

TRADE INITIATION & CONFIRMATION

GERMANY MARKET PRACTICE

Issued on behalf of the German Market Practice Group

May 2004

Message usage principals for Trade Confirmation Broker to Asset Manager

(required for Matching and Generation of Settlement Messages)

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1 Trade Confirmation

1.1 Broker to Asset Manager

1.1.1 Scope & General Rules

This defines the market practice for trades with a german asset manager.

The range of products covers all regular trades in cash securities against payment. Other trade scenarios like index or basket trades are not covered by this market practice.

Please note that 'free of payment' trades are not yet covered by this document.

This market practice exceeds the respective global market practice as to the granularity of data elements requested e.g. for detailed expression of amounts as well as to the quality (mandatory vs. optional) requested for certain data elements.

The settlement chain of the broker shall be provided in full using as much parties as necessary, even if more are applicable as the receiving/delivering agent and the receiving/delivering custodian as described herein.

There is one exception to the rule! As and where the broker and the client are both serviced by the same universal bank it is not necessary to provide the settlement parties as no further external settlement takes place but just an internal booking on the two accounts directly.

The broker may provide the settlement chain of the asset manager if known; rules are therefore defined.

The various different local trading and settlement market practices are not reflected in this document and shall be adhered to as governed by the local respective markets as published on www.smpg.info.

Local market practice with respect to trade details and/or trade related regulations, e.g. Stamp Duty and other taxes are defined by place of trade.

Local market practice with respect to settlement chain, settlement rules and settlement details/conditions e.g. 'change of beneficial ownership' are defined by PSET-BIC.

It is in general mandated that the amounts qualified in any confirmation will total up correctly to the respective settlement amount.

Amounts are in general to be used without Negative-sign (not driven by direction of trade). Exceptions are only allowed in case of e.g. negative interest or taxes where applicable.

It is in general mandated that fees and taxes are provided in full detail if and where applicable.

All participants are encouraged to provide the defined quality as sooner as better.

Being aware of exceeding the respective current global market practice quality requirements no definite effective date has been set yet.

1.2 Business data elements defintion

Business Data	M/O/C	Additional Information	Illustration in ISO15022 – MT515
Sender's Reference	M		20C::SEME//16x
Function of the Message	M	A potential cancellation shall contain all informa- tion of the NEWM message and is to be followed by a new NEWM in case of correction. The link	23G:NEWM or 23G:CANC
		can be established through the "Deal Reference"	
Trade Transaction Type Indicator	M	As this market practice only cover sregular trades and no special trades like index or basket trades, the default value TRAD is assigend.	22F::TRTR//TRAD
Link to Related Message	M	If the order was sent electronically, irregardless of format (e.g. SWIFT or FIX), the Order Reference must be used; if an order was not sent, automatically "NONREF" must be used	20C::RELA//16x
Link to Previos Message	M	To be populated in a cancellation message (23G:CANC). Contains the "Sender's Reference" (SEME) of the original message according to SWIFT standards which is repeated in the global market practice re linkages.	20C::PREV//16x
Deal Reference	M	Unique reference assigned to the trade by the Broker for this confirmation, e.g. per allocation. This reference can be used throughout the trade lifecycle to access the trade details.	20C::TRRF//16x
Trade Date	M	The trade date is to be supplied including seconds in order to enable the asset manager/KAG to compare prices for the "best execution" practice as well as for the "Marktgerechtigkeitsprüfung". Please note that in case of aggregated multiple executions it is understood that the time cannot be the exact trade time of the single executions.	98C::TRAD//8!n6!n
Settlement Date	М	Do the oxage trace time of the enight executioner	98A::SETT//8!n
Buy/Sell Indicator	M		22H::BUSE//4!c
Against Payment/Free Indicator	M	This Market Practice focusses on trades against payment and does not cover 'free of payment' transactions (still to come;until then it is suggested to use this market practice as to the granularity and quality of data supported by SLA)	22H::PAYM//APMT
Indication of Average Price in case of Block Orders	0		22F::PRIC//RDAV
Deal Price	М		90a::DEAL//4!c/15d
Place of Trade	M	MIC only or OTC-indicator; if OTC-indicator is present, a narrative must be provided to enable the asset manager/KAG to carry out the "Marktgerechtigkeitsprüfung"	94B::TRAD//EXCH/4!c or 94B::TRAD//OTCO/30x
Buyer (Confirmation Parties)	M	Always BIC; should KAGs not yet have a BIC such is to be applied for via SWIFT Frankfurt Regional office asap;	95P::BUYR//4!a2!a2!c[3!c] AND
AND		Buyer resp. Seller are always the KAG (not a po-	
Seller (Confirmation Parties)		tential advisor!) – who is/becomes the legal owner of the assets – and the broker! BUYR and SELL must be the same as in Settlement Parties. Confirmation Parties may be used for Trade Matching ahead of the settlement process – And may contain further parties like STBR "Step-in-broker" if and where applicable	95P::SELL//4!a2!a2!c[3!c]
			

Business Data	M/O/C	Additional Information	Illustration in ISO15022 – MT515
Capacity (Agent/Principal	М	SWIFT usage rule and global market practice	22F::TRCA//4!c
Safekeeping Account IM	M	To BUYR resp. SELL of the dedicted KAG(-Fond) at the broker.	97A::SAFE//35x
		Can be a number or name as agreed between	
		the asset manager and the broker. Please note that in case the KAG is using an ad-	
		visor, the ID used between KAG and Asset Manager can be different.	
Investor (Confirmation Parties)	М	As per global market practice the KAG is to be defined herein	95P::INVE//4!a2!a2!c[3!c]
Safekeeping Account IM Portfolio/Account ID	М	As per global market practice; preferably the account number at the custodian to be used	97A::SAFE//35x
Quantity	М		36B::CONF//4!c/15d
Security ID	М	ISIN where applicable	35:[ISIN1!e12!c]
Security Description Gross/Principal Amount	O M		[4x35] 19A::DEAL//[N]3!a15d
Interest Amount	С	Mandatory in case of Fixed Income	19A::ACRU//[N]3!a15d
Number of days accrued	C	Mandatory in case of Fixed Income	99A::DAAC//[N]3!a15d
Special Parameters, e.g. pool factor etc.	С	Mandatory in case of relevant products	1
Other Amounts (charges,		Only after agreement by trading partners;	
stamp duty etc.)		in general the more explicit detailed codes are to be used;	
		Usage of the correct qualifiers,	
		NO summation across qualifiers instead of properly identifying the respective detailed qualifiers,	
		the qualifier OTHR ist NOT to be used;	
		therefore at least use the following common qualifier if and where applicable:	
Executing Broker's commission	0	The broker that the asset manager has asked to execute the order	19A::EXEC//[N]3!a15d
Local Broker's commission	0	The broker to whom the executing broker has forwarded the order to execute;	19A::LOCO//[N]3!a15d
		This can be a broker in the (own) local market (e.g. in Germany the "Makler") or a broker in a	
		foreign market in which the executing broker may not have an own franchise.	
Stamp Duty	С	"Stamp Duty" as applicable in UK, Ireland, South Africa and HongKong	19A::STAM//[N]3!a15d
Stock Exchange Tax	С	"Stock Exchange Tax" as in Switzerland	19A::STEX//[N]3!a15d
Regulatory Fees	С	Transaction related regulatory fees, e.g. in US the "Sec-Fee"	
Charges	0	e.g. trade related fees	19A::CHAR//[N]3!a15d
Taxes	С	Other taxes, e.g. Withholding Tax where applicable	
Settlement Amount	M		19A::SETT//[N]3!a15d
Settlement Condition, i.e.	М	This market practice only covers regular trades	22F::SETR//TRAD
Type of Settlement Transaction		and no special trades like index or basket trades. Other settlement conditions are to be added as	
		and where applicable, e.g. 'change of beneficial ownership', stamp duty' etc.	
Buyer (Confirmation Par-	М	Always BIC; should KAGs not yet have a BIC	95P::BUYR//4!a2!a2!c[3!c]
ties)		such is to be applied for via SWIFT Frankfurt Regional office asap;	AND
AND		Buyer resp. Seller are always the KAG (not a potential advisor!) – who is/becomes the legal	95P::SELL//4!a2!a2!c[3!c]
Seller (Confirmation Parties)		owner of the assets – and the broker! BUYR and SELL must be the same as in Confir-	
ues)		mation Parties.	

Business Data	M/O/C	Additional Information	Illustration in ISO15022 – MT515
Safekeeping Account IM Portfolio/Account ID	O	To BUYR resp. SELL of the dedicted KAG(-Fond) at the respective Custodian. Attention: In Settlement Parties – different to Confirmation Parties – this MUST be the safekeeping account number of the dedicated fund at the respective Custodian! (depending on the situation the REAG/DEAG or the RECU/DECU etc.) Please note that that this information is mandatory if and where an asset manager confirms the trade to the KAG. The broker is not obliged to know and populate this information on ist confirmation to the asset manager!	97A::SAFE//35x
Safekeeping Account Broker	M	To BUYR resp. SELL at respective Custodian; mandatory for complete Settelement-Instruction to prevent cross-matches	97A::SAFE//35x
Receiving Agent (Settlement Parties)	M	For settlement at Clearstream Banking Frankfurt always BIC plus Safekeeping Account in 97a; for settlement in other countries see local market	95P::REAG//4!a2!a2!c[3!c] OR
OR		practices on www.smpg.info	95P::DEAG//4!a2!a2!c[3!c]
Delivering Agent (Settlement Parties)			
Safekeeping Account Receiving/Delivering Agent at (I)CSD	M		Following Market Practice see www.smpg.info
Receiving Custodian (Settlement Parties)	0	If and where applicable, e.g. global custodian using local custodian	95P::RECU//4!a2!a2!c[3!c] OR
OR			95P::DECU//4!a2!a2!c[3!c]
Delivering Custodian (Settlement Parties)			
Safekeeping Account Receiving/Delivering Custodian at Receiving/Delivering Agent	С	If and where Receiving/Delivering Custodian are applicable, this info is to be provided in order to avoid cross-matches	97A::SAFE//35x
Place of Settlement	M		95P::PSET//4!a2!a2!c[3!c]
Message Originator	0	Can be used to qualify the advisor/asset manager as additional party taking part in this trade as intermediary originating the trade on behalf of the KAG; preferably with option P using BIC	95a::MEOR//

1.3 Message examples for SWIFT MT515

Please note that Settlement Parties have been provided for both sides of the party chain for better illustration.

Please note that the various commission and charges amounts have not been provided to keep examples simple (those amounts vary too greatly depending on circumstances and agreements).

MT515 Client Confirmation of Purchase or Sale (example for purchase by asset manager)

Tag	Qualifier/	Detailed Field Name	German Market	Example
	Codewords		Practice Status	
Seguen	ce A General	Information		-t
16R	GENL	Start of Block	М	GENL
	SEME	Sender`s Reference	M	SEME//987654321
	NEWM	Function of the Message	M	NEWM
	PREP		n.a.	
22F	TRTR		M	TRAD
		Indicator		
titive Ma	ndatory Subs	equence A1 Linkages		
16R	LINK	Start of Block	M	LINK
13A	LINK	Linked Transaction	n.a.	
20C	RELA	Reference	М	RELA//123456789 oder RELA//NONREF if Order was not by automated mes-
100	LINUZ	Find of Diook	N 4	sage
			IVI	LINK
			N 4	LINK
				LINK
				TDDE//0045 f f
200	IKKF		IVI	TRRF//0815 reference of the broker to be used throughout the lifecycle for reference
16S	LINK		M	LINK
f Mandat	tory Subseque			
16S			M	GENL
16R	PAFILL		n.a.	
36B	PAFI	Quantitiy of Financial Instrument Partially Filled	n.a.	
90a	DEAL	Deal Price	n.a.	
22F	PRIC	Type of Price	n.a.	
98a	TRAD	Trade Date/Time	n.a.	
94B	TRAD	Place of Trade	n.a.	
16S	PAFILL	End of Block	n.a.	
f Sequer	ce B Partial F	ill Details		
	ce C Confirma			
16R	TRADDET	Start of Block	M	TRADDET
98A	TRAD	Date/Time	M	TRAD//2004021
98A	SETT	Date/Time	М	SETT//20040223
	l .			1
	PRIC	Price	M	DEAL//14,17
	Sequen 16R 20C 23G 98a 22F	Codewords Sequence A General 16R GENL 20C SEME 23G NEWM 98a PREP 22F TRTR TRTRR TRTRR TRTRR TRTRR TRTRR TRTRR TRTRR TRTRRR TRTRRR TRTRRR TRTRRRR TRTRRRRRR TRTRRRRRRRR	Codewords Sequence A General Information	Codewords

SWIFT Status	Tag	Qualifier/ Codewords	Detailed Field Name	German Market Practice Status	Example
>					
0	92A		Rate	n.a.	
0	99A		Number Count	n o	T
l	BBA		Number Count	n.a.	
> O	94B	TRAD	Place	M	TRAD//EXCH/XETR or MIC-
1					Code of respective exchange resp. TRAD//OTCO/Narrative, if off exchange, the narrative is to be provided
0	19A	SETT	Settlement Amount	n.a.	
> M	22H	BUSE	Indicator	M	BUYI
		BOOL	Haloatoi	IVI	10011
>	1	T			T
М	22H	PAYM	Indicator	M	APMT
>					
0	22F	PRIC	Indicator	M	RDAV
	,	, .			1
0	11A		Currency	n.a.	
			equence C1 Confirmation		CONFEDEN
M >	16R	CONPERTY	Start of Block	M	CONFPRTY
M	95a	BUYR	Party	M	BUYR//BIC (of KAG)
	V.	1	,		
0	97a	SAFE	Safekeeping Account	М	Safekeeping Account number of specific fund resp. KAG at Broker
	00-	DDOO	December 1		T
0	98a 20C	PROC PROC	Processing Date/Time Processing Reference	n.a. n.a.	
	200	TROO	1 Tocessing Reference	n.a.	1
>					
0	70a		Narrative	n.a.	
	400	CONEDDTY	Find of Diopis	NA.	CONEDDTY
M l End o	16S		End of Block ence C1 Confirmation Pa	M	CONFPRTY
			equence C1 Confirmation		
М	16R		Start of Block	M	CONFPRTY
>	,				
M	95a	INVE	Party	M	INVE//BIC (of KAG)
>					
0	97a	SAFE	Safekeeping Account	М	Safekeeping Account number of specific fund resp. KAG – preferrably at the funds custodian
	1				1
0	98a 20C	PROC PROC	Processing Date/Time Processing Reference	n.a.	
	20 C	FRUC	Frocessing Reference	n.a.	
>					
0	70a		Narrative	n.a.	
M	16S	CONFDDTV	End of Block	M	CONFPRTY
			ence C1 Confirmation Pa		COMPTRIE
	etitive Ma	andatory Subs	equence C1 Confirmation		
М	16R	CONFPRTY	Start of Block	M	CONFPRTY

SWIFT Status	Tag	Qualifier/ Codewords	Detailed Field Name	German Market Practice Status	Example
> M	95a	SELL	Party	М	SELL//BIC (of Broker)
	JJU	OLLL	1 arty	141	GEEL//BIO (OI BIORCI)
>					
0	97a	SAFE	Safekeeping Account	n.a.	
	100	DD 0.0	T	T	1
0	98a	PROC	Processing Date/Time	n.a.	
O l	20C	PROC	Processing Reference	n.a.	
>					
0	70a		Narrative	n.a.	
>					
M	22F	TRCA	Indicator	n.a.	TRCA//PRAG
	T		1		1
M	16S		End of Block	M	CONFPRTY
	36B	CONF	ence C1 Confirmation Pa	rties	CONF//UNIT/1000
M	SOD	CONF	Quantity of Financial Instrument to be Settled	IVI	CONF//ONLI/ 1000
M	35B		Identification of theFi-	M	ISINDE0008032004
	000		nancial Instrument	141	.5525000002007
Optional S	ubseque	ence C2 Finan	cial Instrument Attribute	S	
М	16R	FIA	Start of Block	n.a.	
>					
0	22F		Indicator	n.a.	
>	10-		Turns of financial instru		1
0	12a		Type of financial instru- ment	n.a.	
0	1	1	mont	<u> </u>	1
l	11A	DENO	Currency of Denomina-	n.a.	
Į.			tion		
>					1
0	98A		Date	n.a.	
>	004		Data		1
O l	92A		Rate	n.a.	
>					
0	13a		Number Identification	n.a.	
	100		14dilloci idonaliodion	Ti.u.	
>					
М	17B		Flag	n.a.	
		·			
>	T = -		1 = .	T	1
0	90a		Price	n.a.	
> O	36B		Quantity of Financial	n.a.	
0	300		instrument	ıı.a.	
	1	1		1	1
0	70E	FIAN	Financial Instrument	n.a.	
			Attribute Narrative		
М	16S	FIA	End of Block	n.a.	
	tional Su	ıbsequence C	2 Financial Instrument At	tributes	
>	165	0555	0		1
0	13B	CERT	Certificate Number	n.a.	
	70E		Norrativo	n o	
>	/ UE		Narrative	n.a.	
0					
O 		CONFDET	End of Block	M	CONFDET
O M	16S	CONFDET Confirmation	End of Block	M	CONFDET

M	SWIFT Status	Tag	Qualifier/ Codewords	Detailed Field Name	German Market Practice Status	Example
M	M	16R	SETDET	Start of Block	М	SETDET
		005	0==0			LOSTO (TD A D
M		22F	SETR	Indicator	M	SETR//TRAD
M	_		tional Code and			
M 95a						SETPRTY
		TOIL	OLII KIII	Otal t of Block	141	OETT ICT
O 97a SAFE Safekeeping Account n.a.		95a	PSET	Party	М	PSET//DAKVDEFFDOM
O 98a PROC Processing Reference n.a.		97a	SAFE	Safekeeping Account	n.a.	
O 20C PROC Processing Reference n.a.						
O	0	20C	PROC		n.a.	
M	-	70-	T	Namativa	T	I
M 16S SETPRTY End of Block M SETPRTY IEND OF Optional Subsequence D1 Settlement Parties M SETPRTY M 16R SETPRTY Start of Block M SETPRTY M 95a DEAG Party M DEAG//COBADEFF Image: Image of the part o		70a		Narrative	n.a.	
Ind of Optional Subsequence D1 Settlement Parties		16S	SETPRTY	End of Block	М	SETPRTY
M	End o	f Option	al Subsequen	ce D1 Settlement Parties	1	I .
M 95a DEAG Party M DEAG//COBADEFF	> Repe					
M		16R	SETPRTY	Start of Block	M	SETPRTY
O 97A SAFE Safekeeping Account M SAFE//7004000 (Safeke ing account of the DEAG the PSET) O 98a PROC Processing Date/Time n.a. O 20C PROC Processing Reference n.a. ——> ——> ————————————————————————————————————		95a	DEAG	Party	М	DEAG//COBADEFF
O 98a PROC Processing Date/Time n.a.	I	97A	SAFE	Safekeeping Account	M	SAFE//7004000 (Safekeeping account of the DEAG at
O 20C PROC Processing Reference n.a.	0	98a	PROC	Processing Date/Time	n.a.	1101021)
O						
M	>					
M		70a		Narrative	n.a.	
End of Optional Subsequence D1 Settlement Parties		169	SETDDTV	End of Block	NA	SETDDTV
> Repetitive Optional Subsequence D1 Settlement Parties M						SETT KIT
M 16R SETPRTY Start of Block O SETPRTY > M 95a DECU Party O DECU//BIC (BIC of a glo custodian, if applicable) O 97A SAFE Safekeeping Account O SAFE//123456789 (Safe keeping account of the DECU at the DEAG) O 98a PROC Processing Date/Time n.a. O 20C PROC Processing Reference n.a. > 0 70a Narrative n.a. > M 16S SETPRTY End of Block O SETPRTY > End of Optional Subsequence D1 Settlement Parties SETPRTY Settlement Parties M 16R SETPRTY Start of Block O SETPRTY > M 95a SELL Party O SELL//BIC (of the broker > 0 97A SAFE Safekeeping Account O SAFE//33333333 (Safeke ing account number of the broker at the DECU responeral part of the broker O 98a PROC Processing Date						
M 95a DECU Party O DECU//BIC (BIC of a glo custodian, if applicable) O 97A SAFE Safekeeping Account O SAFE//123456789 (Safe keeping account of the DECU at the DEAG) O 98a PROC Processing Date/Time n.a. O 20C PROC Processing Reference n.a. > O 70a Narrative n.a. M 16S SETPRTY End of Block O SETPRTY	М					SETPRTY
O 97A SAFE Safekeeping Account O SAFE//123456789 (Safe keeping account of the DECU at the DEAG) O 98a PROC Processing Date/Time n.a. O 20C PROC Processing Reference n.a. > O 70a Narrative n.a. M 16S SETPRTY End of Block O SETPRTY End of Optional Subsequence D1 Settlement Parties> Repetitive Optional Subsequence D1 Settlement Parties M 16R SETPRTY Start of Block O SETPRTY> M 95a SELL Party O SELL//BIC (of the broker ing account number of the broker at the DECU responded on th		95a	DECU	Party	0	DECU//BIC (BIC of a global custodian, if applicable)
Reeping account of the DECU at the DEAG) O 98a PROC Processing Reference n.a.	I	074	0.455	0.11 . 4		0.455//400.450700./0./
O 20C PROC Processing Reference n.a.	O	9/A	SAFE	Satekeeping Account	O	keeping account of the
O 70a Narrative n.a. Narrative Narrat					n.a.	
O 70a Narrative n.a. M 16S SETPRTY End of Block O SETPRTY End of Optional Subsequence D1 Settlement Parties> Repetitive Optional Subsequence D1 Settlement Parties M 16R SETPRTY Start of Block O SETPRTY> M 95a SELL Party O SELL/BIC (of the broker of the broker of the broker at the DECU responded of the broker at the DECU responded of the broker of th		20C	PROC	Processing Reference	n.a.	
M		70a		Narrative	n a	
End of Optional Subsequence D1 Settlement Parties> Repetitive Optional Subsequence D1 Settlement Parties M						
> Repetitive Optional Subsequence D1 Settlement Parties M						SETPRTY
M 16R SETPRTY Start of Block O SETPRTY > M 95a SELL Party O SELL//BIC (of the broker SAFE) O 97A SAFE Safekeeping Account O SAFE//3333333 (Safekeeping account number of the broker at the DECU responder of the broker of the bro						
M 95a SELL Party O SELL/BIC (of the broker O SAFE//3333333 (Safeke ing account number of the broker at the DECU respondation of the broker of the						CETDDTV
O 97A SAFE Safekeeping Account O SAFE//3333333 (Safekee ing account number of the broker at the DECU responded) O 98a PROC Processing Date/Time n.a. O 20C PROC Processing Reference n.a.						
ing account number of the broker at the DECU responded DEAG) O 98a PROC Processing Date/Time n.a. O 20C PROC Processing Reference n.a.	M	95a	SELL	Party	0	SELL//BIC (of the broker)
O 20C PROC Processing Reference n.a.				, ,	0	SAFE//3333333 (Safekeeping account number of the broker at the DECU resp. DEAG)
>					n.a.	
		20C	PROC	Processing Reference	n.a.	
TA TABLESTONE 113		700		Narrativo	n c	
		<i>i</i> ua		ואמוומנועט	n.a.	
M 16S SETPRTY End of Block O SETPRTY		16S	SETPRTY	End of Block	0	SETPRTY
End of Optional Subsequence D1 Settlement Parties						·

SWIFT Status	Tag	Qualifier/ Codewords	Detailed Field Name	German Market Practice Status	Example
> Repe			uence D1 Settlement Pa		
M	16R	SETPRTY	Start of Block	M	SETPRTY
>	0.5	DEAG	I.B		DE 4 0 //00D 4 DE E
<u>M</u>	95a	REAG	Party	0	REAG//COBADEFF
	97A	SAFE	Catalogning Assount	0	SAFE//7004000 (Safekeep-
0	97A	SAFE	Safekeeping Account	0	ing account of the REAG at
_		DD 0.0			the PSET)
<u>0</u> 0	98a	PROC	Processing Date/Time	n.a.	
_	20C	PROC	Processing Reference	n.a.	
> O 	70a		Narrative	n.a.	
M	16S	SETPRTY	End of Block	M	SETPRTY
			ce D1 Settlement Parties		OLII KII
			uence D1 Settlement Pa		
M	16R	SETPRTY	Start of Block	0	SETPRTY
>		02	Olant of Dison		192111111
M	95a	RECU	Party	0	RECU//BIC (BIC of a global custodian, if applicable)
 O	97A	SAFE	Safekeeping Account	0	SAFE//135724689 (Safe-
		J	Canana apining / 1000 anii		keeping account of the RECU at the REAG)
0	98a	PROC	Processing Date/Time	n.a.	REGO at the REAG)
0	20C	PROC	Processing Reference	n.a.	
>	200	11100	Treesearing reservation	ii.a.	<u> </u>
0	70a		Narrative	n.a.	
	T	I			1
M	16S	SETPRTY	End of Block	0	SETPRTY
			ce D1 Settlement Parties uence D1 Settlement Pa		
M	16R	SETPRTY	Start of Block	0	SETPRTY
> M	95a	BUYR	Party	M	BUYR//BIC (of the Asset Manager)
		<u> </u>			iviariager)
0	97A	SAFE	Safekeeping Account	0	SAFE//55555555 (Safe- keeping Account number of the respective Fund at the RECU resp. REAG)
0	98a	PROC	Processing Date/Time	n.a.	
0	20C	PROC	Processing Reference	n.a.	
>					
O l	70a		Narrative	n.a.	
M	16S	SETPRTY	End of Block	0	SETPRTY
			ce D1 Settlement Parties		SEIFKII
			uence D2 Cash Parties	•	
M	16R	CSHPRTY	Start of Block	n.a.	
>	1010	COLLIKIT	Start of Block	n.a.	
M	95a		Party	n.a.	
	Joa		1 arty	n.a.	1
>					
0	97A		Account	n.a.	
		1	,		1
0	98a	PROC	Processing Date/Time	n.a.	
0	20C	PROC	Processing Reference	n.a.	
>					
0	70a		Narrative	n.a.	
 M	16S	CSHPRTY	End of Block	n.a.	
		uence D2 Ca		n.a.	1
			uence D3 Amounts		
M / Kepe	16R	AMT	Start of Block	n.a.	
	1011	,	Clart of Blook	ii.u.	1

SWIFT Status	Tag	Qualifier/ Codewords	Detailed Field Name	German Market Practice Status	Example
>	1.75	T			1
0	17B		Flag	n.a.	
>	404	0555			0577/// / / 70
<u>M</u>	19A	SETT	Amount	M	SETT//14170,
>	404	A ODLI		0	
0	19A	ACRU	Amount	С	
	00-	\/A	Value Data /Time		
0	98a	VALU	Value Date/Time	n.a.	
0	92B	EXCH	Exchange Rate	n.a.	
M	16S	AMT	End of Block	n.a.	
		uence D3 Am		NA	CETDET
M Frank of Oak	16S	SETDET	End of Block	M	SETDET
		Settlement D			
	titive Op	CTUDDETY	ce E Other Parties	n -	
M	16R	OTHRPRTY	Start of Block	n.a.	
>	0.5		I.B. (OFP MEOD//DIO / / /
M	95a	Message Originator	Party	0	95P::MEOR//BIC (of the advisor; if no BIC available, then option 95Q)
 >					
0	97A		Account	n.a.	
				"	1
>					
0	70a		Narrative	n.a.	
				"	1
0	20C	PROC	Processing Reference	n.a.	
M	16S	OTHRPRTY		n.a.	
End o	f Seguer	ce E Other Pa		"	1
		F Repo Detai			
M	16R	REPO	Start of Block	n.a.	
0	98a	TERM	Closing Date/Time	n.a.	
>			<u> </u>		
0	22F		Indicator	n.a.	
	,	1			1
>					
0	20C		Reference	n.a.	
	,	1	1		1
>					
0	92a		Rate	n.a.	
	02a	1		· · · · ·	1
>					
0	99B		Number Count	n.a.	
	, 005	1		· · · · · ·	1
>					
0	19A		Amount	n.a.	
	13/1	1	/ WHOUTH	II.a.	1
l .	70C	REPO	Popurchase Marrative	n o	1
<u>О</u> М	16S	REPO	Repurchase Narrative	n.a.	
			End of Block	n.a.	
Elia of Sec	quence F	Repo Details		Ontional	
			M = Mandatory O =	: Optional	

MT515 Client Confirmation of Purchase or Sale (example for sale by asset manager)

SWIFT Status	Tag	Qualifier/ Codewords	Detailed Field Name	German Market Practice Status	Example
Mandatory		ce A General			
M	16R	GENL	Start of Block	M	GENL
M	20C	SEME	Sender`s Reference	M	SEME//987654322
M	23G	NEWM	Function of the Message	M	NEWM
0	98a	PREP	Preparation Date/Time	n.a.	
M	22F	TRTR	Trade Transaction Type Indicator	М	TRAD
> Repe	titive Ma	Indatory Subs	equence A1 Linkages		
M	16R	LINK	Start of Block	M	LINK
0	13A	LINK	Linked Transaction	n.a.	
M	20C	RELA	Reference	М	RELA//1234567890 oder RELA//NONREF if Order was not by automated mes sage
M	16S	LINK	End of Block	М	LINK
			ence A1 Linkages		1=
			equence A1 Linkages		
<i>-</i> кере М	16R	LINK	Start of Block	М	LINK
O	13A	LINK	Linked Transaction	n.a.	
M	20C	TRRF	Reference	M	TRRF//4711 reference of the broker to be used throughout the lifecycle for reference
М	16S	LINK	End of Block	М	LINK
End o	f Manda	tory Subseque	ence A1 Linkages		,
м '	16S	GENL	End of Block	M	GENL
	uence A	General Info			1 -
M	16R	PAFILL	Start of Block	n.a.	
M	36B	PAFI	Quantitiy of Financial Instrument Partially Filled	n.a.	
M	90a	DEAL	Deal Price	n.a.	
0	22F	PRIC	Type of Price	n.a.	
0	98a	TRAD	Trade Date/Time	n.a.	
0	94B	TRAD	Place of Trade	n.a.	
<u>о</u> М	16S	PAFILL	End of Block	n.a.	
		nce B Partial F		II.a.	
		ce C Confirma			
М		TRADDET	Start of Block	M	TRADDET
> NA	004	TDAD	Data/Times	N/	TD 4 D //200 4024
M 	98A	TRAD	Date/Time	M	TRAD//2004021
>	004	CETT	Data/Time	Λ.4	CETT//20040000
<u>M</u>	98A	SETT	Date/Time	M	SETT//20040223
>	100	DDIC			DEAL ((00 = 5
M .	90a	PRIC	Price	M	DEAL//98,76
>	T				
O 	92A		Rate	n.a.	
>					T
0	99A		Number Count	n.a.	
 >					
0	94B	TRAD	Place	М	TRAD//EXCH/XETR or MIC Code of respective ex- change resp. TRAD//OTCO/Narrative, if

SWIFT Status	Tag	Qualifier/ Codewords	Detailed Field Name	German Market Practice Status	Example
					is to be provided
 O	19A	SETT	Settlement Amount	n.a.	
>	13/1	OLII	Gettlement Amount	n.a.	1
M	22H	BUSE	Indicator	M	SELL
>	2011	DAYM	In diagtar	NA	ADMT
M l	22H	PAYM	Indicator	M	APMT
0	11A		Currency	n.a.	
-		indatory Subs	equence C1 Confirmation		
М	16R		Start of Block	M	CONFPRTY
>		10=::	I . .		
M l	95a	SELL	Party	M	SELL//BIC (of KAG)
<u> </u>					
0	97a	SAFE	Safekeeping Account	М	Safekeeping Account number of specific fund resp. KAG at Broker
0	98a	PROC	Processing Date/Time	n.a.	
0	20C	PROC	Processing Reference	n.a.	
 >					
0	70a		Narrative	n.a.	
	700		Handivo	m.a.	
M	16S	CONFPRTY	End of Block	M	CONFPRTY
			ence C1 Confirmation Pa equence C1 Confirmation		
М	16R		Start of Block	M	CONFPRTY
> M 	95a	INVE	Party	M	INVE//BIC (of KAG)
> O	97a	SAFE	Safekeeping Account	М	Safekeeping Account number of specific fund resp. KAG – preferrably at the funds custodian
0	98a	PROC	Processing Date/Time	n.a.	
0	20C	PROC	Processing Reference	n.a.	
>					
0	70a		Narrative	n.a.	
	1.00		1100000000	1.1.2.1	
М	16S		End of Block	M	CONFPRTY
			ence C1 Confirmation Pa		
			equence C1 Confirmation		CONEDDTY
M >	16R	CONFPRIY	Start of Block	M	CONFPRTY
> M	95a	BUYR	Party	M	BUYR//BIC (of Broker)
	Jou	120110	i. arry	IVI	DOTTO (OF DIONE)
>					
^	97a	SAFE	Safekeeping Account	n.a.	
0	0.0		· · · · · · · · · · · · · · · · · · ·	·	
	"				
 O	98a	PROC	Processing Date/Time	n.a.	
 O O	"	PROC PROC	Processing Date/Time Processing Reference	n.a. n.a.	
 O O 	98a				
 O O >	98a 20C		Processing Reference	n.a.	
 O O 	98a				
0 0 >	98a 20C		Processing Reference	n.a.	
O O > O	98a 20C		Processing Reference	n.a.	TRCA//PRIN

SWIFT Status	Tag	Qualifier/ Codewords	Detailed Field Name ence C1 Confirmation Par	German Market Practice Status	Example
Епа И	36B	CONF	Quantity of Financial	M	CONF//FAMT/100000
VI	300	CON	Instrument to be Settled	IVI	CON // AWI/ 100000
Л	35B		Identification of theFi-	M	ISINDE0001135127
/1	336			IVI	131NDE0001133121
\	C.,,,	nas CO Finan	nancial Instrument	_	
_			cial Instrument Attributes		T
1	16R	FIA	Start of Block	n.a.	
>					
)	22F		Indicator	n.a.	
>					
)	12a		Type of financial instru-	n.a.	
			ment		
)					
·	11A	DENO	Currency of Denomina-	n.a.	
1	117	DLINO	=	II.a.	
			tion		
>	T	T	[-	Г	1
)	98A		Date	n.a.	
>				,	
)	92A		Rate	n.a.	
					•
>					
)	13a		Number Identification	n.a.	
	100	I .	Transcr Identification	n.a.	1
>		1	L 		
Л	17B		Flag	n.a.	
>					
)	90a		Price	n.a.	
	1			Į.	1
>					
)	36B		Quantity of Financial	n.a.	
,	300		instrument	II.a.	
1			Instrument		
	705	FIANI	E		1
	70E	FIAN	Financial Instrument	n.a.	
)			Attribute Narrative		
Л	16S	FIA	End of Block	n.a.	
И					
Л			End of Block		
// End of Op >	otional Su	bsequence C	End of Block 2 Financial Instrument At	tributes	
// End of Op >			End of Block		
M End of Op >) 	otional Su	bsequence C	End of Block 2 Financial Instrument At	tributes	
// End of Op >) >	13B	bsequence C	End of Block 2 Financial Instrument At Certificate Number	n.a.	
// End of Op >) >)	otional Su	bsequence C	End of Block 2 Financial Instrument At	tributes	
// End of Op>) >)	13B	bsequence C	End of Block 2 Financial Instrument At Certificate Number Narrative	n.a.	
// End of Op>) >)	13B 70E 16S	CERT CONFDET	End of Block 2 Financial Instrument At Certificate Number Narrative End of Block	n.a.	CONFDET
// End of Op >) >) //	13B 70E 16S	bsequence C	End of Block 2 Financial Instrument At Certificate Number Narrative End of Block	n.a.	CONFDET
// End of Op >) >) // End of Se	13B 70E 16S equence C	CONFDET	End of Block 2 Financial Instrument At Certificate Number Narrative End of Block 1 Details	n.a.	CONFDET
// End of Op >) >) // End of Se	13B 70E 16S equence C	CERT CONFDET Confirmation D Settlement	End of Block 2 Financial Instrument At Certificate Number Narrative End of Block 1 Details Details	n.a.	CONFDET
MEnd of Operations One of the second of Secon	13B 70E 16S equence C	CONFDET	End of Block 2 Financial Instrument At Certificate Number Narrative End of Block 1 Details	n.a.	
M End of Op >) >) M End of Se	13B 70E 16S equence C	CERT CONFDET Confirmation D Settlement	End of Block 2 Financial Instrument At Certificate Number Narrative End of Block 1 Details Details	n.a. n.a.	CONFDET
M End of Op >) M End of Se Optional S	13B 10E 16S equence C Sequence	CERT CONFDET Confirmation D Settlement SETDET	End of Block 2 Financial Instrument At Certificate Number Narrative End of Block 1 Details Start of Block	n.a. n.a. M	SETDET
// End of Op // End of Se Diptional in // // //	13B 70E 16S equence C	CERT CONFDET Confirmation D Settlement	End of Block 2 Financial Instrument At Certificate Number Narrative End of Block 1 Details Details	n.a. n.a.	
// End of Op // End of Se Optional : // >	13B 70E 16S equence C Sequence 16R	CERT CONFDET Confirmation D Settlement SETDET	End of Block 2 Financial Instrument At Certificate Number Narrative End of Block Details Start of Block Indicator	n.a. n.a. M M	SETDET
// End of Op 	13B 13B 16S 16S 16R 22F 11A	CERT CONFDET Confirmation D Settlement SETDET	End of Block 2 Financial Instrument At Certificate Number Narrative End of Block Details Start of Block Indicator Currency	n.a. n.a. M M M n.a.	SETDET
// End of Op>) // End of Se Diptional : //> //> //>	13B 70E 16S rquence C 16R 22F 11A etitive Op	CERT CONFDET Confirmation D Settlement SETDET SETR tional Subseq	End of Block 2 Financial Instrument At Certificate Number Narrative End of Block Details Start of Block Indicator Currency uence D1 Settlement Par	n.a. n.a. M M M n.a. ties	SETDET SETR//TRAD
// End of Op // End of Se Optional : // > // >	13B 13B 16S 16S 16R 22F 11A	CERT CONFDET Confirmation D Settlement SETDET	End of Block 2 Financial Instrument At Certificate Number Narrative End of Block Details Start of Block Indicator Currency	n.a. n.a. M M M n.a.	SETDET
M End of Op M End of Se Optional S M > M	13B 70E 16S rquence C 16R 22F 11A etitive Op	CERT CONFDET Confirmation D Settlement SETDET SETR tional Subseq	End of Block 2 Financial Instrument At Certificate Number Narrative End of Block Details Start of Block Indicator Currency uence D1 Settlement Par	n.a. n.a. M M M n.a. ties	SETDET SETR//TRAD
// End of Op>) // End of Se Dptional : //> //> Rep //>	13B	CERT CONFDET Confirmation D Settlement SETDET SETR tional Subsequence Care	End of Block 2 Financial Instrument At Certificate Number Narrative End of Block Details Start of Block Indicator Currency uence D1 Settlement Par Start of Block	n.a. n.a. M M M n.a. ties	SETDET SETR//TRAD SETPRTY
M End of Op M End of Se Optional : M > M	13B 70E 16S rquence C 16R 22F 11A etitive Op	CERT CONFDET Confirmation D Settlement SETDET SETR tional Subseq	End of Block 2 Financial Instrument At Certificate Number Narrative End of Block Details Start of Block Indicator Currency uence D1 Settlement Par	n.a. n.a. M M M n.a. ties	SETDET SETR//TRAD
// End of Op // End of Se Dptional : //> //> Rep //> //>	13B	CERT CONFDET Confirmation D Settlement SETDET SETR tional Subsequence Care SETPRTY	End of Block 2 Financial Instrument At Certificate Number Narrative End of Block Details Start of Block Indicator Currency uence D1 Settlement Par Start of Block Party	n.a. n.a. M M M n.a. ties M	SETDET SETR//TRAD SETPRTY
// End of Op	13B	CERT CONFDET Confirmation Descriptional Subsequence SETPRTY PSET SAFE	End of Block 2 Financial Instrument At Certificate Number Narrative End of Block Details Start of Block Indicator Currency Juence D1 Settlement Par Start of Block Party Safekeeping Account	n.a. n.a. M M M n.a. ties M n.a.	SETDET SETR//TRAD SETPRTY
M End of Op > D > M End of Se Optional : M > M > Rep M > Rep M	13B	CERT CONFDET Confirmation D Settlement SETDET SETR tional Subseq SETPRTY PSET SAFE PROC	End of Block 2 Financial Instrument At Certificate Number Narrative End of Block Details Start of Block Indicator Currency uence D1 Settlement Par Start of Block Party Safekeeping Account Processing Date/Time	n.a. n.a. M M M n.a. ties M n.a. n.a.	SETDET SETR//TRAD SETPRTY
// End of Op	13B	CERT CONFDET Confirmation Descriptional Subsequence SETPRTY PSET SAFE	End of Block 2 Financial Instrument At Certificate Number Narrative End of Block Details Start of Block Indicator Currency Juence D1 Settlement Par Start of Block Party Safekeeping Account	n.a. n.a. M M M n.a. ties M n.a.	SETDET SETR//TRAD SETPRTY
M End of Op > D M End of Se Optional : M > M > Rep M > Rep M	13B	CERT CONFDET Confirmation D Settlement SETDET SETR tional Subseq SETPRTY PSET SAFE PROC	End of Block 2 Financial Instrument At Certificate Number Narrative End of Block Details Start of Block Indicator Currency uence D1 Settlement Par Start of Block Party Safekeeping Account Processing Date/Time Processing Reference	n.a. n.a. M M M n.a. ties M n.a. n.a.	SETDET SETR//TRAD SETPRTY
// End of Op> // End of Se // End of Se> // Company in the company in	13B	CERT CONFDET Confirmation D Settlement SETDET SETR tional Subseq SETPRTY PSET SAFE PROC	End of Block 2 Financial Instrument At Certificate Number Narrative End of Block Details Start of Block Indicator Currency uence D1 Settlement Par Start of Block Party Safekeeping Account Processing Date/Time	n.a. n.a. M M M n.a. ties M n.a. n.a.	SETDET SETR//TRAD SETPRTY

Status	Tag	Qualifier/ Codewords	Detailed Field Name	German Market Practice Status	Example
M	16S	SETPRTY	End of Block	M	SETPRTY
End o	titive Or	ai Subsequen	ce D1 Settlement Parties quence D1 Settlement Pa	ortine	
> кере М	16R	SETPRTY	Start of Block	M	SETPRTY
>	1010	OLITIKIT	Otall of Block	IVI	OL II IXI I
M	95a	REAG	Party	M	REAG//COBADEFF
 O	97A	SAFE	Safekeeping Account	M	SAFE//7004000 (Safekeeping account of the REAG at the PSET)
0	98a	PROC	Processing Date/Time	n.a.	
0	20C	PROC	Processing Reference	n.a.	
>	T		1		1
0	70a		Narrative	n.a.	
 N4	400	CETDDTV	End of Diople	NA.	CETDDTV
M	16S	SETPRTY	End of Block	M	SETPRTY
			ce D1 Settlement Parties quence D1 Settlement Pa		
> кере М	16R	SETPRTY	Start of Block	0	SETPRTY
>	1010	OLITIKIT	Start of Block		OL II IXI I
MI	95a	RECU	Party	0	RECU//BIC (BIC of a global custodian, if applicable)
0	97A	SAFE	Safekeeping Account	0	SAFE//123456789 (Safe- keeping account of the RECU at the DEAG)
0	98a	PROC	Processing Date/Time	n.a.	
0	20C	PROC	Processing Reference	n.a.	
>			T		1
0	70a		Narrative	n.a.	
	400	OFTDDTV	End of Disale	0	OFTDDTV
M L End o	16S	SETPRTY	End of Block ce D1 Settlement Parties	0	SETPRTY
Liiu 0	יו טטנוטוו				
> Repe			quence D1 Settlement Parties Start of Block		SETPRTY
> Repe M > M	titive Op	tional Subsec	quence D1 Settlement Pa	rties	SETPRTY BUYR//BIC (of the broker)
> Repe	16R	SETPRTY	quence D1 Settlement Pa Start of Block	orties O	
> Repe M > M O	95a 97A 98a	SETPRTY BUYR SAFE PROC	Start of Block Party Safekeeping Account Processing Date/Time	0 O	BUYR//BIC (of the broker) SAFE//3333333 (Safekeeping account number of the broker at the RECU resp.
> Repe M > M 	95a	SETPRTY BUYR SAFE	Start of Block Party Safekeeping Account	O O	BUYR//BIC (of the broker) SAFE//3333333 (Safekeeping account number of the broker at the RECU resp.
> Repe M> M O	95a 97A 98a	SETPRTY BUYR SAFE PROC	Start of Block Party Safekeeping Account Processing Date/Time	O O n.a.	BUYR//BIC (of the broker) SAFE//3333333 (Safekeeping account number of the broker at the RECU resp.
> Repe M> M O	95a 97A 98a 20C 70a	BUYR SAFE PROC PROC	Start of Block Party Safekeeping Account Processing Date/Time Processing Reference Narrative	nties O O O n.a. n.a.	BUYR//BIC (of the broker) SAFE//33333333 (Safekeeping account number of the broker at the RECU resp. DEAG)
> Repe M> M O O O> O M	95a 97A 98a 20C 70a 16S	BUYR SAFE PROC PROC SETPRTY	Start of Block Party Safekeeping Account Processing Date/Time Processing Reference Narrative End of Block	nties O O O n.a. n.a. O	BUYR//BIC (of the broker) SAFE//3333333 (Safekeeping account number of the broker at the RECU resp.
> Repe M> M O O O> O M End o	95a 97A 98a 20C 70a 16S of Option	BUYR SAFE PROC PROC SETPRTY SAFE	Party Safekeeping Account Processing Date/Time Processing Reference Narrative End of Block ce D1 Settlement Parties	nties O O n.a. n.a. 0	BUYR//BIC (of the broker) SAFE//33333333 (Safekeeping account number of the broker at the RECU resp. DEAG)
> Repe M> M O O> O M End o> Repe	95a 97A 98a 20C 70a 16S of Option	BUYR SAFE PROC PROC SETPRTY SAFE	Party Safekeeping Account Processing Date/Time Processing Reference Narrative End of Block ce D1 Settlement Parties quence D1 Settlement Parties Start of Block	nties O O n.a. n.a. 0	BUYR//BIC (of the broker) SAFE//33333333 (Safekeeping account number of the broker at the RECU resp. DEAG)
> Repe M> M O O M End o> Repe M>	95a 97A 98a 20C 70a 16S of Option	BUYR SAFE PROC PROC PROC SETPRTY SETPRTY SETPRTY al Subsequen otional Subsec	Start of Block Party Safekeeping Account Processing Date/Time Processing Reference Narrative End of Block ce D1 Settlement Parties quence D1 Settlement Parties	nrties O O O O O O O O O O O O O O O O O O O	BUYR//BIC (of the broker) SAFE//33333333 (Safekeeping account number of the broker at the RECU resp. DEAG) SETPRTY DEAG//COBADEFF
> Repe M> M O O O> O M End o> M> M> M>	95a 97A 98a 20C 70a 16S 0f Option titive Op 16R 95a	BUYR SAFE PROC PROC PROC SETPRTY SETPRTY All Subsequentional Subsectional Subse	Start of Block Party Safekeeping Account Processing Date/Time Processing Reference Narrative End of Block ce D1 Settlement Parties quence D1 Settlement Part	nrties O O O O O O O O O O O O O O O O O O O	BUYR//BIC (of the broker) SAFE//33333333 (Safekeeping account number of the broker at the RECU resp. DEAG) SETPRTY
> Repe M> M O O O M End o> Repe M> M O O	95a 97A 98a 20C 70a 16S 0 Option titive Op 16R 95a 97A	BUYR BUYR SAFE PROC PROC SETPRTY All Subsequentional Subsequential Su	Start of Block Party Safekeeping Account Processing Date/Time Processing Reference Narrative End of Block ce D1 Settlement Parties quence D1 Settlement Par	nrties O O O O O O O O O O O O O O O O O O O	BUYR//BIC (of the broker) SAFE//33333333 (Safekeeping account number of the broker at the RECU resp. DEAG) SETPRTY DEAG//COBADEFF SAFE//7004000 (Safekeeping account of the DEAG at
> Repe M> M O O O> O M End o> M> M> M> O O O O O O O O O O O O O O O O	95a 97A 98a 20C 70a 16S 0f Option titive Op 16R 95a	BUYR SAFE PROC PROC PROC SETPRTY SETPRTY All Subsequentional Subsectional Subse	Start of Block Party Safekeeping Account Processing Date/Time Processing Reference Narrative End of Block ce D1 Settlement Parties quence D1 Settlement Part	nrties O O O O O O O O O O O O O O O O O O O	BUYR//BIC (of the broker) SAFE//33333333 (Safekeeping account number of the broker at the RECU resp. DEAG) SETPRTY DEAG//COBADEFF SAFE//7004000 (Safekeeping account of the DEAG at
> Repe M> M O O O> O M End O> M O O O O O O O O O O O O O O O O	95a 97A 98a 20C 70a 16S 0 Option titive Op 16R 95a 97A	BUYR BUYR SAFE PROC PROC SETPRTY All Subsequentional Subsequential Su	Start of Block Party Safekeeping Account Processing Date/Time Processing Reference Narrative End of Block ce D1 Settlement Parties quence D1 Settlement Par	nrties O O O O O O O O O O O O O O O O O O O	BUYR//BIC (of the broker) SAFE//33333333 (Safekeeping account number of the broker at the RECU resp. DEAG) SETPRTY DEAG//COBADEFF SAFE//7004000 (Safekeeping account of the DEAG at
> Repe M> M O O O> O M End o> M O O O O O O O O O O O O O O O O	95a 97A 98a 20C 70a 16S 16S 16S 16R 95a 97A	BUYR BUYR SAFE PROC PROC PROC SETPRTY Al Subsequentional Subsectional Subsection	Start of Block Party Safekeeping Account Processing Date/Time Processing Reference Narrative End of Block ce D1 Settlement Parties quence D1 Settlement Part	nrties O O O n.a. n.a. n.a. O o nrties M O n.a. n.a. n.a. n.a. n.a. n.a. n.a.	BUYR//BIC (of the broker) SAFE//33333333 (Safekeeping account number of the broker at the RECU resp. DEAG) SETPRTY SETPRTY DEAG//COBADEFF SAFE//7004000 (Safekeeping account of the DEAG at the PSET)
> Repe M> M O O O O M End O> M M O O O O O O O O O O O O O O O O	95a 97A 98a 20C 70a 16S 95a 97A 98a 20C 70a 16S 97A	BUYR BUYR SAFE PROC PROC PROC SETPRTY All Subsequentional Subsectional Subsection Subsectional Subsectional Subsectional Subsection Subse	Start of Block Party Safekeeping Account Processing Date/Time Processing Reference Narrative End of Block ce D1 Settlement Parties quence D1 Settlement Part	O	BUYR//BIC (of the broker) SAFE//33333333 (Safekeeping account number of the broker at the RECU resp. DEAG) SETPRTY DEAG//COBADEFF SAFE//7004000 (Safekeeping account of the DEAG at

SWIFT Status	Tag	Qualifier/ Codewords	Detailed Field Name	German Market Practice Status	Example
M	16R	SETPRTY	Start of Block	0	SETPRTY
> M	95a	DECU	Party	0	DECU//BIC (BIC of a global
	334	DEGO	T dity		custodian, if applicable)
 O	97A	SAFE	Safekeeping Account	0	SAFE//135724689 (Safe-
5	97.A	SALL	Salekeeping Account	O	keeping account of the DECU at the REAG)
0	98a	PROC	Processing Date/Time	n.a.	
)	20C	PROC	Processing Reference	n.a.	
> O l	70a		Narrative	n.a.	
 И	16S	SETPRTY	End of Block	0	SETPRTY
End c			ce D1 Settlement Parties	}	-
			uence D1 Settlement Pa		
VI	16R	SETPRTY	Start of Block	0	SETPRTY
М	95a	SELL	Party	M	SELL//BIC (of the Asset Manager)
o	97A	SAFE	Safekeeping Account	0	SAFE//55555555 (Safe- keeping Account number of the respective Fund at the DECU resp. DEAG)
0	98a	PROC	Processing Date/Time	n.a.	
)	20C	PROC	Processing Reference	n.a.	
> O I	70a		Narrative	n.a.	
M	16S	SETPRTY	End of Block	0	SETPRTY
			ce D1 Settlement Parties		OL II KI I
			uence D2 Cash Parties		
M .	16R	CSHPRTY	Start of Block	n.a.	
>					
M	95a		Party	n.a.	
 >					
)	97A		Account	n.a.	
	1				
0	98a	PROC	Processing Date/Time	n.a.	
)	20C	PROC	Processing Reference	n.a.	
> D	70a		Narrative	n.a.	
 M	16S	CSHPRTY	End of Block	n o	
		quence D2 Ca		n.a.	
			juence D3 Amounts		
> кере М	16R	AMT	Start of Block	n.a.	
>		Ţ - ·····			1
0	17B		Flag	n.a.	
	1	4	. •		1
>					
M 	19A	SETT	Amount	M	SETT//987600,
>	1	1.25:	Ι.	_	T
0	19A	ACRU	Amount	С	
	100	12/41/2			Т
0	98a	VALU	Value Date/Time	n.a.	
0	92B	EXCH	Exchange Rate	n.a.	
M	16S	AMT	End of Block	n.a.	
•		quence D3 Am		8.4	OFTDET
M Frad of Co.	16S	SETDET	End of Block	M	SETDET
		D Settlement Dotional Sequer	nce E Other Parties		

SWIFT Status	Tag	Qualifier/ Codewords	Detailed Field Name	German Market Practice Status	Example
М	16R	OTHRPRTY	Start of Block	n.a.	
>	1	T		1	T
М	95a	Message	Party	0	95P::MEOR//BIC (of the
		Originator			advisor; if no BIC available,
					then option 95Q)
> O	97A		Account	n 0	
	97A		Account	n.a.	
>					
0	70a		Narrative	n.a.	
0	20C	PROC	Processing Reference	n.a.	
М	16S	OTHRPRTY	End of Block	n.a.	
		nce E Other Pa			
		F Repo Detai		1	T
М	16R	REPO	Start of Block	n.a.	
0	98a	TERM	Closing Date/Time	n.a.	
>	T	T			T
0	22F		Indicator	n.a.	
>		1			1
0	20C		Reference	n.a.	
>	00	1	D .	1	1
0	92a		Rate	n.a.	
>	000		Nivershaw Count		T
O l	99B		Number Count	n.a.	
>					
0	19A		Amount	n.a.	
0	70C	REPO	Repurchase Narrative	n.a.	
M	16S	REPO	End of Block	n.a.	
End of Sec	quence F	Repo Details			
			M = Mandatory O =	Optional	

SWIFT	Tag	Qualifier/	Detailed Field Name	German Market	for sale by asset manager Example
Status	ray	Codewords	Detailed Fleid Name	Practice Status	Example
	Coguen	ce A General	Information	Practice Status	
M	16R	GENL	Start of Block	M	GENL
M	20C	SEME	Sender's Reference	M	SEME//987654323
M	23G	NEWM	Function of the Message	M	CANC
O .	98a	PREP	Preparation Date/Time	n.a.	CANC
<u>О</u> М	22F	TRTR	Trade Transaction Type	M	TRAD
IVI	221	ININ	Indicator	IVI	TRAD
> Rana	titiva Ma	ndatory Subs	equence A1 Linkages		
<i>-</i> кере М	16R	LINK	Start of Block	М	LINK
O	13A	LINK	Linked Transaction	n.a.	LINK
<u></u> М	20C	PREV	Reference	M	PREV//987654322
M	16S	LINK	End of Block	M	LINK
			ence A1 Linkages	IVI	LINK
			equence A1 Linkages		
> кере И	16R	LINK	Start of Block	М	LINK
	13A	LINK	Linked Transaction		LINK
<u>С</u> М	20C	RELA	Reference	n.a. O	RELA//1234567890 oder
IVI	200	KELA	Reference	U	RELA//NONREF if Order
					was not by automated mes-
	400	LINUZ	Find of Diople	NA	sage
M I End o	16S	LINK	End of Block ence A1 Linkages	M	LINK
End o	i Wandai	ndetern Subseque	equence A1 Linkages		
				N 4	LINIZ
M	16R	LINK	Start of Block	M	LINK
<u>C</u>	13A	LINK	Linked Transaction	n.a.	TDDE//4744 == f===============================
M	20C	TRRF	Reference	М	TRRF//4711 reference of
					the broker to be used
					throughout the lifecycle for
					reference
M	16S	LINK	End of Block	M	LINK
End o	f Mandat	tory Subseque	ence A1 Linkages		
M	16S	GENL	End of Block	M	GENL
		General Info			
> Repe	titive Op	tional Sequer	nce B Partial Fill Details		
M	16R	PAFILL	Start of Block	n.a.	
М	36B	PAFI	Quantitiy of Financial	n.a.	
			Instrument Partially		
			Filled		
М	90a	DEAL	Deal Price	n.a.	
))	22F	PRIC	Type of Price	n.a.	
<u>)</u>	98a	TRAD	Trade Date/Time	n.a.	
0	94B	TRAD	Place of Trade	n.a.	
<u>о</u> М	16S	PAFILL	End of Block	n.a.	
		nce B Partial F		11.a.	1
		ce C Confirm			
				N A	TRADDET
M	16R	TRADDET	Start of Block	M	TRADDET
>	004	TDAD	Data/Times	N 4	TDAD//2004004
М	98A	TRAD	Date/Time	M	TRAD//2004021
>			I		10
М	98A	SETT	Date/Time	M	SETT//20040223
>	1	T			
M	90a	PRIC	Price	М	DEAL//98,76
>					
O	92A		Rate	n.a.	
	•	•			
>					
0	99A		Number Count	n.a.	
- 		1		11101	1
>					
0	94B	TRAD	Place	М	TRAD//EXCH/XETR or MIC
	U+U		1 1000	IVI	THAD//LACH/AETA OF MIC
J					Code of respective ex-

SWIFT Status	Tag	Qualifier/ Codewords	Detailed Field Name	German Market Practice Status	Example
					change resp. TRAD//OTCO/Narrative, if off exchange, the narrative is to be provided
					is to be provided
0	19A	SETT	Settlement Amount	n.a.	
>	0011	DUIGE	1		0511
<u>M</u> l	22H	BUSE	Indicator	M	SELL
>					
M l	22H	PAYM	Indicator	M	APMT
o	11A		Currency	n.a.	
			equence C1 Confirmation		
М	16R	CONPFRTY	Start of Block	M	CONFPRTY
> /I 	95a	SELL	Party	M	SELL//BIC (of KAG)
>		10155			
O	97a	SAFE	Safekeeping Account	M	Safekeeping Account num- ber of specific fund resp. KAG at Broker
	1	15566			
2	98a	PROC	Processing Date/Time	n.a.	
) l	20C	PROC	Processing Reference	n.a.	
>					
			Mamatica	n 0	
	70a		Narrative	n.a.	
 M End c	16S of Manda	tory Subsequ	End of Block ence C1 Confirmation Pa	M	CONFPRTY
 M End c > Repe	16S of Manda	atory Subsequandatory Subs	End of Block	M	CONFPRTY
 M End c > Repe M > M	16S of Manda	atory Subsequandatory Subs	End of Block ence C1 Confirmation Page	M arties on Parties	
M M End c	16S of Manda etitive Manda 16R	atory Subseque andatory Subs CONPFRTY	End of Block ence C1 Confirmation Pa equence C1 Confirmatio Start of Block	M arties on Parties M	CONFPRTY
M End c> Repe M> M> D	16S of Manda etitive Manda 16R 95a 97a	atory Subseque andatory Subseque CONPFRTY INVE	End of Block ence C1 Confirmation Pasequence C1 Confirmation Start of Block Party Safekeeping Account	Marties on Parties M M	CONFPRTY INVE//BIC (of KAG) Safekeeping Account number of specific fund resp. KAG – preferrably at the
M End c> Repe M> M D	16S of Manda etitive Manda 16R 95a 97a	atory Subseque and atory Subseque CONPFRTY INVE SAFE PROC	End of Block ence C1 Confirmation Pasequence C1 Confirmation Start of Block Party Safekeeping Account Processing Date/Time	M M M M M M M M M M M M M M M M M M M	CONFPRTY INVE//BIC (of KAG) Safekeeping Account number of specific fund resp. KAG – preferrably at the
// End c> Repe //> //> //	16S of Manda etitive Manda 16R 95a 97a	atory Subseque andatory Subseque CONPFRTY INVE	End of Block ence C1 Confirmation Pasequence C1 Confirmation Start of Block Party Safekeeping Account	Marties on Parties M M	CONFPRTY INVE//BIC (of KAG) Safekeeping Account number of specific fund resp. KAG – preferrably at the
M End o	16S 16R 16R 95a 97a 98a 20C	atory Subseque and atory Subseque CONPFRTY INVE SAFE PROC	End of Block ence C1 Confirmation Pasequence C1 Confirmation Start of Block Party Safekeeping Account Processing Date/Time Processing Reference	M M M M M M M M M M M M M M M M M M M	CONFPRTY INVE//BIC (of KAG) Safekeeping Account number of specific fund resp. KAG – preferrably at the
M End o	16S of Manda etitive Manda 16R 95a 97a	atory Subseque and atory Subseque CONPFRTY INVE SAFE PROC	End of Block ence C1 Confirmation Pasequence C1 Confirmation Start of Block Party Safekeeping Account Processing Date/Time	M M M M M M M M M M M M M M M M M M M	CONFPRTY INVE//BIC (of KAG) Safekeeping Account number of specific fund resp. KAG – preferrably at the
M End o End o M D D D D D D M	16S 16R 16R 95a 97a 98a 20C 70a 16S 16S	atory Subsequence and	End of Block ence C1 Confirmation Pasequence C1 Confirmation Start of Block Party Safekeeping Account Processing Date/Time Processing Reference Narrative End of Block	Marties on Parties M M M M n.a. n.a. n.a.	CONFPRTY INVE//BIC (of KAG) Safekeeping Account number of specific fund resp. KAG – preferrably at the
M End c End c M D D D D D D M M M M M End c	16S 16R 16R 95a 97a 98a 20C 70a 16S 16S 0f Manda	atory Subsequence and	End of Block ence C1 Confirmation Pasequence C1 Confirmation Start of Block Party Safekeeping Account Processing Date/Time Processing Reference Narrative End of Block ence C1 Confirmation Pase	Marties In Parties M M M M In.a. In.a. In.a. Marties	CONFPRTY INVE//BIC (of KAG) Safekeeping Account number of specific fund resp. KAG – preferrably at the funds custodian
M End c M M M D D D M M M End c	16S of Manda etitive Manda 16R 95a 97a 98a 20C 70a 16S of Manda etitive Manda	atory Subsequence and	End of Block ence C1 Confirmation Pasequence C1 Confirmation Start of Block Party Safekeeping Account Processing Date/Time Processing Reference Narrative End of Block ence C1 Confirmation Pasequence C1 Confirmation	Marties In Parties M M M M M In.a. In.a. In.a. In.a. Marties In Parties	CONFPRTY INVE//BIC (of KAG) Safekeeping Account number of specific fund resp. KAG – preferrably at the funds custodian CONFPRTY
M End o M M M D D D D D D M M M End o	16S 16R 16R 95a 97a 98a 20C 70a 16S 16S 0f Manda	atory Subsequence and	End of Block ence C1 Confirmation Pasequence C1 Confirmation Start of Block Party Safekeeping Account Processing Date/Time Processing Reference Narrative End of Block ence C1 Confirmation Pase	Marties In Parties M M M M In.a. In.a. In.a. Marties	CONFPRTY INVE//BIC (of KAG) Safekeeping Account number of specific fund resp. KAG – preferrably at the funds custodian
End c	16S of Manda etitive Manda 16R 95a 97a 98a 20C 70a 16S of Manda etitive Manda	atory Subsequence and	End of Block ence C1 Confirmation Pasequence C1 Confirmation Start of Block Party Safekeeping Account Processing Date/Time Processing Reference Narrative End of Block ence C1 Confirmation Pasequence C1 Confirmation Start of Block	Marties In Parties M M M M M In.a. In.a. In.a. In.a. Marties In Parties	CONFPRTY INVE//BIC (of KAG) Safekeeping Account number of specific fund resp. KAG – preferrably at the funds custodian CONFPRTY CONFPRTY
M End c M M M D D D D D D M M End c M M M M M M M M	16S of Manda etitive Ma 16R 95a 97a 98a 20C 70a 16S of Manda etitive Manda	atory Subsequence and	End of Block ence C1 Confirmation Pasequence C1 Confirmation Start of Block Party Safekeeping Account Processing Date/Time Processing Reference Narrative End of Block ence C1 Confirmation Pasequence C1 Confirmation	Marties In Parties M M M M M In.a. In.a. In.a. In.a. Marties In Parties M M M M M M M M M M M M M M M M M M M	CONFPRTY INVE//BIC (of KAG) Safekeeping Account number of specific fund resp. KAG – preferrably at the funds custodian CONFPRTY
M End c M M D D M M M M M M M M M M M M	16S 16R 16R 95a	INVE SAFE PROC PROC PROC CONFPRTY CONFPRTY CONFPRTY Atory Subsequiandatory Subsequian	End of Block ence C1 Confirmation Paguence C1 Confirmation Start of Block Party Safekeeping Account Processing Date/Time Processing Reference Narrative End of Block ence C1 Confirmation Paguence C1 Confirmation Start of Block Party	Marties In Parties M M M M M M In.a. In.a. In.a. Marties M Arties M M M M M M M M M M M M M M M M M M M	CONFPRTY INVE//BIC (of KAG) Safekeeping Account number of specific fund resp. KAG – preferrably at the funds custodian CONFPRTY CONFPRTY
M M M M M M D D D M M M M M M M M M M D M D	16S of Manda etitive Ma 16R 95a 97a 98a 20C 70a 16S of Manda etitive Manda	atory Subsequence and	End of Block ence C1 Confirmation Pasequence C1 Confirmation Start of Block Party Safekeeping Account Processing Date/Time Processing Reference Narrative End of Block ence C1 Confirmation Pasequence C1 Confirmation Start of Block	Marties In Parties M M M M M In.a. In.a. In.a. In.a. Marties In Parties M M M M M M M M M M M M M M M M M M M	CONFPRTY INVE//BIC (of KAG) Safekeeping Account number of specific fund resp. KAG – preferrably at the funds custodian CONFPRTY CONFPRTY
M M M M M M M D D M M M M M M M M D M D M D	16S 16R 16R 95a	INVE SAFE CONFPRTY PROC PROC PROC CONFPRTY CONFPRTY Atory Subsequiandatory Subsequian	End of Block ence C1 Confirmation Paguence C1 Confirmation Start of Block Party Safekeeping Account Processing Date/Time Processing Reference Narrative End of Block ence C1 Confirmation Paguence C1 Confirmation Start of Block Party Safekeeping Account	Marties In Parties M M M M M M M In.a. In.a. In.a. In.a. Marties In Parties M M M M M M M M M M M M M M M M M M M	CONFPRTY INVE//BIC (of KAG) Safekeeping Account number of specific fund resp. KAG – preferrably at the funds custodian CONFPRTY CONFPRTY
> Repe	16S 16R 16R 95a	INVE SAFE PROC PROC PROC CONFPRTY CONFPRTY CONFPRTY Atory Subsequiandatory Subsequian	End of Block ence C1 Confirmation Paguence C1 Confirmation Start of Block Party Safekeeping Account Processing Date/Time Processing Reference Narrative End of Block ence C1 Confirmation Paguence C1 Confirmation Start of Block Party Safekeeping Account Processing Date/Time	Marties In Parties M M M M M M In.a. In.a. In.a. Marties M Arties M M M M M M M M M M M M M M M M M M M	CONFPRTY INVE//BIC (of KAG) Safekeeping Account number of specific fund resp. KAG – preferrably at the funds custodian CONFPRTY CONFPRTY
M M M M M M M D D M M M M M M M D M D	16S 16R 16R 95a 97a 98a 20C 70a 16S 16R 95a 97a 98a 97a 98a 998a 988a 9	INVE SAFE PROC PROC PROC PROC CONFPRTY CONFPRTY CONFPRTY SAFE BUYR SAFE PROC	End of Block ence C1 Confirmation Paguence C1 Confirmation Start of Block Party Safekeeping Account Processing Date/Time Processing Reference Narrative End of Block ence C1 Confirmation Paguence C1 Confirmation Start of Block Party Safekeeping Account	Marties In Parties M M M M M M M M In.a.	CONFPRTY INVE//BIC (of KAG) Safekeeping Account number of specific fund resp. KAG – preferrably at the funds custodian CONFPRTY CONFPRTY
M M M M M M D D D M M M M M M M D D D D M D D D D D D D D D D D D D D D D D D D D D D D	16S 16R 16R 95a 97a 98a 20C 70a 16S 16R 95a 97a 98a 97a 98a 998a 988a 9	INVE SAFE PROC PROC PROC PROC CONFPRTY CONFPRTY CONFPRTY SAFE BUYR SAFE PROC	End of Block ence C1 Confirmation Paguence C1 Confirmation Start of Block Party Safekeeping Account Processing Date/Time Processing Reference Narrative End of Block ence C1 Confirmation Paguence C1 Confirmation Start of Block Party Safekeeping Account Processing Date/Time	Marties In Parties M M M M M M M M In.a.	CONFPRTY INVE//BIC (of KAG) Safekeeping Account number of specific fund resp. KAG – preferrably at the funds custodian CONFPRTY CONFPRTY

SWIFT Status	Tag	Qualifier/ Codewords	Detailed Field Name	German Market Practice Status	Example
M	22F	TRCA	Indicator	n.a.	TRCA//PRIN
	100	COMEDDE			OONEDDT)
M	16S		End of Block	M	CONFPRTY
End c M	36B	CONF	Quantity of Financial	rties M	CONF//FAMT/100000
M	35B		Instrument to be Settled Identification of theFinancial Instrument	M	ISINDE0001135127
Optional S	Subseque	ence C2 Finan	cial Instrument Attributes	3	1
M	16R	FIA	Start of Block	n.a.	
> O 	22F		Indicator	n.a.	
>					
0	12a		Type of financial instrument	n.a.	
O 	11A	DENO	Currency of Denomination	n.a.	
>	100:	T	In .	Г	Т
O >	98A		Date	n.a.	
0	92A		Rate	n.a.	
 >	1 9		1,1992		
O 	13a		Number Identification	n.a.	
>	47D		El		
M >	17B		Flag	n.a.	
0	90a		Price	n.a.	
 >					
0	36B		Quantity of Financial instrument	n.a.	
0	70E	FIAN	Financial Instrument Attribute Narrative	n.a.	
M	16S	FIA	End of Block	n.a.	
End of Op	tional Su	ibsequence C	2 Financial Instrument At	tributes	
> O 	13B	CERT	Certificate Number	n.a.	
>					
O 	70E		Narrative	n.a.	
<u>M</u>	16S	CONFDET	End of Block	M	CONFDET
	•	Confirmation			
Optional S	Sequence	D Settlement	Details		
M >	16R	SETDET	Start of Block	M	SETDET
M 	22F	SETR	Indicator	M	SETR//TRAD
0	11A		Currency	n.a.	
М	16R	SETPRTY	uence D1 Settlement Par Start of Block	rties M	SETPRTY
>			T . .		
M 	95a	PSET	Party	M	PSET//DAKVDEFFDOM
_	97a	SAFE	Safekeeping Account	n.a.	
U					
0 0 0	98a 20C	PROC PROC	Processing Date/Time Processing Reference	n.a.	

SWIFT Status	Tag	Qualifier/ Codewords	Detailed Field Name	German Market Practice Status	Example
>			Th		
0	70a		Narrative	n.a.	
 M	16S	SETPRTY	End of Block	М	SETPRTY
			ce D1 Settlement Parties		SEIFKII
> Repe	titive On	tional Subsec	uence D1 Settlement Pa	rties	
M	16R	SETPRTY	Start of Block	M	SETPRTY
>			Johann G. Brook		, <u>, , , , , , , , , , , , , , , , , , </u>
M 	95a	REAG	Party	M	REAG//COBADEFF
0	97A	SAFE	Safekeeping Account	М	SAFE//7004000 (Safekeeping account of the REAG at the PSET)
0	98a	PROC	Processing Date/Time	n.a.	,
0	20C	PROC	Processing Reference	n.a.	
> O	70a		Narrative	n.a.	
 M	16S	SETPRTY	End of Block	M	SETPRTY
			ce D1 Settlement Parties		OLII KI I
			uence D1 Settlement Pa		
M	16R	SETPRTY	Start of Block	0	SETPRTY
>	1011		Otan of Blook		0211111
MI	95a	RECU	Party	0	RECU//BIC (BIC of a global custodian, if applicable)
0	97A	SAFE	Safekeeping Account	0	SAFE//123456789 (Safe- keeping account of the RECU at the DEAG)
0	98a	PROC	Processing Date/Time	n.a.	
0	20C	PROC	Processing Reference	n.a.	
> O 	70a		Narrative	n.a.	
M	16S	SETPRTY	End of Block	0	SETPRTY
			ce D1 Settlement Parties		
			uence D1 Settlement Pa		
M	16R	SETPRTY	Start of Block	0	SETPRTY
>					
N/I		DI IV/D			DING/DIG (()
	95a	BUYR	Party	0	BUYR//BIC (of the broker)
 O	97A	SAFE	Safekeeping Account	0	BUYR//BIC (of the broker) SAFE//3333333 (Safekeeping account number of the broker at the RECU resp. DEAG)
 O	97A 98a	SAFE	Safekeeping Account Processing Date/Time		SAFE//3333333 (Safekeeping account number of the broker at the RECU resp.
O O	97A	SAFE	Safekeeping Account	0	SAFE//3333333 (Safekeeping account number of the broker at the RECU resp.
O O O O	97A 98a	SAFE	Safekeeping Account Processing Date/Time	O n.a.	SAFE//3333333 (Safekeeping account number of the broker at the RECU resp.
O O O O > O	97A 98a 20C	SAFE PROC PROC	Safekeeping Account Processing Date/Time Processing Reference Narrative	n.a. n.a.	SAFE//33333333 (Safekeeping account number of the broker at the RECU resp. DEAG)
O O O O > O 	97A 98a 20C 70a	SAFE PROC PROC	Processing Date/Time Processing Reference Narrative End of Block	n.a. n.a. n.a.	SAFE//3333333 (Safekeeping account number of the broker at the RECU resp.
O O O > O M	97A 98a 20C 70a 16S f Option	PROC PROC SETPRTY al Subsequen	Processing Date/Time Processing Reference Narrative End of Block ce D1 Settlement Parties	n.a. n.a.	SAFE//33333333 (Safekeeping account number of the broker at the RECU resp. DEAG)
O O O > O M End o > Repe	97A 98a 20C 70a 16S f Option	PROC PROC SETPRTY al Subsequen	Processing Date/Time Processing Reference Narrative End of Block	n.a. n.a.	SAFE//33333333 (Safekeeping account number of the broker at the RECU resp. DEAG)
O O O O O O O O O O O O O O O O O O O	97A 98a 20C 70a 16S f Option titive Op	PROC PROC SETPRTY al Subsequentional Subsequential Subsequen	Processing Date/Time Processing Reference Narrative End of Block ce D1 Settlement Parties puence D1 Settlement Pa	n.a. n.a. n.a. O srties	SAFE//33333333 (Safekeeping account number of the broker at the RECU resp. DEAG)
O O O O O O O O O O O O O O O O O O O	97A 98a 20C 70a 16S f Option titive Op 16R 95a	PROC PROC SETPRTY al Subsequentional Subsectional Subsection Subsecti	Processing Date/Time Processing Reference Narrative End of Block ce D1 Settlement Parties quence D1 Settlement Pa Start of Block Party Safekeeping Account	n.a. n.a. n.a. O rties M O	SAFE//33333333 (Safekeeping account number of the broker at the RECU resp. DEAG) SETPRTY
O O O O O O O O O O O O O O O O O O O	97A 98a 20C 70a 16S f Option titive Op 16R 95a 97A	PROC PROC SETPRTY al Subsequentional Subsectional Subsectional Subsectional Sample Set PRTY DEAG SAFE PROC	Processing Date/Time Processing Reference Narrative End of Block ce D1 Settlement Parties quence D1 Settlement Pa Start of Block Party Safekeeping Account Processing Date/Time	n.a. n.a. n.a. O srties M	SAFE//3333333 (Safekeeping account number of the broker at the RECU resp. DEAG) SETPRTY DEAG//COBADEFF SAFE//7004000 (Safekeeping account of the DEAG at
O O O O O O O O O O O O O O O O O O O	97A 98a 20C 70a 16S f Option titive Op 16R 95a 97A 98a 20C	PROC PROC SETPRTY al Subsequentional Subsectional Subsection Subsecti	Processing Date/Time Processing Reference Narrative End of Block ce D1 Settlement Parties juence D1 Settlement Pa Start of Block Party Safekeeping Account Processing Date/Time Processing Reference	n.a. n.a. n.a. O rties M O	SAFE//3333333 (Safekeeping account number of the broker at the RECU resp. DEAG) SETPRTY DEAG//COBADEFF SAFE//7004000 (Safekeeping account of the DEAG at
O O O O O O O O O O O O O O O O O O O	97A 98a 20C 70a 16S f Option titive Op 16R 95a 97A	PROC PROC SETPRTY al Subsequentional Subsectional Subsectional Subsectional Sample Set PRTY DEAG SAFE PROC	Processing Date/Time Processing Reference Narrative End of Block ce D1 Settlement Parties quence D1 Settlement Pa Start of Block Party Safekeeping Account Processing Date/Time	n.a. n.a. n.a. n.a. O rties M O n.a.	SAFE//3333333 (Safekeeping account number of the broker at the RECU resp. DEAG) SETPRTY DEAG//COBADEFF SAFE//7004000 (Safekeeping account of the DEAG at

SWIFT	Tag	Qualifier/	Detailed Field Name	German Market	Example
Status	ray	Codewords	Detailed Fleid Name	Practice Status	Example
M	16S	SETPRTY	End of Block	M	SETPRTY
End o			ce D1 Settlement Parties		
> Repe	titive Op	tional Subsec	uence D1 Settlement Par	rties	
M	16R	SETPRTY	Start of Block	0	SETPRTY
>					
M	95a	DECU	Party	0	DECU//BIC (BIC of a global custodian, if applicable)
	074	0.455	0-1-1		0.455//4.0570.4000./0-4-
0	97A	SAFE	Safekeeping Account	0	SAFE//135724689 (Safe- keeping account of the DECU at the REAG)
0	98a	PROC	Processing Date/Time	n.a.	
0	20C	PROC	Processing Reference	n.a.	
>	70-		Nicorations		
O l	70a		Narrative	n.a.	
M	16S	SETPRTY	End of Block	0	SETPRTY
			ce D1 Settlement Parties		SEIFKII
			uence D1 Settlement Par		
М	16R	SETPRTY	Start of Block	0	SETPRTY
>					
М	95a	SELL	Party	M	SELL//BIC (of the Asset Manager)
O	97A	SAFE	Safekeeping Account	0	SAFE//555555555 (Safe- keeping Account number of the respective Fund at the DECU resp. DEAG)
0	98a	PROC	Processing Date/Time	n.a.	
0	20C	PROC	Processing Reference	n.a.	
>	1			T	
0	70a		Narrative	n.a.	
	400	OFTDDTV	E 1 (D) 1		OFTERTY
M	16S	SETPRTY	End of Block ce D1 Settlement Parties	0	SETPRTY
			uence D2 Cash Parties		
M>	16R	CSHPRTY	Start of Block	n.a.	
M	95a		Party	n.a.	
 >		<u>I</u>			
0	97A	_	Account	n.a.	
0	98a	PROC	Processing Date/Time	n.a.	
0	20C	PROC	Processing Reference	n.a.	
>			N	T	1
0	70a		Narrative	n.a.	
M	16S	CSHPRTY	End of Block	n.a.	
		uence D2 Cas			
М	16R	AMT	Start of Block	n.a.	
> O	17B		Flag	n.a.	
	.,,,	1	ı ıwg	11.4.	<u>I</u>
>					
M	19A	SETT	Amount	M	SETT//987600,
>					
0	19A	ACRU	Amount	С	
	T				T
_		/ A I I I	Value Date/Time	n.a.	1
0	98a	VALU			
0	92B	EXCH	Exchange Rate	n.a.	
O M	92B 16S		Exchange Rate End of Block		

SWIFT Status	Tag	Qualifier/ Codewords	Detailed Field Name	German Market Practice Status	Example
М	16S	SETDET	End of Block	M	SETDET
		Settlement D			
> Repe			ce E Other Parties		
M	16R	OTHRPRTY	Start of Block	n.a.	
>					
M	95a	Message Originator	Party	0	95P::MEOR//BIC (of the advisor; if no BIC available, then option 95Q)
 >					
0	97A		Account	n.a.	
	1	1	• •		1
>					
0	70a		Narrative	n.a.	
		1			I.
0	20C	PROC	Processing Reference	n.a.	
M	16S	OTHRPRTY		n.a.	
End o	f Seguer	nce E Other Pa		1	1
		F Repo Detai			
M.	16R	REPO	Start of Block	n.a.	
0	98a	TERM	Closing Date/Time	n.a.	
>		1		*	
0	22F		Indicator	n.a.	
		•		*	
>					
0	20C		Reference	n.a.	
>					
0	92a		Rate	n.a.	
>					
0	99B		Number Count	n.a.	
		•	•		
>					
0	19A		Amount	n.a.	
	•	•			
0	70C	REPO	Repurchase Narrative	n.a.	
М	16S	REPO	End of Block	n.a.	
End of Sec	uence F	Repo Details	•		
	-	•	M = Mandatory O =	Optional	