

**Subscription Multiple Order [setr.010.001.02] Message Elements**

Element	Sub-element or Component	Sub-element or Component	Data Type	(Code)	Definition	M/O	Comments	ALMUS Recommendations
<b>Master Reference [0..1]</b>					Reference assigned to a set of orders or trades in order to link them together.	O	Not useful for multiple order but for useful for single order	recommended for multiple order (alignment FIN 16 digits recommended) not recommended for single order
Reference [1]			Max35Text		Business reference of a message assigned by the party issuing the message. This reference must be unique amongst all messages of the same name sent by the same party.	M		alignment FIN 16 digits recommended
Reference Issuer [0..1]	<b>CHOICE:</b>				Issuer of the reference. (BIC or BEI or Proprietary or Name & Address)	O	not useful	Not recommended
Message Name [0..1]			Max35Text		Name of a message. <i>(This should say 'Identifier of a message')</i>	O	not useful	Not recommended
<b>Pool Reference [0..1]</b>					Collective reference identifying a set of messages.	O	not useful	Not recommended
<b>Previous Reference [0..n]</b>					Reference to a linked message that was previously sent.	O	not useful	Not recommended
<b>Multiple Order Details [1]</b>					General information related to the order.	M		
PlaceOfTrade[0..1]			CountryCode		Market in which the advised trade transaction was executed.	O	not useful	Not recommended
OrderDateTime [0..1]			ISODatetime		Date the investor places the order.	O	not useful	Not recommended
ExpiryDateTime [0..1]			ISODatetime		Date on which the order expires.	O	not useful	Not recommended
CancellationRight [0..1]	Structured		<b>Code List :</b>		Cancellation right of an investor with respect to an investment fund order. (CancellationRight2Code)	O	not useful	Not recommended
<b>Multiple Order Details \ Investment Account Details [1]</b>					The account impacted by an investment fund order.	M		
AccountIdentification [1]	Proprietary	Identification		Max35Text	Account between an investor(s) and a fund manager or a fund. The account can contain holdings in any investment fund or investment fund class managed (or distributed) by the fund manager, within the same fund family.	M	Account number in the register	
AccountName [0..1]				Max35Text	Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.	O	not necessary	Not recommended
AccountDesignation [0..1]				Max35Text	Supplementary registration information applying to a specific block of units for dealing and reporting purposes. The supplementary registration information may be used when all the units are registered, for example, to a funds supermarket, but holdings for each investor have to reconciled individually.	O	not necessary	Not recommended
OwnerIdentification [0..n]	<b>CHOICE:</b>				Party that legally owns the account.	O	not necessary	Not recommended
AccountServicer [0..1]	<b>CHOICE:</b>				Party that manages the account on behalf of the account owner, ie, manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.	O	not necessary	Not recommended
<b>Multiple Order Details \ Beneficiary Details [0..1]</b>					Additional information about the beneficial owner.	O	not necessary	Not recommended
<b>Multiple Order Details \ Individual Order Details [1..n]</b>					Order to invest the investor's principal in an investment fund.	M		
OrderReference [1]				Max35Text	Unique and unambiguous identifier for an order, as assigned by the instructing party.	M	16 characters are recommended until FIN and XML will coexist	alignment FIN 16 digits recommended
OrderType [0..n]	Structured		<b>Code List :</b>		Specifies the category of the investment fund order. (FundOrderType2Code)	O	not necessary	Not recommended
<b>Multiple Order Details \ Individual Order Details \ Financial Instrument Details [1]</b>					Investment fund class related to an order.	M		
Identification [1]	<b>CHOICE:</b>				Identification of a security, as assigned under a formal or proprietary identification scheme.	M		
	ISIN					M		ISIN recommended



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	AlternateIdentification	<b>Consists of :</b>	CountryCode		Country of the proprietary identification scheme.	M	alternate identification if ISIN is missing	Not recommended
		DomesticIdentificationSource	Max35Text		Entity that issues the proprietary identification.	M		Not recommended
		ProprietaryIdentificationSource	Max35Text		Unique and unambiguous identifier of a security.	M		Not recommended
		Identification	Identifier		Reuters Identification Code (RIC). A numbering system used within the Reuters system to identify instruments worldwide. The RIC contains an X-character market specific code (can be the CUSIP or EPIC codes) followed by a full stop, then the two-digit ISO country code, eg, IBM in UK is IBM.UK.	M		Not recommended
	RIC		Identifier		Letters that identify a stock traded on a stock exchange. The Ticker Symbol is a short and convenient way of identifying a stock, eg, RTR.L for Reuters quoted in London.	M	alternate identification if ISIN is missing	Not recommended
	TickerSymbol		Identifier		Identifier of a security assigned by the Bloomberg organisation.	M	alternate identification if ISIN is missing	Not recommended
	Bloomberg		Identifier		Identifier of a security assigned by the Consolidated Tape Association.	M	alternate identification if ISIN is missing	Not recommended
	CTA		Identifier		Identifier of securities issued in Luxembourg. The common code is a 9-digit code that replaces the CEDEL (Clearstream) and Euroclear codes.	M	alternate identification if ISIN is missing	Not recommended
	Common					M	alternate identification if ISIN is missing	Not recommended
Name [0..1]			Max350Text		Name of the financial instrument in free format text.	O	not necessary	Not recommended
SupplementaryIdentification [0..1]			Max35Text		Additional information about a financial instrument to help identify the instrument.	O	not necessary	Not recommended
ClassType [0..1]			Max35Text		Features of units offered by a fund. For example, a unit may have a specific load structure, eg, front end or back end, an income policy, eg, pay out or accumulate, or a trailer policy, eg, with or without. Fund classes are typically denoted by a single character, eg, 'Class A', 'Class 2'.	O	not necessary	Not recommended
SecuritiesForm [0..1]			<b>Code List :</b>		Form, ie, ownership, of the security, eg, registered or bearer. (FormOfSecurity1Code)	O	not necessary	Not recommended
DistributionPolicy [0..1]			<b>Code List :</b>		Income policy relating to a class type, ie, if income is paid out or retained in the fund. (DistributionPolicy1Code)	O	not necessary	Not recommended
ProductGroup [0..1]			Max140Text		Company specific description of a group of funds.	O	not necessary	Not recommended
<b>Multiple Order Details \ Individual Order Details [continued]</b>						M		
<b>CHOICE between UnitsNumber &amp; NetAmount:</b>						M		
UnitsNumber [1]			ActiveOrHistoricCurrencyAndAmount		Quantity of investment fund units to be subscribed.	M		
NetAmount [1]			DecimalNumber		Amount of money used to determine the quantity of investment fund units to be subscribed.	M	exact signification has to be agreed between parties	it is recommended to cover amount element via a bilateral agreement
Rounding [0..1]			<b>Code List :</b>		Indicates the rounding direction applied to nearest unit. (RoundingDirection2Code)	O		Not recommended
GrossAmount [0..1]			ActiveOrHistoricCurrencyAndAmount		Amount of money used to determine the quantity of investment fund units to be subscribed, including all charges, commissions, and tax.	O	exact signification has to be agreed between parties	it is recommended to cover amount element via a bilateral agreement. If order in gross amount is used, repeat same amount in the element net amount. (Will be solved in SR 2007).
<b>Multiple Order Details \ Individual Order Details \ Foreign Exchange Details [0..1]</b>					Information needed to process a currency exchange or conversion.	O	the sender of the does not decide of the FX	Not recommended



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<b>Multiple Order Details \ Individual Order Details [continued]</b>								
IncomePreference [0..1]			Code List :		Dividend option chosen by the account owner based on the options offered in the prospectus. (IncomePreference1Code)	O	it is not a market practice in Luxembourg, generally managed at level of the account	Not recommended
LetterIntentReference [0..1]			Max35Text		Reference of a letter of intent program, in which sales commissions are reduced based on the aggregate of a	O	not useful	Not recommended
AccumulationRightReference [0..1]			Max35Text		Reference of an accumulation right program, in which sales commissions are based on a customer's present purchases of	O	not useful	Not recommended
<b>Multiple Order Details \ Individual Order Details \ Charge Details [0..n]</b>								
						O	Charge for the placement of an order.	Not recommended
<b>Multiple Order Details \ Individual Order Details \ Commission Details [0..n]</b>								
						O	Commission linked to the execution of an investment fund order.	it is recommended to cover commissions element via a bilateral agreement
<b>Multiple Order Details \ Individual Order Details \ Commission Details \ Waiving Details [0..1]</b>								
						O	Voluntary non-enforcement of the right to all or part of a commission.	it is recommended to cover waiving details element via a bilateral agreement
<b>Multiple Order Details \ Individual Order Details \ Tax Details [0..n]</b>								
						O	Tax applicable to an investment fund order.	Not recommended
<b>Multiple Order Details \ Individual Order Details \ Tax Details \ Tax Calculation Details [0..1]</b>								
						O	Information used to calculate the tax.	Not recommended
<b>Multiple Order Details \ Individual Order Details \ Settlement And Custody Details [0..1]</b>								
						O	Parameters used to execute the settlement of an investment fund order.	Recommended when a distributor wants to settle DVP Not recommended in case of register settlement
SettlementDate [0..1]			ISODate		Date and time at which the securities are to be delivered or received.	O	The TA decides the Settlement Date	Not recommended
SettlementPlace [1]	CHOICE:				Place where the settlement of transaction will take place. In the context of the investment funds, the place of settlement is the transfer agent, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).	M	The settlement is defined by TA.	
	BICOrBEI		AnyBICIdentifier		Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.	M		Recommended
SafekeepingPlace [0..1]	CHOICE:				Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository or an International Central Securities Depository.	O		Not recommended
SecuritiesSettlementSystemIdentification [0..1]			Max35Text		Identification of a specific system or set of rules and/or processes to be applied at the settlement place.	O	Although SWIFT allows 35 characters, we recommend to use the following codes FOP (free of payment) or DVP (delivery versus payment)	Recommended when a distributor wants to settle DVP
<b>Multiple Order Details \ Individual Order Details \ Settlement And Custody Details \ Receiving Side Details [1]</b>								
						M	Chain of parties involved in the settlement of a transaction resulting in the movement of a security from one account to another.	
<b>Multiple Execution Details \ Individual Execution Details \ Settlement And Custody Details \ Receiving Side Details \ Receivers Custodian Details [0..1]</b>								
						O	Party that acts on behalf of the buyer of securities when the buyer does not have a direct relationship with the receiving agent.	Recommended
PartyIdentification [1]	CHOICE:				Party that legally owns the account.	M		
	BICOrBEI		AnyBICIdentifier		Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.	M	BIC is preferred over other elements; ICSD bic code	Recommended



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	Proprietary Identification	<b>Consists of :</b>			Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.	M		Not recommended
	Name And Address	<b>Consists of :</b>			Name by which a party is known and which is usually used to identify that party. (Definition should be Name and Address of a party.)	M		Not recommended
AccountIdentification [0..1]	Proprietary		Max35Text		The identification of the account owned by the party.	O		Not recommended
<b>Multiple Order Details \ Individual Order Details \ Settlement And Custody Details \ Receiving Side Details \ Receivers Intermediary Details [0..1]</b>					Party that the Receiver's custodian uses to effect the receipt of a security, when the Receiver's custodian does not have a direct relationship with the Receiver agent.	O		Not recommended
<b>Multiple Order Details \ Individual Order Details \ Settlement And Custody Details \ Receiving Side Details \ Receiving Agent Details [1]</b>					Party that receives securities from the delivering agent at the place of settlement, eg, central securities depository.	O	used when distributor wants to settle DVP or for special purposes	Recommended
PartyIdentification [1]	<b>CHOICE:</b>				Party that legally owns the account.	M		
	BICOrBEI		AnyBICIdentifier		Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.	M	BIC is preferred over other elements	Recommended
	Proprietary Identification	<b>Consists of :</b>			Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.	M		Not recommended
	Name And Address	<b>Consists of :</b>			Name by which a party is known and which is usually used to identify that party. (Definition should be Name and Address of a party.)	M		not recommended
AccountIdentification [0..1]	Proprietary		Max35Text		The identification of the account owned by the party.	O	used when distributor wants to settle DVP or for special purposes. Account opened with its Custodian.	Recommended
<b>Multiple Order Details \ Individual Order Details \ Settlement And Custody Details \ Delivering Side Details [0..1]</b>					Chain of parties involved in the settlement of a transaction resulting in the movement of a security from one account to another.	O	It make sens to have this information in the confirmation but we do not see the interest of having it in the order. The order giver is not the one who know (and decide) who is the delivering party.	Not recommended
<b>Multiple Order Details \ Individual Order Details [continued]</b>						M		
PhysicalDeliveryIndicator [1]			YesNoIndicator		Indicates whether the financial instrument is to be physically delivered.	M	always ignored as physical deliveries are not available from us	should always be set to 'false'
<b>Multiple Order Details \ Individual Order Details \ Physical Delivery Details [0..1]</b>					Information related to physical delivery of the securities.	O	tends to desapear in Luxembourg	Not recommended
<b>Multiple Order Details \ Individual Order Details [continued]</b>						M		
RequestedSettlementCurrency [0..1]			CurrencyCode		Currency requested for settlement of cash proceeds.	O	used to provide ccy for unit quantity trades; if not provided, then fund currency used	currency in which distributor wants to settle
RequestedNAVCurrency [0..1]			CurrencyCode		Currency to be used for pricing the fund. This currency must be among the set of currencies in which the price may be expressed, as stated in the prospectus.	O	used for multi currency funds	
<b>Multiple Order Details \ Individual Order Details \ Cash Settlement Details [0..1] OR Multiple Order Details \ Bulk Cash Settlement Details [0..1]</b>					Information related to physical delivery of the securities.	O	used in case of real bulk orders. As Lux market will only do single orders, this field will not be used	Not recommended
<b>Multiple Order Details \ Individual Order Details \ Cash Settlement Details \ Payment Instrument [0..1] OR Multiple Order Details \ Bulk Cash Settlement Details \ Payment Instrument [0..1]</b>					Choice between types of payment instrument, ie, credit transfer, cheque, payment card, investment cash account or direct debit.	O	not necessary	Not recommended



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<b>Intermediary Details [0..10]</b>					Information related to the intermediary.	O	this information make sens when the intermediary involved is the same for all orders included in the multiple. It is fine to have it here BUT sometime the intermediaries are different orders by orders. In such a case it will be interesting to have the information at the level of the individual orders as well. We would like to ask Swift to add this in the individual orders section.	Recommended when appropriate
<a href="#">Identification [1]</a>	<b>CHOICE:</b>				Unique and unambiguous identifier of the intermediary.	M		
	BICOrBEI		AnyBICIdentifier		Unique and unambiguous identifier for an organisation that is allocated by an institution, eg, Dun & Bradstreet Identification.	M		Recommended
	Proprietary Identification	<b>Consists of :</b>			Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.	M		Not recommended
	Name And Address	<b>Consists of :</b>			Name by which a party is known and which is usually used to identify that party. (Definition should be Name and Address of a party.)	M		Not recommended
<a href="#">Account [0..1]</a>						O	useful when not stored at TA level, then recommended	if used, to be covered via bilateral agreement
<a href="#">Role [0..1]</a>			Max35Text		Function performed by the intermediary.	O		if used, to be covered via bilateral agreement
<b>Copy Details [0..1]</b>					Information provided when the message is a copy of a previous message.	O		Not recommended

