **:13A::** | | | | | | | | | | **LINK//564** | | | | Previously sent message type | | | | | | | | | |
| **M** | | **:20C::** | | | | | | | | | | **PREV//154802472** | | | | Previously sent message reference | | | | | | | | | |
|  | | **:16S:** | | | | | | | | | | **LINK** | | | | End of Block | | | | | | | | | |
| **O** | |  | | *End of Subsequence A1 Linkages* | | | | | | | | | | | | | | |
|  | |  | |  | |  | |  | |  | | | |  | | | | |
|  | | **:16S:** | | | | | | | | | | **GENL** | | | | End Of Block | | | | | | | | | |
| **M** | | *End of Sequence A General Information* | | | | | | | | | | | | | | | | |
| **M** | | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | | | | | | |
|  | | **:16R:** | | | | | | | | | | **USECU** | | | | Start of Block | | | | | | | | | |
| **M** | | **:97A::** | | | | | | | | | | **SAFE//88888** | | | | Safekeeping Account | | | | | | | | | |
| **M** | | **:35B:** | | | | | | | | | | **ISIN GB00B0167W72** | | | | Identification of the Financial Instrument | | | | | | | | | |
| **O** | | **:93B::** | | | | | | | | | | **ELIG//UNIT/100000,** | | | | Eligible Balance | | | | | | | | | |
| **M** | | **:93B::** | | | | | | | | | | **CONB//UNIT/100000,** | | | | Confirmed Balance | | | | | | | | | |
|  | | **:16S:** | | | | | | | | | | **USECU** | | | | End Of Block | | | | | | | | | |
| **M** | | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | | | | | | |
| **O** | |  | | *Optional Repetitive* **Sequence D** *Corporate Action Options* | | | | | | | | | | | | | | | | | | | | | |
|  | | **:16R:** | | | | | | | | | | **CAOPTN** | | | | Start of Block | | | | | | | | | |
| **M** | | **:13A::** | | | | | | | | | | **CAON//001** | | | | CA Option Number | | | | | | | | | |
| **M** | | **:22F::** | | | | | | | | | | **CAOP//CASH** | | | | Corporate Action Option Code Indicator | | | | | | | | | |
| **O** | |  | |  | | *Optional Repetitive Subsequence D1 Securities Movement* | | | | | | | | | | | | | | | | | | | | |
| **M** | | **:16R:** | | | | | | | | | | **SECMOVE** | | | | Start of Block | | | | | | | | | |
| **M** | | **:22H::** | | | | | | | | | | **CRDB//DEBT** | | | | Credit/Debit Indicator | | | | | | | | | |
| **M** | | **:35B:** | | | | | | | | | | **ISIN GB00B0167W72** | | | | Identification of the Financial Instrument | | | | | | | | | |
| **M** | | **:36B::** | | | | | | | | | | **PSTA//UNIT/60000,** | | | | Quantity of securities debited | | | | | | | | | |
| **M** | | **:98A::** | | | | | | | | | | **POST//20YY0721** | | | | Posting Date/Time | | | | | | | | | |
| **O** | | **:98A::** | | | | | | | | | | **PAYD//20YY0721** | | | | Payment Date/Time | | | | | | | | | |
| **M** | | **:16S:** | | | | | | | | | | **SECMOVE** | | | | End Of Block | | | | | | | | | |
| **O** | |  | | | | | | | | | | *End of Subsequence D1 Securities Movement* | | | | | | | | | | | | | |
| **O** | |  | | | | | | | | | | *Optional Repetitive Subsequence D2 Cash Movement* | | | | | | | | | | | | | |
| **M** | | **:16R:** | | | | | | | | | | **CASHMOVE** | | | | Start of Block | | | | | | | | | |
| **M** | | **:22H::** | | | | | | | | | | **CRDB//CRED** | | | | Credit/Debit Indicator | | | | | | | | | |
| **O** | | **:97A ::** | | | | | | | | | | **CASH//8888801** | | | | Account | | | | | | | | | |
| **M** | | **:36B::** | | | | | | | | | | **PSTA//GBP395160000,** | | | | Posting Amount | | | | | | | | | |
| **M** | | **:98A::** | | | | | | | | | | **POST//20YY0721** | | | | Posting Date/Time | | | | | | | | | |
| **O** | | **:98A::** | | | | | | | | | | **VALU//20YY0721** | | | | Value Date/Time | | | | | | | | | |
| **O** | | **:98A::** | | | | | | | | | | **PAYD//20YY0721** | | | | Payment Date/Time | | | | | | | | | |
| **O** | | **:90B::** | | | | | | | | | | **OFFR//ACTU/GBP6586,** | | | | Tender price | | | | | | | | | |
| **M** | | **:16S:** | | | | | | | | | | **CASHMOVE** | | | | End Of Block | | | | | | | | | |
| **O** | |  | |  | | *End of Subsequence D1 Securities Movement* | | | | | | | | | | | | |
|  | | **:16S:** | | | | | | | | | | **CACONF** | | | | | End Of Block | | | | | | | | |
| **O** | |  | | *End of SequenceD Corporate Action Confirmation* | | | | | | | | | | | | | | | | |  | |
|  | |  | |  | |  | |  | |  | | | |  | | | | | | |  | |

# DTCH: US Dutch Auction (VOLU)

### Definition:

An action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.

### Issue:

ISIN US42822Q1004

### Terms:

Offer to purchase for cash up to 8,000,000 shares of its class A, class B and class C common stock in a "modified dutch auction" at a purchase price not in excess of USD 31.50 nor less than USD 29.00 per share in increments of USD 0.25.

Option 1: tender at a specified price

Elect to tender at a price not to exceed USD 31.50 and not less than USD 29.00 per share in bid increments of USD 0.25. This option is subject to proration if more than 8,000,000 shares are tendered.

Option 2: tender at an unspecified price

Shareholders may tender without specifying a price. Thereby maximizing their chance to participate in the tender offer. These shareholders will receive the price specified by the company and this price will be paid to all tendering shareholders who properly tendered their shares. This option is subject to proration if more than 8,000,000 shares are tendered.

Options 3 and 4: conditional tender

Shareholders may tender subject to the condition that a specified minimum number of the shares of the total share amount tendered is accepted by the offerer. The purchase may, if necessary to purchase the desired number of shares, purchase shares conditionally tendered (for which the condition was not initially satisfied and regarded as withdrawn), by lot. This option is subject to proration if more than 8,000,000 shares are tendered.

For example, if a beneficial owner wishes to tender 500 shares on the condition that a minimum of 300 shares is accepted, if fewer than 300 shares after proration are accepted, then the holder will not tender any shares.

For this event, conditional tenders can be made either at a specified or unspecified price.

Options 5 and 6: tender as an odd lot holder

Shareholders who own fewer than 100 shares and who validly tender all of the shares owned will be purchased totally at the purchase price (either specified or unspecified) and will be exempted from proration. This is reflected in the event by the front end lot quantity (FOLQ) of 99 shares. Odd lot shareholders cannot tender conditionally.

The offer is not conditional on any minimum number of shares being tendered. The offer is, however, subject to other conditions pursuant to the offer to purchase for cash.

The offer, withdrawal rights and proration period will expire at midnight on 16 March 20YY. The response deadline is 11 March 20YY.

Protect period: three NYSE trading days

### Relative details and dates:

Response deadline date – 11 March 20YY

Cash payment date – 24 March 20YY

### Messages:

MT 564 for the notification

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notification | | | | | | | | | | |
|  | *Mandatory* **Sequence A** *General Information* | | | | | | | | | |  | | | |
|  | **:16R:** | | | | **GENL** | | Start of Block | | | | | | | | | |
| **M** | **:20C::** | | | | **CORP//USDTCH3491759** | | Corporate Action Reference | | | | | | | | | |
| **M** | **:20C::** | | | | **SEME//BCC25AAF504ADC00** | | Sender's Message Reference | | | | | | | | | |
| **O** | **:20C::** | | | | **COAF//BI01593212345** | | Official Corporate Action Event Reference | | | | | | | | | |
| **M** | **:23G:** | | | | **NEWM** | | Function of the message (here, new message) | | | | | | | | | |
| **M** | **:22F::** | | | | **CAEV//DTCH** | | Corporate Action Event Indicator | | | | | | | | | |
| **M** | **:22F::** | | | | **CAMV//VOLU** | | Mandatory/Voluntary Indicator | | | | | | | | | |
| **M** | **:25D::** | | | | **PROC//COMP** | | Processing Status | | | | | | | | | |
|  | **:16S:** | | | | **GENL** | | End Of Block | | | | | | | | | |
|  | *End of Sequence A General Information* | | | | | | | | | |  | | | |
|  | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | |  | | | |
| **M** | **:16R:** | | | | | **USECU** | Start of Block | | | | | | | | | |
| **M** | **:35B:** | | | | | **ISIN US42822Q1004** | Identification of the Financial Instrument | | | | | | | | | |
|  |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | |  | | | |
|  | **:16R:** | | | | **ACCTINFO** | | Start of Block | | | | | | | | | |
| **M** | **:97A::** | | | | **SAFE//123456** | | Safekeeping Account | | | | | | | | | |
| **O** | **:93B::** | | | | **ELIG//UNIT/190,** | | Total Eligible For Corporate Action Balance | | | | | | | | | |
|  | **:16S:** | | | | **ACCTINFO** | | End Of Block | | | | | | | | | |
|  |  | *End of Subsequence B2 Account Information* | | | | | | | | |  | | | |
|  | **:16S:** | | | | | **USECU** | End Of Block | | | | | | | | | |
|  | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | |  | | | |
|  | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | |  | | | |
|  | **:16R:** | | | | | **CADETL** | Start of Block | | | | | | | | | |
| **O** | **:92F::** | | | | | **BIDI//USD0,25** | Bid Interval | | | | | | | | | |
| **O** | **:90B::** | | | | | **MINP//ACTU/USD31,50** | Minimum Price | | | | | | | | | |
| **O** | **:90B::** | | | | | **MAXP//ACTU/USD29,** | Maximum Price | | | | | | | | | |
| **O** | **:36B::** | | | | | **QTSO//UNIT/8000000,** | Quantity sought | | | | | | | | | |
|  | **:16S:** | | | | **CADETL** | | End Of Block | | | | | | | | | |
|  | *End of Sequence D Corporate Actions Details* | | | | | | | | | |  | | | |
|  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | |  | | |
|  | **:16R:** | | | | | **CAOPTN** | Start of Block | | | | | | | | | |
| **M** | **:13A::** | | | | | **CAON//001** | CA Option Number | | | | | | | | | |
| **M** | **:22F::** | | | | | **CAOP//CASH** | Corporate Action Option Code Indicator | | | | | | | | | |
| **O** | **:22F::** | | | | | **OPTF//PROR** | Option is subject to proration | | | | | | | | | |
| **R** | **:11A::** | | | | | **OPTN//USD** | Currency offered | | | | | | | | | |
| **M** | **:17B::** | | | | | **DFLT//N** | Default Processing Flag | | | | | | | | | |
| **O** | **:98A::** | | | | | **RDDT//20YY0311** | Response deadline date | | | | | | | | | |
| **O** | **:98A::** | | | | | **MKDT//20YY0312** | Market Deadline Date | | | | | | | | | |
| **O** | **:69E::** | | | | | **REVO//UKWN/20YY0316** | Revocability Period | | | | | | | | | |
| **O** | **:36B::** | | | | | **FOLQ//UNIT/99,** | Front end odd lot quantity | | | | | | | | | |
|  | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | |  | | |
|  | **:16R:** | | | | | **CASHMOVE** | Start of Block | | | | | | | | | |
| **M** | **:22H::** | | | | | **CRDB//CRED** | Credit/Debit Indicator | | | | | | | | | |
| **M** | **:98A::** | | | | | **PAYD//20YY0324** | Payment Date/Time | | | | | | | | | |
| **O** | **:90E::** | | | | | **OFFR//TBSP** | Tender with a specifired price | | | | | | | | | |
|  | **:16S:** | | | | | **CASHMOVE** | End Of Block | | | | | | | | | |
|  | *End of Subsequence E2 Cash Movement* | | | | | | | | | | | | | | | |
|  | **:16S:** | | | | | **CAOPTN** | End Of Block | | | | | | | | | |
|  | *End of Sequence E Corporate Action Options* | | | | | | | | | | | | | | |
|  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | **CAOPTN** | Start of Block | | | | | | | | | |
| **M** | **:13A::** | | | | | **CAON//002** | CA Option Number | | | | | | | | | |
| **M** | **:22F::** | | | | | **CAOP//CASH** | Corporate Action Option Code Indicator | | | | | | | | | |
| **O** | **:22F::** | | | | | **OPTF//PROR** | Option is subject to proration | | | | | | | | | |
| **R** | **:11A::** | | | | | **OPTN//USD** | Currency offered | | | | | | | | | |
| **M** | **:17B::** | | | | | **DFLT//N** | Default Processing Flag | | | | | | | | | |
| **O** | **:98A::** | | | | | **RDDT//20YY0311** | Response deadline date | | | | | | | | | |
| **O** | **:98A::** | | | | | **MKDT//20YY0312** | Market Deadline Date | | | | | | | | | |
| **O** | **:69E::** | | | | | **REVO//UKWN/20YY0316** | Revocability Period | | | | | | | | | |
|  |  |  | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | |  | | | |
|  | **:16R:** | | | | | **CASHMOVE** | Start of Block | | | | | | | | | |
| **M** | **:22H::** | | | | | **CRDB//CRED** | Credit/Debit Indicator | | | | | | | | | |
| **M** | **:98A::** | | | | | **PAYD//20YY0324** | Payment Date/Time | | | | | | | | | |
| **R** | **:90E::** | | | | | **OFFR//UNSP** | Tender with an unspecifired price | | | | | | | | | |
|  | **:16S:** | | | | | **CASHMOVE** | End Of Block | | | | | | | | | |
|  |  |  | *End of Subsequence E2 Cash Movement* | | | | | | | |  | | | |
|  | **:16S:** | | | | **CAOPTN** | | End Of Block | | | | | | | | | |
|  |  | *End of Sequence E Corporate Action Options* | | | | | | | | |  | | | |
|  |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | |  | | | |
|  | **:16R:** | | | | | **CAOPTN** | Start of Block | | | | | | | | | |
| **M** | **:13A::** | | | | | **CAON//003** | CA Option Number | | | | | | | | | |
| **M** | **:22F::** | | | | | **CAOP//CASH** | Corporate Action Option Code Indicator | | | | | | | | | |
| **O** | **:22F::** | | | | | **OPTF//PROR** | Option is subject to proration | | | | | | | | | |
| **O** | **:22F::** | | | | | **OPTF//COND** | Option is subject to conditional quantities | | | | | | | | | |
| **R** | **:11A::** | | | | **OPTN//USD** | | Currency offered | | | | | | | | | |
| **M** | **:17B::** | | | | | **DFLT//N** | | Default Processing Flag | | | | | |
| **O** | **:98A::** | | | | | **RDDT//20YY0311** | | Response deadline date | | | | | |
| **O** | **:98A::** | | | | | **MKDT//20YY0312** | | Market Deadline Date | | | | | |
| **O** | **:69E::** | | | | | **REVO//UKWN/20YY0316** | | Revocability Period | | | | | |
|  |  |  | | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | |  | | | |
|  | **:16R:** | | | | | **CASHMOVE** | | Start of Block | | | | | |
| **M** | **:22H::** | | | | | **CRDB//CRED** | | Credit/Debit Indicator | | | | | |
| **M** | **:98A::** | | | | | **PAYD//20YY0324** | | Payment Date/Time | | | | | |
| **R** | **:90E::** | | | | | **OFFR//TBSP** | | Tender with a specifired price with conditional quantities | | | | | |
|  | **:16S:** | | | | | **CASHMOVE** | | End Of Block | | | | | |
|  |  |  | | *End of Subsequence E2 Cash Movement* | | | | | | |  | | | |
|  | **:16S:** | | | | | **CAOPTN** | | End Of Block | | | | | |
|  |  | *End of Sequence E Corporate Action Options* | | | | | | | | |  | | | |
|  |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | |  | | | |
|  | **:16R:** | | | | | **CAOPTN** | | Start of Block | | | | | |
| **M** | **:13A::** | | | | | **CAON//004** | | CA Option Number | | | | | |
| **M** | **:22F::** | | | | | **CAOP//CASH** | | Corporate Action Option Code Indicator | | | | | |
| **O** | **:22F::** | | | | | **OPTF//PROR** | | Option is subject to proration | | | | | |
| **O** | **:22F::** | | | | | **OPTF//COND** | | Option is subject to conditional quantities | | | | | |
| **R** | **:11A::** | | | | | **OPTN//USD** | | Currency offered | | | | | |
| **M** | **:17B::** | | | | | **DFLT//N** | | Default Processing Flag | | | | | |
| **O** | **:98A::** | | | | | **RDDT//20YY0311** | | Response deadline date | | | | | |
| **O** | **:98A::** | | | | | **MKDT//20YY0312** | | Market Deadline Date | | | | | |
| **O** | **:69E::** | | | | | **REVO//UKWN/20YY0316** | | Revocability Period | | | | | |
|  |  |  | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | |  | | | |
|  | **:16R:** | | | | | **CASHMOVE** | | Start of Block | | | | | |
| **M** | **:22H::** | | | | | **CRDB//CRED** | | Credit/Debit Indicator | | | | | |
| **M** | **:98A::** | | | | | **PAYD//20YY0324** | | Payment Date/Time | | | | | |
| **R** | **:90E::** | | | | | **OFFR//UNSP** | | Tender with an unspecifired price with conditional quantities | | | | | |
|  | **:16S:** | | | | | **CASHMOVE** | | End Of Block | | | | | |
|  |  |  | *End of Subsequence E2 Cash Movement* | | | | | | | |  | | | |
|  | **:16S:** | | | | | **CAOPTN** | | End Of Block | | | | | |
|  |  | *End of Sequence E Corporate Action Options* | | | | | | | | |  | | | |
|  |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | |  | | | |
|  | **:16R:** | | | | | **CAOPTN** | | Start of Block | | | | | |
| **M** | **:13A::** | | | | | **CAON//005** | | CA Option Number | | | | | |
| **M** | **:22F::** | | | | | **CAOP//CASH** | | Corporate Action Option Code Indicator | | | | | |
| **O** | **:22F::** | | | | | **OPTF//OPLF** | | Odd Lot Preference Option | | | | | |
| **R** | **:11A::** | | | | | **OPTN//USD** | | Currency offered | | | | | |
| **M** | **:17B::** | | | | | **DFLT//N** | | Default Processing Flag | | | | | |
| **O** | **:98A::** | | | | | **RDDT//20YY0311** | | Response deadline date | | | | | |
| **O** | **:98A::** | | | | | **MKDT//20YY0312** | | Market Deadline Date | | | | | |
|  |  |  | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | |  | | | |
|  | **:16R:** | | | | | **CASHMOVE** | | Start of Block | | | | | |
| **M** | **:22H::** | | | | | **CRDB//CRED** | | Credit/Debit Indicator | | | | | |
| **M** | **:98A::** | | | | | **PAYD//20YY0324** | | Payment Date/Time | | | | | |
| **R** | **:90E::** | | | | | **OFFR//TBSP** | | Tender with a specifired price | | | | | |
|  | **:16S:** | | | | | **CASHMOVE** | | End Of Block | | | | | |
|  |  |  | *End of Subsequence E2 Cash Movement* | | | | | | | |  | | | |
|  | **:16S:** | | | | | **CAOPTN** | | End Of Block | | | | | |
|  |  | *End of Sequence E Corporate Action Options* | | | | | | | | |  | | | |
|  |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | |  | | | |
|  | **:16R:** | | | | | **CAOPTN** | | Start of Block | | | | | |
| **M** | **:13A::** | | | | | **CAON//006** | | CA Option Number | | | | | |
| **M** | **:22F::** | | | | | **CAOP//CASH** | | Corporate Action Option Code Indicator | | | | | |
| **O** | **:22F::** | | | | | **OPTF//OPLF** | | Odd Lot Preference Option | | | | | |
| **R** | **:11A::** | | | | | **OPTN//USD** | | Currency offered | | | | | |
| **M** | **:17B::** | | | | | **DFLT//N** | | Default Processing Flag | | | | | |
| **O** | **:98A::** | | | | | **RDDT//20YY0311** | | Response deadline date | | | | | |
| **O** | **:98A::** | | | | | **MKDT//20YY0312** | | Market Deadline Date | | | | | |
|  |  |  | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | |  | | | |
|  | **:16R:** | | | | | **CASHMOVE** | | Start of Block | | | | | |
| **M** | **:22H::** | | | | | **CRDB//CRED** | | Credit/Debit Indicator | | | | | |
| **M** | **:98A::** | | | | | **PAYD//20YY0324** | | Payment Date/Time | | | | | |
| **R** | **:90E::** | | | | | **OFFR//UNSP** | | Tender with an unspecifired price | | | | | |
| **M** | **:16S:** | | | | | **CASHMOVE** | | End Of Block | | | | | |
|  |  |  | *End of Subsequence E2 Cash Movement* | | | | | | | |  | | | |
|  | **:16S:** | | | | | **CAOPTN** | | End Of Block | | | | | |
|  |  | *End of Sequence E Corporate Action Options* | | | | | | | | |  | | | |
|  |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | |  | | | |
|  | **:16R:** | | | | | **CAOPTN** | | Start of Block | | | | | |
| **M** | **:13A::** | | | | | **CAON//007** | | CA Option Number | | | | | |
| **M** | **:22F::** | | | | | **CAOP//NOAC** | | Corporate Action Option Code Indicator | | | | | |
| **M** | **:17B::** | | | | | **DFLT//N** | | Default Processing Flag | | | | | |
| **O** | **:98A::** | | | | | **RDDT//20YY0311** | | Response deadline date | | | | | |
| **O** | **:98A::** | | | | | **MKDT//20YY0312** | | Market Deadline Date | | | | | |
|  | **:16S:** | | | | | **CAOPTN** | | End Of Block | | | | | |
|  |  | *End of Sequence E Corporate Action Options* | | | | | | | | |  | | | |

# DVCA: Cash Dividend (MAND)

### Definition:

Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency .

### Issue name:

Shima Seiki Manufacturing Ord

ISIN JP3356500003

### Terms:

Gross dividend rate is 15 JPY per share.

Withholding tax rate of 15%.

### Relative dates:

Ex date - September 25, 20YY

Record date - October 2, 20YY

Pay date - December 4, 20YY

### Transaction description:

The cash dividend will be collected on behalf of A/C 98-0112441-05. The dividend will be paid in JPY. The account has an underlying balance of 4600 shares.

### Messages:

MT 564 for the notice of entitlement

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | |
|  |  |  |  |  |  | |  | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | |
|  | **:16R:** | | | | | **GENL** | | Start of Block | |
| **M** | **:20C::** | | | | | **CORP//DIV3437592** | | Corporate Action Reference | |
| **M** | **:20C::** | | | | | **SEME//253147815** | | Sender's Message Reference | |
| **O** | **:20C::** | | | | | **COAF//BI01593212345** | | Official Corporate Action Event Reference | |
| **M** | **:23G:** | | | | | **REPE** | | Replacement with entitlement | |
| **M** | **:22F::** | | | | | **CAEV//DVCA** | | Corporate Action Event Indicator | |
| **M** | **:22F::** | | | | | **CAMV//MAND** | | Mandatory/Voluntary Indicator | |
| **M** | **:25D::** | | | | | **PROC//COMP** | | Processing Status | |
| **O** |  | *Optional Repetitive Subsequence A1 Linkages* | | | | | | |
|  | **:16R:** | | | | | **LINK** | | Start of Block | |
| **R** | **:13A::** | | | | | **LINK//564** | | Previously sent message type | |
| **M** | **:20C::** | | | | | **PREV//1997189-012** | | Previously sent message reference | |
|  | **:16S:** | | | | | **LINK** | | End of Block | |
| **O** |  | *End of Subsequence A1 Linkages* | | | | | | |
|  |  |  |  |  |  | |  | |
|  | **:16S:** | | | | | **GENL** | | End Of Block | |
| **M** | *End of Sequence A General Information* | | | | | | | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | |
|  | **:16R:** | | | | | **USECU** | | Start of Block | |
| **M** | **:35B:** | | | | | **ISIN JP3356500003** | | Identification of the Financial Instrument | |
|  |  |  |  |  |  | |  | |
| **M** |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | |
|  | **:16R:** | | | | | **ACCTINFO** | | Start of Block | |
| **M** | **:97A::** | | | | | **SAFE//98-0112441-05** | | Safekeeping Account | |
| **M** | **:93B::** | | | | | **ELIG//UNIT/4600,** | | Total Eligible For Corporate Action Balance | |
|  | **:16S:** | | | | | **ACCTINFO** | | End Of Block | |
| **M** |  | *End of Subsequence B2 Account Information* | | | | | | |  |
|  | **:16S:** | | | | | **USECU** | | End Of Block | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | |  |
| **M** | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | |  |
|  | **:16R:** | | | | | **CADETL** | | Start of Block | |
| **M** | **:98A::** | | | | | **XDTE//20YY0925** | | Ex-Dividend or Distribution Date/Time | |
| **M** | **:98A::** | | | | | **RDTE//20YY1002** | | Record Date/Time | |
| **O** | **:22F::** | | | | | **DIVI//REGR** | | Dividend Type Indicator (Example) | |
| **O** | **:22F::** | | | | | **ADDB//CAPA** | | The message is a preliminary advice of payment | |
|  | **:16S:** | | | | | **CADETL** | | End Of Block | |
| **M** | *End of Sequence D Corporate Actions Details* | | | | | | | |  |
| **O** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | |
|  | **:16R:** | | | | | **CAOPTN** | | Start of Block | |
| **M** | **:13A::** | | | | | **CAON//001** | | CA Option Number | |
| **M** | **:22F::** | | | | | **CAOP//CASH** | | Corporate Action Option Code Indicator | |
| **R** | **:11A::** | | | | | **OPTN//JPY** | | Currency offered | |
| **M** | **:17B::** | | | | | **DFLT//Y** | | Default Processing Flag | |
| **O** |  |  | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | |  |
|  | **:16R:** | | | | | **CASHMOVE** | | Start of Block | |
| **M** | **:22H::** | | | | | **CRDB//CRED** | | Credit/Debit Indicator | |
| **R** | **:19B::** | | | | | **ENTL//JPY58640,** | | Entitled amount | |
| **O** | **:19B::** | | | | | **GRSS//JPY69000,** | | Gross amount | |
| **O** | **:19B::** | | | | | **TAXR//JPY10360,** | | Tax amount | |
| **O** | **:19B::** | | | | | **NETT//JPY58640,** | | Net amount | |
| **M** | **:98A::** | | | | | **PAYD//20YY1204** | | Payment Date/Time | |
| **O** | **:98A::** | | | | | **VALU//20YY1204** | | Value Date/Time | |
| **O** | **:98A::** | | | | | **EARL//20YY1205** | | Value Date/Time | |
| **O** | **:92F::** | | | | | **NETT//JPY12,75** | | Net dividend rate | |
| **O** | **:92A::** | | | | | **TAXR//15,** | | Tax rate | |
| **M** | **:92F::** | | | | | **GRSS//JPY15,** | | Gross dividend rate | |
|  | **:16S:** | | | | | **CASHMOVE** | | End Of Block | |
| **O** |  |  | *End of Subsequence E2 Cash Movement* | | | | | |  |
|  | **:16S:** | | | | | **CAOPTN** | | End Of Block | |
| **O** |  | *End of Sequence E Corporate Action Options* | | | | | | |  |
|  |  |  |  |  |  | |  | |  |

# DVCA: Cash Dividend (CHOS) - With Currency Options

### Definition:

Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency .

### Issue name:

UK Equities

ISIN GB1234567890

### Terms:

Gross dividend rate is GBP 15 or EUR 21,5 per share at the option of the holder. Hence the currency option exchange rate from GBP to EUR is equal to 1,433333.

Withholding tax rate of 15%.

### Relative dates:

Ex date - 25 September 20YY

Record date - 2 October 20YY

Response deadline date – 25 October 20YY

Pay date - 4 December 20YY

### Transaction description:

The cash dividend will be collected on behalf of A/C 98-0112441-05. The dividend will be paid in GBP or EUR at the option of the holder. The account has an underlying settled balance of 9200 shares. The account holder has instructed equally each option by 4600 shares.

### Messages:

MT 564 for the notice of entitlement

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | | | |
|  |  |  |  |  |  | | |  | | |  | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | |  | |
|  | **:16R:** | | | | | **GENL** | | | Start of Block | | |
| **M** | **:20C::** | | | | | **CORP//DIV3437592** | | | Corporate Action Reference | | |
| **M** | **:20C::** | | | | | **SEME//253147815** | | | Sender's Message Reference | | |
| **O** | **:20C::** | | | | | **COAF//BI01593212345** | | | Official Corporate Action Event Reference | | |
| **M** | **:23G:** | | | | | **REPE** | | | Replacement with entitlement | | |
| **M** | **:22F::** | | | | | **CAEV//DVCA** | | | Corporate Action Event Indicator | | |
| **M** | **:22F::** | | | | | **CAMV//CHOS** | | | Mandatory/Voluntary Indicator | | |
| **M** | **:25D::** | | | | | **PROC//COMP** | | | Processing Status | | |
| **O** |  | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | |  | |
|  | **:16R:** | | | | | **LINK** | | | Start of Block | | |
| **R** | **:13A::** | | | | | **LINK//564** | | | Previously sent message type | | |
| **M** | **:20C::** | | | | | **PREV//1997189-012** | | | Previously sent message reference | | |
|  | **:16S:** | | | | | **LINK** | | | End of Block | | |
| **O** |  | *End of Subsequence A1 Linkages* | | | | | | | | |  | |
| **O** |  | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | |  | |
|  | **:16R:** | | | | | **LINK** | | | Start of Block | | |
| **R** | **:13A::** | | | | | **LINK//565** | | | Previously received message type | | |
| **M** | **:20C::** | | | | | **RELA//12345-REF-ABCD** | | | Previously received message reference | | |
|  |  | **:16S:** | | | | | **LINK** | | End of Block | | |
| **O** |  | *End of Subsequence A1 Linkages* | | | | | | | | |  | |
|  |  | **:16S:** | | | | | **GENL** | | End Of Block | | |
| **M** | *End of Sequence A General Information* | | | | | | | | | |  | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | |  | |
|  |  | **:16R:** | | | | | **USECU** | | Start of Block | | |
| **M** |  | **:35B:** | | | | | **ISIN GB1234567890** | | Identification of the Financial Instrument | | |
| **M** |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | |
|  |  | **:16R:** | | | | | **ACCTINFO** | | Start of Block | | |
| **M** |  | **:97A::** | | | | | **SAFE//98-0112441-05** | | Safekeeping Account | | |
| **M** |  | **:93B::** | | | | | **ELIG//UNIT/9200,** | | Total Eligible For Corporate Action Balance | | |
|  |  | **:16S:** | | | | | **ACCTINFO** | | End Of Block | | |
| **M** |  | *End of Subsequence B2 Account Information* | | | | | | | | |  | |
|  |  | **:16S:** | | | | | **USECU** | | End Of Block | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | |  | |
| **M** | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | |  | |
|  |  | **:16R:** | | | | | **CADETL** | | Start of Block | | |
| **M** |  | **:98A::** | | | | | **XDTE//20YY0925** | | Ex-Dividend or Distribution Date/Time | | |
| **M** |  | **:98A::** | | | | | **RDTE//20YY1002** | | Record Date/Time | | |
|  |  |  | | | | |  | |  | | |
|  |  | **:16S:** | | | | | **CADETL** | | End Of Block | | |
| **M** | *End of Sequence D Corporate Actions Details* | | | | | | | | | |  | |
| **O** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | |
|  |  | **:16R:** | | | | | **CAOPTN** | | | Start of Block | | |
| **M** |  | **:13A::** | | | | | **CAON//001** | | | CA Option Number | | |
| **M** |  | **:22F::** | | | | | **CAOP//CASH** | | | Corporate Action Option Code Indicator | | |
| **R** |  | **:11A::** | | | | | **OPTN//EUR** | | | Currency offered | | |
| **M** |  | **:17B::** | | | | | **DFLT//N** | | | Default Processing Flag | | |
| **O** |  | **:98A::** | | | | | **RDDT//20YY1025** | | | Response deadline date/time | | |
| **O** |  | **:98A::** | | | | | **MKDT//20YY1026** | | | Market Deadline Date | | |
| **O** |  |  | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | |  | | |
|  |  | **:16R:** | | | | | **CASHMOVE** | | | Start of Block | | |
| **M** |  | **:22H::** | | | | | **CRDB//CRED** | | | Credit/Debit Indicator | | |
| **R** |  | **:19B::** | | | | | **RESU//EUR84065,** | | | Resulting amount | | |
| **R** |  | **:19B::** | | | | | **ENTL//EUR84065,** | | | Entitled amount | | |
| **O** |  | **:19B::** | | | | | **GRSS//GBP69000,** | | | Gross amount | | |
| **O** |  | **:19B::** | | | | | **TAXR//GBP10350,** | | | Tax amount | | |
| **O** |  | **:19B::** | | | | | **NETT//GBP58650,** | | | Net amount | | |
| **M** |  | **:98A::** | | | | | **PAYD//20YY1204** | | | Payment Date/Time | | |
| **O** |  | **:98A::** | | | | | **VALU//20YY1204** | | | Value Date/Time | | |
| **O** |  | **:98A::** | | | | | **EARL//20YY1205** | | | Earliest Payment Date | | |
| **O** |  | **:92F::** | | | | | **NETT//GBP12,75** | | | Net dividend rate | | |
| **O** |  | **:92A::** | | | | | **TAXR//15,** | | | Tax rate | | |
| **M** |  | **:92F::** | | | | | **GRSS//GBP15,** | | | Gross dividend rate | | |
| **O** |  | **:92B::** | | | | | **EXCH//EUR/GBP/1,433333** | | | Exchange rate | | |
|  |  | **:16S:** | | | | | **CASHMOVE** | | | End Of Block | | |
| **O** |  | *End of Subsequence E2 Cash Movement* | | | | | | | |  | | |
|  |  | **:16S:** | | | | | **CAOPTN** | | | End Of Block | | |
| **O** |  | *End of Sequence E Corporate Action Options* | | | | | | | |  | | |
|  |  |  | | | | | | | | | | |
| **O** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | |
|  |  | **:16R:** | | | | | **CAOPTN** | | Start of Block | | |
| **M** |  | **:13A::** | | | | | **CAON//002** | | CA Option Number | | |
| **M** |  | **:22F::** | | | | | **CAOP//CASH** | | Corporate Action Option Code Indicator | | |
| **R** |  | **:11A::** | | | | | **OPTN//GBP** | | Currency offered | | |
| **M** |  | **:17B::** | | | | | **DFLT//Y** | | Default Processing Flag | | |
| **O** |  | **:98A::** | | | | | **RDDT//20YY1025** | | Response deadline date/time | | |
| **O** |  | **:98A::** | | | | | **MKDT//20YY1026** | | Market Deadline Date | | |
| **O** |  |  | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | |  | |
|  |  | **:16R:** | | | | | **CASHMOVE** | | Start of Block | | |
| **M** |  | **:22H::** | | | | | **CRDB//CRED** | | Credit/Debit Indicator | | |
| **R** |  | **:19B::** | | | | | **ENTL//GBP58650,** | | Entitled amount | | |
| **O** |  | **:19B::** | | | | | **GRSS//GBP69000,** | | Gross amount | | |
| **O** |  | **:19B::** | | | | | **TAXR//GBP10350,** | | Tax amount | | |
| **O** |  | **:19B::** | | | | | **NETT//GBP58650,** | | Net amount | | |
| **M** |  | **:98A::** | | | | | **PAYD//20YY1204** | | Payment Date/Time | | |
| **O** |  | **:98A::** | | | | | **VALU//20YY1204** | | Value Date/Time | | |
| **O** |  | **:98A::** | | | | | **EARL//20YY1205** | | Earliest Payment Date | | |
| **O** |  | **:92F::** | | | | | **NETT//GBP12,275** | | Net dividend rate | | |
| **O** |  | **:92A::** | | | | | **TAXR//15,** | | Tax rate | | |
| **M** |  | **:92F::** | | | | | **GRSS//GBP15** | | Gross dividend rate | | |
|  |  | **:16S:** | | | | | **CASHMOVE** | | End Of Block | | |
| **O** |  |  | *End of Subsequence E2 Cash Movement* | | | | | | | |  | |
|  |  | **:16S:** | | | | | **CAOPTN** | | End Of Block | | |
| **O** |  | *End of Sequence E Corporate Action Options* | | | | | | | | |  | |

# DVOP: Dividend option (CHOS) – With Interim Securities

### Definition:

Distribution of a dividend to shareholders with a choice of benefit to receive. Shareholders may choose to receive shares, cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.

### Issue name:

Glaxo Holdings

ISIN GB0007123466

### Terms:

Final dividend of GBP 0,017 per share, OR one ordinary share for every 37 shares held. Elections cannot be made on holdings of less than 37 shares.

Elections which give rise to fractional entitlements will be rounded down to the nearest whole multiple of 37 shares; the full cash dividend of GBP 0,017 per share will be paid on the remainder of the holding.

### Relative dates:

Ex date - 01 September 20YY

Record date - 07 September 20YY

Dividend payment date - 02 October 20YY

Response deadline date – 23 September 20YY

### Transaction description:

A/C 98-0112441-05 has an underlying balance of 80,000 shares.

### Instruction description:

The Client will elect the securities option for the entire eligible balance.

### Messages:

MT 564 for notice of entitlement

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | | | | | | | | |
|  |  |  |  |  |  | | | |  | | | | | | |  | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | | | |  | |
|  |  | **:16R:** | | | | | **GENL** | | |  | | | | | | |
| **M** |  | **:20C::** | | | | | **CORP//DIVGLAX456** | | | Corporate action Reference | | | | | | |
| **M** |  | **:20C::** | | | | | **SEME//1997190-013** | | | Senders reference | | | | | | |
| **O** |  | **:20C::** | | | | | **COAF//BI015932678901** | | | Official Corporate Action Event Reference | | | | | | |
| **M** |  | **:23G:** | | | | | **REPE** | | | Replacement with entitlement | | | | | | |
| **M** |  | **:22F::** | | | | | **CAEV//DVOP** | | | Dividend option | | | | | | |
| **M** |  | **:22F::** | | | | | **CAMV//CHOS** | | | Mandatory with options indicator | | | | | | |
| **M** |  | **:25D::** | | | | | **PROC//COMP** | | | Details are complete | | | | | | |
| **O** | |  | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | |  | |
|  | | **:16R:** | | | | **LINK** | | | | Start of Block | | | | | | |
| **R** | | **:13A::** | | | | **LINK//564** | | | | Previously sent message type | | | | | | |
| **M** | | **:20C::** | | | | **PREV//1997189-012** | | | | Previously sent message reference | | | | | | |
|  | | **:16S:** | | | | **LINK** | | | | | End of Block | | | | | |
| **O** | |  | *End of Subsequence A1 Linkages* | | | | | | | | | | | |  | |
|  |  | **:16S:** | | | | | **GENL** | | | | | | | | | |
| **M** | *End of Sequence A General Information* | | | | | | | | | | | | |  | | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | | |  | | |
|  |  | **:16R:** | | | | | **USECU** | | | |  | | | | | |
| **M** |  | **:35B:** | | | | | **ISIN GB0007123466**  **GLAXO HOLDINGS** | | | | Underlying securities | | | | | |
| **M** |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | | | | |
|  |  | **:16R:** | | | | | **ACCTINFO** | | | |  | | | | | |
| **M** |  | **:97A::** | | | | | **SAFE//98-0112441-05** | | | | Safekeeping Account | | | | | |
| **O** |  | **:93B::** | | | | | **ELIG//UNIT/80000,** | | | | Eligible unit balance | | | | | |
|  |  | **:16S:** | | | | | **ACCTINFO** | | | |  | | | | | |
| **M** |  | *End of Subsequence B2 Account Information* | | | | | | | | | | | |  | | | | |
|  |  | **:16S:** | | | | | **USECU** | | | | | | | | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | | |  | | | | |
| **O** | *Optional* **Sequence C** *Intermediate Securities* | | | | | | | | | | | | |  | | | | |
|  |  | **:16R:** | | | | | **INTSEC** | | | | |  | | | | |
| **M** |  | **:35B::** | | | | | **ISIN GB0123456789**  **GLAXO RIGHTS** | | | | | Identification of the rights | | | | |
| **O** |  | **:92D::** | | | | | **RTUN//1,/1,** | | | | | 1 right for every 1 underlying share | | | | |
| **M** |  | **:98A::** | | | | | **EXPI//20YY0924** | | | | | Expiration date | | | | |
| **M** |  | **:98A::** | | | | | **POST//20YY0901** | | | | | Date rights posted to the account | | | | |
|  |  | **:16S:** | | | | | **INTSEC** | | | | |  | | | | |
| **O** | *End of Sequence C Intermediate Securities* | | | | | | | | | | | | |  | | | | |
| **R** | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | | | | |  | | | | |
|  |  | **:16R:** | | | | | **CADETL** | | | | |  | | | | |
| **R** |  | **:98A::** | | | | | **XDTE//20YY0901** | | | | | Ex Date | | | | |
| **R** |  | **:98A::** | | | | | **RDTE//20YY0907** | | | | | Record Date | | | | |
|  |  | **:16S:** | | | | | **CADETL** | | | | |  | | | | |
| **R** | *End of Sequence D Corporate Actions Details* | | | | | | | | | | | | |  | | | | |
| **O** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | | |
|  |  | **:16R:** | | | | | **CAOPTN** | | | | |  | | | | |
| **M** |  | **:13A::** | | | | | **CAON//001** | | | | | CA Option Number | | | | |
| **M** |  | **:22F::** | | | | | **CAOP//CASH** | | | | | Cash option | | | | |
| **M** |  | **:17B::** | | | | | **DFLT//Y** | | | | | This is the default | | | | |
| **O** |  | **:98A::** | | | | | **RDDT//20YY0923** | | | | | Response deadline date | | | | |
| **O** |  | **:98A::** | | | | | **MKDT//20YY0924** | | | | | Market deadline date | | | | |
| **O** |  |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | |
| **M** |  | **:16R:** | | | | | **SECMOVE** | | | | |  | | | | |
| **M** |  | **:22H::** | | | | | **CRDB//DEBT** | | | | | Debit indicator | | | | |
| **M** |  | **:35B:** | | | | | **ISIN GB0123456789**  **GLAXO RIGHTS** | | | | | Identification of the rights | | | | |
| **M** |  | **:98A::** | | | | | **PAYD//20YY1002** | | | | | Payment Date/Time | | | | |
| **M** |  | **:16S:** | | | | | **SECMOVE** | | | | |  | | | | |
| **O** |  |  | *End of Subsequence E1 Securities Movement* | | | | | | | | | | |  | | | | |
| **O** |  |  | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | | | |  | | | | |
|  |  | **:16R:** | | | | | **CASHMOVE** | | | | |  | | | | |
| **M** |  | **:22H::** | | | | | **CRDB//CRED** | | | | | Credit indicator | | | | |
| **M** |  | **:98A::** | | | | | **PAYD//20YY1002** | | | | | Payment date | | | | |
| **M** | | **:92F::** | | | | | | **GRSS//GBP0,017** | | | | | Gross rate per share | | | |
|  |  | **:16S:** | | | | | **CASHMOVE** | | | | | | | | | |
| **O** |  |  | *End of Subsequence E2 Cash Movement* | | | | | | | | | | |  | | | | |
|  |  | **:16S:** | | | | | **CAOPTN** | | | | | | | | | |
| **O** |  | *End of Sequence E Corporate Action Options* | | | | | | | | | | | |  | | | | |
| **O** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | | |
|  |  | **:16R:** | | | | | **CAOPTN** | | |  | | | | | | |
| **M** |  | **:13A::** | | | | | **CAON//002** | | | CA Option Number | | | | | | |
|  |  | **:22F ::** | | | | | **DISF//RDDN** | | | Disposition of Fractions Indicator | | | | | | |
| **M** |  | **:22F::** | | | | | **CAOP//SECU** | | | Securities option | | | | | | |
| **M** |  | **:17B::** | | | | | **DFLT//N** | | | This is not the default | | | | | | |
| **O** |  | **:98A::** | | | | | **RDDT//20YY0923** | | | Response deadline date | | | | | | |
| **O** |  | **:98A::** | | | | | **MKDT//20YY0924** | | | Market deadline Date | | | | | | |
| **O** |  |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | |
| **M** |  | **:16R:** | | | | | **SECMOVE** | | |  | | | | | | |
| **M** |  | **:22H::** | | | | | **CRDB//CRED** | | | Credit indicator | | | | | | |
| **M** |  | **:35B:** | | | | | **ISIN GB0007633421** | | | ID of new shares | | | | | | |
| **M** |  | **:92D::** | | | | | **NEWO//1,/37,** | | | Ratio for new to old securities | | | | | | |
| **M** |  | **:98A::** | | | | | **PAYD//20YY1002** | | | Payment date | | | | | | |
| **M** |  | **:16S:** | | | | | **SECMOVE** | | |  | | | | | | |
| **O** |  |  | *End of Subsequence E1 Securities Movement* | | | | | | | | | | |  | | | | |
| **O** |  |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | |
| **M** |  | **:16R:** | | | | | **SECMOVE** | | |  | | | | | | |
| **M** |  | **:22H::** | | | | | **CRDB//DEBT** | | | Debit indicator | | | | | | |
| **M** |  | **:35B:** | | | | | **ISIN GB0123456789**  **GLAXO RIGHTS** | | | Identification of the rights | | | | | | |
| **M** |  | **:98A::** | | | | | **PAYD//20YY1002** | | | Payment date | | | | | | |
| **M** |  | **:16S:** | | | | | **SECMOVE** | | |  | | | | | | |
| **O** |  |  | *End of Subsequence E1 Securities Movement* | | | | | | | | | | |  | | | | |
|  |  | **:16S:** | | | | | **CAOPTN** | | | | | | | | | |
| **O** |  | *End of Sequence E Corporate Action Options* | | | | | | | | | | | | | |  | |

# DVOP: Dividend option (CHOS) – No Interim Securities

### Definition:

Distribution of a dividend to shareholders with a choice of benefit to receive. Shareholders may choose to receive shares, cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.

### Issue name:

Glaxo Holdings

ISIN GB0007123466

### Terms:

Final dividend of GBP 0,017 per share, OR one ordinary share for every 40 shares held. Elections cannot be made on holdings of less than 40 shares.

Elections which give rise to fractional entitlements will be rounded down to the nearest whole multiple of 40 shares; the full cash dividend of GBP 0,017 per share will be paid on the remainder of the holding.

### Relative dates:

Ex date - 01 September 20YY

Record date - 07 September 20YY

Dividend payment date - 02 October 20YY

Response deadline date – 23 September 20YY

### Transaction description:

A/C 98-0112441-05 has an underlying balance of 80,000 shares.

### Instruction description:

The Client will elect the securities option for the entire eligible balance.

### Messages:

MT 564 for Notice of entitlement

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | | | | | | | | | | | | |
|  |  | | | | | | | | | | | | | | | | | | | |  | | | | | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  | **:16R:** | | | | | | | | | | **GENL** | | |  | | | | | | | | | |
| **M** | **:20C::** | | | | | | | | | | **CORP//DIVGLAX123** | | | Corporate Action Reference | | | | | | | | | |
| **M** | **:20C::** | | | | | | | | | | **SEME//1997189-012** | | | Senders reference | | | | | | | | | |
| **O** | **:20C::** | | | | | | | | | | **COAF//BI01593212345** | | | Official Corporate Action Event Reference | | | | | | | | | |
| **M** | **:23G:** | | | | | | | | | | **REPE** | | | Replacement with entitlement | | | | | | | | | |
| **M** | **:22F::** | | | | | | | | | | **CAEV//DVOP** | | | Dividend option | | | | | | | | | |
| **M** | **:22F::** | | | | | | | | | | **CAMV//CHOS** | | | Mandatory with options indicator | | | | | | | | | |
| **M** | **:25D::** | | | | | | | | | | **PROC//COMP** | | | Details are complete | | | | | | | | | |
| **O** |  | | | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | | | | |  | | | | | |
|  | **:16R:** | | | | | | | | | | **LINK** | | | Start of Block | | | | | | | | | |
| **R** | **:13A::** | | | | | | | | | | **LINK//564** | | | Previously sent message type | | | | | | | | | |
| **M** | **:20C::** | | | | | | | | | | **PREV//1997189-012** | | | Previously sent message reference | | | | | | | | | |
|  | **:16S:** | | | | | | | | | | **LINK** | | | End of Block | | | | | | | | | |
| **O** |  | | | | *End of Subsequence A1 Linkages* | | | | | | | | | | | | | | |  | | | | | |
|  | **:16S:** | | | | | | | | | | **GENL** | | | | | | | | | | | |
| **M** | *End of Sequence A General Information* | | | | | | | | | | | | | | | | | | |  | | | | |
|  |  | | |  | | | |  |  | | |  |  | | | | | | |  | | | | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | | | | | | | | |  | | | | |
|  | **:16R:** | | | | | | | | | | **USECU** | | | | | |  | | | | | |
| **M** | **:35B:** | | | | | | | | | | **ISIN GB0007123466**  **GLAXO HOLDINGS** | | | | | | Underlying securities | | | | | |
|  |  | | |  | | | |  |  | | |  |  | | | | | | |  | | | | | |
| **M** |  | | | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | | | | | **ACCTINFO** | | | | | |  | | | | | |
| **M** | **:97A::** | | | | | | | | | | **SAFE//98-0112441-05** | | | | | | Safekeeping Account | | | | | |
| **O** | **:93B::** | | | | | | | | | | **ELIG//UNIT/80000,** | | | | | | Eligible unit balance | | | | | |
|  | **:16S:** | | | | | | | | | | **ACCTINFO** | | | | | |  | | | | | |
| **M** |  | | | *End of Subsequence B2 Account Information* | | | | | | | | | | | | | | | |  | | | | | |
|  |  | | |  | | | |  |  | | |  |  | | | | | | |  | | | | | |
|  | **:16S:** | | | | | | | | | | **USECU** | | | | | | | | | | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | | | | | | | | |  | | |
|  |  | | |  | | | |  |  | | |  |  | | | | | | |  | | |
| **R** | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | | | | | | | | | | |  | | |
|  | **:16R:** | | | | | | | | | | **CADETL** | | | | | |  | | | | | |
| **M** | **:98A::** | | | | | | | | | | **XDTE//20YY0901** | | | | | | Ex date | | | | | |
| **M** | **:98A::** | | | | | | | | | | **RDTE//20YY0907** | | | | | | Record date | | | | | |
|  | **:16S:** | | | | | | | | | | **CADETL** | | | | | |  | | | | | |
| **R** | *End of Sequence D Corporate Actions Details* | | | | | | | | | | | | | | | | | | |  | | |
|  |  | | |  | | | |  |  | | |  |  | | | | | | |  | | |
| **O** |  | | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | | | | | **CAOPTN** | | | | | |  | | | | | |
| **M** | **:13A::** | | | | | | | | | | **CAON//001** | | | | | | CA Option Number | | | | | |
| **M** | **:22F::** | | | | | | | | | | **CAOP//CASH** | | | | | | Cash option | | | | | |
| **O** | **:11A::** | | | | | | | | | | **OPTN//GBP** | | | | | | Currency option | | | | | |
| **M** | **:17B::** | | | | | | | | | | **DFLT//Y** | | | | | | Default if no election is made | | | | | |
| **O** | **:98A::** | | | | | | | | | | **RDDT//20YY0923** | | | | | | Response deadline date | | | | | |
| **O** | **:98A::** | | | | | | | | | | **MKDT//20YY0924** | | | | | | Market Deadline Date | | | | | |
| **O** |  | |  | | | | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | | | | |  | | | | |
|  | **:16R:** | | | | | | | | **CASHMOVE** | | | | | | | |  | | | | | |
| **M** | **:22H::** | | | | | | | | **CRDB//CRED** | | | | | | | | Credit indicator | | | | | |
| **M** | **:98A::** | | | | | | | | **PAYD//20YY1002** | | | | | | | | Payment date | | | | | |
| **M** | **:92F::** | | | | | | | | **GRSS//GBP0,017** | | | | | | | | Gross rate per share | | | | | |
| **O** | **:92F::** | | | | | | | | **NETT//GBP0,01445** | | | | | | | | Net rate per share | | | | | |
| **O** | **:92A::** | | | | | | | | **WITL//15, (or TAXR or TAXC)** | | | | | | | | Withholding of Local tax | | | | | |
|  | **:16S:** | | | | | | | | **CASHMOVE** | | | | | | | |  | | | | | |
| **O** |  |  | | | | *End of Subsequence E2 Cash Movement* | | | | | | | | | | | |  | | | | |
|  | **:16S:** | | | | | | | | | **CAOPTN** | | | | | | | | | | | | |
|  |  | | |  | | | |  |  | | |  |  | | | | | | |  | | |
| **O** |  | | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | | | | **CAOPTN** | | | | | |  | | | | | | |
| **M** | **:13A::** | | | | | | | | | **CAON//002** | | | | | | CA Option Number | | | | | | |
| **M** | **:22F::** | | | | | | | | | **CAOP//SECU** | | | | | | Securities option | | | | | | |
| **R** | **:22F::** | | | | | | | | | **DISF//RDDN** | | | | | | Fractions will be rounded down | | | | | | |
| **O** | **:11A::** | | | | | | | | **OPTN//GBP** | | | | | | Currency option | | | | | | |
| **M** | **:17B::** | | | | | | | | | **DFLT//N** | | | | | | This is not the default | | | | | | |
| **O** | **:98A::** | | | | | | | | | **RDDT//20YY0923** | | | | | | Response deadline date | | | | | | |
| **O** | **:98A::** | | | | | | | | | **MKDT//20YY0924** | | | | | | Market deadline date | | | | | | |
|  |  | | |  | | | |  |  | | |  |  | | | | | | |  | | | | | |
| **O** |  | | |  | | | | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | | | |
| **M** | **:16R:** | | | | | | | | | **SECMOVE** | | | | | |  | | | | | | |
| **M** | **:22H::** | | | | | | | | | **CRDB//CRED** | | | | | | Credit indicator | | | | | | |
| **M** | **:35B:** | | | | | | | | | **ISIN GB0007633421**  **GLAXO WELLCOME** | | | | | | ID of new shares | | | | | | |
| **O** | **:36B::** | | | | | | | | | **ENTL//UNIT/2000,** | | | | | | Entitled Quantity | | | | | | |
| **O** | **:90B::** | | | | | | | | **PRPP//ACTU/GBP2,8** | | | | | | | Reinvestment price paid per credit security | | | | | | |
| **M** | **:92D::** | | | | | | | | | **ADEX//1,/40,** | | | | | | Ratio for additional for existing securities | | | | | | |
| **M** | **:98A::** | | | | | | | | | **PAYD//20YY1002** | | | | | | Payment date | | | | | | |
| **M** | **:16S:** | | | | | | | | | **SECMOVE** | | | | | |  | | | | | | |
| **O** |  | | |  | | | | *End of Subsequence E1 Securities Movement* | | | | | | | | | | | |  | | | | | |
|  | **:16S:** | | | | | | | | | **CAOPTN** | | | | | | | | | | | | |
| **O** |  | | | *End of Sequence E Corporate Action Options* | | | | | | | | | | | | | | | | |  | | | |

# DVSE: Stock Dividend (MAND)

### Definition:

Dividend paid to shareholders in the form of equities of the issuing corporation.

### Issue name:

Cervs Peruanas Backus & Johnston SA

ISIN PEP218025000

### Terms:

#### Dividend rate is 4.64966 new shares for 100 old shares

### Relative dates:

#### Ex date - May 16, 20YY

#### Record date - May 20, 20YY

#### Payment date - June 17, 20YY

### Transaction description:

#### The stock dividend will be collected on behalf of A/C 98-0112441-05. The account has an underlying balance of 170,000 shares.

### Messages:

#### MT 564 for notification of entitlement

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | | | |
|  |  |  |  |  |  | |  | | | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | |
|  | **:16R:** | | | | | **GENL** | | | Start of Block | | | |
| **M** | **:20C::** | | | | | **CORP//SDIV3437592** | | | Corporate Action Reference | | | |
| **M** | **:20C::** | | | | | **SEME//253147815** | | | Sender's Message Reference | | | |
| **O** | **:20C::** | | | | | **COAF//BI01593212345** | | | Official Corporate Action Event Reference | | | |
| **M** | **:23G:** | | | | | **REPE** | | | Replacement with entitlement | | | |
| **M** | **:22F::** | | | | | **CAEV//DVSE** | | | Corporate Action Event Indicator | | | |
| **M** | **:22F::** | | | | | **CAMV//MAND** | | | Mandatory/Voluntary Indicator | | | |
| **M** | **:25D::** | | | | | **PROC//COMP** | | | Processing Status | | | |
| **O** |  | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | |  | | |
|  | **:16R:** | | | | | **LINK** | | | Start of Block | | | |
| **R** | **:13A::** | | | | | **LINK//564** | | | Previously sent message type | | | |
| **M** | **:20C::** | | | | | **PREV//1997189-012** | | | Previously sent message reference | | | |
|  | **:16S:** | | | | | **LINK** | | | End of Block | | | |
| **O** |  | *End of Subsequence A1 Linkages* | | | | | | | |  | | |
|  | **:16S:** | | | | | **GENL** | | | End Of Block | | | |
| **M** | *End of Sequence A General Information* | | | | | | | | |  | | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | |  | | |
|  | **:16R:** | | | | | **USECU** | | | Start of Block | | | |
| **M** | **:35B:** | | | | | **ISIN PEP218025000** | | | Identification of the Financial Instrument | | | |
| **M** |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | |
|  | **:16R:** | | | | | **ACCTINFO** | | | Start of Block | | | |
| **M** | **:97A::** | | | | | **SAFE//98-0112441-05** | | | Safekeeping Account | | | |
| **M** | **:93B::** | | | | | **ELIG//UNIT/170000,** | | | Total Eligible For Corporate Action Balance | | | |
|  | **:16S:** | | | | | **ACCTINFO** | | End Of Block | | | | |
| **M** |  | *End of Subsequence B2 Account Information* | | | | | | | |  | | |
|  | **:16S:** | | | | | **USECU** | | End Of Block | | | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | |  | | |
| **M** | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | |  | | |
|  | **:16R:** | | | | | **CADETL** | | Start of Block | | | |
| **M** | **:98A::** | | | | | **XDTE//20YY0516** | | Ex-Dividend or Distribution Date/Time | | | |
| **M** | **:98A::** | | | | | **RDTE//20YY0520** | | Record Date/Time | | | |
| **O** | **:22F::** | | | | | **ADDB//CAPA** | | The message is a preliminary advice of payment | | | |
|  | **:16S:** | | | | | **CADETL** | | End Of Block | | | |
| **M** | *End of Sequence D Corporate Actions Details* | | | | | | | | |  | | |
| **O** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | |
|  | **:16R:** | | | | | **CAOPTN** | | Start of Block | | | |
| **M** | **:13A::** | | | | | **CAON//001** | | CA Option Number | | | |
| **M** | **:22F::** | | | | | **CAOP//SECU** | | Corporate Action Option Code Indicator | | | |
| **R** | **:22F::** | | | | | **DISF//RDDN** | | Disposition of Fractions Indicator | | | |
| **M** | **:17B::** | | | | | **DFLT//Y** | | Default Processing Flag | | | |
| **O** |  |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | |
| **M** | **:16R:** | | | | | **SECMOVE** | | Start of Block | | | |
| **M** | **:22H::** | | | | | **CRDB//CRED** | | Credit/Debit Indicator | | | |
| **M** | **:35B:** | | | | | **ISIN PEP218025000** | | Identification of the Financial Instrument | | | |
| **M** | **:36B::** | | | | | **ENTL//UNIT/7904,** | | Quantity of new shares to be posted | | | |
| **M** | **:92D::** | | | | | **ADEX//4,64966/100,** | | Additional for Existing Securities | | | |
| **M** | **:98A::** | | | | | **PAYD//20YY0617** | | Payment Date/Time | | | |
| **O** | **:98A::** | | | | | **AVAL//20YY0618** | | Available Date/Time For Trading | | | |
| **O** | **:98A::** | | | | | **EARL//20YY0618** | | Earliest payment date | | | |
| **M** | **:16S:** | | | | | **SECMOVE** | | End Of Block | | | |
| **O** |  |  | *End of Subsequence E1 Securities Movement* | | | | | | |  | | |
|  | **:16S:** | | | | | **CAOPTN** | | End Of Block | | | |
| **O** |  | *End of Sequence E Corporate Action Options* | | | | | | | | |
|  |  |  |  |  |  | |  | | | |

# EXOF – Exchange (CHOS) – Following RHDI

### Definition:

Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example “exchange offer”, “capital reorganisation” or “funds separation”.

### Issue name:

### SE0001426065 ATLAS COPCO A redemption shares

### Terms:

1 interim/split redemption share is redeemed by the issuer for SEK5 per share.

### Relative dates:

Interim shares trading period – May 16 to June 8, 20YY

Response deadline date for trading – June 7, 20YY

Payment date for redemption – June 16, 20YY

### Transaction description:

Atlas Copco A redemption shares (SE0001426065), previously distributed in an RHDI event, will be redeemed against a taxable cash payment of SEK5. Shareholders may elect to sell their shares on the market or via their account servicers before redemption. The account (a/c 01-001002003) will receive SEK 500,000 on pay date unless the shares are sold earlier.

### Messages:

MT 564 for notice of entitlement.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notice of Entitlement | | | | | | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | |  |
|  | **:16R:** | | | **GENL** | |  | |
| **M** | **:20C::** | | | **CORP//EXOFATCOA005** | | Corporate action reference | |
| **M** | **:20C::** | | | **SEME//154802473** | | Senders reference | |
| **O** | **:20C::** | | | **COAF//ESATCO11005** | | Official Corporate Action Event Reference | |
| **M** | **:23G:** | | | **REPE** | | Replacement with entitlement | |
| **M** | **:22F::** | | | **CAEV//EXOF** | | Exchange offer | |
| **M** | **:22F::** | | | **CAMV//CHOS** | | Mandatory with Options indicator | |
| **M** | **:25D::** | | | **PROC//COMP** | | Details are complete | |
| **O** |  | *Optional Repetitive Subsequence A1 Linkages* | | | | |  |
|  | **:16R:** | | | **LINK** | |  | |
| **O** | **:13A::** | | | **LINK//564** | | Message Type | |
| **M** | **:20C::** | | | **CORP//RHDIATCOA001** | | Corporate action reference of the distribution | |
|  | **:16S:** | | | **LINK** | |  | |
| **O** |  | *End of Subsequence A1 Linkages* | | | | |  |
|  | **:16S:** | | | **GENL** | |  | |
| **M** | *End of Sequence A General Information* | | | | | |  |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | |  |
|  | **:16R:** | | | **USECU** | |  | |
| **M** | **:35B:** | | | **ISIN SE0001426065**  **ATLAS COPCO A REDM SHARES** | | Underlying securities (interim shares) | |
| **M** |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | |
|  | **:16R:** | | | **ACCTINFO** | |  | |
| **M** | **:97A::** | | | **SAFE//01-001002003** | | Safekeeping account | |
| **O** | **:93B::** | | | **ELIG//UNIT/100000,** | | Eligible unit balance | |
|  | **:16S:** | | | **ACCTINFO** | |  | |
| **M** |  | *End of Subsequence B2 Account Information* | | | | |  |
|  | **:16S:** | | | **USECU** | |  | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | |  |
| **O** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | |
|  | **:16R:** | | | **CAOPTN** | |  | |
| **M** | **:13A::** | | | **CAON//001** | | CA Option Number | |
| **M** | **:22F::** | | | **CAOP//CASH** | | Exercise option | |
| **M** | **:17B::** | | | **DFLT//Y** | | This is not the default | |
| **O** | **:98A::** | | | **RDDT//20YY0207** | | Response deadline date | |
| **O** | **:98A::** | | | **MKDT//20YY0208** | | Market Deadline date | |
| **O** |  |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | |
| **M** | **:16R:** | | | | **SECMOVE** |  | |
| **M** | **:22H::** | | | | **CRDB//DEBT** | Debit indicator | |
| **M** | **:35B:** | | | | **ISIN SE0001426065**  **ATLAS COPCO A REDM SHARES** | Identification of the interim shares | |
| **M** | **:36B::** | | | | **ENTL/UNIT/100000,** | Entitled quantity | |
| **M** | **:98A::** | | | | **PAYD//20YY0616** | Date of debit | |
| **M** | **:16S:** | | | | **SECMOVE** |  | |
| **O** |  |  | *End of Subsequence E1 Securities Movement* | | | |  |
| **O** |  |  | *Optional Repetitive Subsequence E2 Cash Movement* | | | |  |
|  | **:16R:** | | | | **CASHMOVE** |  | |
| **M** | **:22H::** | | | | **CRDB/CRED** | Debit indicator | |
| **M** | **:19B::** | | | | **ENTL//SEK500000,** | Entitled amount | |
| **O** | **:19B::** | | | | **GRSS//SEK500000,** | Gross amount | |
| **O** | **:19B::** | | | | **NETT//SEK350000,** | Net amount | |
| **O** | **:19B::** | | | | **TAXR//SEK150000,** | Tax amount | |
| **M** | **:98A::** | | | | **PAYD//20YY0616** | Payment Date/Time | |
| **O** | **:98A::** | | | | **VALU//20YY0616** | Value Date/Time | |
| **O** | **:92A::** | | | | **TAXR//30,** |  | |
| **M** | **:90A::** | | | | **OFFR//ACTU/SEK5,0** | Generic Cash Price Received per Product | |
|  | **:16S:** | | | | **CASHMOVE** |  | |
| **O** |  |  | *End of Subsequence E2 Cash Movement* | | | |  |
|  | **:16S:** | | | | **CAOPTN** |  | |
| **O** |  | *End of Sequence E Corporate Action Options* | | | | |  |
| **O** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | |
|  | **:16R:** | | | | **CAOPTN** |  | |
| **M** | **:13A::** | | | | **CAON//002** | CA Option Number | |
| **M** | **:22F::** | | | | **CAOP//SLLE** | Lapse option | |
| **O** | **:22F::** | | | | **OPTF//ASVO** | Account servicer option | |
| **M** | **:17B::** | | | | **DFLT//N** | This is the default | |
| **O** | **:98A::** | | | | **RDDT//20YY0607** | Response deadline date | |
|  | **:16S:** | | | | **CAOPTN** |  | |
| **O** |  | *End of Sequence E Corporate Action Options* | | | | |  |

# EXOF: Exchange (CHOS)

### Definition:

Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example “exchange offer”, “capital reorganisation” or “funds separation”.

### Issue name:

Case Group

ISIN GB0053451211

### Terms:

Shares of Case Group will be exchanged for shares of Gandolph Technologies or cash. The shareholder will receive 1 share of Gandolph Technologies ISIN US1467032121 or GBP 3.20 for every share of Case Group exchanged. The issuer is seeking 50% of its shares to be exchanged.

### Relative dates:

Response deadline date – July 29, 20YY

Market deadline date – July 30, 20YY

Payment date – August 5, 20YY

### Transaction description:

Parent company, Case Group, is offering to exchange its shares for shares of its subsidiary, Gandolph Technologies or cash (cash is the default option). The client account (98-0112441-05) has an eligible holding of 6,000 shares.

### Instruction description:

The client will elect the cash option for the entire eligible balance.

### Messages:

MT 564 for notification

MT 565 for instruction

MT 567 for instruction processing status

MT 564 for notice of entitlement.

MT 566 for confirmation

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notification | | | | | | | | | | | | |
|  |  |  |  |  |  | | | | |  | | |  | | | | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | |  | | | | |
|  | **:16R:** | | | | | **GENL** | | | | | Start of Block | | | | | |
| **M** | **:20C::** | | | | | **CORP//EXCH21754** | | | | | Corporate Action Reference | | | | | |
| **M** | **:20C::** | | | | | **SEME//154802473** | | | | | Sender's Message Reference | | | | | |
| **O** | **:20C::** | | | | | **COAF//BI01593212345** | | | | | Official Corporate Action Event Reference | | | | | |
| **M** | **:23G:** | | | | | **NEWM** | | | | | Function of the message (here, new message) | | | | | |
| **M** | **:22F::** | | | | | **CAEV//EXOF** | | | | | Corporate Action Event Indicator | | | | | |
| **M** | **:22F::** | | | | | **CAMV//CHOS** | | | | | Mandatory/Voluntary Indicator | | | | | |
| **M** | **:25D::** | | | | | **PROC//COMP** | | | | | Processing Status | | | | | |
|  | **:16S:** | | | | | **GENL** | | | | | End Of Block | | | |
| **M** | *End of Sequence A General Information* | | | | | | | | | | |  | | | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | |  | | | |
|  | **:16R:** | | | | | **USECU** | | | | | Start of Block | | | |
| **M** | **:35B:** | | | | | **ISIN GB0053451211** | | | | | Identification of the Financial Instrument | | | |
| **M** |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | |
|  | **:16R:** | | | | | **ACCTINFO** | | | | | Start of Block | | | |
| **M** | **:97A::** | | | | | **SAFE//98-0112441-05** | | | | | Safekeeping Account | | | |
| **O** | **:93B::** | | | | | **ELIG//UNIT/6000,** | | | | | Total Eligible For Corporate Action Balance | | | |
|  | **:16S:** | | | | | **ACCTINFO** | | | | | End Of Block | | | |
| **M** |  | *End of Subsequence B2 Account Information* | | | | | | | | | |  | | | |
|  | **:16S:** | | | | | **USECU** | | | | | End Of Block | | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | |  | | | |
| **O** | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | | |  | | | |
|  | **:16R:** | | | | | **CADETL** | | | | | Start of Block | | | |
| **O** | **:92A::** | | | | | **PTSC//50,** | | | | | Percentage Sought | | | |
|  | **:16S:** | | | | | **CADETL** | | | | | End Of Block | | | |
| **O** | *End of Sequence D Corporate Actions Details* | | | | | | | | | | |  | | | |
| **O** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | |
|  | **:16R:** | | | | | **CAOPTN** | | | | | Start of Block | | | |
| **M** | **:13A::** | | | | | **CAON//001** | | | | | CA Option Number | | | |
| **M** | **:22F::** | | | | | **CAOP//SECU** | | | | | Corporate Action Option Code Indicator | | | |
| **M** | **:17B::** | | | | | **DFLT//N** | | | | | Default Processing Flag | | | |
| **O** | **:98C::** | | | | | **RDDT//20YY0729170000** | | | | | Response deadline date/time | | | |
| **O** | **:98A::** | | | | | **MKDT//20YY0730** | | | | | Market Deadline Date | | | |
| **O** | **:69A::** | | | | | **PWAL//20YY0529/20YY0730** | | | | | Period of action | | | |
| **O** |  |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | |
| **M** | **:16R:** | | | | | | | **SECMOVE** | | | Start of Block | | | |
| **M** | **:22H::** | | | | | | | **CRDB//DEBT** | | | Credit/Debit Indicator | | | |
| **M** | **:35B:** | | | | | | | **ISIN GB0053451211** | | | Identification of the Financial Instrument | | | |
| **O** | **:36B::** | | | | | | | **ENTL//UNIT/6000,** | | | Quantity to be debited | | | |
| **M** | **:98A::** | | | | | | | **PAYD//20YY0805** | | | Payment Date/Time | | | |
| **M** | **:16S:** | | | | | | | **SECMOVE** | | | End Of Block | | | |
| **O** |  |  | *End of Subsequence E1 Securities Movement* | | | | | | | | |  | | | |
| **O** |  |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | |
| **M** | **:16R:** | | | | | | | **SECMOVE** | | | Start of Block | | | |
| **M** | **:22H::** | | | | | | | **CRDB//CRED** | | | Credit/Debit Indicator | | | |
| **M** | **:35B:** | | | | | | | **ISIN US1467032121** | | | Identification of the Financial Instrument | | | |
| **O** | **:36B::** | | | | | | | **ENTL//UNIT/6000,** | | | Quantity to be credited | | | |
| **M** | **:92D::** | | | | | | | **NEWO//1,/1,** | | | New to Old | | | |
| **M** | **:98A::** | | | | | | | **PAYD//20YY0805** | | | Payment Date/Time | | | |
| **O** | **:98A::** | | | | | | | **EARL//20YY0806** | | | Earliest payment date | | | |
| **M** | **:16S:** | | | | | | | **SECMOVE** | | | End Of Block | | | |
| **O** |  |  | *End of Subsequence E1 Securities Movement* | | | | | | | | |  | | | |
|  | **:16S:** | | | | | | **CAOPTN** | | | | End Of Block | | | |
| **O** |  | *End of Sequence E Corporate Action Options* | | | | | | | | | |  | | | |
| **O** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | | **CAOPTN** | | | Start of Block | | | |
| **M** | **:13A::** | | | | | | | **CAON//002** | | | CA Option Number | | | |
| **M** | **:22F::** | | | | | | | **CAOP//CASH** | | | Corporate Action Option Code Indicator | | | |
| **R** | **:11A::** | | | | | | | **OPTN//GBP** | | | Currency offered | | | |
| **M** | **:17B::** | | | | | | | **DFLT//Y** | | | Default Processing Flag | | | |
| **O** | **:98C::** | | | | | | | **RDDT//20YY0729170000** | | | Response deadline date/time | | |
| **O** | **:98A::** | | | | | | | **MKDT//20YY0730** | | | Market Deadline Date | | |
| **O** | **:69A::** | | | | | | | **PWAL//20YY0529/20YY0730** | | | Period of action | | |
| **O** | **:69A::** | | | | | | | **REVO//20YY0529/20YY0715** | | | Revocability Period | | |
| **O** |  |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | |
| **M** | **:16R:** | | | | | | | | **SECMOVE** | | Start of Block | | |
| **M** | **:22H::** | | | | | | | | **CRDB//DEBT** | | Credit/Debit Indicator | | |
| **M** | **:35B:** | | | | | | | | **ISIN GB0053451211** | | Identification of the Financial Instrument | | |
| **O** | **:36B::** | | | | | | | | **ENTL//UNIT/6000,** | | Quantity to be debited | | |
| **M** | **:98A::** | | | | | | | | **PAYD//20YY0805** | | Payment Date/Time | | |
| **M** | **:16S:** | | | | | | | | **SECMOVE** | | End Of Block | | |
| **O** |  |  | *End of Subsequence E1 Securities Movement* | | | | | | | | |  | | | |
| **O** |  |  | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | |  | | | |
|  | **:16R:** | | | | | | | | **CASHMOVE** | | Start of Block | | |
| **M** | **:22H::** | | | | | | | | **CRDB//CRED** | | Credit/Debit Indicator | | |
| **O** | **:19B::** | | | | | | | | **ENTL//GBP19200,** | | Entitled amount | | |
| **O** | **:19B::** | | | | | | | | **GRSS//GBP19200,** | | Gross amount | | |
| **M** | **:98A::** | | | | | | | | **PAYD//20YY0805** | | Payment Date/Time | | |
| **O** | **:98A::** | | | | | | | | **VALU//20YY0805** | | Value Date/Time | | |
| **O** | **:98A::** | | | | | | | | **EARL//20YY0806** | | Earliest payment date | | |
| **M** | **:90B::** | | | | | | | | **OFFR//ACTU/GBP3,20** | | Generic Cash Price Received per Product | | |
|  | **:16S:** | | | | | | | | **CASHMOVE** | | End Of Block | | |
| **O** |  |  | *End of Subsequence E2 Cash Movement* | | | | | | | | |  | | | |
|  | **:16S:** | | | | | | | | **CAOPTN** | | End Of Block | | |
| **O** |  | *End of Sequence E Corporate Action Options* | | | | | | | | | | |  | | | | |
|  |  |  |  |  |  | | | | |  | | |  | | | | |

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 565 Corporate Action Instruction | | | | | | | | | | | | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | |  | | | |
|  | **:16R:** | | | | | | | **GENL** | | | Start of Block | | | | |
| **M** | **:20C::** | | | | | | | **CORP//EXCH21754** | | | Corporate Action Reference | | | | |
| **M** | **:20C::** | | | | | | | **SEME//251247845** | | | Sender's Message Reference | | | | |
| **O** | **:20C::** | | | | | | | **COAF//BI01593212345** | | | Official Corporate Action Event Reference | | | | |
| **M** | **:23G:** | | | | | | | **NEWM** | | | Function of the message (here, new message) | | | | |
| **M** | **:22F::** | | | | | | | **CAEV//EXOF** | | | Corporate Action Event Indicator | | | | |
| **R** |  | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | |  | |
|  | **:16R:** | | | | | | | **LINK** | | | Start of Block | | | | |
| **R** | **:13A::** | | | | | | | **LINK//564** | | | Previously received message type | | | | |
| **M** | **:20C::** | | | | | | | **RELA//154802473** | | | Previously received message reference | | | | |
|  | **:16S:** | | | | | | | **LINK** | | | End of Block | | | | |
| **R** |  | *End of Subsequence A1 Linkages* | | | | | | | | | | |  | |
|  | **:16S:** | | | | | | | **GENL** | | | End Of Block | | | | |
| **M** | *End of Sequence A General Information* | | | | | | | | | | | |  | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | |  | |
|  | **:16R:** | | | | | | | **USECU** | | | Start of Block | | | | |
| **R** | **:35B:** | | | | | | | **ISIN GB0053451211** | | | Identification of the Financial Instrument | | | | |
| **M** |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | |
|  | **:16R:** | | | | | | | **ACCTINFO** | | | Start of Block | | | | |
| **M** | **:97A::** | | | | | | | **SAFE//98-0112441-05** | | | Safekeeping Account | | | | |
| **R** | **:93B::** | | | | | | | **ELIG//UNIT/6000,** | | | Total Eligible For Corporate Action Balance | | | | |
|  | **:16S:** | | | | | | | **ACCTINFO** | | | End Of Block | | | | |
| **M** |  | *End of Subsequence B2 Account Information* | | | | | | | | | | |  | |
|  | **:16S:** | | | | | | **USECU** | | | | End Of Block | | | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | |  | |
| **M** | *Mandatory* **Sequence D** *Corporate Actions Instruction* | | | | | | | | | | | |  | |
|  | **:16R:** | | | | | | | **CAINST** | | | Start of Block | | | | |
| **M** | **:13A::** | | | | | | | **CAON//002** | | | CA Option Number | | | | |
| **M** | **:22F::** | | | | | | | **CAOP//CASH** | | | Corporate Action Option Code Indicator | | | | |
| **M** | **:36B::** | | | | | | | **QINS//UNITS/6000,** | | | Quantity of securities instructed | | | | |
|  | **:16S:** | | | | | | | **CAINST** | | | End Of Block | | | | |
| **M** | *End of Sequence D Corporate Actions Details* | | | | | | | | | | | |
|  |  |  |  |  |  | | | | |  | | |
| MT 567 Corporate Action Status and Processing Advice | | | | | | | | | | | | | |
|  |  |  |  |  |  | | | |  | | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | |
|  | **:16R:** | | | | | | **GENL** | | | | Start of Block | | | | |
| **M** | **:20C::** | | | | | | **CORP//EXCH21754** | | | | Corporate Action Reference | | | | |
| **M** | **:20C::** | | | | | | **SEME//154802473** | | | | Sender's Message Reference | | | | |
| **O** | **:20C::** | | | | | | **COAF//BI01593212345** | | | | Official Corporate Action Event Reference | | | | |
| **M** | **:23G:** | | | | | | **INST** | | | | Function of the message (here, reporting on an instruction status) | | | | |
| **M** | **:22F::** | | | | | | **CAEV//EXOF** | | | | Corporate Action Event Indicator | | | | |
| **M** |  | *Mandatory Repetitive Subsequence A1 Linkages* | | | | | | | | | |  | | |
|  | **:16R:** | | | | | | **LINK** | | | | Start of Block | | | | |
| **R** | **:13A::** | | | | | | **LINK//565** | | | | Previously received message type | | | | |
| **M** | **:20C::** | | | | | | **RELA//251247845** | | | | Previously received message reference | | | | |
|  | **:16S:** | | | | | | **LINK** | | | | End of Block | | | | |
| **M** |  | *End of Mandatory Subsequence A1 Linkages* | | | | | | | | | |  | | |
| **M** |  | *Mandatory Repetitive Subsequence A2 Status* | | | | | | | | | |  | | |
|  | **:16R:** | | | | | **STAT** | | | | | Start of Block | | | | |
| **M** | **:25D::** | | | | | **IPRC//PACK** | | | | | Instruction accepted for further processing | | | | |
|  | **:16S:** | | | | | **STAT** | | | | | End of Block | | | | |
| **M** |  | *End of Mandatory Subsequence A2 Status* | | | | | | | | | |  | | |
|  | **:16S:** | | | | | | **GENL** | | | | End Of Block | | | | |
| **M** | *End of Sequence A General Information* | | | | | | | | | | |  | | |
| **M** | *Optional* **Sequence B** *Corporate Action Details* | | | | | | | | | | |  | | |
|  | **:16R:** | | | | | | **CADETL** | | | | Start of Block | | | | |
| **M** | **:13A::** | | | | | | **CAON//002** | | | | CA Option Number | | | | |
| **M** | **:22F::** | | | | | | **CAOP//CASH** | | | | CA Option Code Indicator | | | | |
| **O** | **:36B::** | | | | | | **STAQ//UNIT/6000,** | | | | Quantity on which the status is given | | | | |
|  | **:16S:** | | | | | | **CADETL** | | | | End Of Block | | | | |
| **M** | *End of Optional Sequence B Corporate Action Details* | | | | | | | | | | |  | | | | | |

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | | | | | | | | | | | | | | | | |
|  |  | |  | |  | |  | |  | | | | | |  | | | | | | | | |  |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | | | | | | | | | | | |  |
|  | **:16R:** | | | | | | | | | **GENL** | | | | | | | Start of Block | | |
| **M** | **:20C::** | | | | | | | | | **CORP//EXCH21754** | | | | | | | Corporate Action Reference | | | |
| **M** | **:20C::** | | | | | | | | | **SEME//154802474** | | | | | | | Sender's Message Reference | | | |
| **O** | **:20C::** | | | | | | | | | **COAF//BI01593212345** | | | | | | | Official Corporate Action Event Reference | | | |
| **M** | **:23G:** | | | | | | | | | **REPE** | | | | | | | Replacement with entitlement | | | |
| **M** | **:22F::** | | | | | | | | | **CAEV//EXOF** | | | | | | | Corporate Action Event Indicator | | | |
| **M** | **:22F::** | | | | | | | | | **CAMV//CHOS** | | | | | | | Mandatory/Voluntary Indicator | | | |
| **M** | **:25D::** | | | | | | | | | **PROC//COMP** | | | | | | | Processing Status | | | |
| **O** |  | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | | | | |  |
|  | **:16R:** | | | | | | | | | **LINK** | | | | | | | Start of Block | | | |
| **R** | **:13A::** | | | | | | | | | **LINK//564** | | | | | | | Previously sent message type | | | |
| **M** | **:20C::** | | | | | | | | | **PREV//154802473** | | | | | | | Previously sent message reference | | | |
|  | **:16S:** | | | | | | | | | **LINK** | | | | | | | End of Block | | | |
| **O** |  | | *End of Subsequence A1 Linkages* | | | | | | | | | | | | | | |  |
| **O** |  | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | | | | |  |
|  | **:16R:** | | | | | | | | | **LINK** | | | | | | | Start of Block | | | |
| **R** | **:13A::** | | | | | | | | | **LINK//565** | | | | | | | Previously received message type | | | |
| **M** | **:20C::** | | | | | | | | | **RELA//251247845** | | | | | | | Previously received message reference | | | |
|  | **:16S:** | | | | | | | | | **LINK** | | | | | | | End of Block | | | |
| **O** |  | | *End of Subsequence A1 Linkages* | | | | | | | | | | | | | | |  |
|  | **:16S:** | | | | | | | | | **GENL** | | | | | | | End Of Block | | | |
| **M** | *End of Sequence A General Information* | | | | | | | | | | | | | | | | |  |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | | | | | | |  |
|  | **:16R:** | | | | | | | | | **USECU** | | | | | | | Start of Block | | | |
| **M** | **:35B:** | | | | | | | | | **ISIN GB0053451211** | | | | | | | Identification of the Financial Instrument | | | |
| **M** |  | | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | | | | **ACCTINFO** | | | | | | | Start of Block | | | |
| **M** | **:97A::** | | | | | | | | | **SAFE//98-0112441-05** | | | | | | | Safekeeping Account | | | |
| **M** | **:93B::** | | | | | | | | | **ELIG//UNIT/6000,** | | | | | | | Total Eligible For Corporate Action Balance | | | |
|  | **:16S:** | | | | | | | | | **ACCTINFO** | | | | | | | End Of Block | | | |
| **M** |  | | *End of Subsequence B2 Account Information* | | | | | | | | | | | | | | |  |
|  | **:16S:** | | | | | | | | | **USECU** | | | | | | | End Of Block | | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | | | | | | |  |
| **O** | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | | | | | | | | |  |
|  | **:16R:** | | | | | | | | | **CADETL** | | | | | | | Start of Block | | | |
| **O** | **:92A::** | | | | | | | | | **PTSC//50,** | | | | | | | Percentage Sought | | | |
| **O** | **:22F::** | | | | | | | | | **ADDB//CAPA** | | | | | | | The message is a preliminary advice of payment | | | |
|  | **:16S:** | | | | | | | | | **CADETL** | | | | | | | End Of Block | | | |
| **O** | *End of Sequence D Corporate Actions Details* | | | | | | | | | | | | | | | | |  |
| **O** |  | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | | | | **CAOPTN** | | | | | | | Start of Block | | | |
| **M** | **:13A::** | | | | | | | | | **CAON//002** | | | | | | | CA Option Number | | | |
| **M** | **:22F::** | | | | | | | | | **CAOP//CASH** | | | | | | | Corporate Action Option Code Indicator | | | |
| **M** | **:17B::** | | | | | | | | | **DFLT//Y** | | | | | | | Default Processing Flag | | | |
| **O** | **:98C::** | | | | | | | | | **RDDT//20YY0729170000** | | | | | | | Response deadline date/time | | | |
| **O** | **:98A::** | | | | | | | | | **MKDT//20YY0730** | | | | | | | Market Deadline Date | | | |
| **O** | **:69A::** | | | | | | | | | **PWAL//20YY0529/20YY0730** | | | | | | | Period of action | | | |
| **O** | **:69A::** | | | | | | | | | **REVO//20YY0529/20YY0715** | | | | | | | Revocability Period | | | |
| **O** |  | |  | | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | | |
| **M** | **:16R:** | | | | | | | | | | | | **SECMOVE** | | | | Start of Block | | | |
| **M** | **:22H::** | | | | | | | | | | | | **CRDB//DEBT** | | | | Credit/Debit Indicator | | | |
| **M** | **:35B:** | | | | | | | | | | | | **ISIN GB0053451211** | | | | Identification of the Financial Instrument | | | |
| **O** | **:36B::** | | | | | | | | | | | | **ENTL//UNIT/6000,** | | | | Quantity to be debited | | | |
| **M** | **:98A::** | | | | | | | | | | | | **PAYD//20YY0805** | | | | Payment Date/Time | | | |
| **M** | **:16S:** | | | | | | | | | | | | **SECMOVE** | | | | End Of Block | | | |
| **O** |  | |  | | *End of Subsequence E1 Securities Movement* | | | | | | | | | | | | |  |
| **O** |  | |  | | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | | | | | |  |
|  | **:16R:** | | | | | | | | | | | | **CASHMOVE** | | | | Start of Block | | | |
| **M** | **:22H::** | | | | | | | | | | | | **CRDB//CRED** | | | | Credit/Debit Indicator | | | |
| **O** | **:19B::** | | | | | | | | | | | | **ENTL//GBP19200,** | | | | Entitled amount | | | |
| **O** | **:19B::** | | | | | | | | | | | | **GRSS//GBP19200,** | | | | Gross amount | | | |
| **M** | **:98A::** | | | | | | | | | | | | **PAYD//20YY0805** | | | | Payment Date/Time | | | |
| **O** | **:98A::** | | | | | | | | | | | | **VALU//20YY0805** | | | | Value Date/Time | | | |
| **O** | **:98A::** | | | | | | | | | | | | **EARL//20YY0806** | | | | Earliest payment date | | | |
| **M** | **:90B::** | | | | | | | | | | | | **OFFR//ACTU/GBP3,20** | | | | Generic Cash Price Received per Product | | | |
|  | **:16S:** | | | | | | | | | | | | **CASHMOVE** | | | | End Of Block | | | |
| **O** |  | |  | | *End of Subsequence E2 Cash Movement* | | | | | | | | | | | | |  |
|  | **:16S:** | | | | | | | | | | | | **CAOPTN** | | | | End Of Block | | | |
| **O** |  | | *End of Sequence E Corporate Action Options* | | | | | | | | | | | | | | | | | | | | |  |
|  |  | |  | |  | |  | |  | | | | | |  | | | | | | | | |  |
| MT 566 Corporate Action Confirmation | | | | | | | | | | | | | | | | | | | | | | |
|  |  |  | |  | |  | |  | | | | | |  | | | | | | | | |  | | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | | | | | | | | | | |  | | |
|  | **:16R:** | | | | | | | | | | | **GENL** | | | | | Start of Block | | | |
| **M** | **:20C::** | | | | | | | | | | | **CORP//EXCH21754** | | | | | Corporate Action Reference | | | |
| **M** | **:20C::** | | | | | | | | | | | **SEME//1548000010** | | | | | Sender's Message Reference | | | |
| **O** | **:20C::** | | | | | | | | | | | **COAF//BI01593212345** | | | | | Official Corporate Action Event Reference | | | |
| **M** | **:23G:** | | | | | | | | | | | **NEWM** | | | | | Function of the message (here, new message) | | | |
| **M** | **:22F::** | | | | | | | | | | | **CAEV//EXOF** | | | | | Corporate Action Event Indicator | | | |
| **O** |  | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | | | | | | |  | | |
|  | **:16R:** | | | | | | | | | | **LINK** | | | | | | Start of Block | | | |
| **R** | **:13A::** | | | | | | | | | | **LINK//564** | | | | | | Previously sent message type | | | |
| **M** | **:20C::** | | | | | | | | | | **PREV//154802474** | | | | | | Previously sent message reference | | | |
|  | **:16S:** | | | | | | | | | | **LINK** | | | | | | End of Block | | | |
| **O** |  | *End of Subsequence A1 Linkages* | | | | | | | | | | | | | | | | |  | | |
| **O** |  | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | | | | | | |  | | |
|  | **:16R:** | | | | | | | | | | **LINK** | | | | | | Start of Block | | | |
| **R** | **:13A::** | | | | | | | | | | **LINK//565** | | | | | | Previously received message type | | | |
| **M** | **:20C::** | | | | | | | | | | **RELA//251247845** | | | | | | Previously received message reference | | | |
|  | **:16S:** | | | | | | | | | | **LINK** | | | | | | End of Block | | | |
| **O** |  | *End of Subsequence A1 Linkages* | | | | | | | | | | | | | | | | |  | | |
|  | **:16S:** | | | | | | | | | | **GENL** | | | | | | End Of Block | | | |
| **M** | *End of Sequence A General Information* | | | | | | | | | | | | | | | | | |  | | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | | | | | | | |  | | |
|  | **:16R:** | | | | | | | | | | **USECU** | | | | | | Start of Block | | | |
| **M** | **:97A::** | | | | | | | | | | **SAFE//98-0112441-05** | | | | | | Safekeeping Account | | | |
| **M** | **:35B:** | | | | | | | | | | **ISIN GB0053451211** | | | | | | Identification of the Financial Instrument | | | |
| **O** | **:93B::** | | | | | | | | | | **ELIG//UNIT/6000,** | | | | | | Eligible unit balance | | | |
| **M** | **:93B::** | | | | | | | | | | **CONB//UNIT/6000,** | | | | | | Confirmed unit balance | | | |
|  | **:16S:** | | | | | | | | | | **USECU** | | | | | | End Of Block | | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | | | | | | | |  | | |
| **O** | *Optional* **Sequence C** *Corporate Actions Details* | | | | | | | | | | | | | | | | | |  | | |
|  | **:16R:** | | | | | | | | | | **CADETL** | | | | | | Start of Block | | | |
| **O** | **:92A::** | | | | | | | | | | **PTSC//50,** | | | | | | Percentage Sought | | | |
|  | **:16S:** | | | | | | | | | | **CADETL** | | | | | | End Of Block | | | |
| **O** | *End of Sequence C Corporate Actions Details* | | | | | | | | | | | | | | | | | |  | | |
| **M** |  | *Mandatory* **Sequence D** *Corporate Action Confirmation* | | | | | | | | | | | | | | | | |  | | |
|  | **:16R:** | | | | | | | | | | **CACONF** | | | | | | Start of Block | | | |
| **M** | **:13A::** | | | | | | | | | | **CAON//002** | | | | | | CA Option Number | | | |
| **M** | **:22F::** | | | | | | | | | | **CAOP//CASH** | | | | | | Corporate Action Option Code Indicator | | | |
| **O** | **:98C::** | | | | | | | | | | **RDDT//20YY0729170000** | | | | | | Response deadline date/time | | | |
| **O** | **:98A::** | | | | | | | | | | **MKDT//20YY0730** | | | | | | Market Deadline Date | | | |
| **O** | **:69A::** | | | | | | | | | | **PWAL//20YY0529/20YY0731** | | | | | | Period of action | | | |
| **M** |  |  | | *Optional Repetitive Subsequence D1 Securities Movement* | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | | | | | | **SECMOVE** | | | | Start of Block | | | | |
| **M** | **:22H::** | | | | | | | | | | | **CRDB//DEBT** | | | | Credit/Debit Indicator | | | | |
| **M** | **:35B:** | | | | | | | | | | | **ISIN GB0053451211** | | | | Identification of the Financial Instrument | | | | |
| **M** | **:36B::** | | | | | | | | | | | **PSTA//UNIT/6000,** | | | | Posting Quantity | | | | |
| **M** | **:98A::** | | | | | | | | | | | **POST//20YY0805** | | | | Posting Date/Time | | | | |
|  | **:16S:** | | | | | | | | | | | **SECMOVE** | | | | End Of Block | | | | |
| **M** |  |  | | *End of Subsequence D1 Securities Movement* | | | | | | | | | | | | | | |  | | |
| **M** |  |  | | *Optional Repetitive Subsequence D2 Cash Movement* | | | | | | | | | | | | | | |  | | |
|  | **:16R:** | | | | | | | | | | | **CASHMOVE** | | | | Start of Block | | | | |
| **M** | **:22H::** | | | | | | | | | | | **CRDB//CRED** | | | | Credit/Debit Indicator | | | | |
| **M** | **:19B::** | | | | | | | | | | | **PSTA//GBP19200,** | | | | Posting amount | | | | |
| **O** | **:19B::** | | | | | | | | | | | **GRSS//GBP19200,** | | | | Gross amount | | | | |
| **M** | **:98A::** | | | | | | | | | | | **POST//20YY0805** | | | | Posting Date/Time | | | | |
| **O** | **:98A::** | | | | | | | | | | | **VALU//20YY0805** | | | | Value Date/Time | | | | |
| **O** | **:98A::** | | | | | | | | | | | **EARL//20YY0806** | | | | Earliest payment date | | | | |
| **O** | **:90B::** | | | | | | | | | | | **OFFR//ACTU/GBP3,20** | | | | Generic Cash Price Received per Product | | | | |
|  | **:16S:** | | | | | | | | | | | **CASHMOVE** | | | | End Of Block | | | | |
| **M** |  |  | | *End of Subsequence D2 Cash Movement* | | | | | | | | | | | | | | |  | | |
|  | **:16S:** | | | | | | | | | | | **CACONF** | | | | End Of Block | | | | |
| **M** |  | *End of Mandatory Sequence D Corporate Action Confirmation* | | | | | | | | | | | | | | | | | | | | | | | |

# EXOF: Exchange (MAND)

### Definition:

Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example “exchange offer”, “capital reorganisation” or “funds separation”.

### Issue name:

ISIN GB00B0167W72

### Terms:

Shares will be mandatorily exchanged for shares of GB00B0SWJX34. The shareholder will receive 3 shares of “new” GB00B0SWJX34 for every share of “old” GB00B0167W72.

### Relative dates:

Last trading date – May 09, 20YY

Record date – 12 May, 20YY

Payment date – 13 May, 20YY (which is a public holiday)

Earliest payment date – 16 May, 20YY

### Messages:

MT 564 for the notice of entitlement

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  | | | | | | |
|  |  | | | | | |  | | |
|  |  | | | |  |  | |
|  |  | | | |  |  | |
|  |  | | | |  |  | |
|  |  | | | |  |  | |
|  |  | | | |  |  | |
|  |  | | | |  |  | |
|  |  | | | |  |  | |
|  |  | | | |  |  | |
|  |  | | | |  |  | |
|  |  | | | | | |  | |
|  |  | | | | | |  | |
|  |  | | | |  |  | |
|  |  | | | |  |  | |
|  |  |  | | | | | | |
|  |  | | | |  |  | |
|  |  | | | |  |  | |
|  |  | | | |  |  | |
|  |  | | | |  |  | |
|  |  |  | | | | |  | |
|  |  | | | |  |  | |
|  |  | | | | | |  | |
|  |  | | | | | |  | |
|  |  | | | |  |  | |
|  |  | | | |  |  | |
|  |  | | | |  |  | |
|  |  | | | |  |  | |
|  |  | | | | | |  | |
|  |  |  | | | | | | |
|  |  | | | |  |  | |
|  |  | | | |  |  | |
|  |  | | | |  |  | |
|  |  | | | |  |  | |
|  |  | | | |  |  | |
|  |  |  |  | | | | | |
|  |  | | | |  |  | |
|  |  | | | |  |  | |
|  |  | | | |  |  | |
|  |  | | | |  |  | |
|  |  | | | |  |  | |
|  |  | | | |  |  | |
|  |  |  |  | | | |  | |
|  |  |  |  | | | | | |
|  |  | | | |  |  | |
|  |  | | | |  |  | |
|  |  | | | |  |  | |
|  |  | | | |  |  | |
|  |  | | | |  |  | |
|  |  | | | |  |  | |
|  |  | | | |  |  | |
|  |  | | | |  |  | |
|  |  |  |  | | | |  | |
|  |  | | |  | |  | |
|  |  |  | | | | |  | | |

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | | | | | | | | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | | | |  | | | | |
|  | **:16R:** | | | | | | | | **GENL** | | | | Start of Block | | | |
| **M** | **:20C::** | | | | | | | | **CORP//100056798** | | | | Corporate Action Reference | | | |
| **M** | **:20C::** | | | | | | | | **SEME//001002** | | | | Sender's Message Reference | | | |
| **O** | **:20C::** | | | | | | | | **COAF//BI01593212345** | | | | Official Corporate Action Event Reference | | | |
| **M** | **:23G:** | | | | | | | | **REPE** | | | | Replacement with entitlement | | | |
| **M** | **:22F::** | | | | | | | | **CAEV//EXOF** | | | | Corporate Action Event Indicator | | | |
| **M** | **:22F::** | | | | | | | | **CAMV//MAND** | | | | Mandatory/Voluntary Indicator | | | |
| **M** | **:25D::** | | | | | | | | **PROC//COMP** | | | | Processing Status | | | |
| **O** |  | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | |  | | | |
|  | **:16R:** | | | | | | | | **LINK** | | | | Start of Block | | | |
| **R** | **:13A::** | | | | | | | | **LINK//564** | | | | Previously sent message type | | | |
| **M** | **:20C::** | | | | | | | | **PREV//001001** | | | | Previously sent message reference | | | |
|  | **:16S:** | | | | | | | | **LINK** | | | | End of Block | | | |
| **O** |  | | *End of Subsequence A1 Linkages* | | | | | | | | | | | |  | | | |
|  | **:16S:** | | | | | | | | **GENL** | | End Of Block | | | | | |
| **M** | *End of Sequence A General Information* | | | | | | | | | | | | | |  | | | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | | | |  | | | |
|  | **:16R:** | | | | | | | | **USECU** | | Start of Block | | | | | |
| **M** | **:35B:** | | | | | | | | **ISIN GB00B0167W72** | | Identification of the Financial Instrument | | | | | |
| **M** |  | | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | | | **ACCTINFO** | | Start of Block | | | | | |
| **M** | **:97A::** | | | | | | | | **SAFE//44444** | | Safekeeping Account | | | | | |
| **M** | **:93B::** | | | | | | | | **ELIG//UNIT/120000,** | | Total Eligible For Corporate Action Balance | | | | | |
|  | **:16S:** | | | | | | | | **ACCTINFO** | | End Of Block | | | | | |
| **M** |  | | *End of Subsequence B2 Account Information* | | | | | | | | | | | |  | | | |
|  | **:16S:** | | | | | | | | **USECU** | | End Of Block | | | | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | | | |  | | | |
| **M** | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | | | | | |  | | | |
|  | **:16R:** | | | | | | | | **CADETL** | | Start of Block | | | | | |
|  |  | | | | | | | |  | |  | | | | | |
| **M** | **:98A::** | | | | | | | | **RDTE//20YY0512** | | Record Date/Time | | | | | |
| **O** | **:22F::** | | | | | | | | **ADDB//CAPA** | | The message is a preliminary advice of payment | | | | | |
|  | **:16S:** | | | | | | | | **CADETL** | | End Of Block | | | | | |
| **M** | *End of Sequence D Corporate Actions Details* | | | | | | | | | | | | | |  | | | |
| **O** |  | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | | | **CAOPTN** | | Start of Block | | | | | |
| **M** | **:13A::** | | | | | | | | **CAON//001** | | CA Option Number | | | | | |
| **M** | **:22F::** | | | | | | | | **CAOP//SECU** | | Corporate Action Option Code Indicator | | | | | |
| **R** | **:22F::** | | | | | | | | **DISF//CINL** | | Disposition of Fractions Indicator | | | | | |
| **M** | **:17B::** | | | | | | | | **DFLT//Y** | | Default Processing Flag | | | | | |
| **O** |  | |  | | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | | |
| **M** | **:16R:** | | | | | | | | **SECMOVE** | | Start of Block | | | | | |
| **M** | **:22H::** | | | | | | | | **CRDB//DEBT** | | Credit/Debit Indicator | | | | | |
| **M** | **:35B:** | | | | | | | | **ISIN GB00B0167W72** | | Identification of the Financial Instrument | | | | | |
| **O** | **:36B::** | | | | | | | | **ENTL//UNIT/120000,** | | Quantity to be debited | | | | | |
| **M** | **:98A::** | | | | | | | | **PAYD//20YY0513** | | Payment Date/Time | | | | | |
| **O** | **:98A::** | | | | | | | | **EARL//20YY0516** | | Earliest payment date | | | | | |
| **O** | **:98A::** | | | | | | | | **LTRD//20YY0509** | | Last trading date | | | | | |
| **M** | **:16S:** | | | | | | | | **SECMOVE** | | End Of Block | | | | | |
| **O** |  | |  | | *End of Subsequence E1 Securities Movement* | | | | | | | | | |  | | | |
| **O** |  | |  | | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | | |
| **M** | **:16R:** | | | | | | | | **SECMOVE** | | Start of Block | | | | | |
| **M** | **:22H::** | | | | | | | | **CRDB//CRED** | | Credit/Debit Indicator | | | | | |
| **M** | **:35B:** | | | | | | | | **ISIN GB00B0SWJX34** | | Identification of the Financial Instrument | | | | | |
| **O** | **:36B::** | | | | | | | | **ENTL//UNIT/90000,** | | Quantity of new shares to be posted | | | | | |
| **M** | **:92D::** | | | | | | | | **NEWO//3,/4,** | | New to Old | | | | | |
| **M** | **:98A::** | | | | | | | | **PAYD//20YY0513** | | Payment Date/Time | | | | | |
| **O** | **:98A::** | | | | | | | | **AVAL//20YY0510** | | Available date for trading | | | | | |
| **O** | **:98A::** | | | | | | | | **EARL//20YY0516** | | Earliest payment date | | | | | |
| **M** | **:16S:** | | | | | | | | **SECMOVE** | | End Of Block | | | | | |
| **O** |  | |  | | *End of Subsequence E1 Securities Movement* | | | | | | | | | |  | | | |
|  | **:16S:** | | | | | | | | **CAOPTN** | | End Of Block | | | | | |
| **O** |  | | *End of Sequence E Corporate Action Options* | | | | | | | | | | | |  | | | |
|  |  | |  | |  |  |  | | |  | | | | |  | | | |
|  | | | | | | | | | | | | | |
|  |  | | | | | | | | | | | | |  | | | |
|  |  | | | | | | | |  | | |  | | | | |
|  |  | | | | | | | |  | | |  | | | | |
|  |  | | | | | | | |  | | |  | | | | |
|  |  | | | | | | | |  | | |  | | | | |
|  |  | | | | | | | |  | | |  | | | | |
|  |  | | | | | | | |  | | |  | | | | |
|  |  |  | | | | | | | | | | | |  | | | |
|  |  | | | | | | | |  | | |  | | | | |
|  |  | | | | | | | |  | | |  | | | | |
|  |  | | | | | | | |  | | |  | | | | |
|  |  | | | | | | | |  | | |  | | | | |
|  |  |  | | | | | | | | | | | |  | | | |
|  |  | | | | | | | |  | | |  | | | | |
|  |  | | | | | | | | | | | | |  | | | |
|  |  | | | | | | | | | | | | |  | | | |
|  |  | | | | | | |  | | | |  | | | | |
|  |  | | | | | | |  | | | |  | | | | |
|  |  | | | | | | |  | | | |  | | | | |
|  |  | | | | | | |  | | | |  | | | | |
|  |  | | | | | | |  | | | |  | | | | |
|  |  | | | | | | |  | | | |  | | | | |
|  |  | | | | | | | | | | | | |  | | | |
|  |  | | | | | | | | | | | | |  | | | |
|  |  | | | | | | | |  | | |  | | | | |
|  |  | | | | | | | |  | | |  | | | | |
|  |  | | | | | | | |  | | |  | | | | |
|  |  | | | | | | | |  | | |  | | | | |
|  |  | | | | | | | | | | | | |  | | | |
|  |  |  | | | | | | | | | | | |  | | | |
|  |  | | | | | | | |  | | |  | | | | |
|  |  | | | | | | | |  | | |  | | | | |
|  |  | | | | | | | |  | | |  | | | | |
|  |  | | | | | | | |  | | |  | | | | |
|  |  |  | |  | | | | | | | | | | | | | |
|  |  | | | | | | | |  | | |  | | | | |
|  |  | | | | | | | |  | | |  | | | | |
|  |  | | | | | | | |  | | |  | | | | |
|  |  | | | | | | | |  | | |  | | | | |
|  |  | | | | | | | |  | | |  | | | | |
|  |  | | | | | | | |  | | |  | | | | |
|  |  |  | |  | | | | | | | | | |  | | | |
|  |  |  | |  | | | | | | | | | | | | | |
|  |  | | | | | | | |  | | |  | | | | |
|  |  | | | | | | | |  | | |  | | | | |
|  |  | | | | | | | |  | | |  | | | | |
|  |  | | | | | | | |  | | |  | | | | |
|  |  | | | | | | | |  | | |  | | | | |
|  |  | | | | | | | |  | | |  | | | | |
|  |  | | | | | | | |  | | |  | | | | |
|  |  | | | | | | | |  | | |  | | | | |
|  |  |  | |  | | | | | | | | | |  | | | |
|  |  | | | | | | | |  | | |  | | | | |
|  |  |  | | | | | | | | | | | | | | | | | |

# EXOF: Exchange (VOLU)

### Definition:

Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example “exchange offer”, “capital reorganisation” or “funds separation”.

### Issue name:

Case Group

ISIN GB0053451211

### Terms:

Shares of Case Group will be exchanged for shares of Gandolph Technologies and cash. The shareholder will receive 1 share of Gandolph Technologies ISIN US1467032121 and GBP 3.20 for every share of Case Group exchanged.

### Relative dates:

Response deadline date – July 29, 20YY

Market deadline date – July 30, 20YY

Payment date – August 5, 20YY

### Transaction description:

Parent company, Case Group, is offering to exchange its shares for shares of its subsidiary, Gandolph Technologies plus cash. The client account (98-0112441-05) has an eligible holding of 6,000 shares.

### Instruction description:

The client will elect the cash and securities option for the entire eligible balance.

### Messages:

MT 564 for notice of entitlement.

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | | | | | | | | |
|  |  |  |  |  |  | | |  | | | | | | | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | **GENL** | | | | Start of Block | | | | | | | |
| **M** | **:20C::** | | | | | | **CORP//EXCH21754** | | | | Corporate Action Reference | | | | | | | |
| **M** | **:20C::** | | | | | | **SEME//154802474** | | | | Sender's Message Reference | | | | | | | |
| **O** | **:20C::** | | | | | | **COAF//BI01593212345** | | | | Official Corporate Action Event Reference | | | | | | | |
| **M** | **:23G:** | | | | | | **REPE** | | | | Replacement with entitlement | | | | | | | |
| **M** | **:22F::** | | | | | | **CAEV//EXOF** | | | | Corporate Action Event Indicator | | | | | | | |
| **M** | **:22F::** | | | | | | **CAMV//VOLU** | | | | Mandatory/Voluntary Indicator | | | | | | | |
| **M** | **:25D::** | | | | | | **PROC//COMP** | | | | Processing Status | | | | | | | |
| **O** |  | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | | |  | | | | |
|  | **:16R:** | | | | | | **LINK** | | | | Start of Block | | | | | | | |
| **R** | **:13A::** | | | | | | **LINK//564** | | | | Previously sent message type | | | | | | | |
| **M** | **:20C::** | | | | | | **PREV//154802473** | | | | Previously sent message reference | | | | | | | |
|  | **:16S:** | | | | | | **LINK** | | | | End of Block | | | | | | | |
| **O** |  | *End of Subsequence A1 Linkages* | | | | | | | | | | | | |  | | | | |
| **O** |  | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | | |  | | | | |
|  | **:16R:** | | | | | | **LINK** | | Start of Block | | | | | | | |
| **R** | **:13A::** | | | | | | **LINK//565** | | Previously received message type | | | | | | | |
| **M** | **:20C::** | | | | | | **RELA//251247845** | | Previously received message reference | | | | | | | |
|  | **:16S:** | | | | | | **LINK** | | End of Block | | | | | | | |
| **O** |  | *End of Subsequence A1 Linkages* | | | | | | | | | |  | | | | |
|  | **:16S:** | | | | | **GENL** | | | End Of Block | | | | | | | |
| **M** | *End of Sequence A General Information* | | | | | | | | | | | | | |  | | | | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | | | |  | | | | |
|  | **:16R:** | | | | | | **USECU** | | | Start of Block | | | | | | | |
| **M** | **:35B:** | | | | | | **ISIN GB0053451211** | | | Identification of the Financial Instrument | | | | | | | |
| **M** |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | **ACCTINFO** | | | Start of Block | | | | | | | |
| **M** | **:97A::** | | | | | | **SAFE//98-0112441-05** | | | Safekeeping Account | | | | | | | |
| **M** | **:93B::** | | | | | | **ELIG//UNIT/6000,** | | | Total Eligible For Corporate Action Balance | | | | | | | |
|  | **:16S:** | | | | | | **ACCTINFO** | | | End Of Block | | | | | | | |
| **M** |  | *End of Subsequence B2 Account Information* | | | | | | | | | | | | |  | | | | |
|  | **:16S:** | | | | | | **USECU** | | | End Of Block | | | | | | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | | | |  | | | | |
| **O** | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | | | | | |  | | | | |
|  | **:16R:** | | | | | | **CADETL** | | | Start of Block | | | | | | | |
| **O** | **:92A::** | | | | | | **PTSC//50,** | | | Percentage Sought | | | | | | | |
|  | **:16S:** | | | | | | **CADETL** | | | End Of Block | | | | | | | |
| **O** | *End of Sequence D Corporate Actions Details* | | | | | | | | | | | | | |  | | | | |
| **O** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | **CAOPTN** | | | Start of Block | | | | | | | |
| **M** | **:13A::** | | | | | | **CAON//001** | | | CA Option Number | | | | | | | |
| **M** | **:22F::** | | | | | | **CAOP//CASE** | | | Corporate Action Option Code Indicator | | | | | | | |
|  |  | | | | | |  | | |  | | | | | | | |
| **M** | **:17B::** | | | | | | **DFLT//N** | | | Default Processing Flag | | | | | | | |
| **O** | **:98C::** | | | | | | **RDDT//20YY0729170000** | | | Response deadline date/time | | | | | | | |
| **O** | **:98A::** | | | | | | **MKDT//20YY0730** | | | Market Deadline Date | | | | | | | |
| **O** | **:69A::** | | | | | | **PWAL//20YY0529/20YY0731** | | | Period of action | | | | | | | |
| **O** | **:69A::** | | | | | | **REVO//20YY0529/20YY0715** | | | Revocability Period | | | | | | | |
| **O** | **:92A::** | | | | | | **PROR//50,** | | | Pro-Ration Rate | | | | | | | |
| **O** |  |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | | | |
| **M** | **:16R:** | | | | | **SECMOVE** | | | | Start of Block | | | | | | | |
| **M** | **:22H::** | | | | | **CRDB//DEBT** | | | | Credit/Debit Indicator | | | | | | | |
| **M** | **:35B:** | | | | | **ISIN GB0053451211** | | | | Identification of the Financial Instrument | | | | | | | |
| **O** | **:36B::** | | | | | **ENTL//UNIT/6000,** | | | | Quantity to be debited | | | | | | | |
| **M** | **:98A::** | | | | | **PAYD//20YY0805** | | | | Payment Date/Time | | | | | | | |
| **M** | **:16S:** | | | | | **SECMOVE** | | | | End Of Block | | | | | | | |
| **O** |  |  | *End of Subsequence E1 Securities Movement* | | | | | | | | | |  | | | | |
| **O** |  |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | | | |
| **M** | **:16R:** | | | | | **SECMOVE** | | | | Start of Block | | | | | | | |
| **M** | **:22H::** | | | | | **CRDB//CRED** | | | | Credit/Debit Indicator | | | | | | | |
| **M** | **:35B:** | | | | | **ISIN US1467032121** | | | | Identification of the Financial Instrument | | | | | | | |
| **O** | **:36B::** | | | | | **ENTL//UNIT/6000,** | | | | Quantity of new shares to be posted | | | | | | | |
| **M** | **:92D::** | | | | | **NEWO//1,/1,** | | | | New to Old | | | | | | | |
| **M** | **:98A::** | | | | | **PAYD//20YY0805** | | | | Payment Date/Time | | | | | | | |
| **M** | **:16S:** | | | | | **SECMOVE** | | | | End Of Block | | | | | | | |
| **O** |  |  | *End of Subsequence E1 Securities Movement* | | | | | | | | | | | |  | | | | |
| **O** |  |  | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | | | | |  | | | | |
|  | **:16R:** | | | | | **CASHMOVE** | | | Start of Block | | | | | | | | | |
| **M** | **:22H::** | | | | | **CRDB//CRED** | | | Credit/Debit Indicator | | | | | | | | | |
| **O** | **:19B::** | | | | | **ENTL//GBP19200,** | | | Entitled amount | | | | | | | | | |
| **O** | **:19B::** | | | | | **GRSS//GBP19200,** | | | Gross amount | | | | | | | | | |
| **M** | **:98A::** | | | | | **PAYD//20YY0805** | | | Payment Date/Time | | | | | | | | | |
| **O** | **:98A::** | | | | | **VALU//20YY0805** | | | Value Date/Time | | | | | | | | | |
|  |  | | | | |  | | |  | | | | | | | | | |
| **M** | **:90B::** | | | | | **OFFR//ACTU/GBP3,20** | | | Generic Cash Price Received per Product | | | | | | | | | |
|  | **:16S:** | | | | | **CASHMOVE** | | | End Of Block | | | | | | | | | |
| **O** |  |  | *End of Subsequence E2 Cash Movement* | | | | | | | | | | | |  | | | | |
|  | **:16S:** | | | | | **CAOPTN** | | | | End Of Block | | | | | | | | |
| **O** |  | *End of Sequence E Corporate Action Options* | | | | | | | | | | | |  | | | | | |
|  |  |  |  |  |  | | |  | | | | | |  | | | | | |
| **O** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | **CAOPTN** | | | Start of Block | | | | | | | | | |
| **M** | **:13A::** | | | | | **CAON//002** | | | CA Option Number | | | | | | | | | |
| **M** | **:22F::** | | | | | **CAOP//NOAC** | | | Corporate Action Option Code Indicator | | | | | | | | | |
| **M** | **:17B::** | | | | | **DFLT//Y** | | | Default Processing Flag | | | | | | | | | |
| **O** | **:98C::** | | | | | **RDDT//20YY0729170000** | | | Response deadline date/time | | | | | | | | | |
| **O** | **:98A::** | | | | | **MKDT//20YY0730** | | | Market Deadline Date | | | | | | | | | |
|  | **:16S:** | | | | | **CAOPTN** | | | End Of Block | | | | | | | | | |
| **O** |  | *End of Sequence E Corporate Action Options* | | | | | | | | | | | |  | | | | | |

# EXRI: Call on Intermediate Securities (CHOS)

### Definition:

Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.

### Issue name:

ISIN BE0000120638 BELGO RIGHTS

### Terms:

Exercise of rights, with options to exercise the rights at the price of EUR 1 per new shares. The exercise ratio is one new share for 8 rights held. The options to sell or buy rights are also offered by the account servicer. By default, the rights will be lapsed.

### Relative dates:

Response deadline date – 7 February 20YY

Market deadline date – 8 February 20YY

Rights exercise period – From 26 January 20YY to 8 February 20YY

Payment date – 26 February 20YY

### Transaction description:

A/C 98-0112441-05 has an underlying balance of 285,000 rights.

### Messages:

MT 564 for notice of entitlement.

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | | | | | | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | |  | |
|  | **:16R:** | | | | **GENL** | | | | | | | |  | | |
| **M** | **:20C::** | | | | **CORP//RHTS21754** | | | | | | | | Corporate action reference | | |
| **M** | **:20C::** | | | | **SEME//154802473** | | | | | | | | Senders reference | | |
| **O** | **:20C::** | | | | **COAF//BI01593212345** | | | | | | | | Official Corporate Action Event Reference | | |
| **M** | **:23G:** | | | | **REPE** | | | | | | | | Replacement with entitlement | | |
| **M** | **:22F::** | | | | **CAEV//EXRI** | | | | | | | | Call on rights | | |
| **M** | **:22F::** | | | | **CAMV//CHOS** | | | | | | | | Mandatory with Options indicator | | |
| **M** | **:25D::** | | | | **PROC//COMP** | | | | | | | | Details are complete | | |
| **O** |  | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | |  | |
|  | **:16R:** | | | | **LINK** | | | | | | | |  | | |
| **O** | **:13A::** | | | | **LINK//564** | | | | | | | | Message Type | | |
| **M** | **:20C::** | | | | **CORP//RTS3437592** | | | | | | | | Corporate action reference of the distribution | | |
|  | **:16S:** | | | | **LINK** | | | | | | | |  | | |
| **O** |  | *End of Subsequence A1 Linkages* | | | | | | | | | | | |  | |
|  | **:16S:** | | | | **GENL** | | | | | | | |  | | |
| **M** | *End of Sequence A General Information* | | | | | | | | | | | | |  | |
|  |  |  |  |  | | |  | | |  | | | |  | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | | |  | |
|  | **:16R:** | | | | **USECU** | | | | | | | |  | | |
| **M** | **:35B:** | | | | **ISIN BE0000120638 BELGO RIGHTS** | | | | | | | | Underlying securities (rights) | | |
|  |  |  |  |  | | |  | | |  | | | |  | |
| **M** |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | |
|  | **:16R:** | | | | **ACCTINFO** | | | | | | | |  | | |
| **M** | **:97A::** | | | | **SAFE//98-0112441-05** | | | | | | | | Safekeeping account | | |
| **O** | **:93B::** | | | | **ELIG//UNIT/285000,** | | | | | | | | Eligible unit balance | | |
|  | **:16S:** | | | | **ACCTINFO** | | | | | | | |  | | |
| **M** |  | *End of Subsequence B2 Account Information* | | | | | | | | | | | |  | |
|  | **:16S:** | | | | **USECU** | | | | | | | |  | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | | |  | |
| **O** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | |
|  | **:16R:** | | | | **CAOPTN** | | | | | | | |  | | |
| **M** | **:13A::** | | | | **CAON//001** | | | | | | | | CA Option Number | | |
| **M** | **:22F::** | | | | **CAOP//EXER** | | | | | | | | Exercise option | | |
| **R** | **:22F::** | | | | **DISF//RDDN** | | | | | | | | Fractions are discarded | | |
| **M** | **:17B::** | | | | **DFLT//N** | | | | | | | | This is not the default | | |
| **O** | **:98A::** | | | | **SUBS//20YY0126** | | | | | | | | Subscription date | | |
| **O** | **:98A::** | | | | **RDDT//20YY0207** | | | | | | | | Response deadline date | | |
| **O** | **:98A::** | | | | **MKDT//20YY0208** | | | | | | | | Market Deadline date | | |
| **M** | **:69A::** | | | | **PWAL//20YY0126/20YY0208** | | | | | | | | Exercise period | | |
|  |  |  |  |  | | |  | | |  | | | |  | |
| **O** |  |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | |
| **M** | **:16R:** | | | | | **SECMOVE** | | | | | | |  | | |
| **M** | **:22H::** | | | | | **CRDB//DEBT** | | | | | | | Debit indicator | | |
| **M** | **:35B:** | | | | | **ISIN BE0000120638**  **BELGO RIGHTS** | | | | | | | Identification of the rights | | |
| **M** | **:36B::** | | | | | **ENTL/UNIT/285000,** | | | | | | | Entitled quantity | | |
| **M** | **:98A::** | | | | | **PAYD//20YY0226** | | | | | | | Date of debit | | |
| **M** | **:16S:** | | | | | **SECMOVE** | | | | | | |  | | |
| **O** |  |  | *End of Subsequence E1 Securities Movement* | | | | | | | | | | |  | |
|  |  |  |  |  | | |  | | |  | | | |  | |
| **O** |  |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | |
| **M** | **:16R:** | | | | | **SECMOVE** | | | | | | |  | | |
| **M** | **:22H::** | | | | | **CRDB//CRED** | | | | | | | Credit indicator | | |
| **M** | **:35B:** | | | | | **ISIN BE0000120746**  **BELGO NEW** | | | | | | | Derived security | | |
| **M** | **:36B::** | | | | | **ENTL/UNIT/35625,** | | | | | | | Entitled quantity | | |
| **M** | **:92D::** | | | | | **NEWO//1,/8,** | | | | | | | New shares derived for rights | | |
| **M** | **:98A::** | | | | | **PAYD//20YY0226** | | | | | | | Payment date | | |
| **M** | **:16S:** | | | | | **SECMOVE** | | | | | | |  | | |
| **O** |  |  | *End of Subsequence E1 Securities Movement* | | | | | | | | | | |  | |
|  |  |  |  |  | | |  | | |  | | | |  | |
| **O** |  |  | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | | | |  | |
|  | **:16R:** | | | | | **CASHMOVE** | | | | | | |  | | | |
| **M** | **:22H::** | | | | | **CRDB//DEBT** | | | | | | | Debit indicator | | | |
| **M** | **:19B::** | | | | | **ENTL//EUR35625,** | | | | | | | Entitled amount | | | |
| **M** | **:98A::** | | | | | **PAYD//20YY0226** | | | | | | | Payment Date/Time | | | |
| **O** | **:90B::** | | | | | **PRPP//ACTU/EUR1,0** | | | | | | | Generic Cash Price Paid per Product | | | |
|  | **:16S:** | | | | | **CASHMOVE** | | | | | | |  | | | |
| **O** |  |  | *End of Subsequence E2 Cash Movement* | | | | | | | | | | |  | |
|  |  |  |  |  | | |  | | |  | | | |  | |
|  | **:16S:** | | | | | **CAOPTN** | | | | |  | | | | |
| **O** |  | *End of Sequence E Corporate Action Options* | | | | | | | | | | | |  | |
|  |  |  |  |  | | |  | | |  | | | |  | |
| **O** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | |
|  | **:16R:** | | | | | **CAOPTN** | | | | |  | | | | |
| **M** | **:13A::** | | | | | **CAON//002** | | | | | CA Option Number | | | | |
| **M** | **:22F::** | | | | | **CAOP//LAPS** | | | | | Lapse option | | | | |
| **M** | **:17B::** | | | | | **DFLT//Y** | | | | | This is the default | | | | |
| **O** | **:98A::** | | | | | **RDDT//20YY0207** | | | | | Response deadline date | | | | |
| **O** | **:98A::** | | | | | **MKDT//20YY0208** | | | | | Market deadline date | | | | |
|  | **:16S:** | | | | | **CAOPTN** | | | | |  | | | | |
|  |  |  |  |  | | |  | | |  | | | |  | |
| **O** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options (option not always applicable, depending on the sender)* | | | | | | | | | | | | | |
|  | **:16R:** | | | | | **CAOPTN** | | | | |  | | | | |
| **M** | **:13A::** | | | | | **CAON//003** | | | | | CA Option Number | | | | |
| **M** | **:22F::** | | | | | **CAOP//SLLE** | | | | | Sell option | | | | |
| **O** | **:22F::** | | | | | **OPTF//ASVO** | | | | | Account servicer option | | | | |
| **M** | **:17B::** | | | | | **DFLT//N** | | | | | This is not the default | | | | |
| **O** | **:98A::** | | | | | **RDDT//20YY0206** | | | | | Response deadline date | | | | |
| **O** | **:69A::** | | | | | **PWAL//20YY0126/20YY0208** | | | | | Period of action | | | |
|  |  |  |  |  | | |  | | |  | | | |  | |
| **O** |  |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | |
| **M** | **:16R:** | | | | | **SECMOVE** | | | | |  | | | | |
| **M** | **:22H::** | | | | | **CRDB//DEBT** | | | | | Debit indicator | | | | |
| **M** | **:35B:** | | | | | **ISIN BE0000120638**  **BELGO RIGHTS** | | | | | Identification of the rights | | | | |
| **M** | **:98B::** | | | | | **PAYD//ONGO** | | | | | Date of debit | | | | |
| **M** | **:16S:** | | | | | **SECMOVE** | | | | |  | | | | |
| **O** |  |  | *End of Subsequence E1 Securities Movement* | | | | | | | | | | |  | |
|  |  |  |  |  | | |  | | |  | | | |  | |
| **O** |  |  | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | | | |  | |
|  | **:16R:** | | | | | | | **CASHMOVE** | | |  | | | | |
| **M** | **:22H::** | | | | | | | **CRDB//CRED** | | | Credit indicator | | | | |
| **M** | **:98B::** | | | | | | | **PAYD//ONGO** | | | Date of credit | | | | |
|  | **:16S:** | | | | | | | **CASHMOVE** | | |  | | | | |
| **O** |  |  | *End of Subsequence E2 Cash Movement* | | | | | | | | | | |  | |
|  |  |  |  |  | | |  | | |  | | | |  | |
|  | **:16S:** | | | | | | | **CAOPTN** | | |  | | | | |
| **O** |  | *End of Sequence E Corporate Action Options* | | | | | | | | | | | |  | |
|  |  |  |  |  | | |  | | |  | | | |  | |
| **O** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options (option not always applicable, depending on the sender)* | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | | **CAOPTN** | | |  | | | | |
| **M** | **:13A::** | | | | | | | **CAON//004** | | | CA Option Number | | | | |
| **M** | **:22F::** | | | | | | | **CAOP//BUYA** | | | Buy up option | | | | |
| **O** | **:22F::** | | | | | | | **OPTF//ASVO** | | | Account servicer option | | | | |
| **M** | **:17B::** | | | | | | | **DFLT//N** | | | This is not the default | | | | |
| **O** | **:98A::** | | | | | | | **RDDT//20YY0206** | | | Response deadline date | | | | |
| **O** | **:69A::** | | | | | | | **PWAL//20YY0126/20YY0208** | | | | Period of action | | | | |
|  |  |  |  |  | | |  | | |  | | | |  | |
| **O** |  |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | |
| **M** | **:16R:** | | | | | | | **SECMOVE** | | |  | | | | |
| **M** | **:22H::** | | | | | | | **CRDB//CRED** | | | Credit indicator | | | | |
| **M** | **:35B:** | | | | | | | **ISIN BE0000120638 BELGO RIGHTS** | | | Identification of the rights | | | | |
| **M** | **:98A::** | | | | | | | **PAYD//20YY0226** | | | Date of credit | | | | |
| **M** | **:16S:** | | | | | | | **SECMOVE** | | |  | | | | |
| **O** |  |  | *End of Subsequence E1 Securities Movement* | | | | | | | | | | |  | |
|  |  |  |  |  | | |  | | |  | | | |  | |
| **O** |  |  | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | | | |  | |
|  | **:16R:** | | | | | | | | **CASHMOVE** | | |  | | | |
| **M** | **:22H::** | | | | | | | | **CRDB//DEBT** | | | Debit indicator | | | |
| **M** | **:98B::** | | | | | | | | **PAYD//ONGO** | | | Date of debit | | | |
|  | **:16S:** | | | | | | | | **CASHMOVE** | | |  | | | |
| **O** |  |  | *End of Subsequence E2 Cash Movement* | | | | | | | | | | |  | |
|  |  |  |  |  | | |  | | |  | | | |  | |
|  | **:16S:** | | | | | | | | **CAOPTN** | | |  | | | |
| **O** |  | *End of Sequence E Corporate Action Options* | | | | | | | | | | | |  | |
|  |  |  | | | | | | | | | | | |  | |

# EXTM: Maturity Extension (MAND)

### Definition:

As stipulated in a bond's Terms and Conditions, the issuer or the bond-holder may prolong the maturity date of a bond. After extension, the security may differ from original issue (new rate or maturity date). May be subject to bondholder's approval.

### Issue name:

ABC & Co

ISIN XS0525275540

### Terms:

The maturity date of the bond will be extended until March 31, 20YY.

### Relative dates:

Maturity date - March 31, 20YY

### Transaction description:

The client account (a/c 23849) holds a face amount of EUR 1,000 in this bond issue.

### Instruction description:

Not applicable

### Messages:

MT 564 for the notification

|  |
| --- |
| MT 564 Corporate Action Notification |

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | **GENL** | | | | | | Start of Block | | | | | | | |
| **M** | **:20C::** | | | | | | **CORP//00000003592854** | | | | | | Corporate Action Reference | | | | | | | |
| **M** | **:20C::** | | | | | | **SEME//1021409595380182** | | | | | | Sender's Message Reference | | | | | | | |
| **O** | **:20C::** | | | | | | **COAF//1235698548544444** | | | | | | Official Corporate Action Event Reference | | | | | | | |
| **M** | **:23G:** | | | | | | **NEWM** | | | | | | Function of the message (new message) | | | | | | | |
| **M** | **:22F::** | | | | | | **CAEV//EXTM** | | | | | | Corporate Action Event Indicator | | | | | | | |
| **M** | **:22F::** | | | | | | **CAMV//MAND** | | | | | | Mandatory/Voluntary Indicator | | | | | | | |
| **M** | **:98C::** | | | | | | **PREP//20100802095301** | | | | | | Expiry Date (When one event) | | | | | | | |
| **M** | **:25D::** | | | | | | **PROC//COMP** | | | | | | Processing Status | | | | | | | |
|  | **:16S:** | | | | | | **GENL** | | | | | | End Of Block | | | | | | | |
| **M** | *End of Sequence A General Information* | | | | | | | | | | | | |
|  |  |  |  | |  | | |  | |  | | | | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | | |
|  | **:16R:** | | | | | | **USECU** | | | | | | Start of Block | | | | | | | |
| **M** | **:35B:** | | | | | | **ISIN XS0525275540** | | | | | | Identification of the Financial Instrument | | | | | | | |
|  |  |  | |  | |  | | |  | |  | | | | |
| **M** |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | **ACCTINFO** | | | | | | Start of Block | | | | | | | |
| **M** | **:97A::** | | | | | | **SAFE//23849** | | | | | | Safekeeping Account | | | | | | | |
| **O** | **:93B::** | | | | | | **ELIG//UNIT/1000,** | | | | | | Total Eligible For Corporate Action Balance | | | | | | | |
|  | **:16S:** | | | | | | **ACCTINFO** | | | | | | End Of Block | | | | | | | |
| **M** |  | *End of Subsequence B2 Account Information* | | | | | | | | | | | | |
|  |  |  | |  | |  | | |  | |  |
|  | **:16S:** | | | | | | **USECU** | | | | | | End Of Block | | | | | | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | | |  | | | |  | | | |
|  |  |  |  | |  | | |  | |  | | | | |  | | | |
|  |  |  |  | |  | | |  | |  | | | | |  | | | |
| **R** | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | | | | |  | | | |  | | | |
|  | **:16R:** | | | | | | **CADETL** | | | | | | Start of Block | | | | | | | |
| **M** | **:98A::** | | | | | | **MATU//20YYMMDD** | | | | | | New Maturity Date | | | | | | | |
|  | **:16S:** | | | | | | **CADETL** | | | | | | End Of Block | | | | | | | |
| **R** | *End of Sequence D Corporate Actions Details* | | | | | | | | | | | | | | | |  | | |

# EXTM: Maturity Extension (VOLU)

### Definition:

As stipulated in a bond's Terms and Conditions, the issuer or the bond-holder may prolong the maturity date of a bond. After extension, the security may differ from original issue (new rate or maturity date). May be subject to bondholder's approval.

### Issue name:

ABC & Co

ISIN XS0525275540

### Terms:

The maturity date of the bond will be extended until March 31, 20YY.

### Relative dates:

New Maturity date - March 31, 20YY

Response deadline date – August 12, 20YY

### Transaction description:

The client account (a/c 12345) holds a face amount of EUR 1,000 in this bond issue.

### Instruction description:

The client will take no action.

### Messages:

MT 564 for the notification

|  |
| --- |
| MT 564 Corporate Action Notification |

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **M** | | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | | **:16R:** | | | | | | | | | | **GENL** | | | | | | | | | | | | Start of Block | | | | | | | | | | | | | | | | | | | |
| **M** | | **:20C::** | | | | | | | | | | **CORP//00000003592854** | | | | | | | | | | | | Corporate Action Reference | | | | | | | | | | | | | | | | | | | |
| **M** | | **:20C::** | | | | | | | | | | **SEME//1021409595380182** | | | | | | | | | | | | Sender's Message Reference | | | | | | | | | | | | | | | | | | | |
| **O** | | **:20C::** | | | | | | | | | | **COAF//1235698548544444** | | | | | | | | | | | | Official Corporate Action Event Reference | | | | | | | | | | | | | | | | | | | |
| **M** | | **:23G:** | | | | | | | | | | **NEWM** | | | | | | | | | | | | Function of the message (new message) | | | | | | | | | | | | | | | | | | | |
| **M** | | **:22F::** | | | | | | | | | | **CAEV//EXTM** | | | | | | | | | | | | Corporate Action Event Indicator | | | | | | | | | | | | | | | | | | | |
| **M** | | **:22F::** | | | | | | | | | | **CAMV//VOLU** | | | | | | | | | | | | Mandatory/Voluntary Indicator | | | | | | | | | | | | | | | | | | | |
| **M** | | **:98C::** | | | | | | | | | | **PREP//20YY0802095301** | | | | | | | | | | | | Expiry Date (When one event) | | | | | | | | | | | | | | | | | | | |
| **M** | | **:25D::** | | | | | | | | | | **PROC//COMP** | | | | | | | | | | | | Processing Status | | | | | | | | | | | | | | | | | | | |
|  | | **:16S:** | | | | | | | | | | **GENL** | | | | | | | | | | | | End Of Block | | | | | | | | | | | | | | | | | | | |
| **M** | | *End of Sequence A General Information* | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | |  | | | | | | | | |
|  | |  | | | | | | | | | | | | |  | |  |  |  | | |  |  | |
| **M** | | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | |  | | | | | | | | |
|  | | **:16R:** | | | | | | | | | | **USECU** | | | | | | | | | | | | Start of Block | | | | | | | | | | | | | | | | | | | |
| **M** | | **:35B:** | | | | | | | | | | **ISIN XS0525275540** | | | | | | | | | | | | Identification of the Financial Instrument | | | | | | | | | | | | | | | | | | | |
|  | |  | | | | | | | | | | | | |  | |  |  |  | | |  | | | | | | | | | |  | |  | | | | | | | | |
| **M** | |  | | | | | | | | | | | | | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | | | | | | | |
|  | | **:16R:** | | | | | | | | | | **ACCTINFO** | | | | | | | | | | | | Start of Block | | | | | | | | | | | | | | | | | | | |
| **M** | | **:97A::** | | | | | | | | | | **SAFE//23849** | | | | | | | | | | | | Safekeeping Account | | | | | | | | | | | | | | | | | | | |
| **O** | | **:93B::** | | | | | | | | | | **ELIG//FAMT/1000,** | | | | | | | | | | | | Total Eligible For Corporate Action Balance | | | | | | | | | | | | | | | | | | | |
|  | | **:16S:** | | | | | | | | | | **ACCTINFO** | | | | | | | | | | | | End Of Block | | | | | | | | | | | | | | | | | | | |
| **M** | |  | | | | | | | | | | *End of Subsequence B2 Account Information* | | | | | | | | | | | | | | | | | | | |  | | |  | |
|  | |  | | |  | | |  | |  | | | | | | | | | |  | | | | | |  | | |  |
|  | | **:16S:** | | | | | | | | | | | | | | **USECU** | | | | | | | | End Of Block | | | | | | | | | | | | | | | | | | | |
| **M** | | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | |  | |
|  | |  | | | | | | | | | | | | |  | |  |  |  | | |  | | | | | | | | | |  | | |  | |
| **R** | | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | |  | |
|  | | **:16R:** | | | | | | | | | | | **CADETL** | | | | | | | | | | | Start of Block | | | | | | | | | | | | | | | | | | | |
| **M** | | **:98A::** | | | | | | | | | | | **MATU//20YY0331** | | | | | | | | | | | New Maturity Date | | | | | | | | | | | | | | | | | | | |
|  | | **:16S:** | | | | | | | | | | | **CADETL** | | | | | | | | | | | End Of Block | | | | | | | | | | | | | | | | | | | |
| **R** | | *End of Sequence D Corporate Actions Details* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | |  | |
| **O** | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | |  | |
|  | **:16R:** | | | | | | | | | | | | | **CAOPTN** | | | | | | | | | | Start of Block | | | | | | | | | | | | | | | | | | | |
| **M** | **:13A::** | | | | | | | | | | | | | **CAON//001** | | | | | | | | | | CA Option Number | | | | | | | | | | | | | | | | | | | |
| **M** | **:22F::** | | | | | | | | | | | | | **CAOP//SECU** | | | | | | | | | | Corporate Action Option Code Indicator | | | | | | | | | | | | | | | | | | | |
| **R** | **:11A::** | | | | | | | | | | | | | **OPTN//EUR** | | | | | | | | | | Currency offered | | | | | | | | | | | | | | | | | | | |
| **M** | **:17B::** | | | | | | | | | | | | | **DFLT//Y** | | | | | | | | | | Default Processing Flag | | | | | | | | | | | | | | | | | | | |
| **O** | **:98C::** | | | | | | | | | | | | | **RDDT//20YY0812090000** | | | | | | | | | | Response deadline date/time | | | | | | | | | | | | | | | | | | | |
| **O** | **:98A::** | | | | | | | | | | | | | **MKDT//20YY0812** | | | | | | | | | | Market Deadline Date | | | | | | | | | | | | | | | | | | | |
|  | **:16S:** | | | | | | | | | | | | | **CAOPTN** | | | | | | | | | | End Of Block | | | | | | | | | | | | | | | | | | | |
| **O** | |  | |  | | | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **M** | | **:16R:** | | | | | | | | | **SECMOVE** | | | | | | | | | | | | |  | | | | | | | | | | | | | | | | | | | |
| **M** | | **:22H::** | | | | | | | | | **CRDB//DEBT** | | | | | | | | | | | | | Debit indicator | | | | | | | | | | | | | | | | | | | |
| **M** | | **:35B:** | | | | | | | | | **ISIN XS0525275540** | | | | | | | | | | | | | Underlying securities | | | | | | | | | | | | | | | | | | | |
| **M** | | **:36B::** | | | | | | | | | **ENTL//FAMT/1000,** | | | | | | | | | | | | | Quantity to be debited | | | | | | | | | | | | | | | | | | | |
| **M** | | **:98A::** | | | | | | | | | **PAYD//20YY0814** | | | | | | | | | | | | | Date underlying securities will be debited | | | | | | | | | | | | | | | | | | | |
| **M** | | **:16S:** | | | | | | | | | **SECMOVE** | | | | | | | | | | | | |  | | | | | | | | | | | | | | | | | | | |
| **O** | |  | |  | | | *End of Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | | | | | | |  | | | | | | | | | | | | | | | |
| **O** | |  | |  | | | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **M** | | **:16R:** | | | | | | | | | **SECMOVE** | | | | | | | | | | | | |  | | | | | | | | | | | | | | | | | | | |
| **M** | | **:22H::** | | | | | | | | | **CRDB//CRED** | | | | | | | | | | | | | Credit indicator | | | | | | | | | | | | | | | | | | | |
| **M** | | **:35B:** | | | | | | | | | **ISIN XS1234567891** | | | | | | | | | | | | | Identification of the Financial Instrument | | | | | | | | | | | | | | | | | | | |
| **M** | | **:36B::** | | | | | | | | | **ENTL//FAMT/1000,** | | | | | | | | | | | | | Quantity of new shares to be posted | | | | | | | | | | | | | | | | | | | |
| **M** | | **:92D::** | | | | | | | | | **NEWO//1,/1,** | | | | | | | | | | | | | New shares derived for old | | | | | | | | | | | | | | | | | | | |
| **M** | | **:98A::** | | | | | | | | | **PAYD//20YY0814** | | | | | | | | | | | | | Payment date for new shares | | | | | | | | | | | | | | | | | | | |
| **M** | | **:16S:** | | | | | | | | | **SECMOVE** | | | | | | | | | | | | |  | | | | | | | | | | | | | | | | | | | |
| **O** | |  | |  | | | *End of Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | | | | | | |  | | | | | | | | | | | | | | | |
| **O** |  | | *End of Sequence E Corporate Action Options* | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | | | | |  | |
|  |  | |  | | |  | | |  | | |  | | | | | | | | |  | | | | | |  | | | | | | | | | | |  | |
| **O** |  | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | |
|  | **:16R:** | | | | | | | | | | | | | **CAOPTN** | | | | | | | | | | Start of Block | | | | | | | | | | | | | | | | | | | |
| **M** | **:13A::** | | | | | | | | | | | | | **CAON//002** | | | | | | | | | | CA Option Number | | | | | | | | | | | | | | | | | | | |
| **M** | **:22F::** | | | | | | | | | | | | | **CAOP//NOAC** | | | | | | | | | | Corporate Action Option Code Indicator | | | | | | | | | | | | | | | | | | | |
| **R** | **:11A::** | | | | | | | | | | | | | **OPTN//EUR** | | | | | | | | | | Currency offered | | | | | | | | | | | | | | | | | | | |
| **M** | **:17B::** | | | | | | | | | | | | | **DFLT//N** | | | | | | | | | | Default Processing Flag | | | | | | | | | | | | | | | | | | | |
| **O** | **:98C::** | | | | | | | | | | | | | **RDDT//20YY0812090000** | | | | | | | | | | Response deadline date/time | | | | | | | | | | | | | | | | | | | |
| **O** | **:98A::** | | | | | | | | | | | | | **MKDT//20YY0812** | | | | | | | | | | Market Deadline Date | | | | | | | | | | | | | | | | | | | |
|  | **:16S:** | | | | | | | | | | | | | **CAOPTN** | | | | | | | | | | End Of Block | | | | | | | | | | | | | | | | | | | |
| **O** |  | | *End of Sequence E Corporate Action Options* | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | | | | |  | |

# EXWA: Warrant Exercise (VOLU)

Three different warrant exercise scenarios will be described hereafter, they will be highlighted using the A, B and C letters.

### Definition:

Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).

Option offered to holders to:

A) buy (call warrant) a specific amount of stock, or commodity,

B) buy (call warrant) a specific amount of cash, or commodity,

C) sell (put warrant) a specific amount of cash, or commodity,

at a predetermined price, during a predetermined period of time.

### Basis Issue name:

Deutsche Bank AG, London Branch 210907

ISIN DE000DB8AVA2

### Terms

A) and B) The American Call warrant option will be exercisable only if the Exercise Price is less than the market Price.

C) The American Put warrant option will be exercisable only if the market Price is less than the Exercise Price.

The Exercise Price is equal to GBP 2.6

The Exercise Ratio is equal to 1 share per 1 Warrant.

### Relative dates:

Payment date - September 26,

20YY Exercise Period – From February 21, 20YY to September 21, 20YY as it is a American style warrant.

### Underlying Issue name:

BT Group PLC shares

ISIN GB0030913577

### Transaction description:

The account 98-0112441-05 holds a position of 4,905,000 unit of Deutsche Bank AG, London Branch 210907 warrants as a settled position.

A) The exercise price of GBP2.6 of the warrant being less than the market price, the holder instructs in order to exercise his warrants to receive 4,905,000 unit of the underlying security (BT Group PLC Shares).

B) The exercise price of GBP2.6 of the warrant being less than the market price, the holder instructs in order to exercise his warrants to receive the difference between the market price and the exercise prise of GBP2.6 for all his 4,905,000 unit of the underlying security (BT Group PLC Shares).

C) The market price of the warrant being less than the exercise price of GBP2.6, the holder instructs in order to exercise his warrants to receive the difference between the exercise prise of GBP2.6 and the market price for all his 4,905,000 unit of the underlying security (BT Group PLC Shares).

### Certification description:

The holder of the security has to certify, in line with the terms of the corporate action, that it is not domiciled in the US. Certification must be provided in electronic format.

### Messages:

A) Example of CALL warrant paying Security.

MT 564 for the notice of entitlement

B) Example of CALL warrant paying Cash.

MT 564 for the notice of entitlement

C) Example of PUT warrant paying Cash.

MT 564 for the notice of entitlement\*

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Scenario A: MT 564 Corporate Action Notice of Entitl. – CALL warrant Paying Security | | | | | | | | | | | | | | | |
|  | | *Mandatory* **Sequence A** *General Information* | | | | | | | | | |  | | | | | | |
|  | | **:16R:** | | | | **GENL** | | | | | Start of Block | | | | | |
| **M** | | **:20C::** | | | | **CORP//EXWA3437592** | | | | | Corporate Action Reference | | | | | |
| **M** | | **:20C::** | | | | **SEME//200847815** | | | | | Sender's Message Reference | | | | | |
| **O** | | **:20C::** | | | | **COAF// BI01593212345** | | | | | Official Corporate Action Event Reference | | | | | |
| **M** | | **:23G:** | | | | **REPE** | | | | | Replacement with entitlement | | | | | |
| **M** | | **:22F::** | | | | **CAEV//EXWA** | | | | | Corporate Action Event Indicator | | | | | |
| **M** | | **:22F::** | | | | **CAMV//VOLU** | | | | | Mandatory/Voluntary Indicator | | | | | |
| **M** | | **:25D::** | | | | **PROC//COMP** | | | | | Processing Status | | | | | |
|  | |  | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | |  | | | |
|  | | **:16R:** | | | | **LINK** | | | | | Start of Block | | | | | |
| **R** | | **:13A::** | | | | **LINK//564** | | | | | Previously sent message type | | | | | |
| **M** | | **:20C::** | | | | **PREV//1997189-012** | | | | | Previously sent message reference | | | | | |
|  | | **:16S:** | | | | **LINK** | | | | | End of Block | | | | | |
|  | |  | | *End of Subsequence A1 Linkages* | | | | | | | | |  | | | |
|  | |  | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | |  | | | |
|  | | **:16R:** | | | | **LINK** | | | | | Start of Block | | | | | |
| **R** | | **:13A::** | | | | **LINK//565** | | | | | Previously received message type | | | | | |
| **M** | | **:20C::** | | | | **PREV//2008666661** | | | | | Previously received message reference | | | | | |
|  | | **:16S:** | | | | **LINK** | | | | | End of Block | | | | | |
|  | |  | | *End of Subsequence A1 Linkages* | | | | | | | | |  | | | |
|  | | **:16S:** | | | | **GENL** | | | | | End Of Block | | | | | |
| **M** | | *End of Sequence A General Information* | | | | | | | | | | |  | | | |
|  | |  | |  |  | |  | |  |  | | |  | | | |
| **M** | | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | |  | | | |
|  | | **:16R:** | | | | **USECU** | | | | | Start of Block | | | | | |
| **M** | | **:35B:** | | | | **ISIN DE000DB8AVA2**  **WAR DEUTSCHE BANK AG,LONDON BRANCH** | | | | | Identification of the Financial Instrument | | | | | |
|  | |  | | *Optional Subsequence B1 Financial Instrument Attributes* | | | | | | | | | | | | |
|  | | **:16R:** | | | | **FIA** | | | | | Start of Block | | | | | |
| **O** | | **:12B::** | | | | **OPST//AMER** | | | | | American Style warrant | | | | | |
|  | | **:16S:** | | | | **FIA** | | | | | End Of Block | | | | | |
|  | |  | | *End of Subsequence B1 Financial Instrument Attributes* | | | | | | | | | | | | |
|  | |  | |  |  | |  | |  |  | | |  | | | |
|  | |  | | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | |
|  | | **:16R:** | | | | **ACCTINFO** | | | | | Start of Block | | | | | |
| **M** | | **:97A::** | | | | **SAFE//98-0112441-05** | | | | | Safekeeping Account | | | | | |
| **O** | | **:93B::** | | | | **ELIG//UNIT/4905000,** | | | | | Total Eligible For Corporate Action Balance | | | | | |
|  | | **:16S:** | | | | **ACCTINFO** | | | | | End Of Block | | | | | |
|  | |  | | *End of Subsequence B2 Account Information* | | | | | | | | |  | | | |
|  | |  | |  |  | |  | |  |  | | |
|  | | **:16S:** | | | | **USECU** | | | | | End Of Block | | | | | |
|  | | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | | | | |
|  | |  | |  |  | |  | |  |  | | |  | | | |
|  | | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | | |  | | | |
|  | | **:16R:** | | | | **CADETL** | | | | | Start of Block | | | | | |
| **O** | | **:98A::** | | | | **ANOU//20YY0809** | | | | | Announcement date | | | | | |
| **O** | | **:22F::** | | | | **DITY//ROLL** | | | | | Rolling Event Indicator | | | | | |
|  | | **:16S:** | | | | **CADETL** | | | | | End Of Block | | | | | |
|  | | *End of Sequence D Corporate Actions Details* | | | | | | | | | | |  | | | |
|  | |  | |  |  | |  | |  |  | | |  | | | |
|  | |  | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | |
|  | | **:16R:** | | | | **CAOPTN** | | | | | Start of Block | | | | | |
| **M** | | **:13A::** | | | | **CAON//001** | | | | | CA Option Number | | | | | |
| **M** | | **:22F::** | | | | **CAOP//EXER** | | | | | Corporate Action Option Code Indicator | | | | | |
| **R** | | **:22F::** | | | | **DISF//RDDN** | | | | | Disposition of Fractions Indicator | | | | | |
| **M** | | **:17B::** | | | | **DFLT//N** | | | | | Default Processing Flag | | | | | |
| **O** | | **:98C::** | | | | **RDDT//20YY09101500** | | | | | Response deadline date/time | | | | | |
| **O** | | **:98C::** | | | | **MKDT//20YY09111000** | | | | | Market Deadline Date/Time | | | | | |
| **R** | | **:69A::** | | | | **PWAL//20YY0221/20YY0921** | | | | | Period of action | | | | | |
|  | |  | |  |  | |  | |  |  | | |
|  | |  | |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | |
| **M** | | **:16R:** | | | | **SECMOVE** | | | | | Start of Block | | | | | |
| **M** | | **:22H::** | | | | **CRDB//DEBT** | | | | | Credit/Debit Indicator | | | | | |
| **M** | | **:35B:** | | | | **ISIN DE000DB8AVA2**  **WAR DEUTSCHE BANK AG,LONDON BRANCH** | | | | | Identification of the Financial Instrument | | | | | |
| **M** | | **:98A::** | | | | **PAYD//20YY0926** | | | | | Payment Date/Time | | | | | |
| **M** | | **:16S:** | | | | **SECMOVE** | | | | | End Of Block | | | | | |
|  | |  | |  | *End of Subsequence E1 Securities Movement* | | | | | | | | | | | |
|  | |  | |  |  | |  | |  |  | | |  | | | |
|  | |  | |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | |
| **M** | | **:16R:** | | | | **SECMOVE** | | | | | Start of Block | | | | | |
| **M** | | **:22H::** | | | | **CRDB//CRED** | | | | | Credit/Debit Indicator | | | | | |
| **M** | | **:35B:** | | | | **ISIN GB0030913577**  **SHS BT GROUP PLC** | | | | | Identification of the Financial Instrument | | | | | |
| **M** | | **:92A::** | | | | **NEWO//1,1** | | | | | New to Old | | | | | |
| **M** | | **:98A::** | | | | **PAYD//20YY0926** | | | | | Payment Date/Time | | | | | |
| **M** | | **:16S:** | | | | **SECMOVE** | | | | | End Of Block | | | | | |
|  | |  | |  | *End of Subsequence E1 Securities Movement* | | | | | | | | | | | |
| *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | | | | | |
| **:16R:** | | | | | | **CASHMOVE** | | | Start of Block | | | | | | |
| **M** | | **:22H::** | | | | | | **CRDB//DEBT** | | | Credit/Debit Indicator | | | | | | |
| **M** | | **:19B::** | | | | | | **GRSS//GBP12753000,** | | | Gross amount | | | | | | |
| **M** | | **:19B::** | | | | | | **NETT//GBP12753000,** | | | Net amount | | | | | | |
| **M** | | **:98A::** | | | | | | **PAYD//200X0926** | | | Payment Date/Time | | | | | | |
| **O** | | **:98A::** | | | | | | **VALU//200X0610** | | | Value Date/Time | | | | | | |
| **M** | | **:90B::** | | | | | | **PRPP//ACTU/GBP2,6** | | | Exercise Price | | | | | | |
| **:16S:** | | | | | | **CASHMOVE** | | | End of Block | | | | | | |
| *End of Subsequence E2 Cash Movement* | | | | | | | | | | | |
|  | |  | |  |  | |  | |  |  | | |
|  | | **:16S:** | | | | **CAOPTN** | | | | | End Of Block | | | | | |
| **O** |  | | *End of Sequence E Corporate Action Options* | | | | | | | | | |
|  | |  | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | |
|  | | **:16R:** | | | | **CAOPTN** | | | | | Start of Block | | | | | |
| **M** | | **:13A::** | | | | **CAON//002** | | | | | CA Option Number | | | | | |
| **M** | | **:22F::** | | | | **CAOP//NOAC** | | | | | Corporate Action Option Code Indicator | | | | | |
| **M** | | **:17B::** | | | | **DFLT//Y** | | | | | Default Processing Flag | | | | | |
| **O** | | **:98C::** | | | | **RDDT//20YY09101500** | | | | | Response deadline date/time | | | | | |
| **O** | | **:98C::** | | | | **MKDT//20YY09111000** | | | | | Market Deadline Date | | | | | |
|  | | **:16S:** | | | | **CAOPTN** | | | | | End Of Block | | | | | |
| **O** |  | | *End of Sequence E Corporate Action Options* | | | | | | | | | |
|  |  | |  | | | | | | | | | |

## Scenario B: MT 564 Corporate Action Notice of Entitl. – CALL warrant Paying Cash

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | |  | | | | | | | | | |  | | |
| **M** | | *Mandatory* **Sequence A** *General Information* | | | | | | | | | |  | | |
|  | | **:16R:** | | | | **GENL** | | | | | Start of Block | | | |
| **M** | | **:20C::** | | | | **CORP//EXWA3437592** | | | | | Corporate Action Reference | | | |
| **M** | | **:20C::** | | | | **SEME//200847815** | | | | | Sender's Message Reference | | | |
| **O** | | **:20C::** | | | | **COAF// BI01593212345** | | | | | Official Corporate Action Event Reference | | | |
| **M** | | **:23G:** | | | | **REPE** | | | | | Function of the message | | | |
| **M** | | **:22F::** | | | | **CAEV//EXWA** | | | | | Corporate Action Event Indicator | | | |
| **M** | | **:22F::** | | | | **CAMV//VOLU** | | | | | Mandatory/Voluntary Indicator | | | |
| **M** | | **:25D::** | | | | **PROC//COMP** | | | | | Processing Status | | | |
| **O** | |  | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | |  |
|  | | **:16R:** | | | | **LINK** | | | | | Start of Block | | | |
|  | | **:13A::** | | | | **LINK//564** | | | | | Previously sent message type | | | |
|  | | **:20C::** | | | | **PREV//1997189-012** | | | | | Previously sent message reference | | | |
|  | | **:16S:** | | | | **LINK** | | | | | End of Block | | | |
| **O** | |  | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | |  |
| **O** | |  | | *End of Subsequence A1 Linkages* | | | | | | | | | |  |
|  | | **:16R:** | | | | **LINK** | | | | | Start of Block | | | |
|  | | **:13A::** | | | | **LINK//565** | | | | | Previously received message type | | | |
|  | | **:20C::** | | | | **PREV//2008666661** | | | | | Previously received message reference | | | |
|  | | **:16S:** | | | | **LINK** | | | | | End of Block | | | |
| **O** | |  | | *End of Subsequence A1 Linkages* | | | | | | | | | |  |
|  | | **:16S:** | | | | **GENL** | | | | | End Of Block | | | |
| **M** | | *End of Sequence A General Information* | | | | | | | | | | | |  |
|  | |  | |  |  | |  |  |  | | | | |  |
| **M** | | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | |  |
|  | | **:16R:** | | | | **USECU** | | | | | Start of Block | | | |
| **M** | | **:35B:** | | | | **ISIN DE000DB8AVA2**  **WAR DEUTSCHE BANK AG,LONDON BRANCH** | | | | | Identification of the Financial Instrument | | | |
| **O** | |  | | *Optional Subsequence B1 Financial Instrument Attributes* | | | | | | | | | | | | |
|  | | **:16R:** | | | | **FIA** | | | | | Start of Block | | | | | |
| **O** | | **:12B::** | | | | **OPST//AMER** | | | | | American Style warrant | | | | | |
|  | | **:16S:** | | | | **FIA** | | | | | End Of Block | | | | | |
| **O** | |  | | *End of Subsequence B1 Financial Instrument Attributes* | | | | | | | | | | | | |
|  | |  | |  |  | |  |  |  | | | | |  | | |
| **M** | |  | | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | |
|  | | **:16R:** | | | | **ACCTINFO** | | | | | Start of Block | | | | | |
| **M** | | **:97A::** | | | | **SAFE//98-0112441-05** | | | | | Safekeeping Account | | | | | |
| **O** | | **:93B::** | | | | **ELIG//UNIT/4905000,** | | | | | Total Eligible For Corporate Action Balance | | | | | |
|  | | **:16S:** | | | | **ACCTINFO** | | | | | End Of Block | | | | | |
| **M** | |  | | *End of Subsequence B2 Account Information* | | | | | | | | | |  | | |
|  | |  | |  |  | |  |  |  | | | | |
|  | | **:16S:** | | | | **USECU** | | | | | End Of Block | | | | | |
| **M** | | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | | | | |
|  | |  | |  |  | |  |  |  | | | | |  | | |
| **R** | | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | | | |  | | |
|  | | **:16R:** | | | | **CADETL** | | | | | Start of Block | | | | | |
| **O** | | **:98A::** | | | | **ANOU//20YY0809** | | | | | Announcement date | | | | | |
| **O** | | **:22F::** | | | | **DITY//ROLL** | | | | | Rolling Event Indicator | | | | | |
|  | | **:16S:** | | | | **CADETL** | | | | | End Of Block | | | | | |
| **R** | | *End of Sequence D Corporate Actions Details* | | | | | | | | | | | |  | | |
|  | |  | |  |  | |  |  |  | | | | |  | | |
| **O** | |  | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | |
|  | | **:16R:** | | | | **CAOPTN** | | | | | Start of Block | | | | | |
| **M** | | **:13A::** | | | | **CAON//001** | | | | | CA Option Number | | | | | |
| **M** | | **:22F::** | | | | **CAOP//EXER** | | | | | Corporate Action Option Code Indicator | | | | | |
| **R** | | **:22F::** | | | | **DISF//RDDN** | | | | | Disposition of Fractions Indicator | | | | | |
|  | |  | | | |  | | | | |  | | | | | |
| **M** | | **:17B::** | | | | **DFLT//N** | | | | | Default Processing Flag | | | | | |
| **O** | | **:98C::** | | | | **RDDT//20YY09101500** | | | | | Response deadline date/time | | | | | |
| **O** | | **:98C::** | | | | **MKDT//20YY09111000** | | | | | Market Deadline Date/Time | | | | | |
| **O** | | **:69A::** | | | | **PWAL//20YY0221/20YY0921** | | | | | Period of action | | | | | |
|  | |  | |  |  | |  |  |  | | | | |
| **O** | |  | |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | |
| **M** | | **:16R:** | | | | **SECMOVE** | | | | | Start of Block | | | | | |
| **M** | | **:22H::** | | | | **CRDB//DEBT** | | | | | Credit/Debit Indicator | | | | | |
| **M** | | **:35B:** | | | | **ISIN DE000DB8AVA2**  **WAR DEUTSCHE BANK AG,LONDON BRANCH** | | | | | Identification of the Financial Instrument | | | | | |
| **M** | | **:98A::** | | | | **PAYD//20YY0926** | | | | | Payment Date/Time | | | | | |
| **M** | | **:16S:** | | | | **SECMOVE** | | | | | End Of Block | | | | | |
| **O** | |  | |  | *End of Subsequence E1 Securities Movement* | | | | | | | | | | | |
|  | |  | |  |  | |  |  |  | | | | |  | | |
| **O** | |  | |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | |
| **M** | | **:16R:** | | | | **SECMOVE** | | | | | Start of Block | | | | | |
| **M** | | **:22H::** | | | | **CRDB//CRED** | | | | | Credit/Debit Indicator | | | | | |
| **M** | | **:35B:** | | | | **ISIN GB0030913577**  **SHS BT GROUP PLC** | | | | | Identification of the Financial Instrument | | | | | |
| **M** | | **:90B::** | | | | **PRPP//ACTU/GBP2,6** | | | | | Exercise Price | | | | | |
| **M** | | **:92A::** | | | | **NEWO//1,1** | | | | | New to Old | | | | | |
| **M** | | **:98A::** | | | | **PAYD//20YY0926** | | | | | Payment Date/Time | | | | | |
| **M** | | **:16S:** | | | | **SECMOVE** | | | | | End Of Block | | | | | |
| **O** | |  | |  | *End of Subsequence E1 Securities Movement* | | | | | | | | | | | |
|  | |  | |  |  | |  |  |  | | | | |  | | |
| **O** | |  | |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | |
| **M** | | **:16R:** | | | | **SECMOVE** | | | | | Start of Block | | | | | |
| **M** | | **:22H::** | | | | **CRDB//DEBT** | | | | | Credit/Debit Indicator | | | | | |
| **M** | | **:35B:** | | | | **ISIN GB0030913577**  **SHS BT GROUP PLC** | | | | | Identification of the Financial Instrument | | | | | |
| **M** | | **:90E::** | | | | **MRKT//UKWN** | | | | | Market Price | | | | | |
| **M** | | **:98A::** | | | | **PAYD//20YY0926** | | | | | Payment Date/Time | | | | | |
| **M** | | **:16S:** | | | | **SECMOVE** | | | | | End Of Block | | | | | |
| **O** | |  | |  | *End of Subsequence E1 Securities Movement* | | | | | | | | | | | |
|  | |  | |  |  | |  |  |  | | | | |  | | |
| **O** | |  | |  | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | | | | |
|  | | **:16R:** | | | | **CASHMOVE** | | | | | Start of Block | | | | | |
| **M** | | **:22H::** | | | | **CRDB//CRED** | | | | | Credit/Debit Indicator | | | | | |
| **M** | | **:98A::** | | | | **PAYD//20YY0926** | | | | | Payment Date/Time | | | | | |
| **O** | | **:98A::** | | | | **VALU//20YY0610** | | | | | Value Date/Time | | | | | |
| **O** | | **:90E::** | | | | **OFFR//UKWN** | | | | | Generic Cash Price Received per Product. | | | | |
|  | | **:16S:** | | | | **CASHMOVE** | | | | | End Of Block | | | | | |
| **O** | |  | |  | *End of Subsequence E2 Cash Movement* | | | | | | | | |  | | |
|  | |  | |  |  | |  |  |  | | | | |
|  | | **:16S:** | | | | **CAOPTN** | | | | | End Of Block | | | | | |
| **O** |  | | *End of Sequence E Corporate Action Options* | | | | | | | | | |
|  |  | | *Optional Repetitive Sequence E Corporate Action Options* | | | | | | | | | |
|  | | **:16R:** | | | | **CAOPTN** | | | | Start of Block | | | | |
| **M** | | **:13A::** | | | | **CAON//002** | | | | CA Option Number | | | | |
| **M** | | **:22F::** | | | | **CAOP//NOAC** | | | | Corporate Action Option Code Indicator | | | | |
| **M** | | **:17B::** | | | | **DFLT//Y** | | | | Default Processing Flag | | | | |
| **O** | | **:98C::** | | | | **RDDT//20YY09101500** | | | | Response deadline date/time | | | | |
| **O** | | **:98C::** | | | | **MKDT//20YY09111000** | | | | Market Deadline Date/Time | | | | |
|  | | **:16S:** | | | | **CAOPTN** | | | | End Of Block | | | | |
| **O** |  | | *End of Sequence E Corporate Action Options* | | | | | | | | | |
|  |  | |  | | | | | | | | | |

## Scenario C: MT 564 Corporate Action Notice of Entitl. – PUT warrant Paying Cash

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | |  | | | | | | | | | | |  | | | | |
| **M** | | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | |  | | | | |
|  | | **:16R:** | | | | **GENL** | | | | Start of Block | | | | | | | |
| **M** | | **:20C::** | | | | **CORP//EXWA3437592** | | | | Corporate Action Reference | | | | | | | |
| **M** | | **:20C::** | | | | **SEME//200847815** | | | | Sender's Message Reference | | | | | | | |
| **O** | | **:20C::** | | | | **COAF// BI01593212345** | | | | Official Corporate Action Event Reference | | | | | | | |
| **M** | | **:23G:** | | | | **REPE** | | | | Function of the message | | | | | | | |
| **M** | | **:22F::** | | | | **CAEV//EXWA** | | | | Corporate Action Event Indicator | | | | | | | |
| **M** | | **:22F::** | | | | **CAMV//VOLU** | | | | Mandatory/Voluntary Indicator | | | | | | | |
| **M** | | **:25D::** | | | | **PROC//COMP** | | | | Processing Status | | | | | | | |
| **O** | |  | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | |  |
|  | | **:16R:** | | | | **LINK** | | | | Start of Block | | | | | | | |
| **R** | | **:13A::** | | | | **LINK//564** | | | | Previously sent message type | | | | | | | |
| **M** | | **:20C::** | | | | **PREV//1997189-012** | | | | Previously sent message reference | | | | | | | |
|  | | **:16S:** | | | | **LINK** | | | | End of Block | | | | | | | |
| **O** | |  | | *End of Subsequence A1 Linkages* | | | | | | | | | | |  |
| **O** | |  | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | |  |
|  | | **:16R:** | | | | **LINK** | | | | Start of Block | | | | | | | |
| **R** | | **:13A::** | | | | **LINK//565** | | | | Previously received message type | | | | | | | |
| **M** | | **:20C::** | | | | **PREV//2008666661** | | | | Previously received message reference | | | | | | | |
|  | | **:16S:** | | | | **LINK** | | | | End of Block | | | | | | | |
| **O** | |  | | *End of Subsequence A1 Linkages* | | | | | | | | | | |  |
|  | | **:16S:** | | | | **GENL** | | | | End Of Block | | | | | | | |
| **M** | | *End of Sequence A General Information* | | | | | | | | | | | | |  |
|  | |  | |  |  | |  |  |  | | | | | |  |
| **M** | | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | | |  |
|  | | **:16R:** | | | | **USECU** | | | | Start of Block | | | | | | | |
| **M** | | **:35B:** | | | | **ISIN DE000DB8AVA2 WAR DEUTSCHE BANK AG,LONDON BRANCH** | | | | Identification of the Financial Instrument | | | | | | | |
| **O** | |  | | *Optional Subsequence B1 Financial Instrument Attributes* | | | | | | | | | | | |
|  | | **:16R:** | | | | **FIA** | | | | | | Start of Block | | | | | |
| **O** | | **:12B::** | | | | **OPST//AMER** | | | | | | American Style warrant | | | | | |
|  | | **:16S:** | | | | **FIA** | | | | | | End Of Block | | | | | |
| **O** | |  | | *End of Subsequence B1 Financial Instrument Attributes* | | | | | | | | | | | |
|  | |  | |  |  | |  |  |  | | | | | |  |
| **M** | |  | | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | |
|  | | **:16R:** | | | | **ACCTINFO** | | | | | | Start of Block | | | | | |
| **M** | | **:97A::** | | | | **SAFE//98-0112441-05** | | | | | | Safekeeping Account | | | | | |
| **O** | | **:93B::** | | | | **ELIG//UNIT/4905000,** | | | | | | Total Eligible For Corporate Action Balance | | | | | |
|  | | **:16S:** | | | | **ACCTINFO** | | | | | | End Of Block | | | | | |
| **M** | |  | | *End of Subsequence B2 Account Information* | | | | | | | | | | |  |
|  | |  | |  |  | |  |  |  | | | | | |
|  | | **:16S:** | | | | **USECU** | | | | | | End Of Block | | | | | |
| **M** | | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | | | |
|  | |  | |  |  | |  |  |  | | | | | |  |
| **R** | | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | | | | |  |
|  | | **:16R:** | | | | **CADETL** | | | | | | Start of Block | | | | | |
| **O** | | **:98A::** | | | | **ANOU//20YY0809** | | | | | | Announcement date | | | | | |
| **O** | | **:22F::** | | | | **DITY//ROLL** | | | | | | Rolling Event Indicator | | | | | |
|  | | **:16S:** | | | | **CADETL** | | | | | | End Of Block | | | | | |
| **R** | | *End of Sequence D Corporate Actions Details* | | | | | | | | | | | | |  |
|  | |  | |  |  | |  |  |  | | | | | |  |
| **O** | |  | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | |
|  | | **:16R:** | | | | **CAOPTN** | | | | | | Start of Block | | | | | |
| **M** | | **:13A::** | | | | **CAON//001** | | | | | | CA Option Number | | | | | |
| **M** | | **:22F::** | | | | **CAOP//EXER** | | | | | | Corporate Action Option Code Indicator | | | | | |
| **R** | | **:22F::** | | | | **DISF//RDDN** | | | | | | Disposition of Fractions Indicator | | | | | |
| **M** | | **:17B::** | | | | **DFLT//N** | | | | | | Default Processing Flag | | | | | |
| **O** | | **:98C::** | | | | **RDDT//20YY09101500** | | | | | | Response deadline date/time | | | | | |
| **O** | | **:98C::** | | | | **MKDT//20YY09111000** | | | | | | Market Deadline Date | | | | | |
| **O** | | **:69A::** | | | | **PWAL//20YY0221/20YY0921** | | | | | | Period of action | | | | | |
|  | |  | |  |  | |  |  |  | | | | | |
| **O** | |  | |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | |
| **M** | | **:16R:** | | | | **SECMOVE** | | | | | | Start of Block | | | | | |
| **M** | | **:22H::** | | | | **CRDB//DEBT** | | | | | | Credit/Debit Indicator | | | | | |
| **M** | | **:35B:** | | | | **ISIN DE000DB8AVA2**  **WAR DEUTSCHE BANK AG,LONDON BRANCH** | | | | | | Identification of the Financial Instrument | | | | | |
| **M** | | **:98A::** | | | | **PAYD//20YY0926** | | | | | | Payment Date/Time | | | | | |
| **M** | | **:16S:** | | | | **SECMOVE** | | | | | | End Of Block | | | | | |
| **O** | |  | |  | *End of Subsequence E1 Securities Movement* | | | | | | | | | | |
| **O** | |  | |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | |
| **M** | | **:16R:** | | | | **SECMOVE** | | | | | | Start of Block | | | | | |
| **M** | | **:22H::** | | | | **CRDB//CRED** | | | | | | Credit/Debit Indicator | | | | | |
| **M** | | **:35B:** | | | | **ISIN GB0030913577**  **SHS BT GROUP PLC** | | | | | | Identification of the Financial Instrument | | | | | |
| **O** | | **:90E::** | | | | **MRKT//UKWN** | | | | | | Market Price | | | | | |
| **M** | | **:92A::** | | | | **NEWO//1,1** | | | | | | New to Old | | | | | |
| **M** | | **:98A::** | | | | **PAYD//20YY0926** | | | | | | Payment Date/Time | | | | | |
| **M** | | **:16S:** | | | | **SECMOVE** | | | | | | End Of Block | | | | | |
| **O** | |  | |  | *End of Subsequence E1 Securities Movement* | | | | | | | | | | |
| **O** | |  | |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | |
| **M** | | **:16R:** | | | | **SECMOVE** | | | | | | Start of Block | | | | | |
| **M** | | **:22H::** | | | | **CRDB//DEBT** | | | | | | Credit/Debit Indicator | | | | | |
| **M** | | **:35B:** | | | | **ISIN GB0030913577**  **SHS BT GROUP PLC** | | | | | | Identification of the Financial Instrument | | | | | |
| **M** | | **:90B::** | | | | **PRPP//ACTU/GBP2,6** | | | | | | Exercise Price | | | | | |
| **M** | | **:98A::** | | | | **PAYD//20YY0926** | | | | | | Payment Date/Time | | | | | |
| **M** | | **:16S:** | | | | **SECMOVE** | | | | | | End Of Block | | | | | |
| **O** | |  | |  | *End of Subsequence E1 Securities Movement* | | | | | | | | | | |
| **O** | |  | |  | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | | | |
|  | | **:16R:** | | | | **CASHMOVE** | | | | | | Start of Block | | | | | |
| **M** | | **:22H::** | | | | **CRDB//CRED** | | | | | | Credit/Debit Indicator | | | | | |
| **M** | | **:98A::** | | | | **PAYD//20YY0926** | | | | | | Payment Date/Time | | | | | |
| **O** | | **:98A::** | | | | **VALU//20YY0610** | | | | | | Value Date/Time | | | | | |
| **O** | | **:90E::** | | | | **OFFR//UKWN** | | | | | | Generic Cash Price Received per Product. | | | | | |
|  | | **:16S:** | | | | **CASHMOVE** | | | | | | End Of Block | | | | | |
| **O** | |  | |  | *End of Subsequence E2 Cash Movement* | | | | | | | |  | | | | |
|  | |  | |  |  | |  |  |  | | | |  | | | | |
|  | | **:16S:** | | | | **CAOPTN** | | | | | | End Of Block | | | | | |
| **O** |  | | *End of Sequence E Corporate Action Options* | | | | | | | | | | |
|  |  | | *Optional Repetitive Sequence E Corporate Action Options* | | | | | | | | | | |
|  | | **:16R:** | | | | **CAOPTN** | | | | | Start of Block | | | | | |
| **M** | | **:13A::** | | | | **CAON//002** | | | | | CA Option Number | | | | | |
| **M** | | **:22F::** | | | | **CAOP//NOAC** | | | | | Corporate Action Option Code Indicator | | | | | |
| **M** | | **:17B::** | | | | **DFLT//Y** | | | | | Default Processing Flag | | | | | |
| **O** | | **:98C::** | | | | **RDDT//20YY09101500** | | | | | Response deadline date/time | | | | | |
| **O** | | **:98C::** | | | | **MKDT//20YY09111000** | | | | | Market Deadline Date | | | | | |
|  | | **:16S:** | | | | **CAOPTN** | | | | | End Of Block | | | | | |
| **O** |  | | *End of Sequence E Corporate Action Options* | | | | | | | | | | |

# EXWA: Warrant Exercise (CHOS)

### Definition:

Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).

### Basis Issue name:

WAR STANDARD CHARTERED BANK, SINGAPORE (50 CALL UNDERLYING / STRIKE ) 300112

ISIN GB00B53KVN12

### Terms

The American Call warrant option will be exercisable only if the Underlying Issue Exercise Price is less than the market Price.

The Exercise Price is equal to CNY 0.000001

### Relative dates:

Payment date – On going as it is a rolling event,

Exercise Period – From February 1, 20YY to January 30, 20YY

The maturity of the warrant is 3 Feb 20YY

### Underlying Issue name:

SHANGHAI PHARMACEUTICALS HOLDING CO LTD

ISIN CNE00000C82

### Transaction description:

The account 98-0112441-05 holds a position of 4,905,000 unit of Standard Chartered Bank, Singapore 300112 warrants as a settled position.

The exercise price of CNY0.000001 of the underlying being less than the market price, the holder instructs in order to exercise his warrants to receive the difference between the market price and the exercise prise of CNY0.000001 for all his 4,905,000 unit of the underlying security (Shanghai Pharmaceuticals Holding).

### Messages:

1) Example of CALL warrant paying Cash.

MT 564 for the notice of entitlement sent before observation date if the warrant is exercisable.

2) Example of CALL warrant paying Cash.

MT 564 for the notice of entitlement sent after observation date if the warrant is exercisable.

## Scenario 1: MT 564 Corporate Action Notice of Entitl. – CALL warrant Paying Cash message sent before observation date if the warrant is exercisable

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | |  | | | | | | | | |  | | |
| **M** | | *Mandatory* **Sequence A** *General Information* | | | | | | | | |  | | |
|  | | **:16R:** | | | | **GENL** | | | | Start of Block | | | | |
| **M** | | **:20C::** | | | | **CORP//EXWA3437592** | | | | Corporate Action Reference | | | | |
| **M** | | **:20C::** | | | | **SEME//200847815** | | | | Sender's Message Reference | | | | |
| **O** | | **:20C::** | | | | **COAF// BI01593212345** | | | | Official Corporate Action Event Reference | | | | |
| **M** | | **:23G:** | | | | **REPL** | | | | Replacement Message | | | | |
| **M** | | **:22F::** | | | | **CAEV//EXWA** | | | | Corporate Action Event Indicator | | | | |
| **M** | | **:22F::** | | | | **CAMV//CHOS** | | | | Mandatory/Voluntary Indicator | | | | |
| **M** | | **:25D::** | | | | **PROC//COMP** | | | | Processing Status | | | | |
| **O** | |  | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | |  | | |
|  | | **:16R:** | | | | **LINK** | | | | Start of Block | | | | |
| **O** | | **:13A::** | | | | **LINK//564** | | | | Previously sent message type | | | | |
| **M** | | **:20C::** | | | | **PREV//1997189-012** | | | | Previously sent message reference | | | | |
|  | | **:16S:** | | | | **LINK** | | | | End of Block | | | | |
| **O** | |  | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | |  | | |
|  | | **:16S:** | | | | **GENL** | | | | End Of Block | | | | |
| **M** | | *End of Sequence A General Information* | | | | | | | | | | |  | | |
|  | |  | | | |  | | | |  | | | | |
| **M** | | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | |  | | |
|  | | **:16R:** | | | | **USECU** | | | | Start of Block | | | | |
| **M** | | **:35B:** | | | | **ISIN GB00B53KVN12**  **WAR STANDARD CHARTERED BANK, SINGAPORE (50 CALL UNDERLYING / STRIKE )**  **300112** | | | | Identification of the Financial Instrument | | | | |
| **O** | |  | | *Optional Subsequence B1 Financial Instrument Attributes* | | | | | | | | | | | |
|  | | **:16R:** | | | | **FIA** | | | | Start of Block | | | | |
| **O** | | **:12B::** | | | | **OPST//AMER** | | | | American Style warrant | | | | |
|  | | **:16S:** | | | | **FIA** | | | | End Of Block | | | | |
| **O** | |  | | *End of Subsequence B1 Financial Instrument Attributes* | | | | | | | | | | | |
|  | |  | |  |  | |  |  |  | | | |  | | |
| **M** | |  | | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | |
|  | | **:16R:** | | | | **ACCTINFO** | | | | Start of Block | | | | |
| **M** | | **:97A::** | | | | **SAFE//98-0112441-05** | | | | Safekeeping Account | | | | |
| **O** | | **:93B::** | | | | **ELIG//UNIT/4905000,** | | | | Total Eligible For Corporate Action Balance | | | | |
|  | | **:16S:** | | | | **ACCTINFO** | | | | End Of Block | | | | |
| **M** | |  | | *End of Subsequence B2 Account Information* | | | | | | | | |  | | |
|  | | **:16S:** | | | | **USECU** | | | | End Of Block | | | | |
| **M** | | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | | | |
|  | |  | |  |  | |  |  |  | | | |  | | |
| **R** | | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | | |  | | |
|  | | **:16R:** | | | | **CADETL** | | | | Start of Block | | | | |
| **O** | | **:98A::** | | | | **ANOU//20YY0201** | | | | Announcement date | | | | |
| **O** | | **:22F::** | | | | **DITY//ROLL** | | | | Rolling Event Indicator | | | | |
|  | | **:16S:** | | | | **CADETL** | | | | End Of Block | | | | |
| **R** | | *End of Sequence D Corporate Actions Details* | | | | | | | | | | |  | | |
|  | |  | |  |  | |  |  |  | | | |  | | |
| **O** | |  | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | |
|  | | **:16R:** | | | | **CAOPTN** | | | | Start of Block | | | | |
| **M** | | **:13A::** | | | | **CAON//001** | | | | CA Option Number | | | | |
| **M** | | **:22F::** | | | | **CAOP//EXER** | | | | Corporate Action Option Code Indicator | | | | |
| **M** | | **:17B::** | | | | **DFLT//N** | | | | Default Processing Flag | | | | |
| **O** | | **:98A::** | | | | **RDDT//20YY0127150000** | | | | Response deadline date/time | | | | |
| **O** | | **:98A::** | | | | **MKDT//20YY090127180000** | | | | Market Deadline Date | | | | |
| **O** | | **:69A::** | | | | **PWAL//20YY0201/20YY0130** | | | | Period of action | | | | |
| **O** | |  | |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | |
| **M** | | **:16R:** | | | | **SECMOVE** | | | | Start of Block | | | | |
| **M** | | **:22H::** | | | | **CRDB//DEBT** | | | | Credit/Debit Indicator | | | | |
| **M** | | **:35B:** | | | | **ISIN GB00B53KVN12**  **WAR STANDARD CHARTERED BANK, SINGAPORE (50 CALL UNDERLYING / STRIKE )**  **300112** | | | | Identification of the Financial Instrument | | | | |
| **M** | | **:98B::** | | | | **PAYD//ONGO** | | | | Payment Date/Time | | | | |
| **M** | | **:16S:** | | | | **SECMOVE** | | | | End Of Block | | | | |
| **O** | |  | |  | *End of Subsequence E1 Securities Movement* | | | | | | | | | | |
| **O** | |  | |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | |
| **M** | | **:16R:** | | | | **SECMOVE** | | | | Start of Block | | | | |
| **M** | | **:22H::** | | | | **CRDB//CRED** | | | | Credit/Debit Indicator | | | | |
| **M** | | **:35B:** | | | | **ISIN CNE00000C82**  **SHANGHAI PHARMACEUTICALS HOLDING CO LTD** | | | | Identification of the Financial Instrument | | | | |
| **M** | | **:90B::** | | | | **PRPP//ACTU/CNY0,000001** | | | | Exercise Price | | | | |
| **M** | | **:92A::** | | | | **NEWO//1,1** | | | | New to Old | | | | |
| **M** | | **:98B::** | | | | **PAYD//ONGO** | | | | Payment Date/Time | | | | |
| **M** | | **:16S:** | | | | **SECMOVE** | | | | End Of Block | | | | |
| **O** | |  | |  | *End of Subsequence E1 Securities Movement* | | | | | | | | | | |
| **O** | |  | |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | |
| **M** | | **:16R:** | | | | **SECMOVE** | | | | Start of Block | | | | |
| **M** | | **:22H::** | | | | **CRDB//DEBT** | | | | Credit/Debit Indicator | | | | |
| **M** | | **:35B:** | | | | **ISIN CNE00000C82**  **SHANGHAI PHARMACEUTICALS HOLDING CO LTD** | | | | Identification of the Financial Instrument | | | | |
| **M** | | **:90E::** | | | | **MRKT//UKWN** | | | | Market Price | | | | |
| **M** | | **:98B::** | | | | **PAYD//ONGO** | | | | Payment Date/Time | | | | |
| **M** | | **:16S:** | | | | **SECMOVE** | | | | End Of Block | | | | |
| **O** | |  | |  | *End of Subsequence E1 Securities Movement* | | | | | | | | | | |
| **O** | |  | |  | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | | | |
|  | | **:16R:** | | | | **CASHMOVE** | | | | Start of Block | | | | |
| **M** | | **:22H::** | | | | **CRDB//CRED** | | | | Credit/Debit Indicator | | | | |
| **M** | | **:98B::** | | | | **PAYD//ONGO** | | | | Payment Date/Time | | | | |
| **O** | | **:98B::** | | | | **VALU//ONGO** | | | | Value Date/Time | | | | |
| **O** | | **:90E::** | | | | **OFFR//UKWN** | | | | Cash Settlement price (amount per unit) | | | | |
|  | | **:16S:** | | | | **CASHMOVE** | | | | End Of Block | | | | |
| **O** | |  | |  | *End of Subsequence E2 Cash Movement* | | | | | | | |  | | |
|  | | **:16S:** | | | | **CAOPTN** | | | | End Of Block | | | | |
| **O** |  | | *End of Sequence E Corporate Action Options* | | | | | | | | |
|  | |  | |  | | | | | | | | | | | |
| **O** | |  | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | |
|  | | **:16R:** | | | | **CAOPTN** | | | | Start of Block | | | | |
| **M** | | **:13A::** | | | | **CAON//002** | | | | CA Option Number | | | | |
| **M** | | **:22F::** | | | | **CAOP//LAPS** | | | | Corporate Action Option Code Indicator | | | | |
| **M** | | **:17B::** | | | | **DFLT//N** | | | | Default Processing Flag | | | | |
| **O** | | **:98A::** | | | | **RDDT//20YY0127150000** | | | | Response deadline date/time | | | | |
| **O** | | **:98A::** | | | | **MKDT//20YY090127180000** | | | | Market Deadline Date | | | | |
| **O** | | **:69A::** | | | | **PWAL//20YY0201/20YY0130** | | | | Period of action | | | | |
|  | |  | | | |  | | | |  | | | | |
| **O** | |  | |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | |
| **M** | | **:16R:** | | | | **SECMOVE** | | | | Start of Block | | | | |
| **M** | | **:22H::** | | | | **CRDB//DEBT** | | | | Credit/Debit Indicator | | | | |
| **M** | | **:35B:** | | | | **ISIN GB00B53KVN12**  **WAR STANDARD CHARTERED BANK, SINGAPORE (50 CALL UNDERLYING / STRIKE )**  **300112** | | | | Identification of the Financial Instrument | | | | |
| **M** | | **:98A::** | | | | **PAYD//20YY0203** | | | | Payment Date/Time | | | | |
| **M** | | **:16S:** | | | | **SECMOVE** | | | | End Of Block | | | | |
| **O** | |  | |  | *End of Subsequence E1 Securities Movement* | | | | | | | | | | |
|  | | **:16S:** | | | | **CAOPTN** | | | | End Of Block | | | | |
| **O** |  | | *End of Sequence E Corporate Action Options* | | | | | | | | |
|  |  | |  | | | | | | | | |

## Scenario 2: MT 564 Corporate Action Notice of Entitl. – CALL warrant Paying Cash message sent after observation date if the warrant is exercisable where the option selected by the issuer is marked as the default one and where the other option is marked as inactive (22F::OSTA//INTV)

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | |  | | | | | | | | | |  | | | |
| **M** | | *Mandatory* **Sequence A** *General Information* | | | | | | | | | |  | | | |
|  | | **:16R:** | | | | **GENL** | | | | Start of Block | | | | | | |
| **M** | | **:20C::** | | | | **CORP//EXWA3437592** | | | | Corporate Action Reference | | | | | | |
| **M** | | **:20C::** | | | | **SEME//200847815** | | | | Sender's Message Reference | | | | | | |
| **O** | | **:20C::** | | | | **COAF// BI01593212345** | | | | Official Corporate Action Event Reference | | | | | | |
| **M** | | **:23G:** | | | | **REPE** | | | | Function of the message | | | | | | |
| **M** | | **:22F::** | | | | **CAEV//EXWA** | | | | Corporate Action Event Indicator | | | | | | |
| **M** | | **:22F::** | | | | **CAMV//CHOS** | | | | Mandatory/Voluntary Indicator | | | | | | |
| **M** | | **:25D::** | | | | **PROC//COMP** | | | | Processing Status | | | | | | |
| **O** | |  | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | |  | | | |
|  | | **:16R:** | | | | **LINK** | | | | Start of Block | | | | | | |
| **O** | | **:13A::** | | | | **LINK//564** | | | | Previously sent message type | | | | | | |
| **M** | | **:20C::** | | | | **PREV//1997189-012** | | | | Previously sent message reference | | | | | | |
|  | | **:16S:** | | | | **LINK** | | | | End of Block | | | | | | |
| **O** | |  | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | |  | | | |
|  | | **:16S:** | | | | **GENL** | | | | End Of Block | | | | | | |
| **M** | | *End of Sequence A General Information* | | | | | | | | | | | |  | | | |
|  | |  | |  |  | |  |  |  | | | | |  | | | |
| **M** | | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | |  | | | |
|  | | **:16R:** | | | | **USECU** | | | | Start of Block | | | | | | |
| **M** | | **:35B:** | | | | **ISIN GB00B53KVN12**  **WAR STANDARD CHARTERED BANK, SINGAPORE (50 CALL UNDERLYING / STRIKE )**  **300112** | | | | Identification of the Financial Instrument | | | | | | |
| **O** | |  | | *Optional Subsequence B1 Financial Instrument Attributes* | | | | | | | | | | | | | |
|  | | **:16R:** | | | | **FIA** | | | | Start of Block | | | | | | |
| **O** | | **:12B::** | | | | **OPST//AMER** | | | | American Style warrant | | | | | | |
|  | | **:16S:** | | | | **FIA** | | | | End Of Block | | | | | | |
| **O** | |  | | *End of Subsequence B1 Financial Instrument Attributes* | | | | | | | | | | | | | |
|  | |  | | | |  | | | |  | | | | | | |
| **M** | |  | | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | |
|  | | **:16R:** | | | | **ACCTINFO** | | | | Start of Block | | | | | | |
| **M** | | **:97A::** | | | | **SAFE//98-0112441-05** | | | | Safekeeping Account | | | | | | |
| **O** | | **:93B::** | | | | **ELIG//UNIT/4905000,** | | | | Total Eligible For Corporate Action Balance | | | | | | |
|  | | **:16S:** | | | | **ACCTINFO** | | | | End Of Block | | | | | | |
| **M** | |  | | *End of Subsequence B2 Account Information* | | | | | | | | | |  | | | |
|  | | **:16S:** | | | | **USECU** | | | | End Of Block | | | | | | |
| **M** | | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | | | | | |
|  | |  | |  |  | |  |  |  | | | | |  | | | |
| **R** | | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | | | |  | | | |
|  | | **:16R:** | | | | **CADETL** | | | | Start of Block | | | | | | |
| **O** | | **:98A::** | | | | **ANOU//20YY0201** | | | | Announcement date | | | | | | |
|  | |  | | | |  | | | |  | | | | | | |
| **O** | | **:22F::** | | | | **DITY//ROLL** | | | | Rolling Event Indicator | | | | | | |
|  | | **:16S:** | | | | **CADETL** | | | | End Of Block | | | | | | |
| **R** | | *End of Sequence D Corporate Actions Details* | | | | | | | | | | | |  | | | |
|  | |  | |  |  | |  |  |  | | | | |  | | | |
| **O** | |  | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | |
|  | | **:16R:** | | | | **CAOPTN** | | | | Start of Block | | | | | | |
| **M** | | **:13A::** | | | | **CAON//001** | | | | CA Option Number | | | | | | |
| **M** | | **:22F::** | | | | **CAOP//EXER** | | | | Corporate Action Option Code Indicator | | | | | | |
| **R** | | **:22F::** | | | | **OPTF//CAOS** | | | | Option Features Indicator | | | | | | |
| **M** | | **:17B::** | | | | **DFLT//Y** | | | | Default Processing Flag | | | | | | |
| **O** | | **:98A::** | | | | **RDDT//20YY0127150000** | | | | Response deadline date/time | | | | | | |
| **O** | | **:98A::** | | | | **MKDT//20YY090127180000** | | | | Market Deadline Date | | | | | | |
| **O** | | **:69A::** | | | | **PWAL//20YY0201/20YY0130** | | | | Period of action | | | | | | |
|  | |  | | | |  | | | |  | | | | | | |
| **O** | |  | |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | |
| **M** | | **:16R:** | | | | **SECMOVE** | | | | Start of Block | | | | | | |
| **M** | | **:22H::** | | | | **CRDB//DEBT** | | | | Credit/Debit Indicator | | | | | | |
| **M** | | **:35B:** | | | | **ISIN GB00B53KVN12**  **WAR STANDARD CHARTERED BANK, SINGAPORE (50 CALL UNDERLYING / STRIKE )**  **300112** | | | | Identification of the Financial Instrument | | | | | | |
| **M** | | **:98A::** | | | | **PAYD//20YY0131** | | | | Payment Date/Time | | | | | | |
| **M** | | **:36B::** | | | | **ENTL//UNIT/4905000,** | | | |  | | | | | | |
| **M** | | **:16S:** | | | | **SECMOVE** | | | | End Of Block | | | | | | |
| **O** | |  | |  | *End of Subsequence E1 Securities Movement* | | | | | | | | | | | | |
|  | |  | |  |  | |  |  |  | | | | |  | | | |
| **O** | |  | |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | |
| **M** | | **:16R:** | | | | **SECMOVE** | | | | Start of Block | | | | | | |
| **M** | | **:22H::** | | | | **CRDB//CRED** | | | | Credit/Debit Indicator | | | | | | |
| **M** | | **:35B:** | | | | **ISIN CNE00000C82**  **SHANGHAI PHARMACEUTICALS HOLDING CO LTD** | | | | Identification of the Financial Instrument | | | | | | |
| **M** | | **:36B::** | | | | **ENTL//UNIT/4905000,** | | | |  | | | | | | |
| **M** | | **:90B::** | | | | **PRPP//ACTU/CNY0,000001** | | | | Exercise Price | | | | | | |
| **M** | | **:92A::** | | | | **NEWO//1,1** | | | | New to Old | | | | | | |
| **M** | | **:98A::** | | | | **PAYD//20YY0131** | | | | Payment Date/Time | | | | | | |
| **M** | | **:16S:** | | | | **SECMOVE** | | | | End Of Block | | | | | | |
| **O** | |  | |  | *End of Subsequence E1 Securities Movement* | | | | | | | | | | | | |
|  | |  | |  |  | |  |  |  | | | | |  | | | |
| **O** | |  | |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | |
| **M** | | **:16R:** | | | | **SECMOVE** | | | | Start of Block | | | | | | |
| **M** | | **:22H::** | | | | **CRDB//DEBT** | | | | Credit/Debit Indicator | | | | | | |
| **M** | | **:35B:** | | | | **ISIN CNE00000C82**  **SHANGHAI PHARMACEUTICALS HOLDING CO LTD** | | | | Identification of the Financial Instrument | | | | | | |
| **M** | | **:90B::** | | | | **MRKT//ACTU/CNY0,000011** | | | | Market Price | | | | | | |
| **M** | | **:98A::** | | | | **PAYD//20YY0131** | | | | Payment Date/Time | | | | | | |
| **M** | | **:16S:** | | | | **SECMOVE** | | | | End Of Block | | | | | | |
| **O** | |  | |  | *End of Subsequence E1 Securities Movement* | | | | | | | | | | | | |
|  | |  | |  |  | |  |  |  | | | | |  | | | |
| **O** | |  | |  | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | | | | | |
|  | | **:16R:** | | | | **CASHMOVE** | | | | Start of Block | | | | | | |
| **M** | | **:22H::** | | | | **CRDB//CRED** | | | | Credit/Debit Indicator | | | | | | |
| **M** | | **:19B::** | | | | **GRSS//CNY49,05** | | | | | Gross amount | | | |
| **O** | | **:19B::** | | | | **NETT//CNY49,05** | | | | | Net amount | | | |
| **M** | | **:98A::** | | | | **PAYD//20YY0131** | | | | Payment Date/Time | | | | | | |
| **O** | | **:98A::** | | | | **VALU//20YY0131** | | | | Value Date/Time | | | | | | |
| **O** | | **:90B::** | | | | **OFFR//ACTU/CNY0,00001** | | | | Cash Settlement price (amount per unit) | | | | | | |
|  | | **:16S:** | | | | **CASHMOVE** | | | | End Of Block | | | | | | |
| **O** | |  | |  | *End of Subsequence E2 Cash Movement* | | | | | | | | |  | | | |
|  | | **:16S:** | | | | **CAOPTN** | | | | End Of Block | | | | | | |
| **O** |  | | *End of Sequence E Corporate Action Options* | | | | | | | | | |
| **O** | |  | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | |
|  | | **:16R:** | | | | **CAOPTN** | | | | Start of Block | | | | | | |
| **M** | | **:13A::** | | | | **CAON//002** | | | | CA Option Number | | | | | | |
| **M** | | **:22F::** | | | | **CAOP//LAPS** | | | | Corporate Action Option Code Indicator | | | | | | |
| **R** | | **:22F::** | | | | **OPTF//CAOS** | | | | Option Features Indicator | | | | | | |
| **R** | | **:22F::** | | | | **OSTA//INTV** | | | | This Option is inactive | | | | | | |
| **M** | | **:17B::** | | | | **DFLT//N** | | | | Default Processing Flag | | | | | | |
| **O** | | **:98A::** | | | | **RDDT//20YY0127150000** | | | | Response deadline date/time | | | | | | |
| **O** | | **:98A::** | | | | **MKDT//20YY090127180000** | | | | Market Deadline Date | | | | | | |
| **O** | | **:69A::** | | | | **PWAL//20YY0201/20YY0130** | | | | Period of action | | | | | | |
|  | |  | |  |  | |  |  |  | | | | |  | | | |
| **O** | |  | |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | |
| **M** | | **:16R:** | | | | **SECMOVE** | | | | Start of Block | | | | | | |
| **M** | | **:22H::** | | | | **CRDB//DEBT** | | | | Credit/Debit Indicator | | | | | | |
| **M** | | **:35B:** | | | | **ISIN GB00B53KVN12**  **WAR STANDARD CHARTERED BANK, SINGAPORE (50 CALL UNDERLYING / STRIKE )**  **300112** | | | | Identification of the Financial Instrument | | | | | | |
| **M** | | **:98B::** | | | | **PAYD//20YY0203** | | | | Payment Date/Time | | | | | | |
| **M** | | **:16S:** | | | | **SECMOVE** | | | | End Of Block | | | | | | |
| **O** | |  | |  | *End of Subsequence E1 Securities Movement* | | | | | | | | | | | | |
|  | | **:16S:** | | | | **CAOPTN** | | | | End Of Block | | | | | | |
| **O** |  | | *End of Sequence E Corporate Action Options* | | | | | | | | | |
|  |  | |  | | | | | | | | | |

# INCR: Increase in Value (MAND)

### Definition:

Increase in the face value of a single security. The number of circulating securities remains unchanged.

### Issue name:

ABC & Co

ISIN XS0525275540

### Terms:

No terms

### Relative dates:

Effective date - July 11, 20YY

### Transaction description:

The client account (a/c 12345) holds a face amount of EUR 1,000 in this bond issue.

### Instruction description:

Not applicable

### Messages:

MT 564 for the notification

|  |
| --- |
| MT 564 Corporate Action Notification |

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | |  | | |  |
| **M** | **:16R:** | | | | | **GENL** | | | | Start of Block | | | | | | |
| **M** | **:20C::** | | | | | **CORP//00000003592854** | | | | Corporate Action Reference | | | | | | |
| **M** | **:20C::** | | | | | **SEME//1021409595380182** | | | | Sender's Message Reference | | | | | | |
| **O** | **:20C::** | | | | | **COAF//1235698548544444** | | | | Official Corporate Action Event Reference | | | | | | |
| **M** | **:23G:** | | | | | **NEWM** | | | | Function of the message (new message) | | | | | | |
| **M** | **:22F::** | | | | | **CAEV//INCR** | | | | Corporate Action Event Indicator | | | | | | |
| **M** | **:22F::** | | | | | **CAMV//MAND** | | | | Mandatory/Voluntary Indicator | | | | | | |
| **M** | **:98C::** | | | | | **PREP//PREP//20100802095301** | | | | Expiry Date (When one event) | | | | | | |
| **M** | **:25D::** | | | | | **PROC//COMP** | | | | Processing Status | | | | | | |
| **M** | **:16S:** | | | | | **GENL** | | | | End Of Block | | | | | | |
| **M** | *End of Sequence A General Information* | | | | | | | | | |
|  |  |  |  |  | | |  |  |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | |
| **M** | **:16R:** | | | | | **USECU** | | | | Start of Block | | | | | | |
| **M** | **:35B:** | | | | | **ISIN XS0525275540** | | | | Identification of the Financial Instrument | | | | | | |
|  |  |  |  |  | | |  |  | | | |  | | |
| **M** |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | | |
| **M** | **:16R:** | | | | | **ACCTINFO** | | | | Start of Block | | | | | | |
| **M** | **:97A::** | | | | | **SAFE//23849** | | | | Safekeeping Account | | | | | | |
| **O** | **:93B::** | | | | | **ELIG//FAMT/1000,** | | | | Total Eligible For Corporate Action Balance | | | | | | |
| **M** | **:16S:** | | | | | **ACCTINFO** | | | | End Of Block | | | | | | |
| **M** |  | *End of Subsequence B2 Account Information* | | | | | | | | | |  | | | | |
| **M** | **:16S:** | | | | | **USECU** | | | | End Of Block | | | | | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | |  | | |
|  | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | |  | | |
| **M** | **:16R:** | | | | | **CADETL** | | | | Start of Block | | | | | | |
| **M** | **:98A::** | | | | | **EFFD//20YY0711** | | | | Maturity Date | | | | | | |
| **M** | **:16S:** | | | | | **CADETL** | | | | End Of Block | | | | | | |
| **R** | *End of Sequence D Corporate Actions Details* | | | | | | | | | | | |  | | |
| **O** | *Optional Sequence F Additional Information* | | | | | | | | | | | |  | | |
| **M** | **:16R:** | | | | **ADDINFO** | | | | | Start of Block | | | | | | |
| **O** | **:70E::** | | | | **ADTX//++ADDITIONAL INFORMATION ++**  **Blablabla** | | | | | Additional Text | | | | | | |
| **M** | **:16S:** | | | | **ADDINFO** | | | | | End Of Block | | | | | | |
| **O** | *End of Sequence F Additional Information* | | | | | | | | | | | |

# INTR: Interest Payment (MAND)

### Definition:

Regular interest payment distributed to holders of an interest bearing asset.

### Issue name:

Federal Farm Credit Bank 5.4% due 02/12/10

ISIN US31331HJM08

### Terms

Bond pays at a coupon rate of 2.7% for the applicable period

### Relative dates:

Record Date - December 2, 20YY

Payment date - December 4, 20YY

### Transaction description:

Coupon interest for an assured payment date is collected on behalf of Fund A/C 98-0112441-05. The account holds a position of 4,905,000 face amount of Federal Farm Credit Bank 5.400% as a settled position. The bond pays twice a year.

### Certification description:

The holder of the security has to provide basic details on nominals and associated tax rate to be used by the account servicer. Certification must be provided in physical format.

### Messages:

MT 564 for the notice of entitlement

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | | | |
|  | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | |
| **M** | **:16R:** | | | | | **GENL** | | Start of Block | | | | |
| **M** | **:20C::** | | | | | **CORP//CORP123** | | Corporate Action Reference | | | | |
| **M** | **:20C::** | | | | | **SEME//SEME123** | | Sender's Message Reference | | | | |
| **O** | **:20C::** | | | | | **COAF//COAF123** | | Official Corporate Action Event Reference | | | | |
| **M** | **:23G:** | | | | | **REPE** | | Replacement with entitlement | | | | |
| **M** | **:22F::** | | | | | **CAEV//INTR** | | Corporate Action Event Indicator | | | | |
| **M** | **:22F::** | | | | | **CAMV//MAND** | | Mandatory/Voluntary Indicator | | | | |
| **M** | **:25D::** | | | | | **PROC//COMP** | | Processing Status | | | | |
| **O** |  | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | |  | | | |
|  | **:16R:** | | | | **LINK** | | Start of Block | | | | | |
| **R** | **:13A::** | | | | **LINK//564** | | Previously sent message type | | | | | |
| **M** | **:20C::** | | | | **PREV//154802473** | | Previously sent message reference | | | | | |
|  | **:16S:** | | | | **LINK** | | End of Block | | | | | |
| **O** |  | | *End of Subsequence A1 Linkages* | | | | | | |  | | | |
| **M** | **:16S:** | | | | | **GENL** | | End Of Block | | | | | |
|  | *End of Sequence A General Information* | | | | | | | |
|  | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | |
| **M** | **:16R:** | | | | | **USECU** | | Start of Block | | | | | |
| **M** | **:35B:** | | | | | **ISIN US31331HJM08** | | Identification of the Financial Instrument | | | | | |
|  |  | | *Optional Subsequence B1 Financial Instrument Attributes* | | | | | | | | | |
| **M** | **:16R:** | | | | | **FIA** | | Start of Block | | | | | |
| **R** | **:22F::** | | | | | **MICO//A001** | | Method of interest computation | | | | | |
| **O** | **:98A::** | | | | | **MATU//20YY1202** | | New Maturity date | | | | | |
| **O** | **:98A::** | | | | | **COUP//20YY0604** | | Next coupon payment date | | | | | |
|  | **:16S:** | | | | | **FIA** | | End Of Block | | | | | |
|  |  | | *End of Subsequence B1 Financial Instrument Attributes* | | | | | | | | | |
|  |  | | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | |
| **M** | **:16R:** | | | | | **ACCTINFO** | | Start of Block | | | | | |
| **M** | **:97A::** | | | | | **SAFE//12345** | | Safekeeping Account | | | | | |
| **O** | **:93B::** | | | | | **ELIG//FAMT/4905000,** | | Total Eligible For Corporate Action Balance | | | | | |
| **M** | **:16S:** | | | | | **ACCTINFO** | | End Of Block | | | | | |
|  |  | | *End of Subsequence B2 Account Information* | | | | | |
| **M** | **:16S:** | | | | | **USECU** | | End Of Block | | | | | |
|  | *End of Mandatory Sequence B Underlying Securities* | | | | | | | |
|  | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | |
| **R** | **:16R:** | | | | | **CADETL** | | Start of Block | | | | |
| **M** | **:98A::** | | | | | **RDTE//20YY1202** | | Record Date/Time | | | | |
| **M** | **:69A::** | | | | | **INPE//20YY0602/20YY1202** | | Interest period | | | | |
| **O** | **:92A::** | | | | | **INTR//5,4** | | Annual interest rate | | | | |
| **O** | **:17B::** | | | | | **CERT//Y** | | Certification / Breakdown Flag | | | | |
| **O** | **:22F::** | | | | | **CEFI//PHYS** | | Certification Format Indicator. | | | | |
| **R** | **:16S:** | | | | | **CADETL** | | End Of Block | | | | |
|  | *End of Sequence D Corporate Actions Details* | | | | | | | | | | | |
| **M** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | |
| **M** | **:16R:** | | | | | **CAOPTN** | | Start of Block | | | | |
| **M** | **:13A::** | | | | | **CAON//001** | | CA Option Number | | | | |
| **M** | **:22F::** | | | | | **CAOP//CASH** | | Corporate Action Option Code Indicator | | | | |
| **O** | **:22F::** | | | | | **CETI//TRBD** | | Certification / Breakdown Type Indicator. | | | | |
| **R** | **:11A::** | | | | | **OPTN//USD** | | Currency offered | | | | |
| **M** | **:17B::** | | | | | **DFLT//Y** | | Default Processing Flag | | | | |
|  |  |  | | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | |
|  | **:16R:** | | | | | **CASHMOVE** | | Start of Block | | | | |
| **M** | **:22H::** | | | | | **CRDB//CRED** | | Credit/Debit Indicator | | | | |
| **R** | **:19B::** | | | | | **ENTL//USD112569,75** | | Entitled amount | | | | |
| **O** | **:19B::** | | | | | **GRSS//USD132435,** | | Gross amount | | | | |
| **O** | **:19B::** | | | | | **TAXR//USD19865,25** | | Tax amount | | | | |
| **O** | **:19B::** | | | | | **NETT//USD112569,75** | | Net amount | | | | |
| **M** | **:98A::** | | | | | **PAYD//20YY1204** | | Payment Date/Time | | | | |
| **O** | **:98A::** | | | | | **VALU//20YY1204** | | Value Date/Time | | | | |
| **O** | **:98A::** | | | | | **EARL//20YY1206** | | Earliest Payment Date (think bank holiday) | | | | |
| **M** | **:92A::** | | | | | **INTP//2,7** | | Interest rate used for payment. | | | | |
| **O** | **:92A::** | | | | | **TAXR//15,** | | Tax rate | | | | |
|  | **:16S:** | | | | | **CASHMOVE** | | End Of Block | | | | |
|  |  |  | | *End of Subsequence E2 Cash Movement* | | | | |  | | | |
| **M** | **:16S:** | | | | | **CAOPTN** | | End Of Block | | | | |
|  |  | *End of Sequence E Corporate Action Options* | | | | | | | | | |

# INTR: Interest Payment (CHOS) - With Currency Options

### Definition:

Regular interest payment distributed to holders of an interest bearing asset.

### Issue name:

Eurobond 5.4% due 02/12/10

ISIN XS123456781

### Terms:

Bond pays at a coupon rate of 2.7% for the applicable period

### Relative dates:

Record Date - December 2, 20YY

Response deadline date – 25 October 20YY

Pay date of 4 December 20YY

### Transaction description:

The coupon interest will be collected on behalf of A/C 98-0112441-05. The coupon will be paid in MXN or USD at the option of the holder. The account has an underlying settled balance of 1,000,000 face amount. The account holder has instructed equally on each option.

### Certification description:

The holder of the security has to provide basic details on nominal and associated tax rate to be used by the account servicer. Certification must be provided in physical format.

### Messages:

MT 564 for the notice of entitlement

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | | | | | | | | | |
|  | |  | | |  |  |  |  | | | |  | | | | |  | |
| **M** | | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | | | |  | |
|  | | **:16R:** | | | | | | | | | **GENL** | | | | Start of Block | | | | |
| **M** | | **:20C::** | | | | | | | | | **CORP//INTRCHOS123** | | | | Corporate Action Reference | | | | |
| **M** | | **:20C::** | | | | | | | | | **SEME//741852** | | | | Sender's Message Reference | | | | |
| **O** | | **:20C::** | | | | | | | | | **COAF//123456789** | | | | Official Corporate Action Event Reference | | | | |
| **M** | | **:23G:** | | | | | | | | | **REPE** | | | | Replacement with entitlement | | | | |
| **M** | | **:22F::** | | | | | | | | | **CAEV//INTR** | | | | Corporate Action Event Indicator | | | | |
| **M** | | **:22F::** | | | | | | | | | **CAMV//CHOS** | | | | Mandatory/Voluntary Indicator | | | | |
| **M** | | **:25D::** | | | | | | | | | **PROC//COMP** | | | | Processing Status | | | | |
| **O** | |  | | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | |  | | | | | |
|  | | **:16R:** | | | | | | | | | **LINK** | | | | Start of Block | | | | |
| **R** | | **:13A::** | | | | | | | | | **LINK//564** | | | | Previously sent message type | | | | |
| **M** | | **:20C::** | | | | | | | | | **PREV//741851** | | | | Previously sent message reference | | | | | |
|  | | **:16S:** | | | | | | | | | **LINK** | | | | End of Block | | | | | |
| **O** | |  | | | *End of Subsequence A1 Linkages* | | | | | | | | | | | |  | | | | | | |
| **O** | |  | | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | |  | | | | | | |
|  | | **:16R:** | | | | | | | | | **LINK** | | | | Start of Block | | | | | |
| **R** | | **:13A::** | | | | | | | | | **LINK//565** | | | | Previously received message type | | | | | |
| **M** | | **:20C::** | | | | | | | | | **RELA//12345ABCDE** | | | | Previously received message reference | | | | | |
|  | | **:16S:** | | | | | | | **LINK** | | | | | | End of Block | | | | | |
| **O** | | *End of Subsequence A1 Linkages* | | | | | | | | | | | | | | |  | | | | | | |
|  | | **:16S:** | | | | | | | **GENL** | | | | | | End Of Block | | | | | |
| **M** | | *End of Sequence A General Information* | | | | | | | | | | | | | | |  | | | | | | |
| **M** | | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | | | | |  | | | | | | |
|  | | **:16R:** | | | | | | | **USECU** | | | | | | Start of Block | | | | | |
| **M** | | **:35B:** | | | | | | | **ISIN XS123456781** | | | | | | Identification of the Financial Instrument | | | | | |
|  |  | | *Optional Subsequence B1 Financial Instrument Attributes* | | | | | | | | | | | | | | | | | | | | | |
| **M** | **:16R:** | | | | | | | | | **FIA** | | | | | Start of Block | | | | | | |
| **R** | **:22F::** | | | | | | | | | **MICO//A001** | | | | | Method of interest computation | | | | | | |
| **O** | **:98A::** | | | | | | | | | **MATU//20YY1202** | | | | | New Maturity date | | | | | | |
| **O** | **:98A::** | | | | | | | | | **COUP//20YY0604** | | | | | Next coupon payment date | | | | | | |
|  | **:16S:** | | | | | | | | | **FIA** | | | | | End Of Block | | | | | | |
|  |  | | *End of Subsequence B1 Financial Instrument Attributes* | | | | | | | | | | | | | | | | | | | | | |
| **M** | | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | | | | | | | | |
|  | | **:16R:** | | | | | | | **ACCTINFO** | | | | | | Start of Block | | | | | |
| **M** | | **:97A::** | | | | | | | **SAFE//98-0112441-05** | | | | | | Safekeeping Account | | | | | |
| **M** | | **:93B::** | | | | | | | **ELIG//FAMT/1000000,** | | | | | | Total Eligible For Corporate Action Balance | | | | | |
|  | | **:16S:** | | | | | | | **ACCTINFO** | | | | | | End Of Block | | | | | |
| **M** | | *End of Subsequence B2 Account Information* | | | | | | | | | | | | | | |  | | | | | | |
|  | | **:16S:** | | | | | | | **USECU** | | | | | | End Of Block | | | | | |
| **M** | | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | | | | |  | | | | | | |
| **M** | | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | | | | | | |  | | | | | | |
|  | | **:16R:** | | | | | | | **CADETL** | | | | | | Start of Block | | | | | |
| **M** | | **:98A::** | | | | | | | **RDTE//20YY1002** | | | | | | Record Date/Time | | | | | |
| **M** | **:69A::** | | | | | | | | | **INPE//20YY0602/20YY1202** | | | | | Interest period | | | | | |
| **O** | **:92A::** | | | | | | | | | **INTR//5,4** | | | | | Annual interest rate | | | | | |
| **O** | **:17B::** | | | | | | | | | **CERT//Y** | | | | | Certification Flag | | | | | |
| **O** | **:22F::** | | | | | | | | | **CEFI//PHYS** | | | | | Certification Format Indicator. | | | | | |
|  | |  | | | | | | |  | | | | | |  | | | | | |
|  | | **:16S:** | | | | | | | **CADETL** | | | | | | End Of Block | | | | | |
| **M** | | *End of Sequence D Corporate Actions Details* | | | | | | | | | | | | | | |  | | | | | | |
| **O** | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | | | |
|  | | **:16R:** | | | | | | | **CAOPTN** | | | | | Start of Block | | | | | |
| **M** | | **:13A::** | | | | | | | **CAON//001** | | | | | CA Option Number | | | | | |
| **M** | | **:22F::** | | | | | | | **CAOP//CASH** | | | | | Corporate Action Option Code Indicator | | | | | |
| **O** | **:22F::** | | | | | | | | | **CETI//TRBD** | | | Certification Type Indicator. | | | | | | | | | | | |
| **R** | | **:11A::** | | | | | | | **OPTN//MXN** | | | | | Currency offered | | | | | |
| **M** | | **:17B::** | | | | | | | **DFLT//N** | | | | | Default Processing Flag | | | | | |
| **O** | | **:98A::** | | | | | | | **RDDT//20YY1025** | | | | | Response deadline date/time | | | | | |
| **O** | | **:98A::** | | | | | | | **MKDT//20YY1026** | | | | | Market Deadline Date | | | | | |
| **O** | |  | | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | | |  | | | | | |
|  | | **:16R:** | | | | | | | **CASHMOVE** | | | | | Start of Block | | | | | |
| **M** | | **:22H::** | | | | | | | **CRDB//CRED** | | | | | Credit/Debit Indicator | | | | | |
| **R** | | **:19B::** | | | | | | | **RESU//MXN285739,** | | | | | Resulting amount | | | | | |
| **R** | | **:19B::** | | | | | | | **ENTL//MXN285739,** | | | | | Entitled amount | | | | | |
| **O** | | **:19B::** | | | | | | | **GRSS//USD27000,** | | | | | Gross amount | | | | | |
| **O** | | **:19B::** | | | | | | | **TAXR//USD4050,** | | | | | Tax amount | | | | | |
| **O** | | **:19B::** | | | | | | | **NETT//USD22950,** | | | | | Net amount | | | | | |
| **M** | | **:98A::** | | | | | | | **PAYD//20YY1204** | | | | | Payment Date/Time | | | | | |
| **O** | | **:98A::** | | | | | | | **VALU//20YY1204** | | | | | Value Date/Time | | | | | |
| **O** | | **:98A::** | | | | | | | **EARL//20YY1205** | | | | | Earliest Payment Date | | | | | |
| **M** | | **:92A::** | | | | | | | **INTP//2,7** | | | | | Interest rate used for payment. | | | | | |
| **O** | | **:92A::** | | | | | | | **TAXR//15,** | | | | | Tax rate | | | | | |
| **R** | | **:92B::** | | | | | | | **EXCH//MXN/USD/12,4505** | | | | | Exchange rate | | | | | |
|  | | **:16S:** | | | | | | | **CASHMOVE** | | | | | End Of Block | | | | | |
| **O** | | *End of Subsequence E2 Cash Movement* | | | | | | | | | | | |  | | | | | |
|  | | **:16S:** | | | | | | | **CAOPTN** | | | | | End Of Block | | | | | |
| **O** | | *End of Sequence E Corporate Action Options* | | | | | | | | | | | |  | | | | | |
|  | |  | | | | | | | | | | | | | | | | | |
| **O** | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | | | |
|  | | **:16R:** | | | | | | | **CAOPTN** | | | | Start of Block | | | | | | |
| **M** | | **:13A::** | | | | | | | **CAON//002** | | | | CA Option Number | | | | | | |
| **M** | | **:22F::** | | | | | | | **CAOP//CASH** | | | | Corporate Action Option Code Indicator | | | | | | |
| **O** | **:22F::** | | | | | | | | | **CETI//TRBD** | | | Certification Type Indicator. | | | | | | |
| **R** | | **:11A::** | | | | | | | **OPTN//USD** | | | | Currency offered | | | | | | |
| **M** | | **:17B::** | | | | | | | **DFLT//Y** | | | | Default Processing Flag | | | | | | |
| **O** | | **:98A::** | | | | | | | **RDDT//20YY1025** | | | | Response deadline date/time | | | | | | |
| **O** | | **:98A::** | | | | | | | **MKDT//20YY1026** | | | | Market Deadline Date | | | | | | |
| **O** | |  | | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | | | | |  | | | |
|  | | **:16R:** | | | | | | | **CASHMOVE** | | | | Start of Block | | | | | | |
| **M** | | **:22H::** | | | | | | | **CRDB//CRED** | | | | Credit/Debit Indicator | | | | | | |
| **R** | | **:19B::** | | | | | | | **ENTL//USD22950,** | | | | Entitled amount | | | | | | |
| **O** | | **:19B::** | | | | | | | **GRSS//USD27000,** | | | | Gross amount | | | | | | |
| **O** | | **:19B::** | | | | | | | **TAXR//USD4050,** | | | | Tax amount | | | | | | |
| **O** | | **:19B::** | | | | | | | **NETT//USD22950,** | | | | Net amount | | | | | | |
| **M** | | **:98A::** | | | | | | | **PAYD//20YY1204** | | | | Payment Date/Time | | | | | | |
| **O** | | **:98A::** | | | | | | | **VALU//20YY1204** | | | | Value Date/Time | | | | | | |
| **O** | | **:98A::** | | | | | | | **EARL//20YY1205** | | | | Earliest Payment Date | | | | | | |
| **M** | | **:92A::** | | | | | | | **INTP//2,7** | | | | | Interest rate used for payment. | | | | | |
| **O** | | **:92A::** | | | | | | | **TAXR//15,** | | | | Tax rate | | | | | | |
|  | | **:16S:** | | | | | | | **CASHMOVE** | | | | End Of Block | | | | | | |
| **O** | |  | | *End of Subsequence E2 Cash Movement* | | | | | | | | | | | |  | |
|  | | **:16S:** | | | | | | | **CAOPTN** | | | | End Of Block | | | | | | |
| **O** | | *End of Sequence E Corporate Action Options* | | | | | | | | | | | | | |  | |

# LIQU: Liquidation Dividend/Liquidation Payment (MAND)

### Definition:

### A distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.

### Issue name:

SAAB Automobile

ISIN NL0000123456

### Terms:

EUR 4.25 per share will be paid.

### Relative dates:

Record date - June 9, 20YY

Pay date - June 10, 20YY

### Transaction description:

SAAB Automobile will be liquidated. Shareholders will receive a cash payout.

On record date the client account (a/c 01-123456789) holds 18,500 in SAAB Automobile shares (NL0000123456).

### Messages:

MT 564 for the notice of entitlement

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | | | | | | | | | | | | | | | |
|  | | |  | | | | | | | | | | | | | | | | | | |  | | | |
| **M** | | | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | | | | | | | |  | | | |
|  | | | **:16R:** | | | | | | | | | | **GENL** | | | Start of Block | | | | | | | | |
| **M** | | | **:20C::** | | | | | | | | | | **CORP//LIQU135** | | | Corporate Action Reference | | | | | | | | |
| **M** | | | **:20C::** | | | | | | | | | | **SEME//12345678-02** | | | Sender's Message Reference | | | | | | | | |
| **O** | | | **:20C::** | | | | | | | | | | **COAF//HHRJJ4365H** | | | Official Corporate Action Event Reference | | | | | | | | |
| **M** | | | **:23G:** | | | | | | | | | | **REPE** | | | Replacement with entitlement | | | | | | | | |
| **M** | | | **:22F::** | | | | | | | | | | **CAEV//LIQU** | | | Corporate Action Event Indicator | | | | | | | | |
| **M** | | | **:22F::** | | | | | | | | | | **CAMV//MAND** | | | Mandatory/Voluntary Indicator | | | | | | | | |
| **M** | | | **:25D::** | | | | | | | | | | **PROC//COMP** | | | Processing Status | | | | | | | | |
| **O** | | |  | | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | | | | |  | | | | |
|  | | | **:16R:** | | | | | | | | | | **LINK** | | | Start of Block | | | | | | | | |
| **R** | | | **:13A::** | | | | | | | | | | **LINK//564** | | | Previously sent message type | | | | | | | | |
| **M** | | | **:20C::** | | | | | | | | | | **PREV//12345678-01** | | | Previously sent message reference | | | | | | | | |
|  | | | **:16S:** | | | | | | | | | | **LINK** | | | End of Block | | | | | | | | |
| **O** | | |  | | | *End of Subsequence A1 Linkages* | | | | | | | | | | | | | | |  | | | | |
|  | | |  | | |  | |  |  | | |  | | |  | | | | | |  | | | | |
|  | | | **:16S:** | | | | | | | | | | | **GENL** | | | End Of Block | | | | | | | | | |
| **M** | | | *End of Sequence A General Information* | | | | | | | | | | | | | | | | |  | | | | | |
| **M** | | | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | | | | | | |  | | | | | |
|  | | | **:16R:** | | | | | | | | | | | **USECU** | | | Start of Block | | | | | | | | |
| **M** | | | **:35B:** | | | | | | | | | | | **ISIN NL0000123456 SAAB AUTOMOBILE** | | | Identification of the Financial Instrument | | | | | | | | |
|  | | |  | | |  | |  |  | | |  | | |  | | | | | |  | | | | |
| **M** | | |  | | | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | | | | | | | |
|  | | | **:16R:** | | | | | | | **ACCTINFO** | | | | | | | | Start of Block | | | | | |
| **M** | | | **:97A::** | | | | | | | **SAFE//01-123456789** | | | | | | | | Safekeeping Account | | | | | |
| **M** | | | **:93B::** | | | | | | | **ELIG//UNIT/18500,** | | | | | | | | Total Eligible For Corporate Action Balance | | | | | |
|  | | | **:16S:** | | | | | | | **ACCTINFO** | | | | | | | | End Of Block | | | | | |
| **M** | | |  | | | *End of Subsequence B2 Account Information* | | | | | | | | | | | | | | |  | | | | |
|  | | |  | | |  | |  |  | | |  | | |  | | | | | |  | | | | |
|  | | | **:16S:** | | | | | | | **USECU** | | | | | | | | End Of Block | | | | | |
| **M** | | | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | | | | | | |  | | | | | |
|  | | |  | | |  | |  |  | | |  | | |  | | | | | |  | | | | |
| **R** | | | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | | | | | | | | |  | | | | | |
|  | | | **:16R:** | | | | | | | **CADETL** | | | | | | | | Start of Block | | | | | | |
| **O** | | | **:98A::** | | | | | | | **ANOU//20YY0606** | | | | | | | | Announcement Date | | | | | | |
| **M** | | | **:98A::** | | | | | | | **RDTE//20YY0609** | | | | | | | | Record Date/Time | | | | | | |
|  | | | **:16S:** | | | | | | | **CADETL** | | | | | | | | End Of Block | | | | | | |
| **R** | | | *End of Sequence D Corporate Actions Details* | | | | | | | | | | | | | | | | |  | | | | | |
|  | | |  | | |  | |  |  | | |  | | |  | | | | | |  | | | | |
| **O** | | |  | | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | | | | | |
|  | | | **:16R:** | | | | | | | **CAOPTN** | | | | | | | | Start of Block | | | | | | |
| **M** | | | **:13A::** | | | | | | | **CAON//001** | | | | | | | | CA Option Number | | | | | | |
| **M** | | | **:22F::** | | | | | | | **CAOP//CASH** | | | | | | | | Corporate Action Option Code Indicator | | | | | | |
| **M** | | | **:17B::** | | | | | | | **DFLT//Y** | | | | | | | | Default Processing Flag | | | | | | |
|  | | |  | | |  | |  |  | | |  | | |  | | | | | |  | | | | |
| **O** | | |  | | |  | | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | | | | | | | | | | |
|  | | | **:16R:** | | | | | | | **CASHMOVE** | | | | | | | | Start of Block | | | | | | |
| **M** | | | **:22H::** | | | | | | | **CRDB//CRED** | | | | | | | | Credit/Debit Indicator | | | | | | |
| **M** | | | **:19B::** | | | | | | | **ENTL//EUR78625,** | | | | | | | | Entitled amount | | | | | | |
| **M** | | | **:98A::** | | | | | | | **PAYD//20YY0610** | | | | | | | | Payment Date/Time | | | | | | |
| **O** | | | **:98A::** | | | | | | | **VALU//20YY0610** | | | | | | | | Value Date/Time | | | | | | |
| **O** | | | **:90B::** | | | | | | | **OFFR//ACTU/EUR4,25** | | | | | | | | Generic Cash Price Received per Product | | | | | | |
|  | | | **:16S:** | | | | | | | **CASHMOVE** | | | | | | | | End of Block | | | | | | |
| **O** | |  | | |  | | *End of Subsequence E2 Cash Movement* | | | | | | | | | | | | |  | | | | | |
|  |  | | | **:16S:** | | | | | | | **CAOPTN** | | | | | | | | End Of Block | | | | | |
| **O** |  | | | *End of Sequence E Corporate Action Options* | | | | | | | | | | | | | | | | | |  | | | |

# LIQU: Liquidation Dividend/Liquidation Payment (CHOS)

### Definition:

### A distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.

### Issue name:

SAAB Automobile

ISIN NL0000123456

### Terms:

EUR 4.25 per share, or 1 new share in Fantasy cars, will be paid. The cash option is the default option.

### Relative dates:

Record date – June 9, 20YY

Market deadline – June 16, 20YY

Pay date – June 17, 20YY

### Transaction description:

SAAB Automobile will be liquidated. Shareholders will receive a payout in either cash or a new company, Fantasy cars (NL0001234567).

On record date the client account (a/c 01-123456789) holds 18,500 in SAAB Automobile shares (NL0000123456).

### Messages:

MT 564 for the notice of entitlement

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notification | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
| **M** | | | | | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  | | | | | **:16R:** | | | | | | | | | | | | | | **GENL** | | | Start of Block | | | | | | | | | | | | |
| **M** | | | | | **:20C::** | | | | | | | | | | | | | | **CORP//LIQU135** | | | Corporate Action Reference | | | | | | | | | | | | |
| **M** | | | | | **:20C::** | | | | | | | | | | | | | | **SEME//12345678-02** | | | Sender's Message Reference | | | | | | | | | | | | |
| **O** | | | | | **:20C::** | | | | | | | | | | | | | | **COAF//HHRJJ4365H** | | | Official Corporate Action Event Reference | | | | | | | | | | | | |
| **M** | | | | | **:23G:** | | | | | | | | | | | | | | **REPE** | | | Replacement with entitlement | | | | | | | | | | | | |
| **M** | | | | | **:22F::** | | | | | | | | | | | | | | **CAEV//LIQU** | | | Corporate Action Event Indicator | | | | | | | | | | | | |
| **M** | | | | | **:22F::** | | | | | | | | | | | | | | **CAMV//CHOS** | | | Mandatory/Voluntary Indicator | | | | | | | | | | | | |
| **M** | | | | | **:25D::** | | | | | | | | | | | | | | **PROC//COMP** | | | Processing Status | | | | | | | | | | | | |
| **O** | | | | |  | | | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | | | | | | | | | |  | | | | | | |
|  | | | | | **:16R:** | | | | | | | | | | | | | | **LINK** | | | Start of Block | | | | | | | | | | | | |
| **R** | | | | | **:13A::** | | | | | | | | | | | | | | **LINK//564** | | | Previously sent message type | | | | | | | | | | | | |
| **M** | | | | | **:20C::** | | | | | | | | | | | | | | **PREV//12345678-01** | | | Previously sent message reference | | | | | | | | | | | | |
|  | | | | | **:16S:** | | | | | | | | | | | | | | **LINK** | | | End of Block | | | | | | | | | | | | |
| **O** | | | | |  | | | | *End of Subsequence A1 Linkages* | | | | | | | | | | | | | | | | | | | |  | | | | | | |
|  | | | | |  | | | |  | | |  |  | | | | |  | | |  | | | | | | | |  | | | | | | |
|  | | | | | **:16S:** | | | | | | | | | | | | | | | **GENL** | | | End Of Block | | | | | | | | | | | | |
| **M** | | | | | *End of Sequence A General Information* | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | |
| **M** | | | | | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | |
|  | | | | | **:16R:** | | | | | | | | | | | | **USECU** | | | | | | | | | | Start of Block | | | | | | | | |
| **M** | | | | | **:35B:** | | | | | | | | | | | | **ISIN NL0000123456 SAAB AUTOMOBILE** | | | | | | | | | | Identification of the Financial Instrument | | | | | | | | |
| **M** | | | | |  | | | | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | | | | | **:16R:** | | | | | | | | | | **ACCTINFO** | | | | | | | | | | Start of Block | | | | | | | | |
| **M** | | | | | **:97A::** | | | | | | | | | | **SAFE//01-123456789** | | | | | | | | | | Safekeeping Account | | | | | | | | |
| **M** | | | | | **:93B::** | | | | | | | | | | **ELIG//UNIT/18500,** | | | | | | | | | | Total Eligible For Corporate Action Balance | | | | | | | | |
|  | | | | | **:16S:** | | | | | | | | | | **ACCTINFO** | | | | | | | | | | End Of Block | | | | | | | | |
| **M** | | | | |  | | | | *End of Subsequence B2 Account Information* | | | | | | | | | | | | | | | | | | | |  | | | | | | |
|  | | | | | **:16S:** | | | | | | | | | | **USECU** | | | | | | | | | | End Of Block | | | | | | | | |
| **M** | | | | | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | |
| **O** | | | | | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | |
|  | | | | | **:16R:** | | | | | | | | | | **CADETL** | | | | | | | | | | Start of Block | | | | | | | | | |
| **O** | | | | | **:98A::** | | | | | | | | | | **ANOU//20YY0606** | | | | | | | | | | Announcement Date | | | | | | | | | |
| **M** | | | | | **:98A::** | | | | | | | | | | **RDTE//20YY0609** | | | | | | | | | | Record Date/Time | | | | | | | | | |
|  | | | | | **:16S:** | | | | | | | | | | **CADETL** | | | | | | | | | | End Of Block | | | | | | | | | |
| **O** | | | | | *End of Sequence D Corporate Actions Details* | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | |
| **O** | | | | |  | | | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | | | | | **:16R:** | | | | | | | | | | **CAOPTN** | | | | | | | | | | Start of Block | | | | | | | | | |
| **M** | | | | | **:13A::** | | | | | | | | | | **CAON//001** | | | | | | | | | | CA Option Number | | | | | | | | | |
| **M** | | | | | **:22F::** | | | | | | | | | | **CAOP//CASH** | | | | | | | | | | Corporate Action Option Code Indicator | | | | | | | | | |
| **M** | | | | | **:17B::** | | | | | | | | | | **DFLT//Y** | | | | | | | | | | Default Processing Flag | | | | | | | | | |
| **O** | | | | | **:98C::** | | | | | | | | | | **RDDT//20YY0615180000** | | | | | | | | | | Response deadline date | | | | | | | | | |
| **O** | | | | | **:98C::** | | | | | | | | | | **MKDT//20YY0616180000** | | | | | | | | | | Market deadline date | | | | | | | | | |
| **O** | | | | |  | | | |  | | | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | | | | | | | | | | | | | | | | |
|  | | | | | **:16R:** | | | | | | | | | | **CASHMOVE** | | | | | | | | | | Start of Block | | | | | | | | | |
| **M** | | | | | **:22H::** | | | | | | | | | | **CRDB//CRED** | | | | | | | | | | Credit/Debit Indicator | | | | | | | | | |
| **M** | | | | | **:19B::** | | | | | | | | | | **ENTL//EUR78625,** | | | | | | | | | | Entitled amount | | | | | | | | | |
| **M** | | | | | **:98A::** | | | | | | | | | | **PAYD//20YY0617** | | | | | | | | | | Payment Date/Time | | | | | | | | | |
| **O** | | | | | **:98A::** | | | | | | | | | | **VALU//20YY0617** | | | | | | | | | | Value Date/Time | | | | | | | | | |
| **O** | | | | | **:90B::** | | | | | | | | | | **OFFR//ACTU/EUR4,25** | | | | | | | | | | Generic Cash Price Received per Product | | | | | | | | | |
|  | | | | | **:16S:** | | | | | | | | | | **CASHMOVE** | | | | | | | | | | End of Block | | | | | | | | | |
| **O** | | | |  | | | |  | | | *End of Subsequence E2 Cash Movement* | | | | | | | | | | | | | | | | |  | | | | | | | |
|  | |  | | | | **:16S:** | | | | | | | | | | **CAOPTN** | | | | | | | | | | End Of Block | | | | | | | | |
| **O** | |  | | | | *End of Sequence E Corporate Action Options* | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
| **O** | | | | |  | | | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | | | | | **:16R:** | | | | | | | | | | **CAOPTN** | | | | | | | | | | Start of Block | | | | | | | | | |
| **M** | | | | | **:13A::** | | | | | | | | | | **CAON//002** | | | | | | | | | | CA Option Number | | | | | | | | | |
| **M** | | | | | **:22F::** | | | | | | | | | | **CAOP//SECU** | | | | | | | | | | Corporate Action Option Code Indicator | | | | | | | | | |
| **M** | | | | | **:17B::** | | | | | | | | | | **DFLT//N** | | | | | | | | | | Default Processing Flag | | | | | | | | | |
| **O** | | | | | **:98C::** | | | | | | | | | | **RDDT//20YY0615180000** | | | | | | | | | | Response deadline date | | | | | | | | | |
| **O** | | | | | **:98C::** | | | | | | | | | | **MKDT//20YY0616180000** | | | | | | | | | | Market deadline date | | | | | | | | | |
| **O** | |  | | | |  | | | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | | | | | | | | |
| **M** | | **:16R:** | | | | | | | | | | | **SECMOVE** | | | | | | | | | | | | | Start of Block | | | | | | | | | |
| **M** | | **:22H::** | | | | | | | | | | | **CRDB//CRED** | | | | | | | | | | | | | Credit/Debit Indicator | | | | | | | | | |
| **M** | | **:35B:** | | | | | | | | | | | **ISIN ISIN NL0001234567 FANTASY CARS** | | | | | | | | | | | | | Identification of the Financial Instrument | | | | | | | | | |
| **M** | | **:36B::** | | | | | | | | | | | **ENTL//UNIT/18500,** | | | | | | | | | | | | | Quantity to be debited | | | | | | | | | |
| **O** | | **:92D::** | | | | | | | | | | | **NEWO//1,/1,** | | | | | | | | | | | | | New to old | | | | | | | | | |
| **M** | | **:98A::** | | | | | | | | | | | **PAYD//20YY0617** | | | | | | | | | | Payment Date/Time | | | | | | | |
| **M** | | **:16S:** | | | | | | | | | | | **SECMOVE** | | | | | | | | | | End Of Block | | | | | | | |
| **O** | | | |  | | | |  | | | *End of Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | | |  | | | | | | | |
|  | |  | | | | **:16S:** | | | | | | | | | | **CAOPTN** | | | | | | | | | | End Of Block | | | | | | | | |
| **O** | |  | | | | *End of Sequence E Corporate Action Options* | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |

# MCAL: Full Call/ Early Redemption (MAND)

### Definition:

The redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.

### Issue name:

ABB Finance Inc 6.8% due 20 October 20YY

ISIN XS0037583977

### Terms:

Bond will redeem 102% above par in EUR.

### Relative dates:

Payment date – 20 October 20YY

### Transaction description:

A bond issued by ABB Finance will mature and be redeemed above par, or 102% of nominal face amount. The participant account (a/c 56344) holds a face amount of EUR120,000,000 in this bond issue.

### Messages:

MT 564 for the notice of entitlement

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | | | | | |
|  |  |  | |  |  |  | | |  | | | |  | |
|  | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | |  | |
|  | **:16R:** | | | | | | **GENL** | | | Start of Block | | | |
| **M** | **:20C::** | | | | | | **CORP//CORP123** | | | Corporate Action Reference | | | |
| **M** | **:20C::** | | | | | | **SEME//SEME123** | | | Sender's Message Reference | | | |
| **O** | **:20C::** | | | | | | **COAF//COAF123** | | | Official Corporate Action Event Reference | | | |
| **M** | **:23G:** | | | | | | **REPE** | | | Replacement with entitlement | | | |
| **M** | **:22F::** | | | | | | **CAEV//MCAL** | | | Corporate Action Event Indicator | | | |
| **M** | **:22F::** | | | | | | **CAMV//MAND** | | | Mandatory/Voluntary Indicator | | | |
| **M** | **:25D::** | | | | | | **PROC//COMP** | | | Processing Status | | | |
| **O** |  | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | |  | |
|  | **:16R:** | | | | | | **LINK** | | | Start of Block | | | |
| **R** | **:13A::** | | | | | | **LINK//564** | | | Previously sent message type | | | |
| **M** | **:20C::** | | | | | | **PREV//154802473** | | | Previously sent message reference | | | |
|  | **:16S:** | | | | | | **LINK** | | | End of Block | | | |
| **O** |  | | *End of Subsequence A1 Linkages* | | | | | | | | |  | |
|  | **:16S:** | | | | | | **GENL** | | | End Of Block | | | |
|  | *End of Sequence A General Information* | | | | | | | | | |  | | |
|  | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | |  | | |
| **M** | **:16R:** | | | | | | **USECU** | | | Start of Block | | | |
| **M** | **:35B:** | | | | | | **ISIN XS0037583977** | | | Identification of the Financial Instrument | | | |
|  |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | |
|  | **:16R:** | | | | | | **ACCTINFO** | | | Start of Block | | | |
| **M** | **:97A::** | | | | | | **SAFE//12345** | | | Safekeeping Account | | | |
| **O** | **:93B::** | | | | | | **ELIG//FAMT/120000000,** | | | Total Eligible For Corporate Action Balance | | | |
|  | **:16S:** | | | | | | **ACCTINFO** | | | End Of Block | | | |
|  |  | *End of Subsequence B2 Account Information* | | | | | | | | |  | | |
|  | **:16S:** | | | | | | **USECU** | | | End Of Block | | | |
|  | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | | |
|  |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | |
|  | **:16R:** | | | | | | **CAOPTN** | | | Start of Block | | | |
| **M** | **:13A::** | | | | | | **CAON//001** | | | CA Option Number | | | |
| **M** | **:22F::** | | | | | | **CAOP//CASH** | | | Corporate Action Option Code Indicator | | | |
| **R** | **:11A::** | | | | | | **OPTN//EUR** | | | Currency offered | | | |
| **M** | **:17B::** | | | | | | **DFLT//Y** | | | Default Processing Flag | | | |
|  |  |  | | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | |
|  | **:16R:** | | | | | | **SECMOVE** | | | Start of Block | | | |
| **M** | **:22H::** | | | | | | **CRDB//DEBT** | | | Credit/Debit Indicator | | | |
| **M** | **:35B:** | | | | | | **ISIN XS0037583977** | | | Identification of the Financial Instrument | | | |
| **R** | **:36B::** | | | | | | **ENTL//FAMT/120000000,** | | | Quantity to be debited | | | |
| **M** | **:98A::** | | | | | | **PAYD//20YY1020** | | | Payment Date/Time | | | |
|  | **:16S:** | | | | | | **SECMOVE** | | | End Of Block | | | |
|  |  |  | | *End of Subsequence E1 Securities Movement* | | | | | | | | | |
|  |  |  | | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | | |
|  | **:16R:** | | | | | | | **CASHMOVE** | | Start of Block | | | |
| **M** | **:22H::** | | | | | | | **CRDB//CRED** | | Credit/Debit Indicator | | | |
| **R** | **:19B::** | | | | | | | **ENTL//EUR122400000,** | | Entitled amount | | | |
| **O** | **:19B::** | | | | | | | **GRSS//EUR122400000,** | | Gross amount | | | |
| **M** | **:98A::** | | | | | | | **PAYD//20YY1020** | | Payment Date/Time | | | |
| **O** | **:98A::** | | | | | | | **VALU//20YY1020** | | Value Date/Time | | | |
| **O** | **:98A::** | | | | | | | **EARL//20YY1022** | | Earliest Payment Date (think bank holiday) | | | |
| **M** | **:90A::** | | | | | | | **OFFR//PRCT/102,** | | Redemption Price (102% par) | | | |
|  | **:16S:** | | | | | | | **CASHMOVE** | | End Of Block | | | |
|  |  |  | | *End of Subsequence E2 Cash Movement* | | | | | | |  | | |
|  | **:16S:** | | | | | | | **CAOPTN** | | End Of Block | | | |
|  |  | *End of Sequence E Corporate Action Options* | | | | | | | | | | |  | |

# MRGR: Merger (MAND)

### Definition:

Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.

### Issue name:

FMC Gold Inc.

ISIN US3024941094

### Terms:

Shares of FMC Gold will be exchanged for shares of Meridian Gold Inc and cash as a mandatory event. The shareholder will receive 1 share of Meridian Gold and USD 0.02 for every share of FMC Gold.

### Relative dates:

Record date – July 31, 20YY

Payment date – August 22, 20YY

Last trading date – July 28, 20YY

Shares available for trading - August 22, 20YY

Effective date of merger - July 31, 20YY

### Transaction description:

FMC Gold Inc. (ISIN US3024941094) and Meridian Gold Inc (ISIN US5899751016) have agreed to a merger. Shares of FMC will cease to exist, and will be exchanged for shares of Meridian Gold, together with a cash disbursement. The US Equity Fund has a position of 140,000 shares of FMC. The New York Stock Exchange has announced the date of the merger will be July 31, 20YY.

### Messages:

MT 564 for the notice of entitlement.

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | | |
|  |  |  |  |  |  | |  | | |  | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | |  | |
|  | **:16R:** | | | | | **GENL** | | Start of Block | | | | | | |
| **M** | **:20C::** | | | | | **CORP//MERG21754** | | Corporate Action Reference | | | | | | |
| **M** | **:20C::** | | | | | **SEME//1548000004** | | Sender's Message Reference | | | | | | |
| **O** | **:20C::** | | | | | **COAF//BI01593212345** | | Official Corporate Action Event Reference | | | | | | |
| **M** | **:23G:** | | | | | **REPE** | | Replacement with entitlement | | | | | | |
| **M** | **:22F::** | | | | | **CAEV//MRGR** | | Corporate Action Event Indicator | | | | | | |
| **M** | **:22F::** | | | | | **CAMV//MAND** | | Mandatory/Voluntary Indicator | | | | | | |
| **M** | **:25D::** | | | | | **PROC//COMP** | | Processing Status | | | | | | |
| **O** |  | *Optional Repetitive Subsequence A1 Linkages* | | | | | | |
|  | **:16R:** | | | | | **LINK** | | Start of Block | | | | | | |
| **R** | **:13A::** | | | | | **LINK//564** | | Previously sent message type | | | | | | |
| **M** | **:20C::** | | | | | **PREV//154802473** | | Previously sent message reference | | | | | | |
|  | **:16S:** | | | | | **LINK** | | End of Block | | | | | | |
| **O** |  | *End of Subsequence A1 Linkages* | | | | | | |
|  |  |  |  |  |  | |  | |
|  | **:16S:** | | | | | **GENL** | | End Of Block | | | | | | |
| **M** | *End of Sequence A General Information* | | | | | | | |  | |  | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | |  | |  | |
|  | **:16R:** | | | | | **USECU** | | Start of Block | | | | | | |
| **M** | **:35B:** | | | | | **ISIN US3024941094** | | Identification of the Financial Instrument | | | | | | |
| **M** |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | |  | |
|  | **:16R:** | | | | | **ACCTINFO** | | Start of Block | | | | | | |
| **M** | **:97A::** | | | | | **SAFE//98-0112441-05** | | Safekeeping Account | | | | | | |
| **M** | **:93B::** | | | | | **ELIG//UNIT/140000,** | | Total Eligible For Corporate Action Balance | | | | | | |
|  | **:16S:** | | | | | **ACCTINFO** | | End Of Block | | | | | | |
| **M** |  | *End of Subsequence B2 Account Information* | | | | | | |  | |  | |
|  | **:16S:** | | | | | **USECU** | | End Of Block | | | | | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | |  | |  | |
| **R** | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | |  | |  | |
|  | **:16R:** | | | | | **CADETL** | | Start of Block | | | | | | |
| **O** | **:98A::** | | | | | **EFFD//20YY0731** | | Effective date | | | | | | |
| **M** | **:98A::** | | | | | **RDTE//20YY0731** | | Record Date/Time | | | | | | |
| **O** | **:22F::** | | | | | **ADDB//CAPA** | | The message is a preliminary advice of payment | | | | | | |
| **O** | **:70E::** | | | | | **OFFO//meridia Gold Inc** | | Details of the offeror | | | | | | |
|  | **:16S:** | | | | | **CADETL** | | End Of Block | | | | | | |
| **R** | *End of Sequence D Corporate Actions Details* | | | | | | | |  | |  | |
| **O** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | |  | |
|  | **:16R:** | | | | | **CAOPTN** | | Start of Block | | | | | | |
| **M** | **:13A::** | | | | | **CAON//001** | | CA Option Number | | | | | | |
| **M** | **:22F::** | | | | | **CAOP//CASE** | | Corporate Action Option Code Indicator | | | | | | |
| **M** | **:17B::** | | | | | **DFLT//Y** | | Default Processing Flag | | | | | | |
| **O** |  |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | |  | |
| **M** | **:16R:** | | | | | **SECMOVE** | | Start of Block | | | | | | |
| **M** | **:22H::** | | | | | **CRDB//DEBT** | | Credit/Debit Indicator | | | | | | |
| **M** | **:35B:** | | | | | **ISIN US3024941094** | | Identification of the Financial Instrument | | | | | | |
| **O** | **:36B::** | | | | | **ENTL//UNIT/140000,** | | Quantity to be debited | | | | | | |
| **M** | **:98A::** | | | | | **PAYD//20YY0822** | | Payment Date/Time | | | | | | |
| **O** | **:98A::** | | | | | **LTRD//20YY0728** | | Last trading date | | | | | | |
| **M** | **:16S:** | | | | | **SECMOVE** | | End Of Block | | | | | | |
| **O** |  |  | *End of Subsequence E1 Securities Movement* | | | | | |  | |  | |
| **O** |  |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | |  | |
| **M** | **:16R:** | | | | | **SECMOVE** | | Start of Block | | | | | | |
| **M** | **:22H::** | | | | | **CRDB//CRED** | | Credit/Debit Indicator | | | | | | |
| **M** | **:35B:** | | | | | **ISIN US5899751016** | | Identification of the Financial Instrument | | | | | | |
| **O** | **:36B::** | | | | | **ENTL//UNIT/140000,** | | Quantity of new shares to be posted | | | | | | |
| **O** | **:92D::** | | | | | **NEWO//1,/1,** | | New to old | | | | | | |
| **M** | **:98A::** | | | | | **PAYD//20YY0822** | | Payment Date/Time | | | | | | |
| **O** | **:98A::** | | | | | **AVAL//20YY0822** | | Available date for trading | | | | | | |
| **M** | **:16S:** | | | | | **SECMOVE** | | End Of Block | | | | | | |
| **O** |  |  | *End of Subsequence E1 Securities Movement* | | | | | | | |
| **O** |  |  | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | |
|  | **:16R:** | | | | | **CASHMOVE** | | Start of Block | | | | | | |
| **M** | **:22H::** | | | | | **CRDB//CRED** | | Credit/Debit Indicator | | | | | | |
| **O** | **:19B::** | | | | | **ENTL//USD2800,** | | Entitled amount | | | | | | |
| **O** | **:19B::** | | | | | **GRSS//USD2800,** | | Gross amount | | | | | | |
| **M** | **:98A::** | | | | | **PAYD//20YY0822** | | Payment Date/Time | | | | | | |
| **O** | **:98A::** | | | | | **VALU//20YY0822** | | Value Date/Time | | | | | | |
| **O** | **:90B::** | | | | | **OFFR//ACTU/USD0,02** | | Cash price received per old share | | | | | | |
|  | **:16S:** | | | | | **CASHMOVE** | | End Of Block | | | | | | |
| **O** |  |  | *End of Subsequence E2 Cash Movement* | | | | | |  | |  | |
|  | **:16S:** | | | | | **CAOPTN** | | End Of Block | | | | | | |
| **O** |  | *End of Sequence E Corporate Action Options* | | | | | | | |  | | | |

# MRGR: Merger (CHOS)

### Definition:

Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.

### Issue name:

AXA ORD

ISIN FR0000120628

### Terms:

Merger, mandatory with choice, with options to either elect for cash and securities, at the price of GBP 10 per share and new securities with a ratio of 1 for 2, or for new securities, with a ratio of 1 for 1.

### Relative dates:

Ex date – 7 January 20YY

Record date – 8 January 20YY

Response deadline date – 16 February 20YY

Market deadline date – 17 February 20YY

Payment date – 19 February 20YY

### Transaction description:

#### The merger relates to A/C 98-0112441-05. The account has an underlying balance of 10,000 shares.

### Messages:

MT 564 for the notice of entitlement.

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | | | | | | | | | | | | | | | | |
| **M** | | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | | | | | | | | |
|  | | **:16R:** | | | | | | | | | **GENL** | | | | | | |  | | | | | | |
| **M** | | **:20C::** | | | | | | | | | **CORP//MRGR21757** | | | | | | | Corporate Action Reference | | | | | | |
| **M** | | **:20C::** | | | | | | | | | **SEME//154802473** | | | | | | | Senders reference | | | | | | |
| **O** | | **:20C::** | | | | | | | | | **COAF//BI01593212349** | | | | | | | Official Corporate Action Event Reference | | | | | | |
| **M** | | **:23G:** | | | | | | | | | **REPE** | | | | | | | Replacement with entitlement | | | | | | |
| **M** | | **:22F::** | | | | | | | | | **CAEV//MRGR** | | | | | | | Replacement with entitlements | | | | | | |
| **M** | | **:22F::** | | | | | | | | | **CAMV//CHOS** | | | | | | | Mandatory with option indicator | | | | | | |
| **M** | | **:25D::** | | | | | | | | | **PROC//COMP** | | | | | | | Details are complete | | | | | | |
| **O** |  | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | | | | | | **LINK** | | | | | | | Start of Block | | | | | |
| **R** | **:13A::** | | | | | | | | | | | **LINK//564** | | | | | | | Previously sent message type | | | | | |
| **M** | **:20C::** | | | | | | | | | | | **PREV//154802473** | | | | | | | Previously sent message reference | | | | | |
|  | **:16S:** | | | | | | | | | | | **LINK** | | | | | | | End of Block | | | | | |
| **O** |  | | *End of Subsequence A1 Linkages* | | | | | | | | | | | | | | | | |
|  | **:16S:** | | | | | | | | | **GENL** | | | | | | | | |  | | | | | |
| **M** | *End of Sequence A General Information* | | | | | | | | | | | | | | | | | | |
|  |  | | |  |  | |  | | | | | |  | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | | | | | | **USECU** | | | | | | |  | | | | | |
| **M** | **:35B:** | | | | | | | | | | | **ISIN FR0000120628**  **AXA ORD** | | | | | | | Underlying securities | | | | | |
|  |  | | |  |  | |  | | | | | |  | |  | | | | | |
| **M** |  | | | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | | | | | | **ACCTINFO** | | | | | | |  | | | | | |
| **M** | **:97A::** | | | | | | | | | | | **SAFE//98-0112441-05** | | | | | | | Safekeeping Account | | | | | |
| **O** | **:93B::** | | | | | | | | | | | **ELIG//UNIT/10000,** | | | | | | | Eligible unit balance | | | | | |
|  | **:16S:** | | | | | | | | | | | **ACCTINFO** | | | | | | |  | | | | | |
| **M** |  | | | *End of Subsequence B2 Account Information* | | | | | | | | | | | | | | | | |
|  |  | | |  |  | |  | | | | | |  | |  | | | | | |
|  | **:16S:** | | | | | | | | | | | **USECU** | | | | | | |  | | | | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | | | | | | | | |
|  |  | | |  |  | |  | | | | | |  | |
| **R** | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | | | | | | **CADETL** | | | | | | |  | | | | | |
| **O** | **:98A::** | | | | | | | | | | | **XDTE//20YY0107** | | | | | | | Ex date | | | | | |
| **M** | **:98A::** | | | | | | | | | | | **RDTE//20YY0108** | | | | | | | Record date | | | | | |
|  | **:16S:** | | | | | | | | | | | **CADETL** | | | | | | |  | | | | | |
| **R** | *End of Sequence D Corporate Actions Details* | | | | | | | | | | | | | | | | | | |
|  |  | | |  | |  | |  | | | | | |  | |
| **O** |  | | | *Optional Repetitive* **Sequence E** *Corporate Action Options*  *(option not always applicable, depending on sender)* | | | | | | | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | | | | | | **CAOPTN** | | | | | | |  | | | | | |
| **M** | **:13A::** | | | | | | | | | | | **CAON//001** | | | | | | | CA Option Number | | | | | |
| **M** | **:22F::** | | | | | | | | | | | **CAOP//CASE** | | | | | | | Cash and security option | | | | | |
| **M** | **:17B::** | | | | | | | | | | | **DFLT//N** | | | | | | | This is not the default | | | | | |
| **O** | **:98A::** | | | | | | | | | | | **RDDT//20YY0216** | | | | | | | Response deadline date | | | | | |
| **O** | **:98A::** | | | | | | | | | | | **MKDT//20YY0217** | | | | | | | Market Deadline Date | | | | | |
|  |  | | |  |  | | | | | | | | | | | | | | | | | | | |
| **O** |  | | |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | | | | | |
| **M** | **:16R:** | | | | | | | | **SECMOVE** | | | | | | | |  | | | | | | | |
| **M** | **:22H::** | | | | | | | | **CRDB//CRED** | | | | | | | | Debit indicator | | | | | | | |
| **M** | **:35B:** | | | | | | | | **ISIN ZAE000003794**  **JASCO ELECTRONICS** | | | | | | | | Underlying securities | | | | | | | |
| **M** | **:36B::** | | | | | | | | **ENTL//UNIT/5000,** | | | | | | | | Entitled quantity | | | | | | | |
| **O** | **:92D::** | | | | | | | | **NEWO//1,/2,** | | | | | | | | New shares for old ratio | | | | | | | |
| **M** | **:98A::** | | | | | | | | **PAYD//20YY0219** | | | | | | | | Payment date | | | | | | | |
| **M** | **:16S:** | | | | | | | | **SECMOVE** | | | | | | | |  | | | | | | | |
| **O** |  | | |  | *End of Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | |
|  |  | | |  |  | |  | | | | | |  | |  | | | | | |
| **O** |  | | |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | | | | | |
| **M** | **:16R:** | | | | | | | | **SECMOVE** | | | | | | | |  | | | | | | | |
| **M** | **:22H::** | | | | | | | | **CRDB//DEBT** | | | | | | | | Debit indicator | | | | | | | |
| **M** | **:35B:** | | | | | | | | **ISIN FR0000120628**  **AXA ORD** | | | | | | | | Underlying securities | | | | | | | |
| **M** | **:36B::** | | | | | | | | **ENTL//UNIT/10000,** | | | | | | | | Entitled quantity | | | | | | | |
| **M** | **:98A::** | | | | | | | | **PAYD//20YY0219** | | | | | | | | Payment date | | | | | | | |
| **M** | **:16S:** | | | | | | | | **SECMOVE** | | | | | | | |  | | | | | | | |
| **O** |  | | |  | *End of Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | |
|  |  | | |  |  | |  | | | | | |  | |  | | | | | |
| **O** |  | | |  | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | | | **CASHMOVE** | | | | | | | |  | | | | | | | |
| **M** | **:22H::** | | | | | | | | **CRDB//CRED** | | | | | | | | Credit indicator | | | | | | | |
| **M** | **:19B::** | | | | | | | | **ENTL//GBP100000,** | | | | | | | | Entitled amount | | | | | | | |
| **M** | **:98A::** | | | | | | | | **PAYD//20YY0219** | | | | | | | | Payment date | | | | | | | |
| **O** | **:90B::** | | | | | | | | **OFFR//ACTU/GBP10,** | | | | | | | | Generic Cash Price Received per Product | | | | | | | |
|  | **:16S:** | | | | | | | | **CASHMOVE** | | | | | | | |  | | | | | | | |
| **O** |  | | |  | *End of Subsequence E2 Cash Movement* | | | | | | | | | | | | | | | |
|  |  | | |  |  | |  | | | | | |  | |  | | | | | |
|  | **:16S:** | | | | | | | | **CAOPTN** | | | | | | | |  | | | | | | | |
| **O** |  | | | *End of Sequence E Corporate Action Options* | | | | | | | | | | | | | | | | |
|  |  | | |  |  | |  | | | | | |  | |  | | | | | |
| **O** |  | | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | | | **CAOPTN** | | | | | | | |  | | | | | | | |
| **M** | **:13A::** | | | | | | | | **CAON//002** | | | | | | | | CA Option Number | | | | | | | |
| **M** | **:22F::** | | | | | | | | **CAOP//SECU** | | | | | | | | Securities option | | | | | | | |
| **M** | **:17B::** | | | | | | | | **DFLT//Y** | | | | | | | | This is the default option | | | | | | | |
| **O** | **:98A::** | | | | | | | | **RDDT//20YY0216** | | | | | | | | Response deadline date | | | | | | | |
| **O** | **:98A::** | | | | | | | | **MKDT//20YY0217** | | | | | | | | Market Deadline Date | | | | | | | |
| **O** | **:69A::** | | | | | | | | **PWAL//20YY0108/20YY0217** | | | | | | | | Period of action | | | | | | | |
|  |  | | |  |  | |  | | | | | |  | |  | | | | | |
| **O** |  | | |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | | | | | |
| **M** | **:16R:** | | | | | | | | **SECMOVE** | | | | | | | |  | | | | | | | |
| **M** | **:22H::** | | | | | | | | **CRDB//CRED** | | | | | | | | Credit indicator | | | | | | | |
| **M** | **:35B:** | | | | | | | | **ISIN ZAE000003794 JASCO ELECTRONICS** | | | | | | | | Identification of the Financial Instrument | | | | | | | |
| **M** | **:36B::** | | | | | | | | **ENTL//UNIT/10000,** | | | | | | | |  | | | | | | | |
| **O** | **:92D::** | | | | | | | | **NEWO//1,/1,** | | | | | | | | New shares for old ratio | | | | | | | |
| **M** | **:98A::** | | | | | | | | **PAYD//20YY0219** | | | | | | | | Payment date | | | | | | | |
| **M** | **:16S:** | | | | | | | | **SECMOVE** | | | | | | | |  | | | | | | | |
| **O** |  | | |  | *End of Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | |
|  |  | | |  |  | |  | | | | | |  | |  | | | | | |
| **O** |  | | |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | | | | | |
| **M** | **:16R:** | | | | | | | | **SECMOVE** | | | | | | | |  | | | | | | | |
| **M** | **:22H::** | | | | | | | | **CRDB//DEBT** | | | | | | | | Debit indicator | | | | | | | |
| **M** | **:35B:** | | | | | | | | **ISIN FR0000120628**  **AXA ORD** | | | | | | | | Underlying securities | | | | | | | |
| **O** | **:36B::** | | | | | | | | **ENTL//UNIT/10000,** | | | | | | | | Quantity to be debited | | | | | | | |
| **M** | **:98A::** | | | | | | | | **PAYD//20YY0219** | | | | | | | | Payment date | | | | | | | |
| **M** | **:16S:** | | | | | | | | **SECMOVE** | | | | | | | |  | | | | | | | |
| **O** |  | | |  | *End of Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | |
|  |  | | |  |  | |  | | | | | |  | |  | | | | | |
|  | **:16S:** | | | | | | | | **CAOPTN** | | | | | | | |  | | | | | | | |
| **O** |  | | | *End of Sequence E Corporate Action Options* | | | | | | | | | | | | | | | | | | |

# ODLT: Odd Lot Sale/Purchase (VOLU)

### Definition:

### Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.Issue Name:

ISIN ZAE000016606

### Terms:

Sell your odd lots for ZAR1.8745

Buy odd lots for ZAR 1.7745

Take no action

### Relative dates:

Response deadline – 20YY0130120000

Market deadline – 20YY0131120000

Period of action – 20YY0111 to 20YY0131

Payment date – 20YY1110

### Messages:

MT 564 for the notice of entitlement

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | | | | | | | | |
|  | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | |
| **M** | **:16R:** | | | | **GENL** | | Start of Block | | | | | | | | |
| **M** | **:20C::** | | | | **CORP//2011001389** | | Corporate Action Reference | | | | | | | | |
| **M** | **:20C::** | | | | **SEME//596547** | | Sender's Message Reference | | | | | | | | |
| **O** | **:20C::** | | | | **COAF//2011001389** | | Official Corporate Action Event Reference | | | | | | | | |
| **M** | **:23G:** | | | | **REPE** | | Replacement Message | | | | | | | | |
| **M** | **:22F::** | | | | **CAEV//ODLT** | | Corporate Action Event Indicator | | | | | | | | |
| **M** | **:22F::** | | | | **CAMV//VOLU** | | Mandatory/Voluntary Indicator | | | | | | | | |
| **M** | **:25D::** | | | | **PROC//COMP** | | Processing Status | | | | | | | | |
| **O** | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | | | | |
|  | **:16R:** | | | | **LINK** | | Start of Block | | | | | | | | |
| **R** | **:13A::** | | | | **LINK//564** | | Previously sent message type | | | | | | | | |
| **M** | **:20C::** | | | | **PREV//59642** | | Previously sent message reference | | | | | | | | |
|  | **:16S:** | | | | **LINK** | | End of Block | | | | | | | | |
| **O** | *End of Subsequence A1 Linkages* | | | | | | | | | | | | | | |
| **M** | **:16S:** | | | | **GENL** | | End Of Block | | | | | | | | |
|  | *End of Sequence A General Information* | | | | | | | | | | | | | | |
|  | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | | | | |
| **M** | **:16R:** | | | | **USECU** | | Start of Block | | | | | | | | |
| **M** | **:35B:** | | | | **ISIN ZAE00016606** | | Identification of the Financial Instrument | | | | | | | | |
|  |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | |
| **M** | **:16R:** | | | | **ACCTINFO** | | Start of Block | | | | | | | | |
| **M** | **:97A::** | | | | **SAFE//27700001** | | Safekeeping Account | | | | | | | | |
| **M** | **:93B::** | | | | **ELIG//UNIT/40679,** | | Eligible Balance | | | | | | | | |
| **M** | **:16S:** | | | | **ACCTINFO** | | End Of Block | | | | | | | | |
|  |  | *End of Subsequence B2 Account Information* | | | | | | | | | | | | | |
| **M** | **:16S:** | | | | **USECU** | | End Of Block | | | | | | | | |
|  | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | |  | | | | |
|  | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | |  | | | | |
| **O** | **:16R:** | | | | **CADETL** | | | Start of Block | | | | | | | |
| **O** | **:98A:** | | | | **ANOU//20YY1019** | | | Announcement date | | | | | | | |
|  |  | | | |  | | |  | | | | | | | |
| **O** | **:98A :** | | | | **GUPA//20YY1102** | | | Guaranteed Participation date | | | | | | | |
|  |  | | | |  | | |  | | | | | | | |
| **O** | **:22F :** | | | | **OFFE//FINL** | | | Offer Type Indicator | | | | | | | |
| **O** | **:16S:** | | | | **CADETL** | | | End Of Block | | | | | | | |
|  | *End of Sequence D Corporate Actions Details* | | | | | | | | | | | | | |
|  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | |
| **M** | **:16R:** | | | | **CAOPTN** | | | Start of Block | | | | | | | |
| **M** | **:13A::** | | | | **CAON//001** | | | CA Option Number | | | | | | | |
| **M** | **:22F::** | | | | **CAOP//CASH** | | | Corporate Action Option Code Indicator | | | | | | | |
|  |  | | | |  | | |  | | | | | | | |
|  |  | | | |  | | |  | | | | | | | |
| **M** | **:17B::** | | | | **DFLT//N** | | | Default Processing Flag | | | | | | | |
| **O** | **:98C::** | | | | **RDDT//20YY0130120000** | | | Response deadline date/time | | | | | | | |
| **O** | **:98C::** | | | | **MKDT//20YY0131120000** | | | Market Deadline Date/Time | | | | | | | |
| **O** | **:69A::** | | | | **PWAL//20YY0111/20YY0131** | | | Period of Action | | | | | | | |
| **O** | **:36B ::** | | | | **MAEX/UNIT/999** | | | Maximum Exercisable quantity | | | | | | | |
|  |  |  | | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | |  |
| **M** | **:16R:** | | | | **SECMOVE** | | | Start of Block | | | | | | | |
| **M** | **:22H::** | | | | **CRDB//DEBT** | | | Credit/Debit Indicator | | | | | | | |
| **M** | **:35B:** | | | | **ISIN ZAE000016606** | | | Identification of the Financial Instrument | | | | | | | |
| **M** | **:98B::** | | | | **PAYD//20YY1110** | | | Payment Date/Time | | | | | | | |
| **M** | **:16S:** | | | | **SECMOVE** | | | End Of Block | | | | | | | |
|  |  |  | | *End of Subsequence E1 Securities Movement* | | | | | | | | | | |
|  |  |  | | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | | |  | |
| **M** | **:16R:** | | | | **CASHMOVE** | | | Start of Block | | | | | | | |
| **M** | **:22H::** | | | | **CRDB//CRED** | | | Credit/Debit Indicator | | | | | | | |
| **O** | **:90B::** | | | | **OFFR//ACTU/ZAR1,8745** | | | Generic Cash Price Received per Product | | | | | | | |
| **M** | **:98B::** | | | | **PAYD//20YY1110** | | | Payment Date/Time | | | | | | | |
| **O** | **:98B::** | | | | **VALU//20YY1110** | | | Value Date | | | | | | | |
| **M** | **:16S:** | | | | **CASHMOVE** | | | End Of Block | | | | | | | |
|  |  |  | | *End of Subsequence E2 Cash Movement* | | | | | | | | | | |  | |
| **M** | **:16S:** | | | | **CAOPTN** | | | End Of Block | | | | | | | |
|  |  | | *End of Sequence E Corporate Action Options* | | | | | | | | | | | | |  | |
| *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | |
| **M** | **:16R:** | | | | | **CAOPTN** | | | Start of Block | | | | | | |
| **M** | **:13A::** | | | | | **CAON//002** | | | CA Option Number | | | | | | |
| **M** | **:22F::** | | | | | **CAOP//SECU** | | | Corporate Action Option Code Indicator | | | | | | |
|  |  | | | | |  | | |  | | | | | | |
|  |  | | | | |  | | |  | | | | | | |
| **M** | **:17B::** | | | | | **DFLT//N** | | | Default Processing Flag | | | | | | |
| **O** | **:98C::** | | | | | **RDDT//20YY0130120000** | | | Response deadline date/time | | | | | | |
| **O** | **:98C::** | | | | | **MKDT//20YY0131120000** | | | Market Deadline Date/Time | | | | | | |
| **O** | **:69A::** | | | | | **PWAL//20YY0111/20YY0131** | | | Period of Action | | | | | | |
| **O** | **:36B ::** | | | | | **MAEX/UNIT/999** | | | Maximum Exercisable quantity | | | | | | |
| *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | |
| **M** | **:16R:** | | | | | **SECMOVE** | | Start of Block | | | | | | | |
| **M** | **:22H::** | | | | | **CRDB//CRED** | | Credit/Debit Indicator | | | | | | | |
| **M** | **:35B:** | | | | | **ISIN ZAE000016606** | | Identification of the Financial Instrument | | | | | | | |
| **M** | **:98B::** | | | | | **PAYD//20YY1110** | | Payment Date/Time | | | | | | | |
| **M** | **:16S:** | | | | | **SECMOVE** | | End Of Block | | | | | | | |
| *End of Subsequence E1 Securities Movement* | | | | | | | | | |
| *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | | | | | | | | |
| **M** | **:16R:** | | | | | **CASHMOVE** | | Start of Block | | | | | | | |
| **M** | **:22H::** | | | | | **CRDB//DEBT** | | Credit/Debit Indicator | | | | | | | |
| **O** | **:90B::** | | | | | **PRPP//UNIT/ZAR1,7745** | | Generic Cash Price Paid per Product | | | | | | | |
| **M** | **:98B::** | | | | | **PAYD//20YY1110** | | Payment Date/Time | | | | | | | |
| **O** | **:98B::** | | | | | **VALU//20YY1110** | | Value Date | | | | | | | |
| **M** | **:16S:** | | | | | **CASHMOVE** | | End Of Block | | | | | | | |
| *End of Subsequence E2 Cash Movement* | | | | | | | | | | | | | | | |
| **M** | **:16S:** | | | | | **CAOPTN** | | End Of Block | | | | | | | |
|  | | | | | | | | | | | | | | | |
| *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | |
| **M** | **:16R:** | | | | **CAOPTN** | | | Start of Block | | | | | | | |
| **M** | **:13A::** | | | | **CAON//003** | | | CA Option Number | | | | | | | |
| **M** | **:22F::** | | | | **CAOP//NOAC** | | | Corporate Action Option Code Indicator | | | | | | | |
| **M** | **:17B::** | | | | **DFLT//Y** | | | Default Processing Flag | | | | | | | |
| **O** | **:98C::** | | | | **RDDT//20YY0130120000** | | | Response deadline date/time | | | | | | | |
| **O** | **:98C ::** | | | | **MKDT//20YY0131120000** | | | Market Deadline Date/Time | | | | | | | |
| **O** | **:69A::** | | | | **PWAL//20YY0111/20YY0131** | | | Period of Action | | | | | | | |
|  |  | | | |  | | |  | | | | | | | |
| **M** | **:16S:** | | | | **CAOPTN** | | | End Of Block | | | | | | | |

# PARI: Pari passu (MAND)

### Definition:

Occurs when securities with different characteristics, eg, equities with different entitlements to dividend or voting rights, are assimilated, ie, become identical in all respects, eg, pari passu or assimilation. The event may be scheduled in advance eg, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, eg, merger, reorganisation, issue of supplementary tranches, etc.

### Issue name:

Torex Group ord 10p (RFD 02/01/0X)

ISIN GB0008943002

### Terms:

Each ordinary GBP 0,10 (RFD-02/01/0X) share ranks Pari passu with the existing ordinary shares of 10p.

### Relative dates:

* Record Date: 18 April 20YY. The Register for Torex Group Ordinary 10p (RFD-02/01/0X) will close/books.
* Effective Date: 18 April 20YY Effective Crest Accounts dates to be merged

### Transaction description:

Account 98-0112441-05 holds an underlying balance of 58,563 shares of Torex Ord (RFD 02/01/04) ISIN GB0008943002.

### Messages

MT 564 for the notice of entitlement

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | |  | | |
|  | **:16R:** | | | **GENL** | | Start of Block | | | | | |
| **M** | **:20C::** | | | **CORP//PAR3437592** | | Corporate Action Reference | | | | | |
| **M** | **:20C::** | | | **SEME//253147815** | | Sender's Message Reference | | | | | |
| **O** | **:20C::** | | | **COAF//BI01593212345** | | Official Corporate Action Event Reference | | | | | |
| **M** | **:23G:** | | | **REPE** | | Replacement with entitlement | | | | | |
| **M** | **:22F::** | | | **CAEV//PARI** | | Corporate Action Event Indicator | | | | | |
| **M** | **:22F::** | | | **CAMV//MAND** | | Mandatory/Voluntary Indicator | | | | | |
| **M** | **:25D::** | | | **PROC//COMP** | | Processing Status | | | | | |
| **O** |  | *Optional Repetitive Subsequence A1 Linkages* | | | | |  | |
|  | **:16R:** | | | **LINK** | | Start of Block | | | | | |
| **R** | **:13A::** | | | **LINK//564** | | Previously sent message type | | | | | |
| **M** | **:20C::** | | | **PREV//1997189-012** | | Previously sent message reference | | | | | |
|  | **:16S:** | | | **LINK** | | End of Block | | | | | |
| **O** |  | *End of Subsequence A1 Linkages* | | | | |  | |
|  | **:16S:** | | | **GENL** | | End Of Block | | | | | |
| **M** | *End of Sequence A General Information* | | | | | |  | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | |  | |
|  | **:16R:** | | | **USECU** | Start of Block | | | | |
| **M** | **:35B:** | | | **ISIN GB0008943002** | Identification of the Financial Instrument | | | | |
| **M** |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | |
|  | **:16R:** | | | **ACCTINFO** | Start of Block | | | | |
| **M** | **:97A::** | | | **SAFE//98-0112441-05** | Safekeeping Account | | | | |
| **M** | **:93B::** | | | **ELIG//UNIT/58563,** | Total Eligible For Corporate Action Balance | | | | |
|  | **:16S:** | | | **ACCTINFO** | End Of Block | | | | |
| **M** |  | *End of Subsequence B2 Account Information* | | | | |  | |
|  | **:16S:** | | | **USECU** | End Of Block | | | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | |  | |
| **M** | *Optional* **Sequence D** *Corporate Actions Details* | | | | | |  | |
|  | **:16R:** | | | **CADETL** | Start of Block | | | | |
| **M** | **:98A::** | | | **RDTE//20YY0418** | Record Date/Time | | | | |
| **O** | **:22F::** | | | **ADDB//CAPA** | The message is a preliminary advice of payment | | | | |
|  | **:16S:** | | | **CADETL** | End Of Block | | | | |
| **M** | *End of Sequence D Corporate Actions Details* | | | | | |  | |
| **O** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | |
|  | **:16R:** | | | **CAOPTN** | Start of Block | | | | |
| **M** | **:13A::** | | | **CAON//001** | CA Option Number | | | | |
| **M** | **:22F::** | | | **CAOP//SECU** | Corporate Action Option Code Indicator | | | | |
| **M** | **:17B::** | | | **DFLT//Y** | Default Processing Flag | | | | |
| **O** |  |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | |
| **M** | **:16R:** | | | **SECMOVE** | Start of Block | | | | |
| **M** | **:22H::** | | | **CRDB//DEBT** | Credit/Debit Indicator | | | | |
| **M** | **:35B:** | | | **ISIN GB0008943002** | Identification of the Financial Instrument | | | | |
| **M** | **:36B::** | | | **ENTL//UNIT/58563,** | Quantity to be debited | | | | |
| **M** | **:98A::** | | | **PAYD//20YY0421** | Payment Date/Time | | | | |
| **M** | **:16S:** | | | **SECMOVE** | End Of Block | | | | |
| **O** |  |  | *End of Subsequence E1 Securities Movement* | | | |  | |
| **O** |  |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | |
| **M** | **:16R:** | | | **SECMOVE** | Start of Block | | | | |
| **M** | **:22H::** | | | **CRDB//CRED** | Credit/Debit Indicator | | | | |
| **M** | **:35B:** | | | **ISIN GB0008997149** | Identification of the Financial Instrument | | | | |
| **M** | **:36B::** | | | **ENTL//UNIT/58563,** | Quantity of new shares to be posted | | | | |
| **M** | **:92D::** | | | **NEWO//1,/1,** | New to Old | | | | |
| **M** | **:98A::** | | | **PAYD//20YY0421** | Payment Date/Time | | | | |
| **O** | **:98A::** | | | **EARL//20YY0422** | Earliest payment date | | | | |
| **M** | **:16S:** | | | **SECMOVE** | End Of Block | | | | |
| **O** |  |  | *End of Subsequence E1 Securities Movement* | | | |  | |
|  | **:16S:** | | | **CAOPTN** | End Of Block | | | | |
| **O** |  | *End of Sequence E Corporate Action Options* | | | | | |  | |

# PCAL: Partial Call (MAND) - With Reduction of Nominal Value

### Definition:

Securities are redeemed in part before their scheduled final maturity date with reduction of the nominal value of the shares. The outstanding amount of securities will be reduced proportionally.

### Issue name:

ABB Finance Inc 6.8% due 20 October 20YY

ISIN XS0037583977

### Terms:

20% of the bond issue will redeem at par in EUR.

### Relative dates:

Redemption date – 20 October 20YY

Payment date – 20 October 20YY

### Transaction description:

A bond issued by ABB Finance will be partially (20% of outsanding bond) redeemed at par value. The participant account (a/c 56344) holds a face amount of EUR240,000,000 in this bond issue.

### Messages:

MT 564 for the notice of entitlement

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | | | | | | | | | | |
|  | | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | | | | |  | | |
|  | | **:16R:** | | | | | **GENL** | | | | | | Start of Block | | | | | | |
| **M** | | **:20C::** | | | | | **CORP//CORP123** | | | | | | Corporate Action Reference | | | | | | |
| **M** | | **:20C::** | | | | | **SEME//SEME123** | | | | | | Sender's Message Reference | | | | | | |
| **O** | | **:20C::** | | | | | **COAF//COAF123** | | | | | | Official Corporate Action Event Reference | | | | | | |
| **M** | | **:23G:** | | | | | **REPE** | | | | | | Replacement with entitlement | | | | | | |
| **M** | | **:22F::** | | | | | **CAEV//PCAL** | | | | | | Corporate Action Event Indicator | | | | | | |
| **M** | | **:22F::** | | | | | **CAMV//MAND** | | | | | | Mandatory/Voluntary Indicator | | | | | | |
| **M** | | **:25D::** | | | | | **PROC//COMP** | | | | | | Processing Status | | | | | | |
| **O** |  | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | |  | |
|  |  | | **:16R:** | | | | **LINK** | | | | | | Start of Block | | | | | | |
| **R** |  | | **:13A::** | | | | **LINK//564** | | | | | | Previously sent message type | | | | | | |
| **M** |  | | **:20C::** | | | | **PREV//1997189-012** | | | | | | Previously sent message reference | | | | | | |
|  |  | | **:16S:** | | | | **LINK** | | | | | | End of Block | | | | | | |
| **O** |  | | *End of Subsequence A1 Linkages* | | | | | | | | | | | |  | |
|  | | **:16S:** | | | | **GENL** | | | | | | | End Of Block | | | | | | |
|  | | *End of Sequence A General Information* | | | | | | | | | | | |  | |
|  | | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | |  | |
|  | |  | | **:16R:** | | | | **USECU** | | | | | Start of Block | | | | | | |
| **M** | |  | | **:35B:** | | | | **ISIN XS0037583977** | | | | | Identification of the Financial Instrument | | | | | | |
|  | |  | | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | |
|  | |  | | **:16R:** | | | | | **ACCTINFO** | | | | Start of Block | | | | | | |
| **M** | |  | | **:97A::** | | | | | **SAFE//12345** | | | | Safekeeping Account | | | | | | |
| **O** | |  | | **:93B::** | | | | | **ELIG//UNIT/240000000,** | | | | Total Eligible For Corporate Action Balance | | | | | | |
|  | |  | | **:16S:** | | | | | **ACCTINFO** | | | | End Of Block | | | | | | |
|  | |  | | *End of Subsequence B2 Account Information* | | | | | | | | | |  | |
|  | |  | | **:16S:** | | | | | | **USECU** | | | End Of Block | | | | | | |
|  | | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | |  | |
|  | | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | | | |  | |
|  | |  | | **:16R:** | | | | | | | **CADETL** | | Start of Block | | | | | | |
| **M** | |  | | **:98A::** | | | | | | | **RDTE//20YY1019** | | Record Date | | | | | | |
| **O** | |  | | **:36B::** | | | | | | | **NEWD//FAMT/500,** | | New minimum denomination | | | | | | |
|  | |  | |  | | | | | | |  | |  | | | | | | |
|  | |  | | **:16S:** | | | | | | | **CADETL** | | End Of Block | | | | | | |
|  | | *End of Sequence D Corporate Actions Details* | | | | | | | | | | | | | |
|  | |  | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | |
|  | |  | | **:16R:** | | | | | | | **CAOPTN** | | Start of Block | | | | | | |
| **M** | |  | | **:13A::** | | | | | | | **CAON//001** | | CA Option Number | | | | | | |
| **M** | |  | | **:22F::** | | | | | | | **CAOP//CASH** | | Corporate Action Option Code Indicator | | | | | | |
| **O** | |  | | **:11A::** | | | | | | | **OPTN//EUR** | | Currency offered | | | | | | |
| **M** | |  | | **:17B::** | | | | | | | **DFLT//Y** | | Default Processing Flag | | | | | | |
|  | |  | |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | |
|  | |  | | **:16R:** | | | | | | | **SECMOVE** | | Start of Block | | | | | | |
| **M** | |  | | **:22H::** | | | | | | | **CRDB//DEBT** | | Credit/Debit Indicator | | | | | | |
| **M** | |  | | **:35B:** | | | | | | | **ISIN XS0037583977** | | Identification of the Financial Instrument | | | | | | |
| **O** | |  | | **:36B::** | | | | | | | **ENTL//FAMT/48000000,** | | Quantity to be debited | | | | | | |
| **M** | |  | | **:98A::** | | | | | | | **PAYD//20YY1020** | | Payment Date/Time | | | | | | |
|  | |  | | **:16S:** | | | | | | | **SECMOVE** | | End Of Block | | | | | | |
|  | |  | |  | *End of Subsequence E1 Securities Movement* | | | | | | | | |
|  | |  | |  | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | |
|  | |  | | **:16R:** | | | | | | | | **CASHMOVE** | Start of Block | | | | | |
| **M** | |  | | **:22H::** | | | | | | | | **CRDB//CRED** | Credit/Debit Indicator | | | | | |
| **R** | |  | | **:19B::** | | | | | | | | **ENTL//EUR48000000,** | Entitled amount | | | | | |
| **O** | |  | | **:19B::** | | | | | | | | **GRSS//EUR48000000,** | Gross amount | | | | | |
| **M** | |  | | **:98A::** | | | | | | | | **PAYD//20YY1020** | Payment Date/Time | | | | | |
| **O** | |  | | **:98A::** | | | | | | | | **VALU//20YY1020** | Value Date/Time | | | | | |
| **O** | |  | | **:98A::** | | | | | | | | **EARL//20YY1022** | Earliest payment date (think bank holiday) | | | | | |
| **M** | |  | | **:92A::** | | | | | | | | **RATE//20,** | Percentage of outstanding securities redeemed | | | | | |
| **M** | |  | | **:90A::** | | | | | | | | **OFFR//PRCT/100,** | Redemption price | | | | | |
|  | |  | | **:16S:** | | | | | | | **CASHMOVE** | | End Of Block | | | | | |
|  | |  | |  | *End of Subsequence E2 Cash Movement* | | | | | | | | |  | |
|  | |  | | **:16S:** | | | | | | | **CAOPTN** | | End Of Block | | | | | |
|  | |  | | *End of Sequence E Corporate Action Options* | | | | | | | | | | | | | |  | | |

# PINK: Pay in Kind (MAND)

### Definition:

Interest payment, in any kind except cash, distributed, to holders of an interest bearing asset.

### Issue name:

Corral Petroleum Holdings AB EO-FLR Notes 2007(07/10)Reg.S

ISIN XS0294847594

### Terms:

Bondholders will receive EUR 8,94444 new bonds for EUR 1000 bonds held.

### Relative dates:

Record date: December 31, 2010

Pay date: January 15, 2011

Interest period: October 15, 2010 – January 14, 2011

### Transaction description:

The client account has an eligible holding of EUR 500.000

### Messages:

MT 564 for the notice of entitlement

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | | | | | | | |
|  | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | |
|  | **:16R:** | | | | | | **GENL** | | | | | Start of Block | | | |
| **M** | **:20C::** | | | | | | **CORP//123456XXXX** | | | | | Corporate Action Reference | | | |
| **M** | **:20C::** | | | | | | **SEME//98765325** | | | | | Sender's Message Reference | | | |
| **O** | **:20C::** | | | | | | **COAF//XXX632541** | | | | | Official Corporate Action Event Reference | | | |
| **M** | **:23G:** | | | | | | **REPE** | | | | | Replacement with entitlement | | | |
| **M** | **:22F::** | | | | | | **CAEV//PINK** | | | | | Corporate Action Event Indicator | | | |
| **M** | **:22F::** | | | | | | **CAMV//MAND** | | | | | Mandatory/Voluntary Indicator | | | |
| **M** | **:25D::** | | | | | | **PROC//COMP** | | | | | Processing Status | | | |
| **O** |  | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | |
|  | **:16R:** | | | | | | **LINK** | | | | | Start of Block | | | |
| **R** | **:13A::** | | | | | | **LINK//564** | | | | | Previously sent message type | | | |
| **M** | **:20C::** | | | | | | **PREV//1997189-012** | | | | | Previously sent message reference | | | |
|  | **:16S:** | | | | | | **LINK** | | | | | End of Block | | | |
| **O** |  | *End of Subsequence A1 Linkages* | | | | | | | | | | |
|  |  |  |  | |  | | |  | |  | | |
|  | **:16S:** | | | | | | **GENL** | | | | | End Of Block | | | |
| **M** | *End of Sequence A General Information* | | | | | | | | | | | |
|  |  |  |  | |  | | |  | |  | | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | |
|  | **:16R:** | | | | | | **USECU** | | | | | Start of Block | | | |
| **M** | **:35B:** | | | | | | **ISIN XS0294847594** | | | | | Identification of the Financial Instrument | | | |
|  |  |  |  | |  | | |  | |  | | |
| **M** |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | **ACCTINFO** | | | | | Start of Block | | | |
| **M** | **:97A::** | | | | | | **SAFE//1807635** | | | | | Safekeeping Account | | | |
| **O** | **:93B::** | | | | | | **ELIG//FAMT/500000,** | | | | | Total Eligible For Corporate Action Balance | | | |
|  | **:16S:** | | | | | | **ACCTINFO** | | | | | End Of Block | | | |
| **M** |  | *End of Subsequence B2 Account Information* | | | | | | | | | | |
|  |  |  |  | |  | | |  | |  | | |
|  | **:16S:** | | | | | | **USECU** | | | | | End Of Block | | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | |
|  |  |  |  | |  | | |  | |  | | |
| **O** | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | | | |
|  | **:16R:** | | | | | | **CADETL** | | | | | Start of Block | | | |
| **M** | **:98A::** | | | | | | **RDTE//20101231** | | | | | Record Date/Time | | | |
| **O** | **:69A::** | | | | | | **INPE//20101015/20110114** | | | | | Interest period | | | |
| **O** | **:22F::** | | | | | | **ADDB//CAPA** | | | | | Prelimiary advice of payment message | | | |
|  | **:16S:** | | | | | | **CADETL** | | | | | End Of Block | | | |
| **O** | *End of Sequence D Corporate Actions Details* | | | | | | | | | | | |
|  |  |  |  | |  | | |  | |  | | |
| **O** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | **CAOPTN** | | | | | Start of Block | | | |
| **M** | **:13A::** | | | | | | **CAON//001** | | | | | CA Option Number | | | |
| **M** | **:22F::** | | | | | | **CAOP//SECU** | | | | | Corporate Action Option Code Indicator | | | |
| **M** | **:17B::** | | | | | | **DFLT//Y** | | | | | Default Processing Flag | | | |
|  |  |  | |  | |  | | |  | |  | | |
| **O** |  |  | | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | |
|  | **:16R:** | | | | | | **SECMOVE** | | | | | Start of Block | | | |
| **M** | **:22H::** | | | | | | **CRDB//CRED** | | | | | Credit/Debit Indicator | | | |
| **M** | **:35B:** | | | | | | **ISIN XS0294847594** | | | | | Identification of the Financial Instrument | | | |
| **R** | **:36B::** | | | | | | **ENTL//FAMT/4472,2** | | | | | Quantity of new shares to be posted | | | |
| **O** | **:92L::** | | | | | | **ADEX//EUR8,94444/EUR1000** | | | | | Applicable Rate | | | |
| **M** | **:98A::** | | | | | | **PAYD//20110115** | | | | | Payment Date/Time | | | |
|  | **:16S:** | | | | | | **SECMOVE** | | | | | End Of Block | | | |
| **O** |  |  | | *End of Subsequence E1 Securities Movement* | | | | | | | | |
|  |  |  | |  | |  | | |  | |
|  | **:16S:** | | | | | | **CAOPTN** | | | | | End Of Block | | | |
| **O** |  | *End of Sequence E Corporate Action Options* | | | | | | | | | | |

# PPMT: Instalment Call (CHOS)

### Definition:

An instalment towards the purchase of equity capital, subject to an agreement between an issuer and purchaser.

### Issue name:

ABC instalment receipt/option

ISIN XX0000DD1234

### Terms

The final Instalment Price is equal to EUR 0.5

### Relative dates:

Payment date for final instalment payment - March 25, 20YY

Payment date for credit of outturn securities – April 8, 20YY

### Outturn Issue name:

ABC share

ISIN XX0000CC1234

### Messages:

MT 564 for the notice of entitlement

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | | | | | |
|  |  |  |  |  |  | | |  | | | | |  | | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | |  | | | |
|  | **:16R:** | | | | | **GENL** | | | | Start of Block | | | |
| **M** | **:20C::** | | | | | **CORP//PPMT000123** | | | | Corporate Action Reference | | | |
| **M** | **:20C::** | | | | | **SEME//20YY0324001** | | | | Sender's Message Reference | | | |
| **O** | **:20C::** | | | | | **COAF//AUABC123456** | | | | Official Corporate Action Event Reference | | | |
| **M** | **:23G:** | | | | | **REPE** | | | | Replacement with entitlement | | | |
| **M** | **:22F::** | | | | | **CAEV//PPMT** | | | | Corporate Action Event Indicator | | | |
| **M** | **:22F::** | | | | | **CAMV//CHOS** | | | | Mandatory/Voluntary Indicator | | | |
| **M** | **:25D::** | | | | | **PROC//COMP** | | | | Processing Status | | | |
| **O** |  | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | |  | | | |
|  | **:16R:** | | | | | **LINK** | | | | Start of Block | | | |
| **R** | **:13A::** | | | | | **LINK//564** | | | | Previously sent message type | | | |
| **M** | **:20C::** | | | | | **PREV//20YY0311001** | | | | Previously sent message reference | | | |
|  | **:16S:** | | | | | **LINK** | | | | End of Block | | | |
| **O** |  | *End of Subsequence A1 Linkages* | | | | | | | | |  | | | |
| **O** |  | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | |  | | | |
|  | **:16R:** | | | | | **LINK** | | | | Start of Block | | | |
| **R** | **:13A::** | | | | | **LINK//565** | | | | Previously received message type | | | |
| **M** | **:20C::** | | | | | **RELA//565-ABC001** | | | | Previously received message reference | | | |
|  | **:16S:** | | | | | **LINK** | | | | End of Block | | | |
| **O** |  | *End of Subsequence A1 Linkages* | | | | | | | | |  | | | |
|  | **:16S:** | | | | | **GENL** | | | | End Of Block | | | |
| **M** | *End of Sequence A General Information* | | | | | | | | | |  | | | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | |  | | | |
|  | **:16R:** | | | | | **USECU** | | | Start of Block | | | | |
| **M** | **:35B:** | | | | | **ISIN XX0000DD1234** | | | Identification of the Financial Instrument | | | | |
| **M** |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | |
|  | **:16R:** | | | | | **ACCTINFO** | | | Start of Block | | | | |
| **M** | **:97A::** | | | | | **SAFE//98-0112441-05** | | | Safekeeping Account | | | | |
| **M** | **:93B::** | | | | | **ELIG//UNIT/100000,** | | | Total Eligible For Corporate Action Balance | | | | |
|  | **:16S:** | | | | | **ACCTINFO** | | | End Of Block | | | | |
| **M** |  | *End of Subsequence B2 Account Information* | | | | | | | | |  | | | |
|  | **:16S:** | | | | | **USECU** | | | End Of Block | | | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | |  | | | |
| **R** | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | |  | | | |
|  | **:16R:** | | | | | **CADETL** | | | Start of Block | | | | |
| **O** | **:98A::** | | | | | **ANOU//20YY1201** | | | Announcement date | | | | |
| **M** | **:98A::** | | | | | **RDTE//20YY0313** | | | Record Date | | | | |
|  | **:16S:** | | | | | **CADETL** | | | End Of Block | | | | |
| **R** | *End of Sequence D Corporate Actions Details* | | | | | | | | | |  | | | |
| **O** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | |
|  | **:16R:** | | | | | **CAOPTN** | | | Start of Block | | | | |
| **M** | **:13A::** | | | | | **CAON//001** | | | CA Option Number | | | | |
| **M** | **:22F::** | | | | | **CAOP//SECU** | | | Corporate Action Option Code Indicator | | | | |
| **M** | **:17B::** | | | | | **DFLT//N** | | | Default Processing Flag | | | | |
| **O** | **:98C::** | | | | | **RDDT//20YY0323180000** | | | Response deadline date/time | | | | |
| **O** | **:98A::** | | | | | **MKDT//20YY0324** | | | Market Deadline Date | | | | |
| **O** |  |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | |
| **M** | **:16R:** | | | | | | **SECMOVE** | | Start of Block | | | | |
| **M** | **:22H::** | | | | | | **CRDB//DEBT** | | Credit/Debit Indicator | | | | |
| **M** | **:35B:** | | | | | | **ISIN XX0000DD1234** | | Identification of the Financial Instrument | | | | |
| **M** | **:36B::** | | | | | | **ENTL//UNIT/100000,** | | Quantity to be debited | | | | |
| **M** | **:98A::** | | | | | | **PAYD//20YY0325** | | Payment Date/Time | | | | |
| **M** | **:16S:** | | | | | | **SECMOVE** | | End Of Block | | | | |
| **O** |  |  | *End of Subsequence E1 Securities Movement* | | | | | | | |  | | | |
| **O** |  |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | |
| **M** | **:16R:** | | | | | | **SECMOVE** | | Start of Block | | | | |
| **M** | **:22H::** | | | | | | **CRDB//CRED** | | Credit/Debit Indicator | | | | |
| **M** | **:35B:** | | | | | | **ISIN XX0000CC1234** | | Identification of the Financial Instrument | | | | |
| **M** | **:36B::** | | | | | | **ENTL//UNIT/10000,** | | Quantity of new shares to be posted | | | | |
| **M** | **:92D::** | | | | | | **NEWO//1,/10,** | | New to Old | | | | |
| **M** | **:98A::** | | | | | | **PAYD//20YY0408** | | Payment Date/Time | | | | |
| **O** | **:98A::** | | | | | | **AVAL//20YY0408** | | Available Date/Time For Trading | | | | |
| **M** | **:16S:** | | | | | | **SECMOVE** | | End Of Block | | | | |
| **O** |  |  | *End of Subsequence E1 Securities Movement* | | | | | | | | | | | |
| **O** |  |  | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | | | | |
|  | **:16R:** | | | | | | **CASHMOVE** | | Start of Block | | | | |
| **M** | **:22H::** | | | | | | **CRDB//DEBT** | | Credit/Debit Indicator | | | | |
| **R** | **:19B::** | | | | | | **ENTL//EUR5000,** | | Entitled amount | | | | |
| **M** | **:98A::** | | | | | | **PAYD//20YY0325** | | Payment Date/Time | | | | |
| **O** | **:98A::** | | | | | | **VALU//20YY0325** | | Value Date/Time | | | | |
| **M** | **:90B::** | | | | | | **PRPP//ACTU/EUR0,5** | | Generic Cash Price Received per Product | | | | |
|  | **:16S:** | | | | | | **CASHMOVE** | | End Of Block | | | | |
| **O** |  |  | *End of Subsequence E2 Cash Movement* | | | | | | | |  | | | |
|  | **:16S:** | | | | | | **CAOPTN** | | End Of Block | | | | |
| **O** |  | *End of Sequence E Corporate Action Options* | | | | | | | | |  | | | |
| **O** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | |
|  | **:16R:** | | | | | | **CAOPTN** | | Start of Block | | | | |
| **M** | **:13A::** | | | | | | **CAON//002** | | CA Option Number | | | | |
| **M** | **:22F::** | | | | | | **CAOP//LAPS** | | Corporate Action Option Code Indicator | | | | |
| **M** | **:17B::** | | | | | | **DFLT//Y** | | Default Processing Flag | | | | |
|  | **:16S:** | | | | | | **CAOPTN** | | End Of Block | | | | |
| **O** |  | *End of Sequence E Corporate Action Options* | | | | | | | | | |  | | | |

# PRED: Partial Redemption (MAND) - Without Reduction of Nominal Value

### Definition:

Securities are redeemed in part before their scheduled final maturity date without reduction of the nominal value of the securities. This is commonly done by pool factor reduction.

### Basis Issue name:

USD FL.R INDYMAC INDX MORT.(06AR29/A5)06-36

ISIN US45662DAE58

### Relative dates:

Record Date – December 24, 20YY

### Transaction description:

Account 12345 holds a position of 25000000, unit of ISIN US45662DAE58 as a settled position. The debt instrument is announced on to be 01 Dec 20YY to redeem in part without reduction of nominal value. This is done by a reduction of the pool factor value of the debt instrument and result in the payment of a cash amount calculated on the basis of the pool factor reduction.

The redemption rate is 0,5690705 %. As a result the pool factor changes from 0,849655921 to 0,843965216.

### Messages:

MT 564 for the notice of entitlement

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | | | | | |
|  | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | |  | | | | | | |
| **M** | **:16R:** | | | | | | | **GENL** | | Start of Block | | | | | |
| **M** | **:20C::** | | | | | | | **CORP//CORP123** | | Corporate Action Reference | | | | | |
| **M** | **:20C::** | | | | | | | **SEME//SEME123** | | Sender's Message Reference | | | | | |
| **O** | **:20C::** | | | | | | | **COAF//COAF123** | | Official Corporate Action Event Reference | | | | | |
| **M** | **:23G:** | | | | | | | **REPE** | | Replacement with entitlement | | | | | |
| **M** | **:22F::** | | | | | | | **CAEV//PRED** | | Corporate Action Event Indicator | | | | | |
| **M** | **:22F::** | | | | | | | **CAMV//MAND** | | Mandatory/Voluntary Indicator | | | | | |
| **M** | **:25D::** | | | | | | | **PROC//COMP** | | Processing Status | | | | | |
| **O** |  | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | |  | | | | | | |
|  | **:16R:** | | | | | | **LINK** | | | Start of Block | | | | | |
| **R** | **:13A::** | | | | | | **LINK//564** | | | Previously sent message type | | | | | |
| **M** | **:20C::** | | | | | | **PREV//20YY0311001** | | | Previously sent message reference | | | | | |
|  | **:16S:** | | | | | | **LINK** | | | End of Block | | | | | |
| **O** |  | | *End of Subsequence A1 Linkages* | | | | | | | |  | | | | | | |
| **M** | **:16S:** | | | | | | | **GENL** | | End Of Block | | | | | |
|  | *End of Sequence A General Information* | | | | | | | | | | |  | | | | | | |
|  | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | |  | | | | | | |
| **M** | **:16R:** | | | | | | | **USECU** | | Start of Block | | | | | |
| **M** | **:35B:** | | | | | | | **ISIN US45662DAE58** | | Identification of the Financial Instrument | | | | | |
|  |  | *Optional Subsequence B1 Financial Instrument Attributes* | | | | | | | | | | | | |
| **M** | **:16R:** | | | | | | | **FIA** | | Start of Block | | | | | |
| **O** | **:36B::** | | | | | | | **MINO//FAMT/1000,** | | Minimum Nominal Quantity (Minimum Nominal Value) | | | | | |
|  | **:16S:** | | | | | | | **FIA** | | End Of Block | | | | | |
|  |  | *End of Subsequence B1 Financial Instrument Attributes* | | | | | | | | | | | | | | |
|  |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | | |
| **M** | **:16R:** | | | | | | | **ACCTINFO** | | Start of Block | | | | | |
| **M** | **:97A::** | | | | | | | **SAFE//12345** | | Safekeeping Account | | | | | |
| **O** | **:93B::** | | | | | | | **ELIG//FAMT/25000000,** | | Total Eligible For Corporate Action Balance | | | | | |
| **O** | **:93B::** | | | | | | | **SETT//FAMT/25000000,** | | Settled balance | | | | | |
| **M** | **:16S:** | | | | | | | **ACCTINFO** | | End Of Block | | | | | |
|  |  | *End of Subsequence B2 Account Information* | | | | | | | | |  | | | | | | |
| **M** | **:16S:** | | | | | | | **USECU** | | End Of Block | | | | | |
|  | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | |  | | | | | | |
|  | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | | |  | | | | | | |
| **R** | **:16R:** | | | | | | | **CADETL** | | Start of Block | | | | | |
| **O** | **:98A::** | | | | | | | **ANOU//20YY1201** | | Announcement date | | | | | |
| **M** | **:98A::** | | | | | | | **RDTE//20YY1202** | | Record Date/Time | | | | | |
| **M** | **:92A::** | | | | | | | **PRFC//0,849655921** | | Previous factor | | | | | |
| **M** | **:92A::** | | | | | | | **NWFC//0,843965216** | | Next factor | | | | | |
| **R** | **:16S:** | | | | | | | **CADETL** | | End Of Block | | | | | |
|  | *End of Sequence D Corporate Actions Details* | | | | | | | | | | |  | | | | | | |
| **M** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | |  | |
| **M** | **:16R:** | | | | | | | **CAOPTN** | | Start of Block | | | | | |
| **M** | **:13A::** | | | | | | | **CAON//001** | | CA Option Number | | | | | |
| **M** | **:22F::** | | | | | | | **CAOP//CASH** | | Corporate Action Option Code Indicator | | | | | |
| **R** | **:11A::** | | | | | | | **OPTN//USD** | | Currency offered | | | | | |
| **M** | **:17B::** | | | | | | | **DFLT//Y** | | Default Processing Flag | | | | | |
|  |  |  | | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | | | | |  | |
|  | **:16R:** | | | | | | | **CASHMOVE** | | Start of Block | | | | | |
| **M** | **:22H::** | | | | | | | **CRDB//CRED** | | Credit/Debit Indicator | | | | | |
| **R** | **:19B::** | | | | | | | **ENTL//USD142267,62** | | Entitled amount | | | | | |
| **O** | **:19B::** | | | | | | | **GRSS//USD142267,62** | | Gross amount | | | | | |
| **M** | **:98A::** | | | | | | | **PAYD//20YY1204** | | Payment Date/Time | | | | | |
| **O** | **:98A::** | | | | | | | **VALU//20YY1204** | | Value Date/Time | | | | | |
| **O** | **:98A::** | | | | | | | **EARL//20YY1206** | | Earliest Payment Date (think bank holiday) | | | | | |
| **M** | **:92A::** | | | | | | | **RATE//0,5690705** | | Percentage of outstanding securities redeemed | | | | | |
| **M** | **:90A::** | | | | | | | **OFFR//PRCT/100,** | | Offer Price | | | | | |
|  | **:16S:** | | | | | | | **CASHMOVE** | | End Of Block | | | | | |
|  |  |  | | *End of Subsequence E2 Cash Movement* | | | | | | |  | | | | | | |
| **M** | **:16S:** | | | | | | | **CAOPTN** | | End Of Block | | | | | |
|  |  | *End of Sequence E Corporate Action Options* | | | | | | | | | | | |  | | | | | | |
|  |  |  | |  |  |  | | |  | | | | |  | | | | | | |

# PRIO: Priority Offer (VOLU) - Without Rights

### Definition:

Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.

### Issue name:

ORASCOM TELECOM HOLDING GDSS

ISIN US68554W2052

### Terms:

For existing shareholders, the company is proposing a rights offering to raise USD 800 million (or EGP 4,392 million) at a price of EGP 1 per share (one new share for ten existing). No rights will be distributed for this offer. Holders may be entitled to oversubscribe: each holder of GDSS on February 2nd, 20YY, will have rights to subscribe for additional GDSS (the new GDSS)

### Relative dates:

Ex date - 29 January 20YY

Record date - 02 February 20YY

Response deadline date – 17 February 20YY

Market deadline date – 18 February 20YY

Payment date – 25 February 20YY

### Transaction description:

A/C 98-0112441-05 has an underlying balance of 80,000 shares.

### Instruction description:

The Client will elect the securities option for the entire eligible balance.

### Messages:

MT 564 for notification

MT 565 for the instruction

MT 567 for the processing status of the instruction

MT 564 for the notice of entitlement

MT 566 for the confirmation

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notification | | | | | | | | | | |
|  |  |  |  |  |  | | |  | | |  | | | | | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | |  | | | | | |
|  | **:16R:** | | | | | | **GENL** | | Start of Block | | | | | | | |
| **M** | **:20C::** | | | | | | **CORP//10027760554W2052** | | Corporate Action Reference | | | | | | | |
| **M** | **:20C::** | | | | | | **SEME//11142766** | | Sender's Message Reference | | | | | | | |
| **O** | **:20C::** | | | | | | **COAF//BI01593212345** | | Official Corporate Action Event Reference | | | | | | | |
| **M** | **:23G:** | | | | | | **NEWM** | | Function of the message (here, new message) | | | | | | | |
| **M** | **:22F::** | | | | | | **CAEV//PRIO** | | Corporate Action Event Indicator | | | | | | | |
| **M** | **:22F::** | | | | | | **CAMV//VOLU** | | Mandatory/Voluntary Indicator | | | | | | | |
| **M** | **:25D::** | | | | | | **PROC//COMP** | | Processing Status | | | | | | | |
|  | **:16S:** | | | | | | **GENL** | | End Of Block | | | | | | | |
| **M** | *End of Sequence A General Information* | | | | | | | | |  | | | | | | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | |  | | | | | | |
|  | **:16R:** | | | | | | **USECU** | | Start of Block | | | | | | | |
| **M** | **:35B:** | | | | | | **ISIN US68554W2052** | | Identification of the Financial Instrument | | | | | | | |
|  |  |  |  |  |  | | |  | |  | | | | | | |
| **M** |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | **ACCTINFO** | | Start of Block | | | | | | |
| **M** | **:97A::** | | | | | | **SAFE//98-0112441-05** | | Safekeeping Account | | | | | | |
| **O** | **:93B::** | | | | | | **ELIG//UNIT/80000,** | | Total Eligible For Corporate Action Balance | | | | | | |
| **O** | **:93B::** | | | | | | **PEND//UNIT/10000,** | | Pending Delivery Balance | | | | | | |
| **O** | **:93B::** | | | | | | **PENR//UNIT/10000,** | | Pending Receipt Balance | | | | | | |
| **O** | **:93B::** | | | | | | **SETT//UNIT/80000,** | | Settlement Position Balance | | | | | | |
|  | **:16S:** | | | | | | **ACCTINFO** | | End Of Block | | | | | | |
| **M** |  | *End of Subsequence B2 Account Information* | | | | | | | |  | | | | | | |
|  | **:16S:** | | | | | **USECU** | | | End Of Block | | | | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | |  | | | | | | |
| **M** | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | |  | | | | | | |
|  | **:16R:** | | | | | | **CADETL** | | Start of Block | | | | | | |
| **M** | **:98A::** | | | | | | **XDTE//20YY0129** | | Ex-Dividend or Distribution Date/Time | | | | | | |
| **M** | **:98A::** | | | | | | **RDTE//20YY0202** | | Record Date/Time | | | | | | |
|  | **:16S:** | | | | | | **CADETL** | | End Of Block | | | | | | |
| **M** | *End of Sequence D Corporate Actions Details* | | | | | | | | |  | | | | | | |
| **O** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | **CAOPTN** | | Start of Block | | | | | | |
| **M** | **:13A::** | | | | | | **CAON//001** | | CA Option Number | | | | | | |
| **M** | **:22F::** | | | | | | **CAOP//SECU** | | Corporate Action Option Code Indicator | | | | | | |
| **M** | **:17B::** | | | | | | **DFLT//N** | | Default Processing Flag | | | | | | |
| **O** | **:98A::** | | | | | | **RDDT//20YY0217** | | Response deadline date/time | | | | | | |
| **O** | **:98A::** | | | | | | **MKDT//20YY0218** | | Market Deadline Date | | | | | | |
| **O** | **:98A::** | | | | | | **SUBS//20YY0223** | | Subscription Cost Debit Date | | | | | | |
| **O** | **:69A::** | | | | | | **PWAL//20YY0129/20YY0218** | | Period of action | | | | | | |
| **O** | **:92A::** | | | | | | **OVEP//50,** | | Maximum Allowed Oversubscription Rate | | | | | | |
| **O** |  |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | | |
| **M** | **:16R:** | | | | | | **SECMOVE** | | Start of Block | | | | | | |
| **M** | **:22H::** | | | | | | **CRDB//CRED** | | Credit/Debit Indicator | | | | | | |
| **M** | **:35B:** | | | | | | **ISIN US68554W2052** | | Identification of the Financial Instrument | | | | | | |
| **O** | **:36B::** | | | | | | **ENTL//UNIT/8000,** | | Quantity to be credited | | | | | | |
| **O** | **:92D::** | | | | | | **ADEX//1,/10,** | | Additional for Existing Securities | | | | | | |
| **M** | **:98A::** | | | | | | **PAYD//20YY0225** | | Payment Date/Time | | | | | | |
| **O** | **:98A::** | | | | | | **EARL//20YY0226** | | Earliest payment date | | | | | | |
| **M** | **:16S:** | | | | | | **SECMOVE** | | End Of Block | | | | | | |
| **O** |  |  | *End of Subsequence E1 Securities Movement* | | | | | | |  | | | | | | |
| **O** |  |  | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | | |  | | | |
|  | **:16R:** | | | | | | **CASHMOVE** | | Start of Block | | | | | | |
| **M** | **:22H::** | | | | | | **CRDB//DEBT** | | Credit/Debit Indicator | | | | | | |
| **O** | **:19B::** | | | | | | **ENTL//EGP8000,** | | Entitled amount | | | | | | |
| **O** | **:19B::** | | | | | | **GRSS//EGP8000,** | | Gross amount | | | | | | |
| **M** | **:98A::** | | | | | | **PAYD//20YY0225** | | Payment Date/Time | | | | | | |
| **O** | **:98A::** | | | | | | **VALU//20YY0225** | | Value Date/Time | | | | | | |
| **O** | **:98A::** | | | | | | **EARL//20YY0226** | | Earliest payment date | | | | | | |
| **M** | **:90B::** | | | | | | **PRPP//ACTU/EGP1,** | | Generic Cash Price Paid per Product | | | | | | |
|  | **:16S:** | | | | | | **CASHMOVE** | | End Of Block | | | | | | |
| **O** |  |  | *End of Subsequence E2 Cash Movement* | | | | | | |  | | | | | | |
|  | **:16S:** | | | | | | **CAOPTN** | | End Of Block | | | | | | |
| **O** |  | *End of Sequence E Corporate Action Options* | | | | | | | |  | | | | | | |
| **O** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | **CAOPTN** | | Start of Block | | | | | | |
| **M** | **:13A::** | | | | | | **CAON//002** | | CA Option Number | | | | | | |
| **M** | **:22F::** | | | | | | **CAOP//OVER** | | Corporate Action Option Code Indicator | | | | | | |
| **M** | **:17B::** | | | | | | **DFLT//N** | | Default Processing Flag | | | | | | |
| **O** | **:98A::** | | | | | | **RDDT//20YY0217** | | Response deadline date/time | | | | | | |
| **O** | **:98A::** | | | | | | **MKDT//20YY0218** | | Market Deadline Date | | | | | | |
| **O** | **:69A::** | | | | | | **PWAL//20YY0129/20YY0218** | | Period of action | | | | | | |
| **O** |  |  | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | |  | | | | |
|  | **:16R:** | | | | | | **CASHMOVE** | | Start of Block | | | | | | |
| **M** | **:22H::** | | | | | | **CRDB//DEBT** | | Credit/Debit Indicator | | | | | | |
| **M** | **:98A::** | | | | | | **PAYD//20YY0225** | | Payment Date/Time | | | | | | |
| **O** | **:98A::** | | | | | | **VALU//20YY0225** | | Value Date/Time | | | | | | |
| **O** | **:98A::** | | | | | | **EARL//20YY0226** | | Earliest payment date | | | | | | |
|  | **:16S:** | | | | | | **CASHMOVE** | | End Of Block | | | | | | |
| **O** |  |  | *End of Subsequence E2 Cash Movement* | | | | | | |  | | | | | | |
|  | **:16S:** | | | | | | **CAOPTN** | | End Of Block | | | | | | |
| **O** |  | *End of Sequence E Corporate Action Options* | | | | | | | |  | | | | | | |
| **O** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | **CAOPTN** | | Start of Block | | | | | | |
| **M** | **:13A::** | | | | | | **CAON//003** | | CA Option Number | | | | | | |
| **M** | **:22F::** | | | | | | **CAOP//NOAC** | | Corporate Action Option Code Indicator | | | | | | |
| **M** | **:17B::** | | | | | | **DFLT//Y** | | Default Processing Flag | | | | | | |
|  | **:16S:** | | | | | | **CAOPTN** | | End Of Block | | | | | | |
| **O** |  | *End of Sequence E Corporate Action Options* | | | | | | | | |  | | |

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 565 Corporate Action Instruction | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  |  |  | |  | |  | |  | | | | | | | | | | |  | | | | | | | |  | | | | | | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | |
|  | **:16R:** | | | | | | | | | | | | **GENL** | | | | | | | | | | Start of Block | | | | | | | | | | |
| **M** | **:20C::** | | | | | | | | | | | | **CORP//10027760554W2052** | | | | | | | | | | Corporate Action Reference | | | | | | | | | | |
| **M** | **:20C::** | | | | | | | | | | | | **SEME//11142767** | | | | | | | | | | Sender's Message Reference | | | | | | | | | | |
| **O** | **:20C::** | | | | | | | | | | | | **COAF//BI01593212345** | | | | | | | | | | Official Corporate Action Event Reference | | | | | | | | | | |
| **M** | **:23G:** | | | | | | | | | | | | **NEWM** | | | | | | | | | | Function of the message (here, new message) | | | | | | | | | | |
| **M** | **:22F::** | | | | | | | | | | | | **CAEV//PRIO** | | | | | | | | | | Corporate Action Event Indicator | | | | | | | | | | |
| **R** |  | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  | **:16R:** | | | | | | | | | | | | **LINK** | | | | | | | | | | Start of Block | | | | | | | | | | |
| **R** | **:13A::** | | | | | | | | | | | | **LINK//564** | | | | | | | | | | Previously received message type | | | | | | | | | | |
| **M** | **:20C::** | | | | | | | | | | | | **RELA//11142766** | | | | | | | | | | Previously received message reference | | | | | | | | | | |
|  | **:16S:** | | | | | | | | | | | | **LINK** | | | | | | | | | | End of Block | | | | | | | | | | |
| **R** |  | *End of Subsequence A1 Linkages* | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  | **:16S:** | | | | | | | | | | | **GENL** | | | | | | | | | | | End Of Block | | | | | | | | | | |
| **M** | *End of Sequence A General Information* | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  | **:16R:** | | | | | | | | | | | | **USECU** | | | | | | | | | | Start of Block | | | | | | | | | | |
| **R** | **:35B:** | | | | | | | | | | | | **ISIN US68554W2052** | | | | | | | | | | Identification of the Financial Instrument | | | | | | | | | | |
| **M** |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | | | | | | | **ACCTINFO** | | | | | | | | | | Start of Block | | | | | | | | | | |
| **M** | **:97A::** | | | | | | | | | | | | **SAFE//98-0112441-05** | | | | | | | | | | Safekeeping Account | | | | | | | | | | |
| **R** | **:93B::** | | | | | | | | | | | | **ELIG//UNIT/80000,** | | | | | | | | | | Total Eligible For Corporate Action Balance | | | | | | | | | | |
|  | **:16S:** | | | | | | | | | | | | **ACCTINFO** | | | | | | | | | End Of Block | | | | | | | | | | |
| **M** |  | *End of Subsequence B2 Account Information* | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  | **:16S:** | | | | | | | | | | | | **USECU** | | | | | | | | | End Of Block | | | | | | | | | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
| **M** | *Mandatory* **Sequence D** *Corporate Actions Instruction* | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  | **:16R:** | | | | | | | | | | | | **CAINST** | | | | | | | | | Start of Block | | | | | | | | | | |
| **M** | **:13A::** | | | | | | | | | | | | **CAON//001** | | | | | | | | | CA Option Number | | | | | | | | | | |
| **M** | **:22F::** | | | | | | | | | | | | **CAOP//SECU** | | | | | | | | | Corporate Action Option Code Indicator | | | | | | | | | | |
| **O** | **:35B:** | | | | | | | | | | | | **ISIN US68554W2052** | | | | | | | | | Identification of the Financial Instrument | | | | | | | | | | |
| **M** | **:36B::** | | | | | | | | | | | | **QINS//UNIT/80000,** | | | | | | | | | Quantity of securities instructed | | | | | | | | | | |
|  | **:16S:** | | | | | | | | | | | | **CAINST** | | | | | | | | | End Of Block | | | | | | | | | | |
| **M** | *End of Sequence D Corporate Actions Details* | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | | | | | |
|  |  |  | |  | |  | |  | | | | | | | | | | |  | | | | | | | |  | | | | | | | | | | | |
| MT 567 Corporate Action Status and Processing Advice | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  |  |  | |  | |  | |  | | | | | | | | | |  | | | | | | |  | | | | | | | | | | | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | | | | | |
|  | **:16R:** | | | | | | | | | | **GENL** | | | | | | | | | | | Start of Block | | | | | | | | | | |
| **M** | **:20C::** | | | | | | | | | | **CORP//10027760554W2052** | | | | | | | | | | | Corporate Action Reference | | | | | | | | | | |
| **M** | **:20C::** | | | | | | | | | | **SEME//11142768** | | | | | | | | | | | Sender's Message Reference | | | | | | | | | | |
| **O** | **:20C::** | | | | | | | | | | **COAF//BI01593212345** | | | | | | | | | | | Official Corporate Action Event Reference | | | | | | | | | | |
| **M** | **:23G:** | | | | | | | | | | **INST** | | | | | | | | | | | Function of the message (here, reporting on an instruction status) | | | | | | | | | | |
| **M** | **:22F::** | | | | | | | | | | **CAEV//PRIO** | | | | | | | | | | | Corporate Action Event Indicator | | | | | | | | | | |
| **M** |  | *Mandatory Repetitive Subsequence A1 Linkages* | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | | | | |
|  | **:16R:** | | | | | | | | | | **LINK** | | | | | | | | | | | Start of Block | | | | | | | | | | |
| **R** | **:13A::** | | | | | | | | | | **LINK//565** | | | | | | | | | | | Previously received message type | | | | | | | | | | |
| **M** | **:20C::** | | | | | | | | | | **RELA//11142767** | | | | | | | | | | | Previously received message reference | | | | | | | | | | |
|  | **:16S:** | | | | | | | | | | **LINK** | | | | | | | | | | | End of Block | | | | | | | | | | |
| **M** |  | *End of Mandatory Subsequence A1 Linkages* | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | | | | |
|  |  |  | |  | |  | |  | | | | | | | | | |  | | | | | | |  | | | | | | | | | | |
| **M** |  | *Mandatory Repetitive Subsequence A2 Status* | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | | | | |
|  | **:16R:** | | | | | | | | | **STAT** | | | | | | | | | | | | Start of Block | | | | | | | | | | |
| **M** | **:25D::** | | | | | | | | | **IPRC//PACK** | | | | | | | | | | | | Instruction accepted for further processing | | | | | | | | | | |
|  | **:16S:** | | | | | | | | | **STAT** | | | | | | | | | | | | End of Block | | | | | | | | | | |
| **M** |  | *End of Mandatory Subsequence A2 Status* | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | | | | |
|  |  |  | |  | |  | |  | | | | | | | | | |  | | | | | | |  | | | | | | | | | | |
|  | **:16S:** | | | | | | | | | | **GENL** | | | | | | | | | | | End Of Block | | | | | | | | | | |
| **M** | *End of Sequence A General Information* | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | | | | |
|  |  |  | |  | |  | |  | | | | | | | | | |  | | | | | | |  | | | | | | | | | | |
| **M** | *Optional* **Sequence B** *Corporate Action Details* | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | | | | |
|  | **:16R:** | | | | | | | | | | **CADETL** | | | | | | | | | | | Start of Block | | | | | | | | | | |
| **M** | **:13A::** | | | | | | | | | | **CAON//001** | | | | | | | | | | | CA Option Number | | | | | | | | | | |
| **M** | **:22F::** | | | | | | | | | | **CAOP//SECU** | | | | | | | | | | | CA Option Code Indicator | | | | | | | | | | |
| **M** | **:36B::** | | | | | | | | | | **STAQ//UNIT/80000,** | | | | | | | | | | | Quantity on which the status is given | | | | | | | | | | |
|  | **:16S:** | | | | | | | | | | **CADETL** | | | | | | | | | | | End Of Block | | | | | | | | | | |
| **M** | *End of Optional Sequence B Corporate Action Details* | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | | | | | | |
|  |  |  | |  | |  | |  | | | | | | | | | |  | | | | | | |  | | | | | | | | | | | | |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | | | | | | | | | | | | | | | | | | |
|  |  | |  | |  | |  | |  | | | | | | | | | | | |  | | | | |  | | | | | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  | **:16R:** | | | | | | | | | | | | | | **GENL** | | | | | | | Start of Block | | | | | | | | | | |
| **M** | **:20C::** | | | | | | | | | | | | | | **CORP//10027760554W2052** | | | | | | | Corporate Action Reference | | | | | | | | | | |
| **M** | **:20C::** | | | | | | | | | | | | | | **SEME//11142769** | | | | | | | Sender's Message Reference | | | | | | | | | | |
| **O** | **:20C::** | | | | | | | | | | | | | | **COAF//BI01593212345** | | | | | | | Official Corporate Action Event Reference | | | | | | | | | | |
| **M** | **:23G:** | | | | | | | | | | | | | | **REPE** | | | | | | | Replacement with entitlement | | | | | | | | | | |
| **M** | **:22F::** | | | | | | | | | | | | | | **CAEV//PRIO** | | | | | | | Corporate Action Event Indicator | | | | | | | | | | |
| **M** | **:22F::** | | | | | | | | | | | | | | **CAMV//VOLU** | | | | | | | Mandatory/Voluntary Indicator | | | | | | | | | | |
| **M** | **:25D::** | | | | | | | | | | | | | | **PROC//COMP** | | | | | | | Processing Status | | | | | | | | | | |
| **O** |  | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  | **:16R:** | | | | | | | | | | | | | | | **LINK** | | | | | | Start of Block | | | | | | | | | | |
| **R** | **:13A::** | | | | | | | | | | | | | | | **LINK//564** | | | | | | Previously sent message type | | | | | | | | | | |
| **M** | **:20C::** | | | | | | | | | | | | | | | **PREV//11142766** | | | | | | Previously sent message reference | | | | | | | | | | |
|  | **:16S:** | | | | | | | | | | | | | | | **LINK** | | | | | | End of Block | | | | | | | | | | |
| **O** |  | | *End of Subsequence A1 Linkages* | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
| **O** |  | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  | **:16R:** | | | | | | | | | | | | | | | **LINK** | | | | | | Start of Block | | | | | | | | | | |
| **R** | **:13A::** | | | | | | | | | | | | | | | **LINK//565** | | | | | | Previously received message type | | | | | | | | | | |
| **M** | **:20C::** | | | | | | | | | | | | | | | **RELA//11142766** | | | | | | Previously received message reference | | | | | | | | | | |
|  | **:16S:** | | | | | | | | | | | | | | | **LINK** | | | | | | End of Block | | | | | | | | | | |
| **O** |  | | *End of Subsequence A1 Linkages* | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  | **:16S:** | | | | | | | | | | | | | | | **GENL** | | | | | | End Of Block | | | | | | | | | | |
| **M** | *End of Sequence A General Information* | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  | **:16R:** | | | | | | | | | | | | | | | **USECU** | | | | | | Start of Block | | | | | | | | | | |
| **M** | **:35B:** | | | | | | | | | | | | | | | **ISIN US68554W2052** | | | | | | Identification of the Financial Instrument | | | | | | | | | | |
| **M** |  | | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | | | | | | | | | | **ACCTINFO** | | | | | | Start of Block | | | | | | | | | | |
| **M** | **:97A::** | | | | | | | | | | | | | | | **SAFE//98-0112441-05** | | | | | | Safekeeping Account | | | | | | | | | | |
| **M** | **:93B::** | | | | | | | | | | | | | | | **ELIG//UNIT/80000,** | | | | | | Total Eligible For Corporate Action Balance | | | | | | | | | | |
|  | **:16S:** | | | | | | | | | | | | | | | **ACCTINFO** | | | | | | End Of Block | | | | | | | | | | |
| **M** |  | | *End of Subsequence B2 Account Information* | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  | **:16S:** | | | | | | | | | | | | | | | **USECU** | | | | | | End Of Block | | | | | | | | | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
| **M** | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  | **:16R:** | | | | | | | | | | | | | | | **CADETL** | | | | | | Start of Block | | | | | | | | | | |
| **M** | **:98A::** | | | | | | | | | | | | | | | **XDTE//20YY0129** | | | | | | Ex-Dividend or Distribution Date/Time | | | | | | | | | | |
| **M** | **:98A::** | | | | | | | | | | | | | | | **RDTE//20YY0202** | | | | | | Record Date/Time | | | | | | | | | | |
| **O** | **:22F::** | | | | | | | | | | | | | | | **ADDB//CAPA** | | | | | | The message is a preliminary advice of payment | | | | | | | | | | |
|  | **:16S:** | | | | | | | | | | | | | | | **CADETL** | | | | | | End Of Block | | | | | | | | | | |
| **M** | *End of Sequence D Corporate Actions Details* | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | |
| **O** |  | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | | | | | | | | | | **CAOPTN** | | | | | | Start of Block | | | | | | | | | | |
| **M** | **:13A::** | | | | | | | | | | | | | | | **CAON//001** | | | | | | CA Option Number | | | | | | | | | | |
| **M** | **:22F::** | | | | | | | | | | | | | | | **CAOP//SECU** | | | | | | Corporate Action Option Code Indicator | | | | | | | | | | |
| **M** | **:17B::** | | | | | | | | | | | | | | | **DFLT//N** | | | | | | Default Processing Flag | | | | | | | | | | |
| **O** | **:98A::** | | | | | | | | | | | | | | | **RDDT//20YY0217** | | | | | | Response deadline date/time | | | | | | | | | | |
| **O** | **:98A::** | | | | | | | | | | | | | | | **MKDT//20YY0218** | | | | | | Market Deadline Date | | | | | | | | | | |
| **O** | **:98A::** | | | | | | | | | | | | | | | **SUBS//20YY0223** | | | | | | Subscription Cost Debit Date | | | | | | | | | | |
| **O** | **:69A::** | | | | | | | | | | | | | | | **PWAL//20YY0129/20YY0218** | | | | | | Period of action | | | | | | | | | | |
| **O** | **:92A::** | | | | | | | | | | | | | | | **PROR//80,** | | | | | | Pro-Ration Rate | | | | | | | | | | |
| **O** | **:92A::** | | | | | | | | | | | | | | | | **OVEP//50,** | | | | | Maximum Allowed Oversubscription Rate | | | | | | | | | | |
| **O** |  | |  | | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **M** | **:16R:** | | | | | | | | | | | | | | | | **SECMOVE** | | | | | Start of Block | | | | | | | | | | |
| **M** | **:22H::** | | | | | | | | | | | | | | | | **CRDB//CRED** | | | | | Credit/Debit Indicator | | | | | | | | | | |
| **M** | **:35B:** | | | | | | | | | | | | | | | | **ISIN US68554W2052** | | | | | Identification of the Financial Instrument | | | | | | | | | | |
| **M** | **:36B::** | | | | | | | | | | | | | | | | **ENTL//UNIT/8000,** | | | | | Quantity of new shares to be posted | | | | | | | | | | |
| **O** | **:92D::** | | | | | | | | | | | | | | | | **ADEX//1,/10,** | | | | | Additional for Existing Securities | | | | | | | | | | |
| **M** | **:98A::** | | | | | | | | | | | | | | | | **PAYD//20YY0225** | | | | | Payment Date/Time | | | | | | | | | | |
| **O** | **:98A::** | | | | | | | | | | | | | | | | **EARL//20YY0226** | | | | | Earliest payment date | | | | | | | | | | |
| **M** | **:16S:** | | | | | | | | | | | | | | | | **SECMOVE** | | | | | End Of Block | | | | | | | | | | |
| **O** |  | |  | | *End of Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | | | | | | |  | | | | | |
| **O** |  | |  | | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  | **:16R:** | | | | | | | | | | | | | | | | **CASHMOVE** | | | | | Start of Block | | | | | | | | | | |
| **M** | **:22H::** | | | | | | | | | | | | | | | | **CRDB//DEBT** | | | | | Credit/Debit Indicator | | | | | | | | | | |
| **R** | **:19B::** | | | | | | | | | | | | | | | | **ENTL//EGP8000,** | | | | | Entitled amount | | | | | | | | | | |
| **O** | **:19B::** | | | | | | | | | | | | | | | | **GRSS//EGP8000,** | | | | | Gross amount | | | | | | | | | | |
| **M** | **:98A::** | | | | | | | | | | | | | | | | **PAYD//20YY0225** | | | | | Payment Date/Time | | | | | | | | | | |
| **O** | **:98A::** | | | | | | | | | | | | | | | | **VALU//20YY0225** | | | | | Value Date/Time | | | | | | | | | | |
| **O** | **:98A::** | | | | | | | | | | | | | | | | **EARL//20YY0226** | | | | | Earliest payment date | | | | | | | | | | |
| **M** | **:90B::** | | | | | | | | | | | | | | | | **PRPP//ACTU/EGP1,** | | | | | Generic Cash Price Paid per Product | | | | | | | | | | |
|  | **:16S:** | | | | | | | | | | | | | | | | **CASHMOVE** | | | | | End Of Block | | | | | | | | | | |
| **O** |  | |  | | *End of Subsequence E2 Cash Movement* | | | | | | | | | | | | | | | | | | | | |  | | | | | |
|  | **:16S:** | | | | | | | | | | | | | | | | **CAOPTN** | | | | | End Of Block | | | | | | | | | | |
| **O** |  | | *End of Sequence E Corporate Action Options* | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | | | | |
| MT 566 Corporate Action Confirmation | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  |  |  | |  | |  | |  | | | | | | | | | | | |  | | | | | | | |  | | | | | | | | | | | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | | | | | | | | | | | | | | | |  | | | | | | | | | | | |
|  | **:16R:** | | | | | | | | | | | | | **GENL** | | | | | | | | Start of Block | | | | | | | | | | |
| **M** | **:20C::** | | | | | | | | | | | | | **CORP//10027760554W2052** | | | | | | | | Corporate Action Reference | | | | | | | | | | |
| **M** | **:20C::** | | | | | | | | | | | | | **SEME//11142770** | | | | | | | | Sender's Message Reference | | | | | | | | | | |
| **O** | **:20C::** | | | | | | | | | | | | | **COAF//BI01593212345** | | | | | | | | Official Corporate Action Event Reference | | | | | | | | | | |
| **M** | **:23G:** | | | | | | | | | | | | | **NEWM** | | | | | | | | Function of the message (here, new message) | | | | | | | | | | |
| **M** | **:22F::** | | | | | | | | | | | | | **CAEV//PRIO** | | | | | | | | Corporate Action Event Indicator | | | | | | | | | | |
| **O** |  | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | | | | | | | | | | | |  | | | | |
|  | **:16R:** | | | | | | | | | | | | | **LINK** | | | | | | | | Start of Block | | | | | | | | | | |
| **R** | **:13A::** | | | | | | | | | | | | | **LINK//564** | | | | | | | | Previously sent message type | | | | | | | | | | |
| **M** | **:20C::** | | | | | | | | | | | | | **PREV//11142769** | | | | | | | | Previously sent message reference | | | | | | | | | | |
|  | **:16S:** | | | | | | | | | | | | | **LINK** | | | | | | | | End of Block | | | | | | | | | | |
| **O** |  | *End of Subsequence A1 Linkages* | | | | | | | | | | | | | | | | | | | | | |  | | | | |
| **O** |  | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | | | | | | | | | | | |  | | | | |
|  | **:16R:** | | | | | | | | | | | | | **LINK** | | | | | | | | Start of Block | | | | | | | | | | |
| **R** | **:13A::** | | | | | | | | | | | | | **LINK//565** | | | | | | | | Previously received message type | | | | | | | | | | |
| **M** | **:20C::** | | | | | | | | | | | | | **RELA//11142767** | | | | | | | | Previously received message reference | | | | | | | | | | |
|  | **:16S:** | | | | | | | | | | | | | **LINK** | | | | | | | | End of Block | | | | | | | | | | |
| **O** |  | *End of Subsequence A1 Linkages* | | | | | | | | | | | | | | | | | | | | | |  | | | | |
|  | **:16S:** | | | | | | | | | | | | | **GENL** | | | | | | | | End Of Block | | | | | | | | | | |
| **M** | *End of Sequence A General Information* | | | | | | | | | | | | | | | | | | | | | | |  | | | | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | | | | | | | | | | | | |  | | | | |
|  | **:16R:** | | | | | | | | | | | | | **USECU** | | | | | | | | Start of Block | | | | | | | | | | |
| **M** | **:97A::** | | | | | | | | | | | | | **SAFE//98-0112441-05** | | | | | | | | Safekeeping Account | | | | | | | | | | |
| **M** | **:35B:** | | | | | | | | | | | | | **ISIN US68554W2052** | | | | | | | | Identification of the Financial Instrument | | | | | | | | | | |
| **O** | **:93B::** | | | | | | | | | | | | | **ELIG//UNIT/80000,** | | | | | | | | Eligible unit balance | | | | | | | | | | |
| **M** | **:93B::** | | | | | | | | | | | | | **CONB//UNIT/80000,** | | | | | | | | Confirmed unit balance | | | | | | | | | | |
|  | **:16S:** | | | | | | | | | | | | | **USECU** | | | | | | | | End Of Block | | | | | | | | | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | | | | | | | | | | | | |  | | | | |
| **M** | *Optional* **Sequence C** *Corporate Actions Details* | | | | | | | | | | | | | | | | | | | | | | |  | | | | |
|  | **:16R:** | | | | | | | | | | | | | **CADETL** | | | | | | | | Start of Block | | | | | | | | | | |
| **M** | **:98A::** | | | | | | | | | | | | | **XDTE//20YY0129** | | | | | | | | Ex-Dividend or Distribution Date/Time | | | | | | | | | | |
| **M** | **:98A::** | | | | | | | | | | | | | **RDTE//20YY0202** | | | | | | | | Record Date/Time | | | | | | | | | | |
|  | **:16S:** | | | | | | | | | | | | | **CADETL** | | | | | | | | End Of Block | | | | | | | | | | |
| **M** | *End of Sequence C Corporate Actions Details* | | | | | | | | | | | | | | | | | | | | | | |  | | | | |
| **M** |  | *Mandatory* **Sequence D** *Corporate Action Confirmation* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
|  | **:16R:** | | | | | | | | | | | | | **CACONF** | | | | | | | | Start of Block | | | | | | | | | | |
| **M** | **:13A::** | | | | | | | | | | | | | **CAON//001** | | | | | | | | CA Option Number | | | | | | | | | | |
| **M** | **:22F::** | | | | | | | | | | | | | **CAOP//SECU** | | | | | | | | Corporate Action Option Code Indicator | | | | | | | | | | |
| **O** | **:98A::** | | | | | | | | | | | | | **RDDT//20YY0217** | | | | | | | | Response deadline date/time | | | | | | | | | | |
| **O** | **:98A::** | | | | | | | | | | | | | **MKDT//20YY0218** | | | | | | | | Market Deadline Date | | | | | | | | | | |
| **O** | **:98A::** | | | | | | | | | | | | | **SUBS//20YY0223** | | | | | | | | Subscription Cost Debit Date | | | | | | | | | | |
| **O** | **:69A::** | | | | | | | | | | | | | **PWAL//20YY0129/20YY0218** | | | | | | | | Period of action | | | | | | | | | | |
| **O** | **:92A::** | | | | | | | | | | | | | **PROR//80,** | | | | | | | | Pro-Ration Rate | | | | | | | | | | |
| **O** | **:92A::** | | | | | | | | | | | | | **OVEP//50,** | | | | | | | | Maximum Allowed Oversubscription Rate | | | | | | | | | | |
| **M** |  |  | | *Optional Repetitive Subsequence D1 Securities Movement* | | | | | | | | | | | | | | | | | | | | | | | | |
|  | **:16R:** | | | | | | | | | | | | | **SECMOVE** | | | | | | | | Start of Block | | | | | | | | | | |
| **M** | **:22H::** | | | | | | | | | | | | | **CRDB//CRED** | | | | | | | | Credit/Debit Indicator | | | | | | | | | | |
| **M** | **:35B:** | | | | | | | | | | | | | **ISIN US68554W2052** | | | | | | | | Identification of the Financial Instrument | | | | | | | | | | |
| **M** | **:36B::** | | | | | | | | | | | | | **PSTA//UNIT/8000,** | | | | | | | | Posting Quantity | | | | | | | | | | |
| **O** | **:92D::** | | | | | | | | | | | | | **ADEX//1,/10,** | | | | | | | | Additional for Existing Securities | | | | | | | | | | |
| **M** | **:98A::** | | | | | | | | | | | | | **POST//20YY0225** | | | | | | | | Posting Date/Time | | | | | | | | | | |
| **O** | **:98A::** | | | | | | | | | | | | | **EARL//20YY0226** | | | | | | | | Earliest payment date | | | | | | | | | | |
|  | **:16S:** | | | | | | | | | | | | | **SECMOVE** | | | | | | | | End Of Block | | | | | | | | | | |
| **M** |  |  | | *End of Subsequence D1 Securities Movement* | | | | | | | | | | | | | | | | | | | |  | | | | |
| **M** |  |  | | *Optional Repetitive Subsequence D2 Cash Movement* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |  | |
|  | **:16R:** | | | | | | | | | | | | | **CASHMOVE** | | | | | | | | Start of Block | | | | | | | | | | |
| **M** | **:22H::** | | | | | | | | | | | | | **CRDB//DEBT** | | | | | | | | Credit/Debit Indicator | | | | | | | | | | |
| **M** | **:19B::** | | | | | | | | | | | | | **PSTA//EGP8000,** | | | | | | | | Posting amount | | | | | | | | | | |
| **O** | **:19B::** | | | | | | | | | | | | | **GRSS//EGP8000,** | | | | | | | | Gross amount | | | | | | | | | | |
| **M** | **:98A::** | | | | | | | | | | | | | **POST//20YY0225** | | | | | | | | Posting Date/Time | | | | | | | | | | |
| **O** | **:98A::** | | | | | | | | | | | | | **VALU//20YY0225** | | | | | | | | Value Date/Time | | | | | | | | | | |
| **O** | **:98A::** | | | | | | | | | | | | | **EARL//20YY0226** | | | | | | | | Earliest payment date | | | | | | | | | | |
| **M** | **:90B::** | | | | | | | | | | | | | **PRPP//ACTU/EGP1,** | | | | | | | | Generic Cash Price Paid per Product | | | | | | | | | | |
|  | **:16S:** | | | | | | | | | | | | | **CASHMOVE** | | | | | | | | End Of Block | | | | | | | | | | |
| **M** |  |  | | *End of Subsequence D2 Cash Movement* | | | | | | | | | | | | | | | | | | | |  | | | | |
|  | **:16S:** | | | | | | | | | | | | | **CACONF** | | | | | | | | End Of Block | | | | | | | | | | |
| **M** |  | *End of Mandatory Sequence D Corporate Action Confirmation* | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

# REDM: Final Maturity (MAND)

### Definition:

The redemption of an entire issue outstanding of securities, for example, bonds, preferred equities, funds, by the issuer or its agent, for example, asset manager, at final maturity.

### Issue name:

ABB Finance Inc 6.8% due October 20, 20YY

ISIN XS0037583977

### Terms:

Bond will redeem 102% of par in EUR (example is fictional and shows not only 100% is possible).

### Relative dates:

Redemption date - October 20, 20YY

Payment date - October 20, 20YY

### Transaction description:

A bond issued by ABB Finance will mature and be redeemed above par, or 102% of nominal face amount. The participant account (a/c 56344) holds a face amount of EUR120,000,000 in this bond issue.

### Messages:

MT 564 for the notice of entitlement

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | | | | | | | | |
|  | | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | | |  | | | | | | | |
|  | | **:16R:** | | | | | | **GENL** | | Start of Block | | | | | | | | | | | |
| **M** | | **:20C::** | | | | | | **CORP//CORP123** | | Corporate Action Reference | | | | | | | | | | | |
| **M** | | **:20C::** | | | | | | **SEME//SEME123** | | Sender's Message Reference | | | | | | | | | | | |
| **O** | | **:20C::** | | | | | | **COAF//COAF123** | | Official Corporate Action Event Reference | | | | | | | | | | | |
| **M** | | **:23G:** | | | | | | **REPE** | | Replacement with entitlement | | | | | | | | | | | |
| **M** | | **:22F::** | | | | | | **CAEV//REDM** | | Corporate Action Event Indicator | | | | | | | | | | | |
| **M** | | **:22F::** | | | | | | **CAMV//MAND** | | Mandatory/Voluntary Indicator | | | | | | | | | | | |
| **M** | | **:25D::** | | | | | | **PROC//COMP** | | Processing Status | | | | | | | | | | | |
| **O** |  | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | |  | | |
|  | **:16R:** | | | | | | **LINK** | | | Start of Block | | | | | | | | | | | |
| **R** | **:13A::** | | | | | | **LINK//564** | | | Previously sent message type | | | | | | | | | | | |
| **M** | **:20C::** | | | | | | **PREV//11142766** | | | Previously sent message reference | | | | | | | | | | | |
|  | **:16S:** | | | | | | **LINK** | | | End of Block | | | | | | | | | | | |
| **O** |  | | *End of Subsequence A1 Linkages* | | | | | | | | |  | | |
|  | | **:16S:** | | | | | | **GENL** | | End Of Block | | | | | | | | | | | |
|  | | *End of Sequence A General Information* | | | | | | | | |  | |
|  | | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | |  | |
| **M** | | **:16R:** | | | | | | **USECU** | | Start of Block | | | | | | | | | | | |
| **M** | | **:35B:** | | | | | | **ISIN XS0037583977** | | Identification of the Financial Instrument | | | | | | | | | | | |
|  | |  | | | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | |  | |
|  | | **:16R:** | | | | | | **ACCTINFO** | Start of Block | | | | | | | | | | | | |
| **M** | | **:97A::** | | | | | | **SAFE//12345** | Safekeeping Account | | | | | | | | | | | | |
| **O** | | **:93B::** | | | | | | **ELIG//UNIT/120000000,** | Total Eligible For Corporate Action Balance | | | | | | | | | | | | |
|  | | **:16S:** | | | | | | **ACCTINFO** | End Of Block | | | | | | | | | | | | |
|  | |  | | | *End of Subsequence B2 Account Information* | | | | | |  | |
|  | | **:16S:** | | | | | | **USECU** | End Of Block | | | | | | | | | | | | |
|  | | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | |  | |
|  | | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | |  | |
| **M** | | **:16R:** | | | | | | **CADETL** | Start of Block | | | | | | | | | | | | |
| **M** | | **:98A::** | | | | | | **RDTE//20YY1020** | Record Date/Time | | | | | | | | | | | | |
| **O** | | **:22F::** | | | | | | **ADDB//CAPA** | Preliminary advice of payment message | | | | | | | | | | | | |
| **M** | | **:16S:** | | | | | | **CADETL** | End Of Block | | | | | | | | | | | | |
|  | | *End of Sequence D Corporate Actions Details* | | | | | | | | |  | |
|  | |  | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | | | | |  | |
|  | | **:16R:** | | | | | | **CAOPTN** | Start of Block | | | | | | | | | | | | |
| **M** | | **:13A::** | | | | | | **CAON//001** | CA Option Number | | | | | | | | | | | | |
| **M** | | **:22F::** | | | | | | **CAOP//CASH** | Corporate Action Option Code Indicator | | | | | | | | | | | | |
| **R** | | **:11A::** | | | | | | **OPTN//EUR** | Currency offered | | | | | | | | | | | | |
| **M** | | **:17B::** | | | | | | **DFLT//Y** | Default Processing Flag | | | | | | | | | | | | |
|  | |  | |  | | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | |  | |
|  | | **:16R:** | | | | | | **SECMOVE** | Start of Block | | | | | | | | | | | | |
| **M** | | **:22H::** | | | | | | **CRDB//DEBT** | Credit/Debit Indicator | | | | | | | | | | | | |
| **M** | | **:35B:** | | | | | | **ISIN XS0037583977** | Identification of the Financial Instrument | | | | | | | | | | | | |
| **R** | | **:36B::** | | | | | | **ENTL//FAMT/120000000,** | Quantity to be debited | | | | | | | | | | | | |
| **M** | | **:98A::** | | | | | | **PAYD//20YY1020** | Payment Date/Time | | | | | | | | | | | | |
|  | | **:16S:** | | | | | | **SECMOVE** | End Of Block | | | | | | | | | | | | |
|  | |  | |  | | *End of Subsequence E1 Securities Movement* | | | | |  | |
|  | |  | |  | | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | |  | | |
|  | | **:16R:** | | | | | | **CASHMOVE** | Start of Block | | | | | | | | | | | | |
| **M** | | **:22H::** | | | | | | **CRDB//CRED** | Credit/Debit Indicator | | | | | | | | | | | | |
| **R** | | **:19B::** | | | | | | **ENTL//EUR122400000,** | Entitled amount | | | | | | | | | | | | |
| **O** | | **:19B::** | | | | | | **GRSS//EUR122400000,** | Gross amount | | | | | | | | | | | | |
| **M** | | **:98A::** | | | | | | **PAYD//20YY1020** | Payment Date/Time | | | | | | | | | | | | |
| **O** | | **:98A::** | | | | | | **VALU//20YY1020** | Value Date/Time | | | | | | | | | | | | |
| **O** | | **:98A::** | | | | | | **EARL//20YY1020** | Earliest Payment Date (think bank holiday) | | | | | | | | | | | | |
| **M** | | **:90A::** | | | | | | **OFFR//PRCT/102,** | Redemption price (102% par) | | | | | | | | | | | | |
|  | | **:16S:** | | | | | | **CASHMOVE** | End Of Block | | | | | | | | | | | | |
|  | |  | |  | | *End of Subsequence E2 Cash Movement* | | | | |  | |
|  | | **:16S:** | | | | | | **CAOPTN** | End Of Block | | | | | | | | | | | | |
|  | |  | | *End of Sequence E Corporate Action Options* | | | | | | | | | | | |  | | | | | | | |

# REDM: Final Maturity (CHOS)

### Definition:

### The redemption of an entire issue outstanding of securities , for example bonds preferred equity, funds, by the issuer or its agent, for example, asset manager at final maturity. Issue name:

ABB Finance Inc 6.8% due October 25, 20YY

ISIN XS0037583977

### Terms:

Bond will redeem at par.

### Relative dates:

Record date – September 10, 20YY

Response deadline – September 20, 20YY

Redemption date - October 25, 20YY

Payment date - October 25, 20YY

### Transaction description:

A bond issued by ABB Finance will mature and proceeds can be taken in EUR or GBP. The participant account (a/c 56344) holds a face amount of EUR 10 million in this bond issue.

### Messages:

MT 564 for the notice of entitlement

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | | | | | | | | |
| **M** | | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | | | |  | | | | | | | |
| **M** | | **:16R:** | | | | | | **GENL** | | Start of Block | | | | | | | | | | | | |
| **M** | | **:20C::** | | | | | | **CORP//CORP123** | | Corporate Action Reference | | | | | | | | | | | | |
| **M** | | **:20C::** | | | | | | **SEME//SEME123** | | Sender's Message Reference | | | | | | | | | | | | |
| **O** | | **:20C::** | | | | | | **COAF//COAF123** | | Official Corporate Action Event Reference | | | | | | | | | | | | |
| **M** | | **:23G:** | | | | | | **REPE** | | Replacement with entitlement | | | | | | | | | | | | |
| **M** | | **:22F::** | | | | | | **CAEV//REDM** | | Corporate Action Event Indicator | | | | | | | | | | | | |
| **M** | | **:22F::** | | | | | | **CAMV//CHOS** | | Mandatory/Voluntary Indicator | | | | | | | | | | | | |
| **M** | | **:25D::** | | | | | | **PROC//COMP** | | Processing Status | | | | | | | | | | | | |
| **O** |  | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | |  | | |
| **M** | **:16R:** | | | | | | **LINK** | | | Start of Block | | | | | | | | | | | | |
| **R** | **:13A::** | | | | | | **LINK//564** | | | Previously sent message type | | | | | | | | | | | | |
| **M** | **:20C::** | | | | | | **PREV//11142766** | | | Previously sent message reference | | | | | | | | | | | | |
| **M** | **:16S:** | | | | | | **LINK** | | | End of Block | | | | | | | | | | | | |
| **O** |  | | *End of Subsequence A1 Linkages* | | | | | | | | |  | | |
| **M** | | **:16S:** | | | | | | **GENL** | | End Of Block | | | | | | | | | | | | |
| **M** | | *End of Sequence A General Information* | | | | | | | | |  | |
| **M** | | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | |  | |
| **M** | | **:16R:** | | | | | | **USECU** | | Start of Block | | | | | | | | | | | | |
| **M** | | **:35B:** | | | | | | **ISIN XS0037583977** | | Identification of the Financial Instrument | | | | | | | | | | | | |
| **M** | |  | | | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | | |  | |
| **M** | | **:16R:** | | | | | | **ACCTINFO** | Start of Block | | | | | | | | | | | | | |
| **M** | | **:97A::** | | | | | | **SAFE//56344** | Safekeeping Account | | | | | | | | | | | | | |
| **O** | | **:93B::** | | | | | | **ELIG//UNIT/10000000,** | Total Eligible For Corporate Action Balance | | | | | | | | | | | | | |
| **M** | | **:16S:** | | | | | | **ACCTINFO** | End Of Block | | | | | | | | | | | | | |
| **M** | |  | | | *End of Subsequence B2 Account Information* | | | | | |  | |
| **M** | | **:16S:** | | | | | | **USECU** | End Of Block | | | | | | | | | | | | | |
| **M** | | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | |  | |
| **O** | | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | |  | |
| **M** | | **:16R:** | | | | | | **CADETL** | Start of Block | | | | | | | | | | | | | |
| **M** | | **:98A::** | | | | | | **RDTE//20YY0910** | Record Date/Time | | | | | | | | | | | | | |
| **M** | | **:16S:** | | | | | | **CADETL** | End Of Block | | | | | | | | | | | | | |
| **O** | | *End of Sequence D Corporate Actions Details* | | | | | | | | |  | |
| **O** | |  | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | | | | | |  | |
| **M** | | **:16R:** | | | | | | **CAOPTN** | Start of Block | | | | | | | | | | | | | |
| **M** | | **:13A::** | | | | | | **CAON//001** | CA Option Number | | | | | | | | | | | | | |
| **M** | | **:22F::** | | | | | | **CAOP//CASH** | Corporate Action Option Code Indicator | | | | | | | | | | | | | |
| **R** | | **:11A::** | | | | | | **OPTN//EUR** | Currency offered | | | | | | | | | | | | | |
| **M** | | **:17B::** | | | | | | **DFLT//Y** | Default Processing Flag | | | | | | | | | | | | | |
| **O** | | **:98C::** | | | | | | **RDDT//20YY0920180000** | Response deadline date/time | | | | | | | | | | | | | |
| **O** | | **:98C::** | | | | | | **MKDT//20YY0921180000** | Market deadline date/time | | | | | | | | | | | | | |
|  | |  | |  | | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | |  | |
| **M** | | **:16R:** | | | | | | **SECMOVE** | Start of Block | | | | | | | | | | | | | |
| **M** | | **:22H::** | | | | | | **CRDB//DEBT** | Credit/Debit Indicator | | | | | | | | | | | | | |
| **M** | | **:35B:** | | | | | | **ISIN XS0037583977** | Identification of the Financial Instrument | | | | | | | | | | | | | |
| **R** | | **:36B::** | | | | | | **ENTL//FAMT/10000000,** | Quantity to be debited | | | | | | | | | | | | | |
| **M** | | **:98A::** | | | | | | **PAYD//20YY1025** | Payment Date/Time | | | | | | | | | | | | | |
| **M** | | **:16S:** | | | | | | **SECMOVE** | End Of Block | | | | | | | | | | | | | |
| **O** | |  | |  | | *End of Subsequence E1 Securities Movement* | | | | |  | |
| **O** | |  | |  | | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | |  | | | |
| **M** | | **:16R:** | | | | | | **CASHMOVE** | Start of Block | | | | | | | | | | | | | |
| **M** | | **:22H::** | | | | | | **CRDB//CRED** | Credit/Debit Indicator | | | | | | | | | | | | | |
| **R** | | **:19B::** | | | | | | **ENTL//EUR10000000,** | Entitled amount | | | | | | | | | | | | | |
| **O** | | **:19B::** | | | | | | **GRSS//EUR10000000,** | Gross amount | | | | | | | | | | | | | |
| **M** | | **:98A::** | | | | | | **PAYD//20YY1025** | Payment Date/Time | | | | | | | | | | | | | |
| **O** | | **:98A::** | | | | | | **VALU//20YY1025** | Value Date/Time | | | | | | | | | | | | | |
| **O** | | **:98A::** | | | | | | **EARL//20YY1026** | Earliest Payment Date (think bank holiday) | | | | | | | | | | | | | |
| **M** | | **:90A::** | | | | | | **OFFR//PRCT/100,** | Redemption price (par) | | | | | | | | | | | | | |
| **M** | | **:16S:** | | | | | | **CASHMOVE** | End Of Block | | | | | | | | | | | | | |
| **M** | | **:16S:** | | | | | | **CAOPTN** | End of Block | | | | | | | | | | | | | |
| **O** | |  | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | | | | | |  | |
| **M** | | **:16R:** | | | | | | **CAOPTN** | Start of Block | | | | | | | | | | | | | |
| **M** | | **:13A::** | | | | | | **CAON//002** | CA Option Number | | | | | | | | | | | | | |
| **M** | | **:22F::** | | | | | | **CAOP//CASH** | Corporate Action Option Code Indicator | | | | | | | | | | | | | |
| **R** | | **:11A::** | | | | | | **OPTN//GBP** | Currency offered | | | | | | | | | | | | | |
| **M** | | **:17B::** | | | | | | **DFLT//N** | Default Processing Flag | | | | | | | | | | | | | |
| **O** | | **:98C::** | | | | | | **RDDT//20YY0920180000** | Response deadline date/time ( | | | | | | | | | | | | | |
| **O** | | **:98C::** | | | | | | **MKDT//20YY0921180000** | Market deadline date/time | | | | | | | | | | | | | |
| **O** | |  | |  | | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | |  | |
| **M** | | **:16R:** | | | | | | **SECMOVE** | Start of Block | | | | | | | | | | | | | |
| **M** | | **:22H::** | | | | | | **CRDB//DEBT** | Credit/Debit Indicator | | | | | | | | | | | | | |
| **M** | | **:35B:** | | | | | | **ISIN XS0037583977** | Identification of the Financial Instrument | | | | | | | | | | | | | |
| **M** | | **:36B::** | | | | | | **ENTL//FAMT/10000000,** | Quantity to be debited | | | | | | | | | | | | | |
| **M** | | **:98A::** | | | | | | **PAYD//20YY1025** | Payment Date/Time | | | | | | | | | | | | | |
| **M** | | **:16S:** | | | | | | **SECMOVE** | End Of Block | | | | | | | | | | | | | |
| **O** | |  | |  | | *End of Subsequence E1 Securities Movement* | | | | |  | |
| **O** | |  | |  | | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | |  | | | |
| **M** | | **:16R:** | | | | | | **CASHMOVE** | Start of Block | | | | | | | | | | | | | |
| **M** | | **:22H::** | | | | | | **CRDB//CRED** | Credit/Debit Indicator | | | | | | | | | | | | | |
| **M** | | **:19B::** | | | | | | **ENTL//EUR10000000,** | Entitled amount | | | | | | | | | | | | | |
| **O** | | **:19B::** | | | | | | **GRSS//GBP835980,61** | Gross amount | | | | | | | | | | | | | |
| **M** | | **:98A::** | | | | | | **PAYD//20YY1025** | Payment Date/Time | | | | | | | | | | | | | |
| **O** | | **:98A::** | | | | | | **VALU//20YY1025** | Value Date/Time | | | | | | | | | | | | | |
| **O** | | **:98A::** | | | | | | **EARL//20YY1026** | Earliest Payment Date (think bank holiday) | | | | | | | | | | | | | |
| **R** | | **:92B::** | | | | | | **EXCH//EUR/GBP/1,1962** | Exchange rate | | | | | | | | | | | | | |
| **M** | | **:90A::** | | | | | | **OFFR//PRCT/100,** | Redemption price (par) | | | | | | | | | | | | | |
| **M** | | **:16S:** | | | | | | **CASHMOVE** | End Of Block | | | | | | | | | | | | | |
| **O** | |  | |  | | *End of Subsequence E2 Cash Movement* | | | | |  | |
| **M** | | **:16S:** | | | | | | **CAOPTN** | End Of Block | | | | | | | | | | | | | |
| **O** | |  | | *End of Sequence E Corporate Action Options* | | | | | | | | | | | | |  | | | | | | | |

# REDM with OPTF//CAOS - Equity Linked Notes (ELN) final redemption (MAND)

### Definition:

The redemption in cash or shares of an Equity Linked Notes (ELN) by the issuer or its agent, for example, asset manager, at final maturity.

### Issue name:

HKD 0,00 BARCLAYS BANK PLC (41738) 260811 due July 26, 20YY

ISIN XS0548065407

### Terms:

Equity Linked Notes will redeem in cash at par or in shares (ISIN CNE1000003W8 PetroChina Company Limited) with a ratio of 94000 New shares for HKD 1009090 old.

### Relative dates:

Redemption date - August 26, 20YY

Payment date – August 26, 20YY

Fixing date – August 24, 20YY

### Transaction description:

An Equity Linked Notes issued by BARCLAYS Finance will mature and be redeemed in cash or shares in accordance to the following:

If the final price is greater than or equal to the Strike Price (10,735 HKD), the Issuer will pay to the Security holder the Final Cash Settlement Amount.

Otherwise, the Issuer will physically deliver to the Security holder the Final Physical Redemption Entitlement.

The participant account (a/c 56344) holds a face amount of HKD10090900 in this Equity Linked Note issue.

### Messages:

1) Example of MT 564 for the notice of entitlement sent before fixing date.

2) Example of MT 564 for the notice of entitlement sent after fixing date.

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Scenario 1: Any MT564 message sent before fixing date | | | | | | | | | | | | | | |
|  | | *Mandatory* **Sequence A** *General Information* | | | | | | |  | | | |
|  | | **:16R:** | | | | **GENL** | | Start of Block | | | | | |
| **M** | | **:20C::** | | | | **CORP//REDM3437592** | | Corporate Action Reference | | | | | |
| **M** | | **:20C::** | | | | **SEME//200847815** | | Sender's Message Reference | | | | | |
| **O** | | **:20C::** | | | | **COAF// BI01593212345** | | Official Corporate Action Event Reference | | | | | |
| **M** | | **:23G:** | | | | **REPE** | | Replacement message | | | | | |
| **M** | | **:22F::** | | | | **CAEV//REDM** | | Corporate Action Event Indicator | | | | | |
| **M** | | **:22F::** | | | | **CAMV//MAND** | | Mandatory/Voluntary Indicator | | | | | |
| **M** | | **:25D::** | | | | **PROC//COMP** | | Processing Status | | | | | |
|  | |  | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | |  | |
|  | | **:16R:** | | | | **LINK** | | Start of Block | | | | | |
| **R** | | **:13A::** | | | | **LINK//564** | | Previously sent message type | | | | | |
| **M** | | **:20C::** | | | | **PREV//1997189-012** | | Previously sent message reference | | | | | |
|  | | **:16S:** | | | | **LINK** | | End of Block | | | | | |
|  | |  | | *End of Subsequence A1 Linkages* | | | | | | | |  | |
|  | | **:16S:** | | | | **GENL** | | End Of Block | | | | | |
| **M** | | *End of Sequence A General Information* | | | | | | | |  | | | |
| **M** | | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | |  | | | |
|  | | **:16R:** | | | | **USECU** | | Start of Block | | | | | |
| **M** | | **:35B:** | | | | **ISIN XS0548065407**  **HKD 0,00 BARCLAYS BANK PLC (41738) 260811** | | Identification of the Financial Instrument | | | | | |
|  | |  | | | |  | |  | | | | | |
|  | |  | | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | |
|  | | **:16R:** | | | | **ACCTINFO** | | Start of Block | | | | | |
| **M** | | **:97A::** | | | | **SAFE//56344** | | Safekeeping Account | | | | | |
| **O** | | **:93B::** | | | | **ELIG//FAMT/10090900,** | | Total Eligible For Corporate Action Balance | | | | | |
|  | | **:16S:** | | | | **ACCTINFO** | | End Of Block | | | | | |
|  | |  | | *End of Subsequence B2 Account Information* | | | | | | | |  | | | | | |
|  | | **:16S:** | | | | **USECU** | | End Of Block | | | | | |
|  | | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | | | |
|  | | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | |  | | | | | |
|  | | **:16R:** | | | | **CADETL** | | Start of Block | | | | | |
| **O** | | **:98A::** | | | | **ANOU//20YY0712** | | Announcement date | | | | | |
| **O** | | **:98A::** | | | | **IFIX//20YY0824** | | Fixing date | | | | | |
| **O** | | **:22F::** | | | | | **ADDB//CNTR** | All pending trades will be cancelled | | | | | |
|  | | **:16S:** | | | | **CADETL** | | End Of Block | | | | | |
|  | | *End of Sequence D Corporate Actions Details* | | | | | | | |  | | | | | |
|  | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | |
|  | | **:16R:** | | | | **CAOPTN** | | Start of Block | | | | | |
| **M** | | **:13A::** | | | | **CAON//001** | | CA Option Number | | | | | |
| **M** | | **:22F::** | | | | **CAOP//CASH** | | Corporate Action Option Code Indicator | | | | | |
| **R** | | **:22F::** | | | | **OPTF//CAOS** | | Option Features Indicator | | | | | |
| **M** | | **:17B::** | | | | **DFLT//N** | | Default Processing Flag | | | | | |
|  | |  | |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | |
| **M** | | **:16R:** | | | | **SECMOVE** | | Start of Block | | | | | |
| **M** | | **:22H::** | | | | **CRDB//DEBT** | | Credit/Debit Indicator | | | | | |
| **M** | | **:35B:** | | | | **ISIN XS0548065407**  **HKD 0,00 BARCLAYS BANK PLC (41738) 260811** | | Identification of the Financial Instrument | | | | | |
| **M** | | **:36B::** | | | | **ENTL//FAMT/10090900,** | |  | | | | | |
| **M** | | **:98A::** | | | | **PAYD//20YY0826** | | Payment Date/Time | | | | | |
| **M** | | **:16S:** | | | | **SECMOVE** | | End Of Block | | | | | |
|  | |  | |  | *End of Subsequence E1 Securities Movement* | | | | | | | | |
|  | |  | |  | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | |
|  | | **:16R:** | | | | **CASHMOVE** | | Start of Block | | | | | |
| **M** | | **:22H::** | | | | **CRDB//CRED** | | Credit/Debit Indicator | | | | | |
| **M** | | **:19B::** | | | | **GRSS//HKD10090900,** | | Gross amount | | | | | |
| **O** | | **:19B::** | | | | **NETT//HKD10090900,** | | Net amount | | | | | |
| **M** | | **:98A::** | | | | **PAYD//20YY0826** | | Payment Date/Time | | | | | |
| **O** | | **:98A::** | | | | **VALU//20YY0826** | | Value Date/Time | | | | | |
| **M** | | **:90A::** | | | | **OFFR//100,** | | Redemption Price | | | | | |
|  | | **:16S:** | | | | **CASHMOVE** | | End Of Block | | | | | |
|  | |  | |  | *End of Subsequence E2 Cash Movement* | | | | | |  | | |
|  | | **:16S:** | | | | **CAOPTN** | | End Of Block | | | | | |
| **O** |  | | *End of Sequence E Corporate Action Options* | | | | | | | |
|  | |  | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | |
|  | | **:16R:** | | | | **CAOPTN** | | Start of Block | | | | | |
| **M** | | **:13A::** | | | | **CAON//002** | | CA Option Number | | | | | |
| **M** | | **:22F::** | | | | **CAOP//SECU** | | Corporate Action Option Code Indicator | | | | | |
| **R** | | **:22F::** | | | | **OPTF//CAOS** | | Option Features Indicator | | | | | |
| **M** | | **:17B::** | | | | **DFLT//N** | | Default Processing Flag | | | | | |
|  | |  | |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | |
| **M** | | **:16R:** | | | | **SECMOVE** | | Start of Block | | | | | |
| **M** | | **:22H::** | | | | **CRDB//DEBT** | | Credit/Debit Indicator | | | | | |
| **M** | | **:35B:** | | | | **ISIN XS0548065407**  **HKD 0,00 BARCLAYS BANK PLC (41738) 260811** | | Identification of the Financial Instrument | | | | | |
| **M** | | **:36B::** | | | | | **ENTL//FAMT/10090900,** |  | | | | | |
| **M** | | **:98A::** | | | | **PAYD//20YY0826** | | Payment Date/Time | | | | | |
| **M** | | **:16S:** | | | | **SECMOVE** | | End Of Block | | | | | |
|  | |  | |  | *End of Subsequence E1 Securities Movement* | | | | | | | | |
|  | |  | |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | |
| **M** | | **:16R:** | | | | **SECMOVE** | | Start of Block | | | | | |
| **M** | | **:22H::** | | | | **CRDB//CRED** | | Credit/Debit Indicator | | | | | |
| **M** | | **:35B:** | | | | **ISIN CNE1000003W8**  **PetroChina Company Limited** | | Identification of the Financial Instrument | | | | | |
| **M** | | **:22F::** | | | | **DISF//CINL** | | Disposition of Fraction Indicator | | | | | |
| **M** | | **:36B::** | | | | **ENTL//UNIT/940000,** | | Quantity to be credited | | | | | |
| **O** | | **:90B::** | | | | **PRPP//ACTU/HKD10,735** | | Strike Price | | | | | |
| **M** | | **:92D::** | | | | **NEWO//94000,/1009090,** | | New to Old | | | | | |
| **M** | | **:98A::** | | | | **PAYD//20YY0826** | | Payment Date/Time | | | | | |
| **M** | | **:16S:** | | | | **SECMOVE** | | End Of Block | | | | | |
|  | |  | |  | *End of Subsequence E1 Securities Movement* | | | | | | | | |
|  | | **:16S:** | | | | **CAOPTN** | | End Of Block | | | | | |
| **O** |  | | *End of Sequence E Corporate Action Options* | | | | | | | |

## Scenario 2: MT 564 message sent as from the fixing date where the option selected by the issuer is marked as the default one and where the other option is marked as inactive (22F::OSTA//INTV)

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | | *Mandatory Sequence A General Information* | | | | | | | | |  | | | |
|  | | **:16R:** | | | | **GENL** | | | | Start of Block | | | |
| **M** | | **:20C::** | | | | **CORP//REDM3437592** | | | Corporate Action Reference | | | | |
| **M** | | **:20C::** | | | | **SEME//200847815** | | | Sender's Message Reference | | | | |
| **O** | | **:20C::** | | | | **COAF// BI01593212345** | | | Official Corporate Action Event Reference | | | | |
| **M** | | **:23G:** | | | | **REPE** | | | Replacement with entitlement | | | | |
| **M** | | **:22F::** | | | | **CAEV//REDM** | | | Corporate Action Event Indicator | | | | |
| **M** | | **:22F::** | | | | **CAMV//MAND** | | | Mandatory/Voluntary Indicator | | | | |
| **M** | | **:25D::** | | | | **PROC//COMP** | | | Processing Status | | | | |
|  | |  | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | |  | |
|  | | **:16R:** | | | | **LINK** | | | Start of Block | | | | |
| **R** | | **:13A::** | | | | **LINK//564** | | | Previously sent message type | | | | |
| **M** | | **:20C::** | | | | **PREV//1997189-012** | | | Previously sent message reference | | | | |
|  | | **:16S:** | | | | **LINK** | | | End of Block | | | | |
|  | |  | | *End of Subsequence A1 Linkages* | | | | | | | |  | |
|  | | **:16S:** | | | | | **GENL** | End Of Block | | | | |
| **M** | | *End of Sequence A General Information* | | | | | | | | | |  | |
| **M** | | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | |  | |
|  | | **:16R:** | | | | **USECU** | | | Start of Block | | | | |
| **M** | | **:35B:** | | | | **ISIN XS0548065407**  **HKD 0,00 BARCLAYS BANK PLC (41738) 260811** | | | Identification of the Financial Instrument | | | | |
|  | |  | | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | |
|  | | **:16R:** | | | | **ACCTINFO** | | | Start of Block | | | | |
| **M** | | **:97A::** | | | | **SAFE//56344** | | | Safekeeping Account | | | | |
| **O** | | **:93B::** | | | | **ELIG//FAMT/10090900,** | | | Total Eligible For Corporate Action Balance | | | | |
|  | | **:16S:** | | | | **ACCTINFO** | | | End Of Block | | | | |
|  | |  | | *End of Subsequence B2 Account Information* | | | | | | | |  | |
|  | | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | |  | |
|  | | **:16R:** | | | | **CADETL** | | | Start of Block | | | | |
| **O** | | **:98A::** | | | | **ANOU//20YY0712** | | | Announcement date | | | | |
| **O** | | **:98A::** | | | | **IFIX//20YY0824** | | | Fixing date | | | | |
| **O** | | **:22F::** | | | | **ADDB//CAPA** | | | | The message is a preliminary advice of payment | | | |
| **O** | | **:22F::** | | | | **ADDB//CNTR** | | | | All pending trades will be cancelled | | | |
|  | | **:16S:** | | | | **CADETL** | | | End Of Block | | | | |
|  | | *End of Sequence D Corporate Actions Details* | | | | | | | | | |  | |
|  | |  | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | |
|  | | **:16R:** | | | | **CAOPTN** | | | Start of Block | | | | |
| **M** | | **:13A::** | | | | **CAON//001** | | | CA Option Number | | | | |
| **M** | | **:22F::** | | | | **CAOP//CASH** | | | Corporate Action Option Code Indicator | | | | |
| **R** | | **:22F::** | | | | **OPTF//CAOS** | | | Option Features Indicator | | | | |
| **O** | | **:22F::** | | | | **OSTA//INTV** | | | Inactive Option | | | | |
| **M** | | **:17B::** | | | | **DFLT//N** | | | Default Processing Flag | | | | |
|  | |  | |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | |
| **M** | | **:16R:** | | | | **SECMOVE** | | | Start of Block | | | | |
| **M** | | **:22H::** | | | | **CRDB//DEBT** | | | Credit/Debit Indicator | | | | |
| **M** | | **:35B:** | | | | **ISIN XS0548065407**  **HKD 0,00 BARCLAYS BANK PLC (41738) 260811** | | | Identification of the Financial Instrument | | | | |
| **M** | | **:36B::** | | | | **ENTL//FAMT/10090900,** | |  | | | | | |
| **M** | | **:98A::** | | | | **PAYD//20YY0826** | | | Payment Date/Time | | | | |
| **M** | | **:16S:** | | | | **SECMOVE** | | | End Of Block | | | | |
|  | |  | |  | *End of Subsequence E1 Securities Movement* | | | | | | | | |
|  | |  | |  | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | |
|  | | **:16R:** | | | | **CASHMOVE** | | | Start of Block | | | | |
| **M** | | **:22H::** | | | | **CRDB//CRED** | | | Credit/Debit Indicator | | | | |
| **M** | | **:19B::** | | | | **GRSS//HKD10090900,** | | | | Gross amount | | | |
| **O** | | **:19B::** | | | | **NETT//HKD10090900,** | | | | Net amount | | | |
| **M** | | **:98A::** | | | | **PAYD//20YY0826** | | | Payment Date/Time | | | | |
| **O** | | **:98A::** | | | | **VALU//20YY0826** | | | Value Date/Time | | | | |
| **M** | | **:90A::** | | | | **OFFR//100,** | | | Redemption Price | | | | |
|  | | **:16S:** | | | | **CASHMOVE** | | | End Of Block | | | | |
|  | |  | |  | *End of Subsequence E2 Cash Movement* | | | | | | |  | |
|  | | **:16S:** | | | | | **CAOPTN** | End Of Block | | | | | |
| **O** |  | | *End of Sequence E Corporate Action Options* | | | | | | | | |
|  | |  | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | |
|  | | **:16R:** | | | | **CAOPTN** | | | Start of Block | | | | |
| **M** | | **:13A::** | | | | **CAON//002** | | | CA Option Number | | | | |
| **M** | | **:22F::** | | | | **CAOP//SECU** | | | Corporate Action Option Code Indicator | | | | |
| **R** | | **:22F::** | | | | **OPTF//CAOS** | | | Option Features Indicator | | | | |
| **M** | | **:17B::** | | | | **DFLT//Y** | | | Default Processing Flag | | | | |
|  | |  | |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | |
| **M** | | **:16R:** | | | | **SECMOVE** | | | Start of Block | | | | |
| **M** | | **:22H::** | | | | **CRDB//DEBT** | | | Credit/Debit Indicator | | | | |
| **M** | | **:35B:** | | | | **ISIN XS0548065407**  **HKD 0,00 BARCLAYS BANK PLC (41738) 260811** | | | Identification of the Financial Instrument | | | | |
| **M** | | **:36B::** | | | | **ENTL//FAMT/10090900,** | | | |  | | | |
| **M** | | **:98A::** | | | | **PAYD//20YY0826** | | | Payment Date/Time | | | | |
| **M** | | **:16S:** | | | | **SECMOVE** | | | End Of Block | | | | |
|  | |  | |  | *End of Subsequence E1 Securities Movement* | | | | | | | | |
|  | |  | |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | |
| **M** | | **:16R:** | | | | **SECMOVE** | | | Start of Block | | | | |
| **M** | | **:22H::** | | | | **CRDB//CRED** | | | Credit/Debit Indicator | | | | |
| **M** | | **:35B:** | | | | **ISIN CNE1000003W8**  **PetroChina Company Limited** | | | Identification of the Financial Instrument | | | | |
| **M** | | **:22F::** | | | | **DISF//CINL** | | | Disposition of Fraction Indicator | | | | |
| **M** | | **:36B::** | | | | **ENTL//UNIT/940000,** | | | Quantity to be credited | | | | |
| **O** | | **:90B::** | | | | **PRPP//ACTU/HKD10,735** | | | Strike Price | | | | |
| **M** | | **:92D::** | | | | **NEWO//94000,/1009090,** | | | New to Old | | | | |
| **M** | | **:98A::** | | | | **PAYD//20YY0826** | | | Payment Date/Time | | | | |
| **M** | | **:16S:** | | | | **SECMOVE** | | | End Of Block | | | | |
|  | |  | |  | *End of Subsequence E1 Securities Movement* | | | | | | | | |
|  | | **:16S:** | | | | | **CAOPTN** | End Of Block | | | | | |
| **O** |  | | *End of Sequence E Corporate Action Options* | | | | | | | | |

# RHDI: Intermediate Securities Distribution (MAND) – For EXRI

### Definition:

The distribution of intermediate securities or privilege that gives the holder the right to take part in a future event.

### Issue name:

BELGO Ord.

ISIN BE0000120628

### Terms:

Rights are provided to existing shareholders where 1 right is given for every 2 ordinary shares held.

### Relative dates:

Ex date - January 25, 20YY

Rights trading period - January 26 to February 08, 20YY

Rights expire - February 08, 20YY

Payment date for the rights - February 26, 20YY

### Transaction description:

BELGO Ord. (BE0000120628) has announced a subscription rights issue. The account (a/c 98-0112441-05) will receive 285,000 BELGO Rights (BE0000120638) by virtue of an underlying holding of 570,000 underlying shares of Belgo.

### Messages:

MT 564 for the notice of entitlement

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | | | | | |
|  |  |  |  |  |  | | |  | | | | | |  | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | |  | |
|  |  | **:16R:** | | | | | **GENL** | | |  | | | | | |
| **M** |  | **:20C::** | | | | | **CORP//RTS3437592** | | | Corporate action reference | | | | | |
| **M** |  | **:20C::** | | | | | **SEME//1997189-012** | | | Senders reference | | | | | |
| **O** |  | **:20C::** | | | | | **COAF//BI01593212345** | | | Official Corporate Action Event Reference | | | | | |
| **M** |  | **:23G:** | | | | | **REPE** | | | Replacement with entitlement | | | | | |
| **M** |  | **:22F::** | | | | | **CAEV//RHDI** | | | Rights distribution | | | | | |
| **M** |  | **:22F::** | | | | | **CAMV//MAND** | | | Mandatory indicator | | | | | |
| **M** |  | **:25D::** | | | | | **PROC//COMP** | | | Details are complete | | | | | |
| **O** |  | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | |  | | | |
|  |  | **:16R:** | | | | | **LINK** | | |  | | | | | |
| **O** |  | **:13A::** | | | | | **LINK//564** | | | Message type | | | | | |
| **M** |  | **:20C::** | | | | | **CORP//RHTS21754** | | | Corporate action reference of the future call payment message | | | | | |
|  |  | **:16S:** | | | | | **LINK** | | |  | | | | | |
| **O** |  | *End of Subsequence A1 Linkages* | | | | | | | | | |  | | | |
| **O** | |  | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | |  | | |
|  | | **:16R:** | | | | **LINK** | | | Start of Block | | | | | |
| **R** | | **:13A::** | | | | **LINK//564** | | | Previously sent message type | | | | | |
| **M** | | **:20C::** | | | | **PREV//11142766** | | | Previously sent message reference | | | | | |
|  | | **:16S:** | | | | **LINK** | | | End of Block | | | | | |
| **O** | |  | *End of Subsequence A1 Linkages* | | | | | | | | | |  | | |
|  |  | **:16S:** | | | | | **GENL** | | | |  | | | | |
| **M** | *End of Sequence A General Information* | | | | | | | | | | |  | | | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | |  | | | |
|  |  | **:16R:** | | | | | **USECU** | | | |  | | | | |
| **M** |  | **:35B:** | | | | | **ISIN BE0000120628**  **BELGO ORD** | | | | Underlying securities | | | | |
| **M** |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | |
|  |  | **:16R:** | | | | | **ACCTINFO** | | | |  | | | | |
| **M** |  | **:97A::** | | | | | **SAFE//98-0112441-05** | | | | Safekeeping Account | | | | |
| **O** |  | **:93B::** | | | | | **ELIG//UNIT/570000,** | | | | Eligible balance | | | | |
|  |  | **:16S:** | | | | | **ACCTINFO** | | | |  | | | | |
| **M** |  | *End of Subsequence B2 Account Information* | | | | | | | | | |  | | | |
|  |  | **:16S:** | | | | | **USECU** | | | |  | | | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | |  | | | |
| **R** | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | | |  | | | |
|  |  | **:16R:** | | | | | **CADETL** | | | |  | | | | |
| **M** |  | **:98A::** | | | | | **XDTE//20YY0125** | | | | Ex date | | | | |
| **M** |  | **:98A::** | | | | | **RDTE//20YY0128** | | | | Record date | | | | |
| **M** |  | **:22F::** | | | | | **RHDI//EXRI** | | | | Intermediate securities distribution type: subscription rights | | | | |
|  |  | **:16S:** | | | | | **CADETL** | | | |  | | | | |
| **R** | *End of Sequence D Corporate Actions Details* | | | | | | | | | | |  | | | |
| **O** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | |
|  |  | **:16R:** | | | | | **CAOPTN** | | | |  | | | | |
| **M** |  | **:13A::** | | | | | **CAON//001** | | | | CA Option Number | | | | |
| **M** |  | **:22F::** | | | | | **CAOP//SECU** | | | | Securities option | | | | |
| **R** |  | **:22F::** | | | | | **DISF//RDDN** | | | | Fractions are discarded | | | | |
| **M** |  | **:17B::** | | | | | **DFLT//Y** | | | | This is the default | | | | |
| **O** |  | **:98A::** | | | | | **EXPI//20YY0208** | | | | Expiration date | | | | |
| **O** |  |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | |
| **M** |  | **:16R:** | | | | | **SECMOVE** | | | |  | | | | |
| **M** |  | **:22H::** | | | | | **CRDB//CRED** | | | | Credit indicator | | | | |
| **M** |  | **:35B:** | | | | | **ISIN BE0000120638**  **BELGO RIGHTS** | | | | New shares (rights) | | | | |
| **M** |  | **:36B::** | | | | | **ENTL//UNIT/285000,** | | | | Quantity of rights to be credited | | | | |
| **O** |  | **:69A::** | | | | | **TRDP//20YY0126/20YY0208** | | | | Period where rights are tradable | | | | |
| **M** |  | **:92D::** | | | | | **ADEX//1,/2,** | | | | Ratio for additional for existing securities | | | | |
| **M** |  | **:98A::** | | | | | **PAYD//20YY0126** | | | | Payment date | | | | |
| **M** |  | **:16S:** | | | | | **SECMOVE** | | | |  | | | | |
| **O** |  |  | *End of Subsequence E1 Securities Movement* | | | | | | | | |  | | | |
|  |  | **:16S:** | | | | | **CAOPTN** | | | |  | | | | |
| **O** |  | *End of Sequence E Corporate Action Options* | | | | | | | | | | | |  | |

# RHDI: Intermediate Securities Distribution (MAND) – For EXOF

### Definition:

The distribution of intermediate securities or privilege that gives the holder the right to take part in a future event.

### Issue name:

Atlas Copco AB, A shares

ISIN SE0000101032

### Terms:

Interim/split redemption shares are provided to existing shareholders where 1 interim share is given for every 1 ordinary share held.

### Relative dates:

Ex date – May 11, 20YY

Interim shares trading period – May 16 to June 08, 20YY

Interim shares expire – June 16, 20YY

Payment date for interim shares – May 16, 20YY

### Transaction description:

Atlas Copco AB, A shares (SE0000101032) has announced a distribution of interim shares to be mandatorily redeemed in a second event. The account (a/c 01-001002003) will receive 100,000 ATLAS COPCO A redemption shares (SE0001426065) by virtue of an underlying holding of 100,000 underlying shares of Atlas Copco A.

### Messages:

MT 564 for the notice of entitlement

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | | | |
|  |  |  |  |  |  | |  | | | | |  |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | |  |
|  |  | **:16R:** | | | | **GENL** | |  | | | | |
| **M** |  | **:20C::** | | | | **CORP//RHDIATCOA001** | | Corporate action reference | | | | |
| **M** |  | **:20C::** | | | | **SEME//ATCOA110410001** | | Senders reference | | | | |
| **O** |  | **:20C::** | | | | **COAF//ESATCO11003** | | Official Corporate Action Event Reference | | | | |
| **M** |  | **:23G:** | | | | **REPE** | | Replacement with entitlement | | | | |
| **M** |  | **:22F::** | | | | **CAEV//RHDI** | | Rights distribution | | | | |
| **M** |  | **:22F::** | | | | **CAMV//MAND** | | Mandatory indicator | | | | |
| **M** |  | **:25D::** | | | | **PROC//COMP** | | Details are complete | | | | |
| **O** | |  | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | |  | |
|  | | **:16R:** | | | | **LINK** | | Start of Block | | | | |
| **R** | | **:13A::** | | | | **LINK//564** | | Previously sent message type | | | | |
| **M** | | **:20C::** | | | | **PREV//ATCOA110401001** | | Previously sent message reference | | | | |
|  | | **:16S:** | | | | **LINK** | | End of Block | | | | |
| **O** | |  | *End of Subsequence A1 Linkages* | | | | | | | |  | |
|  |  | **:16S:** | | | | **GENL** | | |  | | | |
| **M** | *End of Sequence A General Information* | | | | | | | | |  | | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | |  | | |
|  |  | **:16R:** | | | | **USECU** | | |  | | | |
| **M** |  | **:35B:** | | | | **ISIN SE0000101032**  **ATLAS COPCO AB A SHS** | | | Underlying securities | | | |
| **M** |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | |
|  |  | **:16R:** | | | | **ACCTINFO** | | |  | | | |
| **M** |  | **:97A::** | | | | **SAFE//01-001002003** | | | Safekeeping Account | | | |
| **O** |  | **:93B::** | | | | **ELIG//UNIT/100000,** | | | Eligible balance | | | |
|  |  | **:16S:** | | | | **ACCTINFO** | | |  | | | |
| **M** |  | *End of Subsequence B2 Account Information* | | | | | | | |  | | |
|  |  | **:16S:** | | | | **USECU** | | |  | | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | |  | | |
| **R** | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | |  | | |
|  |  | **:16R:** | | | | **CADETL** | | |  | | | |
| **M** |  | **:98A::** | | | | **XDTE//20YY0511** | | | Ex date | | | |
| **M** |  | **:98A::** | | | | **RDTE//20YY0513** | | | Record date | | | |
| **M** |  | **:22F::** | | | | **RHDI//EXOF** | | | Intermediate securities distribution type: exchange offer | | | |
|  |  | **:16S:** | | | | **CADETL** | | |  | | | |
| **R** | *End of Sequence D Corporate Actions Details* | | | | | | | | |  | | |
| **O** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | |
|  |  | **:16R:** | | | | **CAOPTN** | | |  | | | |
| **M** |  | **:13A::** | | | | **CAON//001** | | | CA Option Number | | | |
| **M** |  | **:22F::** | | | | **CAOP//SECU** | | | Securities option | | | |
| **O** |  | **:22F::** | | | | **DISF//RDDN** | | | Fractions are discarded | | | |
| **M** |  | **:17B::** | | | | **DFLT//Y** | | | This is the default | | | |
| **O** |  | **:98A::** | | | | **EXPI//20YY0616** | | | Expiration date | | | |
| **O** |  |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | |
| **M** |  | **:16R:** | | | | **SECMOVE** | | |  | | | |
| **M** |  | **:22H::** | | | | **CRDB//CRED** | | | Credit indicator | | | |
| **M** |  | **:35B:** | | | | **ISIN SE0001426065**  **ATLAS COPCO A REDM SHARES** | | | New shares (interim shares) | | | |
| **M** |  | **:36B::** | | | | **ENTL//UNIT/100000,** | | | Quantity of interim shares to be credited | | | |
| **O** |  | **:69A::** | | | | **TRDP//20YY0516/20YY0608** | | | Period where interim shares are tradable | | | |
| **M** |  | **:92D::** | | | | **ADEX//1,/1,** | | | Ratio for additional for existing securities | | | |
| **M** |  | **:98A::** | | | | **PAYD//20YY0516** | | | Payment date | | | |
| **M** |  | **:16S:** | | | | **SECMOVE** | | |  | | | |
| **O** |  |  | *End of Subsequence E1 Securities Movement* | | | | | | |  | | |
|  |  | **:16S:** | | | | **CAOPTN** | | |  | | | |
| **O** |  | *End of Sequence E Corporate Action Options* | | | | | | | | | |  |

# RHTS: Rights Issue/Subscription Rights/Rights Offer (CHOS)

### Definition:

Distribution of a security or privilege that gives the holder an entitlement or right to take part in a future event.

### Issue name:

ISIN FR0000120628 AXA ORD

### Terms:

Rights issue, with a distribution of 1 right for 2 shares held. The options are exercise, lapse, sell or buy. The exercise price is EUR 5 and the ratio is 8 rights per new share.

### Relative dates:

Ex-date – 26 January 20YY

Record date – 26 January 20YY

Response deadline date – 16 February 20YY

Market deadline date – 17 February 20YY

Exercise period – from 26 January to 17 February 20YY

Payment date – 19 February 20YY

### Messages:

MT 564 for the notice of entitlement

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | | | | | | | | | | | | | |
|  | | |
| **M** | | | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | | | | | | | | |
|  | | | **:16R:** | | | | | | | | **GENL** | | | | |  | | | | | | | | | | | | |
| **M** | | | **:20C::** | | | | | | | | **CORP//RHTS21757** | | | | | Corporate Action Reference | | | | | | | | | | | | |
| **M** | | | **:20C::** | | | | | | | | **SEME//154802473** | | | | | Senders reference | | | | | | | | | | | | |
| **O** | | | **:20C::** | | | | | | | | **COAF//BI01593212345** | | | | | Official Corporate Action Event Reference | | | | | | | | | | | | |
| **M** | | | **:23G:** | | | | | | | | **REPE** | | | | | Replacement with entitlements | | | | | | | | | | | | |
| **M** | | | **:22F::** | | | | | | | | **CAEV//RHTS** | | | | | Rights Issue | | | | | | | | | | | | |
| **M** | | | **:22F::** | | | | | | | | **CAMV//CHOS** | | | | | Choice indicator | | | | | | | | | | | | |
| **M** | | | **:25D::** | | | | | | | | **PROC//COMP** | | | | | Details are complete | | | | | | | | | | | | |
| **O** |  | | | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | | | | | |  | | | | | | | | | | |
|  | | | | **:16R:** | | | | | **LINK** | | | | | |  | | | | | | | | | | |
| **O** | | | | **:13A::** | | | | | **LINK//564** | | | | | | Message type | | | | | | | | | | |
| **M** | | | | **:20C::** | | | | | **PREV//20990311001** | | | | | | Previous reference | | | | | | | | | | |
|  | | | | **:16S:** | | | | | **LINK** | | | | | |  | | | | | | | | | | |
| **O** | *End of Subsequence A1 Linkages* | | | | | | | | | | | | | | | | |  | | | | | | |
|  | | | | | **:16S:** | | | | | | **GENL** | | | | |  | | | | | | | | | | | | |
| **M** | | | | | *End of Sequence A General Information* | | | | | | | | | | | | | | | | |
|  | | | | |  |  |  |  | |  | | | |
| **M** | | | | | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | | | | | | | | |
|  | | | | | **:16R:** | | | | | | | **USECU** | | | |  | | | | | | | | | | | | |
| **M** | | | | | **:35B:** | | | | | | | **ISIN FR0000120628 AXA ORD** | | | | Underlying securities | | | | | | | | | | | | |
|  | | | | |  |  |  |  | |  | | | |  | | | | | | | | |
| **M** | | | | |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | | | | | | | | | | | |
|  | | | | | **:16R:** | | | | | | | **ACCTINFO** | | | | |  | | | | | | | | | | | |
| **M** | | | | | **:97A::** | | | | | | | **SAFE//98-0112441-05** | | | | | Safekeeping Account | | | | | | | | | | | |
| **O** | | | | | **:93B::** | | | | | | | **ELIG//UNIT/570000,** | | | | | Eligible unit balance | | | | | | | | | | | |
|  | | | | | **:16S:** | | | | | | | **ACCTINFO** | | | | |  | | | | | | | | | | | |
| **M** | | | | |  | *End of Subsequence B2 Account Information* | | | | | | | | | | | | | | | | |  | | | | | |
|  | | | | |  |  |  |  | |  | | | |  | | | | | | | | |  | | | | | |
|  | | | | | **:16S:** | | | | | | | **USECU** | | | | |  | | | | | | | | | | | |
| **M** | | | | | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | | | | | | | | |
|  | | | | |  |  |  |  | |  | | | |
| **O** | | | | | *Optional* **Sequence C** *Intermediate Securities* | | | | | | | | | | | | | | | | |  | | | | | | |
|  | | | | | **:16R:** | | | | | | | **INTSEC** | | | | |  | | | | | | | | | | | |
| **M** | | | | | **:35B::** | | | | | | | **ISIN FR0000120638**  **AXA RIGHTS** | | | | | Identification of the rights | | | | | | | | | | | |
| **O** | | | | | **:36B::** | | | | | | | **QINT//UNIT/123,** | | | | | Quantity of rights to be credited | | | | | | | | | | | |
| **O** | | | | | **:22F::** | | | | | | | **DISF//RDDN** | | | | | Tractions are discarded | | | | | | | | | | | |
| **O** | | | | | **:22F::** | | | | | | | **SELL//RENO** | | | | | Rights can be sold | | | | | | | | | | | |
| **M** | | | | | **:92D::** | | | | | | | **RTUN//1,/2,** | | | | | 1 right for every 2 underlying shares | | | | | | | | | | | |
| **M** | | | | | **:98A::** | | | | | | | **EXPI//20YY0217** | | | | | Expiration date of rights | | | | | | | | | | | |
| **M** | | | | | **:98A::** | | | | | | | **POST//20YY0126** | | | | | Date rights credited to the account | | | | | | | | | | | |
| **O** | | | | | **:69A::** | | | | | | | **TRDP//20YY0126/20YY0217** | | | | | Period where rights are tradable | | | | | | | | | | | |
|  | | | | | **:16S:** | | | | | | | **INTSEC** | | | | |  | | | | | | | | | | | |
| **O** | | | | | *End of Sequence C Intermediate Securities* | | | | | | | | | | | | | | | | |
|  | | | | |  |  |  |  | |  | | | |
| **R** | | | | | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | | | | | | | | |  | | | | |
|  | | | | | **:16R:** | | | | | | | **CADETL** | | | | |  | | | | | | | | | | | |
| **M** | | | | | **:98A::** | | | | | | | **XDTE//20YY0126** | | | | | Ex Date | | | | | | | | | | | |
| **M** | | | | | **:98A::** | | | | | | | **RDTE//20YY0126** | | | | | Record Date | | | | | | | | | | | |
|  | | | | | **:16S:** | | | | | | | **CADETL** | | | | |  | | | | | | | | | | | |
| **R** | | | | | *End of Sequence D Corporate Actions Details* | | | | | | | | | | | | | | | | |
|  | | | | |  |  |  |  | |  | | | |
| **O** | | | | |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | | | | | | | | |
|  | | | | | **:16R:** | | | | | | | **CAOPTN** | | | | |  | | | | | | | | | | | |
| **M** | | | | | **:13A::** | | | | | | | **CAON//001** | | | | | CA Option Number | | | | | | | | | | | |
| **M** | | | | | **:22F::** | | | | | | | **CAOP//EXER** | | | | | Exercise Option | | | | | | | | | | | |
| **M** | | | | | **:17B::** | | | | | | | **DFLT//N** | | | | | This is not default | | | | | | | | | | | |
| **O** | | | | | **:98A::** | | | | | | | **RDDT//20YY0216** | | | | | Response deadline date for subscription | | | | | | | | | | | |
| **O** | | | | | **:98A::** | | | | | | | **MKDT//20YY0217** | | | | | Market Deadline | | | | | | | | | | | |
| **R** | | | | | **:69A::** | | | | | | | **PWAL//20YY0126/20YY0217** | | | | | Exercise Period | | | | | | | | | | | |
|  | | | | |  |  |  |  | |  | | | |  | | | | | | | | |
| **O** | | | | |  |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | | | | | | | |
| **M** | | | | | **:16R:** | | | | | | | **SECMOVE** | | | | |  | | | | | | | | | | | |
| **M** | | | | | **:22H::** | | | | | | | **CRDB//DEBT** | | | | | Debit Indicator | | | | | | | | | | | |
| **M** | | | | | **:35B:** | | | | | | | **ISIN FR0000120638**  **AXA RIGHTS** | | | | | Identification of the rights | | | | | | | | | | | |
| **M** | | | | | **:36B::** | | | | | | | **ENTL//UNIT/285000,** | | | | | Entitled quantity | | | | | | | | | | | |
| **M** | | | | | **:98A::** | | | | | | | **PAYD//20YY0219** | | | | | Date rights will be debited | | | | | | | | | | | |
| **M** | | | | | **:16S:** | | | | | | | **SECMOVE** | | | | |  | | | | | | | | | | | |
| **O** | | | | |  |  | *End of Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | |
|  | | | | |  |  |  |  | |  | | | |  | | | | | | | | |
| **O** | | | | |  |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | | | | | | | |
| **M** | | | | | **:16R:** | | | | | | | **SECMOVE** | | | | |  | | | | | | | | | | | |
| **M** | | | | | **:22H::** | | | | | | | **CRDB//CRED** | | | | | Credit Indicator | | | | | | | | | | | |
| **M** | | | | | **:35B:** | | | | | | | **ISIN FR0000120746**  **AXA NEW** | | | | | Derived Securities | | | | | | | | | | | |
| **M** | | | | | **:36B::** | | | | | | | **ENTL//UNIT/35625,** | | | | | Entitled quantity | | | | | | | | | | | |
| **M** | | | | | **:92D::** | | | | | | | **NEWO//1,/8,** | | | | | New shares derived for rights (1 for 8) | | | | | | | | | | | |
| **M** | | | | | **:98A::** | | | | | | | **PAYD//20YY0219** | | | | | Payment date for new shares | | | | | | | | | | | |
| **M** | | | | | **:16S:** | | | | | | | **SECMOVE** | | | | |  | | | | | | | | | | | |
| **O** | | | | |  |  | *End of Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | |
|  | | | | |  |  |  |  | |  | | | |  | | | | | | | | |
| **O** | | | | |  |  | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | | | | | | | | |  | | | | | |
|  | | | | | **:16R:** | | | | | | | **CASHMOVE** | | | | |  | | | | | | | | | | | |
| **M** | | | | | **:22H::** | | | | | | | **CRDB//DEBT** | | | | | Debit Indicator | | | | | | | | | | | |
| **M** | | | | | **:19B::** | | | | | | | **ENTL//EUR/178125,** | | | | | Entitled Amount | | | | | | | | | | | |
| **M** | | | | | **:98A::** | | | | | | | **PAYD//20YY0219** | | | | | Date cash will be debited | | | | | | | | | | | |
| **O** | | | | | **:90B::** | | | | | | | **PRPP//ACTU/EUR5,** | | | | | Generic Cash Price Paid per Product | | | | | | | | | | | |
|  | | | | | **:16S:** | | | | | | | **CASHMOVE** | | | | |  | | | | | | | | | | | |
| **O** | | | | |  |  | *End of Subsequence E2 Cash Movement* | | | | | | | | | | | | | | | |  | | | | |
|  | | | | |  |  |  |  | |  | | | |  | | | | | | | | |  | | | | |
|  | | | | | **:16S:** | | | | | | | **CAOPTN** | | | | |  | | | | | | | | | | | |
| **O** | | | | |  | *End of Sequence E Corporate Action Options* | | | | | | | | | | | | | | | | |  | | | | |
|  | | | | |  |  |  |  | |  | | | |  | | | | | | | | |  | | | | |
| **O** | | | | |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | | | | | | | | | | |
|  | | | | | **:16R:** | | | | | | | **CAOPTN** | | | | |  | | | | | | | | | | | |
| **M** | | | | | **:13A::** | | | | | | | **CAON//002** | | | | | CA Option Number | | | | | | | | | | | |
| **M** | | | | | **:22F::** | | | | | | | **CAOP//LAPS** | | | | | Lapse option | | | | | | | | | | | |
| **M** | | | | | **:17B::** | | | | | | | **DFLT//Y** | | | | | This is the default | | | | | | | | | | | |
| **O** | | | | | **:98A::** | | | | | | | **RDDT//20YY0216** | | | | | Response deadline date for this option | | | | | | | | | | | |
| **O** | | | | | **:98A::** | | | | | | | **MKDT//20YY0217** | | | | | Market Deadline Date | | | | | | | | | | | |
|  | | | | |  | | | | | | |  | | | | |  | | | | | | | | | | | |
|  | | | | | **:16S:** | | | | | | | **CAOPTN** | | | | |  | | | | | | | | | | | |
| **O** | | | | |  | *End of Sequence E Corporate Action Options* | | | | | | | | | | | | | | | | |  | | | | |
|  | | | | |  |  |  |  | |  | | | |  | | | | | | | | |  | | | | |
| **O** | | | | |  | *Optional Repetitive* **Sequence E** *Corporate Action Options (option not always applicable, depending on sender)* | | | | | | | | | | | | | | | | | | | | | |
|  | | | | | **:16R:** | | | | | | | **CAOPTN** | | | | |  | | | | | | | | | | | |
| **M** | | | | | **:13A::** | | | | | | | **CAON//003** | | | | | CA Option Number | | | | | | | | | | | |
| **M** | | | | | **:22F::** | | | | | | | **CAOP//SLLE** | | | | | Sell option | | | | | | | | | | | |
| **M** | | | | | **:17B::** | | | | | | | **DFLT//N** | | | | | This is not the default | | | | | | | | | | | |
| **O** | | | | | **:98A::** | | | | | | | **RDDT//20YY0216** | | | | | Response deadline date for this option | | | | | | | | | | | |
| **O** | | | | | **:98A::** | | | | | | | **MKDT//20YY0217** | | | | | Market Deadline Date | | | | | | | | | | | |
|  | | | | |  |  |  |  | |  | | | |  | | | | | | | | |  | | | | |
| **O** | | | | |  |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | | | | | | |
| **M** | | | | | **:16R:** | | | | | | | **SECMOVE** | | | | |  | | | | | | | | | | | |
| **M** | | | | | **:22H::** | | | | | | | **CRDB//DEBT** | | | | | Debit Indicator | | | | | | | | | | | |
| **M** | | | | | **:35B:** | | | | | | | **ISIN FR0000120638**  **AXA RIGHTS** | | | | | Identification of the rights | | | | | | | | | | | |
| **O** | | | | | **:69A::** | | | | | | | **TRDP//20YY0126/20YY0217** | | | | | Trading Period | | | | | | | | | | | |
| **M** | | | | | **:98B::** | | | | | | | **PAYD//ONGO** | | | | | Date rights will be debited | | | | | | | | | | | |
| **M** | | | | | **:16S:** | | | | | | | **SECMOVE** | | | | |  | | | | | | | | | | | |
| **O** | | | | |  |  | *End of Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | |  | | | | |
|  | | | | |  |  |  |  | |  | | | |  | | | | | | | | |  | | | | |
| **O** | | | | |  |  | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | | | | | | | | |  | | | | |
|  | | | | | **:16R:** | | | | | | | **CASHMOVE** | | | | |  | | | | | | | | | | | |
| **M** | | | | | **:22H::** | | | | | | | **CRDB//CRED** | | | | | Credit Indicator | | | | | | | | | | | |
| **M** | | | | | **:98B::** | | | | | | | **PAYD//ONGO** | | | | | Payment Date/Time | | | | | | | | | | | |
|  | | | | | **:16S:** | | | | | | | **CASHMOVE** | | | | |  | | | | | | | | | | | |
| **O** | | | | |  |  | *End of Subsequence E2 Cash Movement* | | | | | | | | | | | | | | | |  | | | | |
|  | | | | |  |  |  |  | |  | | | |  | | | | | | | | |  | | | | |
|  | | | | |  |  |  | | | | | |  | | | | | | | | | |  | | | | |
|  | | | | | **:16S:** | | | | | | | **CAOPTN** | | | | |  | | | | | | | | | | | |
| **O** | | | | |  | *End of Sequence E Corporate Action Options* | | | | | | | | | | | | | | | | |  | | | | |
|  | | | | |  |  |  |  | |  | | | |  | | | | | | | | |  | | | | |
| **O** | | | | |  | *Optional Repetitive* **Sequence E** *Corporate Action Options (option not always applicable, depending on sender)* | | | | | | | | | | | | | | | | | | | | | |
|  | | | | | **:16R:** | | | | | | | **CAOPTN** | | | | |  | | | | | | | | | | | |
| **M** | | | | | **:13A::** | | | | | | | **CAON//004** | | | | | CA Option Number | | | | | | | | | | | |
| **M** | | | | | **:22F::** | | | | | | | **CAOP//BUYA** | | | | | Buy up option | | | | | | | | | | | |
| **M** | | | | | **:17B::** | | | | | | | **DFLT//N** | | | | | This is not the default | | | | | | | | | | | |
| **O** | | | | | **:98A::** | | | | | | | **RDDT//20YY0216** | | | | | Response deadline date for this option | | | | | | | | | | | |
| **O** | | | | | **:98A::** | | | | | | | **MKDT//20YY0217** | | | | | Market Deadline Date | | | | | | | | | | | |
|  | | | | |  |  |  |  | |  | | | |  | | | | | | | | |  | | | | |
| **O** | | | | |  |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | | | | | | |
| **M** | | | | | **:16R:** | | | | | | | **SECMOVE** | | | | |  | | | | | | | | | | | |
| **M** | | | | | **:22H::** | | | | | | | **CRDB//CRED** | | | | | Credit Indicator | | | | | | | | | | | |
| **M** | | | | | **:35B:** | | | | | | | **ISIN FR0000120638**  **AXA RIGHTS** | | | | | Identification of the rights | | | | | | | | | | | |
| **O** | | | | | **:69A::** | | | | | | | **TRDP//20YY0126/20YY0217** | | | | | Trading Period | | | | | | | | | | | |
| **M** | | | | | **:98B::** | | | | | | | **PAYD//ONGO** | | | | | Date rights will be credited | | | | | | | | | | | |
| **M** | | | | | **:16S:** | | | | | | | **SECMOVE** | | | | |  | | | | | | | | | | | |
| **O** | | | | |  |  | *End of Subsequence E1 Securities Movement* | | | | | | | | | | | | | | | |  | | | | |
|  | | | | |  |  |  |  | |  | | | |  | | | | | | | | |  | | | | |
| **O** | | | | |  |  | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | | | | | | | | |  | | | | |
|  | | | | | **:16R:** | | | | | | | **CASHMOVE** | | | | |  | | | | | | | | | | | |
| **M** | | | | | **:22H::** | | | | | | | **CRDB//DEBT** | | | | | Debit Indicator | | | | | | | | | | | |
| **M** | | | | | **:98B::** | | | | | | | **PAYD//ONGO** | | | | | Payment Date/Time | | | | | | | | | | | |
|  | | | | | **:16S:** | | | | | | | **CASHMOVE** | | | | |  | | | | | | | | | | | |
| **O** | | | | |  |  | *End of Subsequence E2 Cash Movement* | | | | | | | | | | | | | | | |  | | | | |
|  | | | | |  |  |  |  | |  | | | |  | | | | | | | | |  | | | | |
|  | | | | | **:16S:** | | | | | | | **CAOPTN** | | | | |  | | | | | | | | | | | |
|  | | *End of Sequence E Corporate Action Options* | | | | | | | | | | | | | | | | | |  | | | |

# SHPR: Share Premium Dividend (MAND)

### Definition:

This corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.

### Issue name:

Clicks Group Limited Ordinary shares ISIN ZAE000134854

### Terms:

Share Premium dividend rate is ZAR0.305 per share.

### Relative details and dates:

Ex Date (XDTE) – 28 June 20YY

Record Date (RDTE) – 02 July 20YY

Pay date – 05 July 20YY

### Transaction description:

The share premium dividend will be collected on behalf of a client with safe custody account (SCA) number 123456. The share premium dividend will be paid in ZAR. The SCA has an eligibility of 64,000 shares.

### Messages:

MT 564 for the notice of entitlement

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | | | | | | | | | | | |
|  |  |  |  |  |  | |  | | | | |  | |
|  |  | | | | | | | | | | |  | |
|  |  | | | | |  | |  | | | | |
|  |  | | | | |  | |  | | | | |
|  |  | | | | |  | |  | | | | |
|  |  | | | | |  | |  | | | | |
|  |  | | | | |  | |  | | | | |
|  |  | | | | |  | |  | | | | |
|  |  | | | | |  | |  | | | | |
|  |  | | | | |  | |  | | | | |
|  |  | | | | |  | |  | | | | |
|  |  | | | | | | | | |  |
|  |  | | | | | | | | |  |
|  |  | | | | |  | |  | | | | |
|  |  | | | | |  | |  | | | | |
|  |  |  | | | | | | | | |
|  |  | | | | |  | |  | | | | |
|  |  | | | | |  | |  | | | | |
|  |  | | | | |  | |  | | | | |
|  |  | | | | |  | |  | | | | |
|  |  |  | | | | | | | |  |
|  |  | | | | |  | |  | | | | |
|  |  | | | | | | | | |  |
|  |  | | | | | | | | |  |
|  |  | | | | |  | |  | | | | |
|  |  | | | | |  | |  | | | | |
|  |  | | | | |  | |  | | | | |
|  |  | | | | |  | |  | | | | |
|  |  | | | | |  | |  | | | | |
|  |  | | | | | | | | |  |
|  |  |  | | | | | | | | |
|  |  | | | | |  | |  | | | | |
|  |  | | | | |  | |  | | | | |
|  |  | | | | |  | |  | | | | |
|  |  | | | | |  | |  | | | | |
|  |  | | | | |  | |  | | | | |
|  |  |  |  | | | | | | | | | | |  |
|  |  | | | | |  | | |  | | | |
|  |  | | | | |  | | |  | | | |
|  |  | | | | |  | | |  | | | |
|  |  | | | | |  | | |  | | | |
|  |  | | | | |  | | |  | | | |
|  |  | | | | |  | | |  | | | |
|  |  | | | | |  | | |  | | | |
|  |  | | | | |  | | |  | | | |
|  |  | | | | |  | | |  | | | |
|  |  | | | | |  | | |  | | | |
|  |  |  |  | | | | | | |  |
|  |  | | | | |  | | |  | | | |
|  |  |  | | | | | | | | | |  | |

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | | | |
|  |  |  |  |  |  | | |  | | |  |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | |  |
|  | **:16R:** | | | | | | **GENL** | | Start of Block | | |
| **M** | **:20C::** | | | | | | **CORP//20YY0006711** | | Corporate Action Reference | | |
| **M** | **:20C::** | | | | | | **SEME//20YY0524110902** | | Sender's Message Reference | | |
| **O** | **:20C::** | | | | | | **COAF//20YY0005879** | | Official Corporate Action Event Reference | | |
| **M** | **:23G:** | | | | | | **REPE** | | Replacement with entitlement | | |
| **M** | **:22F::** | | | | | | **CAEV//SHPR** | | Corporate Action Event Indicator | | |
| **M** | **:22F::** | | | | | | **CAMV//MAND** | | Mandatory/Voluntary Indicator | | |
| **M** | **:25D::** | | | | | | **PROC//COMP** | | Processing Status | | |
| **O** |  | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | |  | | | |
|  | **:16R:** | | | | | | **LINK** | | Start of Block | | |
| **R** | **:13A::** | | | | | | **LINK//564** | | Previously sent message type | | |
| **M** | **:20C::** | | | | | | **PREV//20YY05241109** | | Previously sent message reference | | |
|  | **:16S:** | | | | | | **LINK** | | End of Block | | |
| **O** |  | *End of Subsequence A1 Linkages* | | | | | | | |  | | | |
|  | **:16S:** | | | | | | **GENL** | | End Of Block | | |
| **M** | *End of Sequence A General Information* | | | | | | | | |  | | | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | |  | | | |
|  | **:16R:** | | | | | | **USECU** | | Start of Block | | |
| **M** | **:35B:** | | | | | | **ISIN ZAE000134854** | | Identification of the Financial Instrument | | |
| **M** |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | |
|  | **:16R:** | | | | | **ACCTINFO** | | | Start of Block | | |
| **M** | **:97A::** | | | | | **SAFE//123456** | | | Safekeeping Account | | |
| **M** | **:93B::** | | | | | **ELIG//UNIT/64000,** | | | Total Eligible For Corporate Action Balance | | |
|  | **:16S:** | | | | | **ACCTINFO** | | | End Of Block | | |
| **M** |  | *End of Subsequence B2 Account Information* | | | | | | | |  | | | |
|  | **:16S:** | | | | | | **USECU** | | End Of Block | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | |  | | | |
| **R** | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | |  | | | |
|  | **:16R:** | | | | | | **CADETL** | | Start of Block | | |
| **M** | **:98A::** | | | | | | **XDTE//20YY0628** | | Ex-Dividend or Distribution Date/Time | | |
| **M** | **:98A::** | | | | | | **RDTE//20YY0702** | | Record Date/Time | | |
|  |  | | | | | |  | |  | | |
| **O** | **:22F::** | | | | | | **ADDB//CAPA** | | The message is a preliminary advice of payment | | |
|  | **:16S:** | | | | | | **CADETL** | | End Of Block | | |
| **R** | *End of Sequence D Corporate Actions Details* | | | | | | | | |  | | | |
| **O** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | |
|  | **:16R:** | | | | | | **CAOPTN** | | Start of Block | | |
| **M** | **:13A::** | | | | | | **CAON//001** | | CA Option Number | | |
| **M** | **:22F::** | | | | | | **CAOP//CASH** | | Corporate Action Option Code Indicator | | |
| **R** | **:11A::** | | | | | | **OPTN//ZAR** | | Currency offered | | |
| **M** | **:17B::** | | | | | | **DFLT//Y** | | Default Processing Flag | | |
| **O** |  |  | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | | | |  |
|  | **:16R:** | | | | | | **CASHMOVE** | | Start of Block | | |
| **M** | **:22H::** | | | | | | **CRDB//CRED** | | Credit/Debit Indicator | | |
| **R** | **:19B::** | | | | | | **ENTL//ZAR19520,** | | Entitled amount | | |
| **O** | **:19B::** | | | | | | **GRSS//ZAR19520,** | | Gross amount | | |
| **O** | **:19B::** | | | | | | **TAXR//ZAR0,** | | Tax amount | | |
| **O** | **:19B::** | | | | | | **NETT//ZAR19520,** | | Net amount | | |
| **M** | **:98A::** | | | | | | **PAYD//20YY0705** | | Payment Date/Time | | |
| **O** | **:98A::** | | | | | | **VALU//20YY0705** | | Value Date/Time | | |
| **O** | **:92A::** | | | | | | **TAXR//0,** | | Tax rate | | |
| **M** | **:92F::** | | | | | | **GRSS//ZAR0,305** | | Gross dividend rate | | |
| **O** | **:92F::** | | | | | | **NETT//ZAR0,305** | | Net Dividend Rate | | |
|  | **:16S:** | | | | | | **CASHMOVE** | | End Of Block | | |
| **O** |  |  | *End of Subsequence E2 Cash Movement* | | | | | | |  | | | |
|  | **:16S:** | | | | | | **CAOPTN** | | End Of Block | | |
| **O** |  | *End of Sequence E Corporate Action Options* | | | | | | | | |

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  | | | | | | |
|  |  | | | | | |  | | |
|  |  | | |  |  | | | | |
|  |  | | |  |  | | | | |
|  |  | | |  |  | | | | |
|  |  | | |  |  | | | | |
|  |  | | |  |  | | | | |
|  |  | | |  |  | | | | |
|  |  |  | | | |  | | |
|  |  | | |  |  | | | | |
|  |  | | |  |  | | | | |
|  |  | | |  |  | | | | |
|  |  | | |  |  | | | | |
|  |  |  | | | |  | | |
|  |  | | |  |  | | | | |
|  |  | | | | |  | | |
|  |  | | | | |  | | |
|  |  | | |  |  | | | | |
|  |  | | |  |  | | | | |
|  |  | | |  |  | | | | |
|  |  | | |  |  | | | | |
|  |  | | |  |  | | | | |
|  |  | | |  |  | | | | |
|  |  | | | | |  | | |
|  |  | | | | |  | | |
|  |  | | |  |  | | | | |
|  |  | | |  |  | | | | |
|  |  | | |  |  | | | | |
|  |  | | |  |  | | | | |
|  |  | | | | |  | | |
|  |  |  | | | | | | | |  |
|  |  | | |  |  | | | | |
|  |  | | |  |  | | | | |
|  |  | | |  |  | | | | |
|  |  | | |  |  | | | | |
|  |  |  |  | | | | |  |
|  |  | | |  |  | | | | |
|  |  | | |  |  | | | | |
|  |  | | |  |  | | | | |
|  |  | | |  |  | | | | |
|  |  | | |  |  | | | | |
|  |  | | |  |  | | | | |
|  |  | | |  |  | | | | |
|  |  | | |  |  | | | | |
|  |  | | |  |  | | | | |
|  |  |  |  | | |  | | |
|  |  | | |  |  | | | | |
|  |  |  | | | | | | | |

# SOFF: Spin-Off (MAND)

### Definition:

A distribution of subsidiary stock to the shareholders of the parent company without a surrender of shares. Spin-off represents a form of divestiture resulting in an independent company or in an existing company. For example, demerger, distribution, unbundling.

### Issue:

ISIN FR0004548873

### Terms:

A mandatory spin-off at a rate of 1 additional share for each underlying share. Any fractions will be cancelled.

### Relative dates:

Record date – 18 May 20YY

Ex date – 19 May 20YY

Pay date – 19 May 20YY

### Messages:

MT 564 for the notice of entitlement

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | | | | | |
|  |  |  |  |  |  | | | |  | | | |
|  | *Mandatory* **Sequence A** *General Information* | | | | | | | | | | | |
| **M** |  | **:16R:** | | | | | | **GENL** | | Start of Block | | | | | | |
| **M** |  | **:20C::** | | | | | | **CORP//SOFF00000356456** | | Corporate Action Reference | | | | | | |
| **M** |  | **:20C::** | | | | | | **SEME//56456433434** | | Sender's Message Reference | | | | | | |
| **O** |  | **:20C::** | | | | | | **COAF//BI01593212345** | | Official Corporate Action Event Reference | | | | | | |
| **M** |  | **:23G:** | | | | | | **REPE** | | Replacement with entitlement | | | | | | |
| **M** |  | **:22F::** | | | | | | **CAEV//SOFF** | | Corporate Action Event Indicator | | | | | | |
| **M** |  | **:22F::** | | | | | | **CAMV//MAND** | | Mandatory/Voluntary Indicator | | | | | | |
| **M** |  | **:25D::** | | | | | | **PROC//COMP** | | Processing Status | | | | | | |
| **O** |  | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | | | |  | | | | | |
|  |  | **:16R:** | | | | **LINK** | | | | Start of Block | | | | | | |
| **R** |  | **:13A::** | | | | **LINK//564** | | | | Previously sent message type | | | | | | |
| **M** |  | **:20C::** | | | | **PREV//201X05241109** | | | | Previously sent message reference | | | | | | |
|  |  | **:16S:** | | | | **LINK** | | | | End of Block | | | | | | |
| **O** |  | *End of Subsequence A1 Linkages* | | | | | | | | | |  | | | | | |
| **M** |  | **:16S:** | | | | | | **GENL** | | End Of Block | | | | | | |
|  | *End of Sequence A General Information* | | | | | | | | | |
|  | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | | |
| **M** |  | **:16R:** | | | | | | **USECU** | | Start of Block | | | | | | |
| **M** |  | **:35B:** | | | | | | **ISIN FR0004548873** | | Identification of the Financial Instrument | | | | | | |
|  |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | | |
| **M** |  | **:16R:** | | | | | | **ACCTINFO** | | Start of Block | | | | | | |
| **M** |  | **:97A::** | | | | | | **SAFE//55555** | | Safekeeping Account | | | | | | |
| **O** |  | **:93B::** | | | | | | **ELIG//FAMT/100000,** | | Total Eligible For Corporate Action Balance | | | | | | |
| **M** |  | **:16S:** | | | | | **ACCTINFO** | | | End Of Block | | | | | |
|  |  | *End of Subsequence B2 Account Information* | | | | | | | | |
| **M** |  | **:16S:** | | | | | | **USECU** | | End Of Block | | | | | | |
|  | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | | |
|  | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | | |
| **M** |  | **:16R:** | | | | | | **CADETL** | | Start of Block | | | | | | |
| **O** |  | **:98A::** | | | | | | **ANOU//20YY0505** | | Announcment Date | | | | | | |
| **M** |  | **:98A::** | | | | | | **XDTE//20YY0519** | | Ex-Dividend or Distribution Date/Time | | | | | | |
| **M** |  | **:98A::** | | | | | | **RDTE//20YY0518** | | Record Date/Time | | | | | | |
| **O** |  | **:22F::** | | | | | | **CAPA//ADDB** | | Preliminary advice of payment message | | | | | | |
| **M** |  | **:16S:** | | | | | | **CADETL** | | End Of Block | | | | | | |
|  | *End of Sequence D Corporate Actions Details* | | | | | | | | | |
|  |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | |
| **M** |  | **:16R:** | | | | | | **CAOPTN** | | Start of Block | | | | | | |
| **M** |  | **:13A::** | | | | | | **CAON//001** | | CA Option Number | | | | | | |
| **M** |  | **:22F::** | | | | | | **CAOP//SECU** | | Corporate Action Option Code Indicator | | | | | | |
| **O** |  | **:22F::** | | | | | | **DISF//RDDN** | | Disposition of Fractions Indicator | | | | | | |
| **M** |  | **:17B::** | | | | | | **DFLT//Y** | | Default Processing Flag | | | | | | |
|  |  |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | |
| **M** |  | **:16R:** | | | | | | **SECMOVE** | | Start of Block | | | | | | |
| **M** |  | **:22H::** | | | | | | **CRDB//CRED** | | Credit/Debit Indicator | | | | | | |
| **M** |  | **:35B:** | | | | | | **ISIN FR0010193979** | | Identification of the Financial Instrument | | | | | | |
| **O** |  | **:36B::** | | | | | | **ENTL//UNIT/100000,** | | Quantity to be debited | | | | | | |
| **M** |  | **:92D::** | | | | | | **ADEX//1,/1,** | | Additional for Existing Securities | | | | | | |
| **M** |  | **:98A::** | | | | | | **PAYD//20YY0519** | | Payment Date/Time | | | | | | |
| **M** |  | **:16S:** | | | | | | **SECMOVE** | | End Of Block | | | | | | |
|  |  |  | *End of Subsequence E1 Securities Movement* | | | | | | | |
| **M** |  | **:16S:** | | | | | | **CAOPTN** | | End Of Block | | | | | | |
|  |  | *End of Sequence E Corporate Action Options* | | | | | | | | | | |

# SPLF: Stock Split (MAND)

### Definition:

Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.

### Issue name:

Daimler Benz AG EUR 50

ISIN DE0000655466

### Terms:

Nominal value will change from EUR 50 to EUR 5, which results in a 10 for 1 stock split.

### Relative dates:

Ex date - March 19, 20YY

Available for sale - April 24, 20YY

### Transaction description:

At the AGM of Daimler Benz, shareholders approved a reduction in the stated nominal value per share of outstanding Daimler Benz stock. The client account (a/c 98-0112441-05) held a pre-split position of 86,600 shares. A new ISIN will be assigned to the resulting nominal value 5 EUR shares (DE0000655478).

The application of the stock split to the corporate action message or series of messages is achieved by using the MT 564s.

### Messages:

MT 564 Corporate Action Notice of Entitlement

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | | | |
|  |  |  |  |  |  | |  | | | |  |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | |  |
|  | **:16R:** | | | | | **GENL** | | Start of Block | | | | |
| **M** | **:20C::** | | | | | **CORP//SPL3437592** | | Corporate Action Reference | | | | |
| **M** | **:20C::** | | | | | **SEME//253147815** | | Sender's Message Reference | | | | |
| **O** | **:20C::** | | | | | **COAF//BI01593212345** | | Official Corporate Action Event Reference | | | | |
| **M** | **:23G:** | | | | | **REPE** | | Replacement with entitlement | | | | |
| **M** | **:22F::** | | | | | **CAEV//SPLF** | | Corporate Action Event Indicator | | | | |
| **M** | **:22F::** | | | | | **CAMV//MAND** | | Mandatory/Voluntary Indicator | | | | |
| **M** | **:25D::** | | | | | **PROC//COMP** | | Processing Status | | | | |
| **O** |  | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | |
|  | **:16R:** | | | | | **LINK** | | Start of Block | | | | |
| **R** | **:13A::** | | | | | **LINK//564** | | Previously sent message type | | | | |
| **M** | **:20C::** | | | | | **PREV//1997189-012** | | Previously sent message reference | | | | |
|  | **:16S:** | | | | | **LINK** | | End of Block | | | | |
| **O** |  | *End of Subsequence A1 Linkages* | | | | | | | |
|  |  |  |  |  |  | |  | | |
|  | **:16S:** | | | | | **GENL** | | End Of Block | | | | |
| **M** | *End of Sequence A General Information* | | | | | | | | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | |
|  | **:16R:** | | | | | **USECU** | | Start of Block | | | | |
| **M** | **:35B:** | | | | | **ISIN DE0000655466** | | Identification of the Financial Instrument | | | | |
| **M** |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | | |
|  | **:16R:** | | | | | **ACCTINFO** | | Start of Block | | | | |
| **M** | **:97A::** | | | | | **SAFE//98-0112441-05** | | Safekeeping Account | | | | |
| **M** | **:93B::** | | | | | **ELIG//UNIT/86600,** | | Total Eligible For Corporate Action Balance | | | | |
|  | **:16S:** | | | | | **ACCTINFO** | | End Of Block | | | | |
| **M** |  | *End of Subsequence B2 Account Information* | | | | | | | |
|  | **:16S:** | | | | | **USECU** | | End Of Block | | | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | |
| **R** | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | |
|  | **:16R:** | | | | | **CADETL** | | Start of Block | | | | |
| **M** | **:98A::** | | | | | **XDTE//200X0319** | | Ex date | | | | |
| **M** | **:98A::** | | | | | **RDTE//20YY0321** | | Record Date/Time | | | | |
| **O** | **:22F::** | | | | | **ADDB//CAPA** | | The message is a preliminary advice of payment | | | | |
|  | **:16S:** | | | | | **CADETL** | | End Of Block | | | | |
| **R** | *End of Sequence D Corporate Actions Details* | | | | | | | | |
| **O** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | |
|  | **:16R:** | | | | | **CAOPTN** | | Start of Block | | | | |
| **M** | **:13A::** | | | | | **CAON//001** | | CA Option Number | | | | |
| **M** | **:22F::** | | | | | **CAOP//SECU** | | Corporate Action Option Code Indicator | | | | |
| **M** | **:17B::** | | | | | **DFLT//Y** | | Default Processing Flag | | | | |
| **O** |  |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | |
| **M** | **:16R:** | | | | | **SECMOVE** | | Start of Block | | | | |
| **M** | **:22H::** | | | | | **CRDB//DEBT** | | Credit/Debit Indicator | | | | |
| **M** | **:35B:** | | | | | **ISIN DE0000655466** | | Identification of the Financial Instrument | | | | |
| **M** | **:36B::** | | | | | **ENTL//UNIT/86600,** | | Quantity to be debited | | | | |
| **M** | **:98A::** | | | | | **PAYD//20YY0319** | | Payment Date/Time | | | | |
| **M** | **:16S:** | | | | | **SECMOVE** | | End Of Block | | | | |
| **O** |  |  | *End of Subsequence E1 Securities Movement* | | | | | | |
| **O** |  |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | | | |
| **M** | **:16R:** | | | | | **SECMOVE** | | Start of Block | | | | |
| **M** | **:22H::** | | | | | **CRDB//CRED** | | Credit/Debit Indicator | | | | |
| **M** | **:35B:** | | | | | **ISIN DE0000655478** | | Identification of the Financial Instrument | | | | |
| **M** | **:36B::** | | | | | **ENTL//UNIT/866000,** | | Quantity of new shares to be posted | | | | |
| **M** | **:92D::** | | | | | **NEWO//10,/1,** | | New to old | | | | |
| **M** | **:98A::** | | | | | **PAYD//20YY0319** | | Payment Date/Time | | | | |
| **O** | **:98A::** | | | | | **AVAL//20YY0319** | | Available date | | | | |
| **M** | **:16S:** | | | | | **SECMOVE** | | End Of Block | | | | |
| **O** |  |  | *End of Subsequence E1 Securities Movement* | | | | | | |  | | | | |
|  | **:16S:** | | | | | **CAOPTN** | | | End Of Block | | | |
| **O** |  | *End of Sequence E Corporate Action Options* | | | | | | | | |  |
|  |  |  |  |  |  | |  | | | |  |

# SPLR: Reverse Stock Split/Change in NominalValue (MAND)

* + 1. **Definition:**

Decrease in a company´s number of outstanding equities without any change in the shareholder´s equity or the aggregate market value at the time of split. Equity price and nominal value are increased accordingly.

* + 1. **Issue name:**

Innofactor Plc EUR 20

FI0009007637

* + 1. **Terms:**

Reverse split rate: 1:20

**Relative dates:**

Ex-date - March 19, 20YY

Record Date – March 21, 20YY

* + 1. **Transaction description:**

The account 123456 has a holding of 20 shares resulting 1 share after the reverse split

* + 1. **Messages***:*

MT 564 Corporate Action Notice of Entitlement

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | | | |
|  |  |  |  |  |  | |  | | | |  |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | | |  |
|  | **:16R:** | | | | | **GENL** | | Start of Block | | | | |
| **M** | **:20C::** | | | | | **CORP//SPLR123** | | Corporate Action Reference | | | | |
| **M** | **:20C::** | | | | | **SEME//112233** | | Sender's Message Reference | | | | |
| **O** | **:20C::** | | | | | **COAF//IFA212121** | | Official Corporate Action Event Reference | | | | |
| **M** | **:23G:** | | | | | **REPE** | | Replacement with entitlement | | | | |
| **M** | **:22F::** | | | | | **CAEV//SPLR** | | Corporate Action Event Indicator | | | | |
| **M** | **:22F::** | | | | | **CAMV//MAND** | | Mandatory/Voluntary Indicator | | | | |
| **O** | **:98C::** | | | | | **PREP//20YY0901150624** | | Preparation date/time | | | | |
| **M** | **:25D::** | | | | | **PROC//COMP** | | Processing Status | | | | |
| **O** |  | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | |
|  | **:16R:** | | | | | **LINK** | | Start of Block | | | | |
| **R** | **:13A::** | | | | | **LINK//564** | | Previously sent message type | | | | |
| **M** | **:20C::** | | | | | **PREV//112222** | | Previously sent message reference | | | | |
|  | **:16S:** | | | | | **LINK** | | End of Block | | | | |
| **O** |  | *End of Subsequence A1 Linkages* | | | | | | | |
|  |  |  |  |  |  | |  | | |
|  | **:16S:** | | | | | **GENL** | | End Of Block | | | | |
| **M** | *End of Sequence A General Information* | | | | | | | | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | | |
|  | **:16R:** | | | | | **USECU** | | Start of Block | | | | |
| **M** | **:35B:** | | | | | **ISIN FI0009007637** | | Identification of the Financial Instrument | | | | |
| **M** |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | |
|  | **:16R:** | | | | | **ACCTINFO** | | Start of Block | | | | |
| **M** | **:97A::** | | | | | **SAFE//123456** | | Safekeeping Account | | | | |
| **M** | **:93B::** | | | | | **ELIG//UNIT/20,** | | Total Eligible For Corporate Action Balance | | | | |
|  | **:16S:** | | | | | **ACCTINFO** | | End Of Block | | | | |
| **M** |  | *End of Subsequence B2 Account Information* | | | | | | | |
|  | **:16S:** | | | | | **USECU** | | End Of Block | | | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | | |
| **R** | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | | |
|  | **:16R:** | | | | | **CADETL** | | Start of Block | | | | |
| **M** | **:98A::** | | | | | **XDTE//20YY0319** | | Ex date | | | | |
| **M** | **:98A::** | | | | | **RDTE//20YY0321** | | Record Date/Time | | | | |
| **O** | **:22F::** | | | | | **ADDB//CAPA** | | The message is a preliminary advice of payment | | | | |
|  | **:16S:** | | | | | **CADETL** | | End Of Block | | | | |
| **R** | *End of Sequence D Corporate Actions Details* | | | | | | | | |
| **O** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | |
|  | **:16R:** | | | | | **CAOPTN** | | Start of Block | | | | |
| **M** | **:13A::** | | | | | **CAON//001** | | CA Option Number | | | | |
| **M** | **:22F::** | | | | | **CAOP//SECU** | | Corporate Action Option Code Indicator | | | | |
| **M** | **:17B::** | | | | | **DFLT//Y** | | Default Processing Flag | | | | |
| **O** |  |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | |
| **M** | **:16R:** | | | | | **SECMOVE** | | Start of Block | | | | |
| **M** | **:22H::** | | | | | **CRDB//DEBT** | | Credit/Debit Indicator | | | | |
| **M** | **:35B:** | | | | | **ISIN FI0009007637** | | Identification of the Financial Instrument | | | | |
| **M** | **:36B::** | | | | | **ENTL//UNIT/20,** | | Quantity to be debited | | | | |
| **M** | **:98A::** | | | | | **PAYD//20YY0319** | | Payment Date/Time | | | | |
| **M** | **:16S:** | | | | | **SECMOVE** | | End Of Block | | | | |
| **O** |  |  | *End of Subsequence E1 Securities Movement* | | | | | | |
| **O** |  |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | | |
| **M** | **:16R:** | | | | | **SECMOVE** | | Start of Block | | | | |
| **M** | **:22H::** | | | | | **CRDB//CRED** | | Credit/Debit Indicator | | | | |
| **M** | **:35B:** | | | | | **ISIN FI0009007637** | | Identification of the Financial Instrument | | | | |
| **M** | **:36B::** | | | | | **ENTL//UNIT/1,** | | Quantity of new shares to be posted | | | | |
| **M** | **:92D::** | | | | | **NEWO//1,/20,** | | New to old | | | | |
| **M** | **:98A::** | | | | | **PAYD//20YY0319** | | Payment Date/Time | | | | |
| **O** | **:98A::** | | | | | **AVAL//20YY0319** | | Available date | | | | |
| **M** | **:16S:** | | | | | **SECMOVE** | | End Of Block | | | | |
| **O** |  |  | *End of Subsequence E1 Securities Movement* | | | | | | |  | | |
|  | **:16S:** | | | | | **CAOPTN** | | | End Of Block | | | |
| **O** |  | *End of Sequence E Corporate Action Options* | | | | | | | | |  |
|  |  |  |  |  |  | |  | | | |  |

# TEND: Tender Offer (MAND)

### Definition:

Offer launched by a company, in possession of a large number of the shares (90% - 95%) of a company (generally as a result of a tender offer), in order to acquire the remaining shares of that company (also called “squeeze out”).

### Issue:

ISIN DE000A1K03M6

### Terms:

A Mandatory tender (or Squeeze out) of cash by a third party.

The offer price is EUR 26.

### Relative details and dates:

Posting date of the cash is: November 22, 20YY

### Messages:

MT 564 for the notice of entitlement

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | | | | |
|  | | *Mandatory* **Sequence A** *General Information* | | | | | | | | | |
| **M** | | **:16R:** | | | | | **GENL** | | Start of Block | | | | | | | |
| **M** | | **:20C::** | | | | | **CORP//11100056847** | | Corporate Action Reference | | | | | | | |
| **M** | | **:20C::** | | | | | **SEME//12546** | | Sender's Message Reference | | | | | | | |
| **O** | | **:20C::** | | | | | **COAF//DE11111212345** | | Official Corporate Action Event Reference | | | | | | | |
| **M** | | **:23G:** | | | | | **REPE** | | Replacement with entitlement | | | | | | | |
| **M** | | **:22F::** | | | | | **CAEV//TEND** | | Corporate Action Event Indicator | | | | | | | |
| **M** | | **:22F::** | | | | | **CAMV//MAND** | | Mandatory/Voluntary Indicator | | | | | | | |
| **M** | | **:25D::** | | | | | **PROC//COMP** | | Processing Status | | | | | | | |
| **O** |  | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | |
|  |  | | **:16R:** | | | | | **LINK** | Start of Block | | | | | | | |
| **R** |  | | **:13A::** | | | | | **LINK//564** | Previously sent message type | | | | | | | |
| **M** |  | | **:20C::** | | | | | **PREV//1997189-012** | Previously sent message reference | | | | | | | |
|  |  | | **:16S:** | | | | | **LINK** | End of Block | | | | | | | |
| **O** |  | | *End of Subsequence A1 Linkages* | | | | | | | |
| **M** | | **:16S:** | | | | **GENL** | | | End Of Block | | | | | | | |
|  | | *End of Sequence A General Information* | | | | | | | |  | | | | | |
|  | | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | |  | | | | | |
| **M** | | **:16R:** | | | | | **USECU** | | Start of Block | | | | | | | |
| **M** | | **:35B:** | | | | | **ISIN DE000A1K03M6** | | Identification of the Financial Instrument | | | | | | | |
|  | |  | | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | |  |
| **M** | | **:16R:** | | | | | **ACCTINFO** | | Start of Block | | | | | | | |
| **M** | | **:97A::** | | | | | **SAFE//44444** | | Safekeeping Account | | | | | | | |
| **O** | | **:93B::** | | | | | **ELIG//UNIT/3956,** | | Total Eligible For Corporate Action Balance | | | | | | | |
| **M** | | **:16S:** | | | | | **ACCTINFO** | | End Of Block | | | | | | | |
|  | |  | | *End of Subsequence B2 Account Information* | | | | | |  | | | | | |
| **M** | | **:16S:** | | | | | **USECU** | | End Of Block | | | | | | | |
|  | | *End of Mandatory Sequence B Underlying Securities* | | | | | | | |  | | | | | |
|  | | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | |  | | | | | |
| **O** | | **:16R:** | | | | | **CADETL** | | Start of Block | | | | | | | |
| **M** | | **:22F::** | | | | | **OFFE/SQUE** | | Corporate Action Offer Type Indicator | | | | | | | |
| **O** | | **:16S:** | | | | | **CADETL** | | End Of Block | | | | | | | |
|  | | *End of Sequence D Corporate Actions Details* | | | | | | | |  | | | | | |
|  | |  | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | |  |
| **M** | | **:16R:** | | | | | **CAOPTN** | | Start of Block | | | | | | | |
| **M** | | **:13A::** | | | | | **CAON//001** | | CA Option Number | | | | | | | |
| **M** | | **:22F::** | | | | | **CAOP//CASH** | | Corporate Action Option Code Indicator | | | | | | | |
| **M** | | **:22F::** | | | | | **DISF//RDDN** | | Disposition of Fractions Indicator | | | | | | | |
| **M** | | **:11A::** | | | | | **OPTN//EUR** | | Currency offered | | | | | | | |
| **M** | | **:17B::** | | | | | **DFLT//Y** | | Default Processing Flag | | | | | | | |
|  | |  | | | | |  | |  | | | | | | | |
|  | |  | |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | |  | |
| **M** | | **:16R:** | | | | | **SECMOVE** | | Start of Block | | | | | | | |
| **M** | | **:22H::** | | | | | **CRDB//DEBT** | | Credit/Debit Indicator | | | | | | | |
| **M** | | **:35B:** | | | | | **ISIN DE000A1K03M6** | | Identification of the Financial Instrument | | | | | | | |
| **O** | | **:36B::** | | | | | **ENTL//UNIT/3956,** | | Quantity to be debited | | | | | | | |
| **M** | | **:98B::** | | | | | **PAYD//20YY1122** | | Payment Date/Time | | | | | | | |
| **M** | | **:16S:** | | | | | **SECMOVE** | | End Of Block | | | | | | | |
|  | |  | |  | *End of Subsequence E1 Securities Movement* | | | | |  | | | | | |
|  | |  | |  | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | |  | | |
| **M** | | **:16R:** | | | | | **CASHMOVE** | | Start of Block | | | | | | | |
| **M** | | **:22H::** | | | | | **CRDB//CRED** | | Credit/Debit Indicator | | | | | | | |
| **R** | | **:19B::** | | | | | **ENTL//** **EUR102856,** | | Entitled amount | | | | | | | |
| **M** | | **:98B::** | | | | | **PAYD//20YY1122** | | Payment Date/Time | | | | | | | |
| **O** | | **:90B::** | | | | | **OFFR//ACTU/EUR26** | | Offer Price | | | | | | | |
| **M** | | **:16S:** | | | | | **CASHMOVE** | | End Of Block | | | | | | | |
|  | |  | |  | *End of Subsequence E2 Cash Movement* | | | | |  | | | | | |
| **M** | | **:16S:** | | | | | **CAOPTN** | | End Of Block | | | | | | | |
|  | |  | | *End of Sequence E Corporate Action Options* | | | | | |  | | | | | |
|  | |  | |  | | | | | | | |

# TEND: Tender Offer (VOLU)

### Definition:

An offer made to holders, by a third party, requesting them to sell (tender) or exchange their securities.

### Issue:

ISIN GB00B0167W72

### Terms:

A voluntary tender of cash by a third party.

The offer price is GBP 5,8.

### Relative details and dates:

Period offer acceptance may be instructed – 11 January 20YY until 31 January 20YY

Posting date of the cash is unknown initially

### Instruction description:

None – the holder will not take part.

### Messages:

MT 564 for the notice of entitlement

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | | | | |
|  | | *Mandatory* **Sequence A** *General Information* | | | | | | | | | |
| **M** | | **:16R:** | | | | | **GENL** | | Start of Block | | | | | | | |
| **M** | | **:20C::** | | | | | **CORP//15500056847** | | Corporate Action Reference | | | | | | | |
| **M** | | **:20C::** | | | | | **SEME//65002** | | Sender's Message Reference | | | | | | | |
| **O** | | **:20C::** | | | | | **COAF//BI01593212345** | | Official Corporate Action Event Reference | | | | | | | |
| **M** | | **:23G:** | | | | | **REPE** | | Replacement with entitlement | | | | | | | |
| **M** | | **:22F::** | | | | | **CAEV//TEND** | | Corporate Action Event Indicator | | | | | | | |
| **M** | | **:22F::** | | | | | **CAMV//VOLU** | | Mandatory/Voluntary Indicator | | | | | | | |
| **M** | | **:25D::** | | | | | **PROC//COMP** | | Processing Status | | | | | | | |
| **O** |  | | *Optional Repetitive Subsequence A1 Linkages* | | | | | | | |
|  |  | | **:16R:** | | | | | **LINK** | Start of Block | | | | | | | |
| **R** |  | | **:13A::** | | | | | **LINK//564** | Previously sent message type | | | | | | | |
| **M** |  | | **:20C::** | | | | | **PREV//1997189-012** | Previously sent message reference | | | | | | | |
|  |  | | **:16S:** | | | | | **LINK** | End of Block | | | | | | | |
| **O** |  | | *End of Subsequence A1 Linkages* | | | | | | | |
| **M** | | **:16S:** | | | | **GENL** | | | End Of Block | | | | | | | |
|  | | *End of Sequence A General Information* | | | | | | | |  | | | | | |
|  | | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | |  | | | | | |
| **M** | | **:16R:** | | | | | **USECU** | | Start of Block | | | | | | | |
| **M** | | **:35B:** | | | | | **ISIN GB00B0167W72** | | Identification of the Financial Instrument | | | | | | | |
|  | |  | | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | | |  |
| **M** | | **:16R:** | | | | | **ACCTINFO** | | Start of Block | | | | | | | |
| **M** | | **:97A::** | | | | | **SAFE//44444** | | Safekeeping Account | | | | | | | |
| **O** | | **:93B::** | | | | | **ELIG//UNIT/120000,** | | Total Eligible For Corporate Action Balance | | | | | | | |
| **M** | | **:16S:** | | | | | **ACCTINFO** | | End Of Block | | | | | | | |
|  | |  | | *End of Subsequence B2 Account Information* | | | | | |  | | | | | |
| **M** | | **:16S:** | | | | | **USECU** | | End Of Block | | | | | | | |
|  | | *End of Mandatory Sequence B Underlying Securities* | | | | | | | |  | | | | | |
|  | | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | |  | | | | | |
| **O** | | **:16R:** | | | | | **CADETL** | | Start of Block | | | | | | | |
| **O** | | **:92A::** | | | | | **PTSC//100,** | | Percentage of outstanding shares sought | | | | | | | |
| **O** | | **:16S:** | | | | | **CADETL** | | End Of Block | | | | | | | |
|  | | *End of Sequence D Corporate Actions Details* | | | | | | | |  | | | | | |
|  | |  | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | |  |
| **M** | | **:16R:** | | | | | **CAOPTN** | | Start of Block | | | | | | | |
| **M** | | **:13A::** | | | | | **CAON//001** | | CA Option Number | | | | | | | |
| **M** | | **:22F::** | | | | | **CAOP//CASH** | | Corporate Action Option Code Indicator | | | | | | | |
| **M** | | **:22F::** | | | | | **DISF//RDDN** | | Disposition of Fractions Indicator | | | | | | | |
| **M** | | **:11A::** | | | | | **OPTN//GBP** | | Currency offered | | | | | | | |
| **M** | | **:17B::** | | | | | **DFLT//N** | | Default Processing Flag | | | | | | | |
| **O** | | **:98A::** | | | | | **RDDT//20YY0130** | | Response deadline date | | | | | | | |
| **O** | | **:98C::** | | | | | **MKDT//20YY0131120000** | | Market Deadline Date/Time | | | | | | | |
| **O** | | **:69A::** | | | | | **PWAL//20YY0111/200X0131** | | Period of Action | | | | | | | |
| **O** | | **:69A::** | | | | | **REVO//20YY0121/20YY0131** | | Revocability Period | | | | | | | |
|  | |  | |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | |  | |
| **M** | | **:16R:** | | | | | **SECMOVE** | | Start of Block | | | | | | | |
| **M** | | **:22H::** | | | | | **CRDB//DEBT** | | Credit/Debit Indicator | | | | | | | |
| **M** | | **:35B:** | | | | | **ISIN GB00B0167W72** | | Identification of the Financial Instrument | | | | | | | |
| **O** | | **:36B::** | | | | | **ENTL//UNIT/120000,** | | Quantity to be debited | | | | | | | |
| **M** | | **:98B::** | | | | | **PAYD//UKWN** | | Payment Date/Time | | | | | | | |
| **M** | | **:16S:** | | | | | **SECMOVE** | | End Of Block | | | | | | | |
|  | |  | |  | *End of Subsequence E1 Securities Movement* | | | | |  | | | | | |
|  | |  | |  | *Optional Repetitive Subsequence E2 Cash Movement* | | | | | | | |  | | |
| **M** | | **:16R:** | | | | | **CASHMOVE** | | Start of Block | | | | | | | |
| **M** | | **:22H::** | | | | | **CRDB//CRED** | | Credit/Debit Indicator | | | | | | | |
| **R** | | **:19B::** | | | | | **ENTL//GBP696000,** | | Entitled amount | | | | | | | |
| **O** | | **:19B::** | | | | | **GRSS//GBP696000,** | | Gross amount | | | | | | | |
| **M** | | **:98B::** | | | | | **PAYD//UKWN** | | Payment Date/Time | | | | | | | |
| **O** | | **:90B::** | | | | | **OFFR//ACTU/GBP5,8** | | Offer Price | | | | | | | |
| **M** | | **:16S:** | | | | | **CASHMOVE** | | End Of Block | | | | | | | |
|  | |  | |  | *End of Subsequence E2 Cash Movement* | | | | |  | | | | | |
| **M** | | **:16S:** | | | | | **CAOPTN** | | End Of Block | | | | | | | |
|  | |  | | *End of Sequence E Corporate Action Options* | | | | | |  | | | | | |
|  | |  | | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | | | | |  |
| **M** | | **:16R:** | | | | | **CAOPTN** | | Start of Block | | | | | | | |
| **M** | | **:13A::** | | | | | **CAON//002** | | CA Option Number | | | | | | | |
| **M** | | **:22F::** | | | | | **CAOP//NOAC** | | Corporate Action Option Code Indicator | | | | | | | |
| **M** | | **:17B::** | | | | | **DFLT//N** | | Default Processing Flag | | | | | | | |
| **O** | | **:98A::** | | | | | **RDDT//20YY0130** | | Response deadline date | | | | | | | |
| **M** | | **:16S:** | | | | | **CAOPTN** | | End Of Block | | | | | | | |
|  | |  | | *End of Sequence E Corporate Action Options* | | | | | | | |

# WRTH: Worthless (MAND)

### Definition:

Booking out of valueless securities.

### Issue name:

ABC share

ISIN XX0000CC1234

### Terms

No terms

### Relative dates:

Payment date – January 29, 20YY

### Messages:

MT 564 for the notice of entitlement

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| MT 564 Corporate Action Notice of Entitlement | | | | | | | | | |
|  |  |  |  |  | |  |  | | |  | | |
| **M** | *Mandatory* **Sequence A** *General Information* | | | | | | | | |  | | |
|  | **:16R:** | | | | **GENL** | | | Start of Block | | |
| **M** | **:20C::** | | | | **CORP//WRTH000123** | | | Corporate Action Reference | | |
| **M** | **:20C::** | | | | **SEME//20YY0125001** | | | Sender's Message Reference | | |
| **O** | **:20C::** | | | | **COAF//CEABC123456** | | | Official Corporate Action Event Reference | | |
| **M** | **:23G:** | | | | **REPE** | | | Replacement with entitlement | | |
| **M** | **:22F::** | | | | **CAEV//WRTH** | | | Corporate Action Event Indicator | | |
| **M** | **:22F::** | | | | **CAMV//MAND** | | | Mandatory/Voluntary Indicator | | |
| **M** | **:25D::** | | | | **PROC//COMP** | | | Processing Status | | |
| **O** |  | *Optional Repetitive Subsequence A1 Linkages* | | | | | | |  | | |
|  | **:16R:** | | | | **LINK** | | | Start of Block | | |
| **R** | **:13A::** | | | | **LINK//564** | | | Previously sent message type | | |
| **M** | **:20C::** | | | | **PREV//20YY0119001** | | | Previously sent message reference | | |
|  | **:16S:** | | | | **LINK** | | | End of Block | | |
| **O** |  | *End of Subsequence A1 Linkages* | | | | | | |  | | |
|  | **:16S:** | | | | **GENL** | | | End Of Block | | |
| **M** | *End of Sequence A General Information* | | | | | | | |  | | |
| **M** | *Mandatory* **Sequence B** *Underlying Securities* | | | | | | | |  | | |
|  | **:16R:** | | | | **USECU** | | | Start of Block | | |
| **M** | **:35B:** | | | | **ISIN XX0000CC1234** | | | Identification of the Financial Instrument | | |
| **M** |  | *Mandatory Repetitive Subsequence B2 Account Information* | | | | | | | | | |
|  | **:16R:** | | | | **ACCTINFO** | | | Start of Block | | |
| **M** | **:97A::** | | | | **SAFE//123-123** | | | Safekeeping Account | | |
| **M** | **:93B::** | | | | **ELIG//UNIT/1000,** | | | Total Eligible For Corporate Action Balance | | |
|  | **:16S:** | | | | **ACCTINFO** | | | End Of Block | | |
| **M** |  | *End of Subsequence B2 Account Information* | | | | | | |  | | |
|  | **:16S:** | | | | **USECU** | | | End Of Block | | |
| **M** | *End of Mandatory Sequence B Underlying Securities* | | | | | | | |  | | |
| **O** | *Optional* **Sequence D** *Corporate Actions Details* | | | | | | | |  | | |
|  | **:16R:** | | | | **CADETL** | | | Start of Block | | |
| **O** | **:98A::** | | | | **ANOU//20YY0119** | | | Announcement date | | |
|  | **:16S:** | | | | **CADETL** | | | End Of Block | | |
| **O** | *End of Sequence D Corporate Actions Details* | | | | | | | |  | | |
| **O** |  | *Optional Repetitive* **Sequence E** *Corporate Action Options* | | | | | | | | | |
|  | **:16R:** | | | | **CAOPTN** | | | Start of Block | | |
| **M** | **:13A::** | | | | **CAON//001** | | | CA Option Number | | |
| **M** | **:22F::** | | | | **CAOP//LAPS** | | | Corporate Action Option Code Indicator | | |
| **M** | **:17B::** | | | | **DFLT//Y** | | | Default Processing Flag | | |
| **O** |  |  | *Optional Repetitive Subsequence E1 Securities Movement* | | | | | | | | |
| **M** | **:16R:** | | | | **SECMOVE** | | | Start of Block | | |
| **M** | **:22H::** | | | | **CRDB//DEBT** | | | Credit/Debit Indicator | | |
| **M** | **:35B:** | | | | **ISIN XX0000CC1234** | | | Identification of the Financial Instrument | | |
| **M** | **:36B::** | | | | **ENTL//UNIT/1000,** | | | Quantity to be debited | | |
| **M** | **:98A::** | | | | **PAYD//20YY0126** | | | Payment Date/Time | | |
| **M** | **:16S:** | | | | **SECMOVE** | | | End Of Block | | |
| **O** |  |  | *End of Subsequence E1 Securities Movement* | | | | | |  | | |
|  | **:16S:** | | | | **CAOPTN** | | | End Of Block | | |
| **O** |  | *End of Sequence E Corporate Action Options* | | | | | | | |  | | |

**END OF DOCUMENT**

1. This subsequence E2 is a fictive cash movement. It is present so as to be able to provide the RATE information but no cash move will occur in this case. [↑](#footnote-ref-1)
2. C1 means that one of the C1 fields must be present in the message, but not both. It is a conditional presence. [↑](#footnote-ref-2)
3. C1 means that at least one of the C1 field must be present. It is a conditional presence. [↑](#footnote-ref-3)
4. C1 means that at least one of the C1 field must be present. It is a conditional presence. [↑](#footnote-ref-4)
5. C1 means that at least one of the C1 field must be present. It is a conditional presence. [↑](#footnote-ref-5)
6. C1 means that at least one of the C1 field must be present. It is a conditional presence. [↑](#footnote-ref-6)
7. C1 means that at least one of the C1 field must be present. It is a conditional presence. [↑](#footnote-ref-7)
8. C1 means that at least one of the C1 field must be present. It is a conditional presence. [↑](#footnote-ref-8)
9. C1 means that at least one of the C1 field must be present. It is a conditional presence. [↑](#footnote-ref-9)
10. C1 means that at least one of the C1 field must be present. It is a conditional presence. [↑](#footnote-ref-10)